

1. Let $K/\mathbb{Q}$ be a number field of degree d with ring of integers $\mathcal{O}_K$. In this exercise we want to find an upper bound for the quantity

$$\#\{\mathfrak{a} \triangleleft \mathcal{O}_K : \text{Nr}(\mathfrak{a}) \leq X\}.$$

- a) Let $r_K : \mathbb{N} \rightarrow \mathbb{C}$ be the arithmetic function given by

$$r_K(n) = \#\{\mathfrak{a} \triangleleft \mathcal{O}_K : \text{Nr}(\mathfrak{a}) = n\}.$$

Prove that r_K is multiplicative, i.e., that

$$r_K(n_1 n_2) = r_K(n_1) r_K(n_2) \quad \text{for } (n_1, n_2) = 1.$$

- b) Show that, for any prime p and any positive integer ℓ , we have the bound

$$r_K(p^\ell) \leq (\ell + 1)^d.$$

- c) Let $\varepsilon > 0$. Show that there exists a constant $C_\varepsilon > 0$ such that

$$r_K(n) \leq C_\varepsilon n^\varepsilon \quad \text{for all } n \in \mathbb{N}.$$

Hint: Let $\mathcal{P}_\varepsilon$ be the set defined by

$$\mathcal{P}_\varepsilon = \{p \text{ prime} : p \leq e^{\frac{d}{\varepsilon}}\}.$$

Show that

$$\frac{(\ell + 1)^d}{p^{\varepsilon \ell}} \leq 1 \quad \text{for all } p \notin \mathcal{P}_\varepsilon \text{ and } \ell \in \mathbb{N}.$$

Then define a number M_ε by

$$M_\varepsilon = \max_{\lambda \in [0, \infty)} \frac{(\lambda + 1)^d}{2^{\varepsilon \lambda}}$$

and show that

$$\frac{(\ell + 1)^d}{p^{\varepsilon \ell}} \leq M_\varepsilon \quad \text{for all } p \in \mathcal{P}_\varepsilon \text{ and } \ell \in \mathbb{N}.$$

- d) Conclude that for any $\varepsilon > 0$ there exists a constant $C_\varepsilon > 0$ such that

$$\#\{\mathfrak{a} \text{ an ideal of } \mathcal{O}_K : \text{Nr}(\mathfrak{a}) \leq X\} \leq C_\varepsilon X^{1+\varepsilon}.$$

2. Let $K/\mathbb{Q}$ a number field of degree d . Let $r_1 = |\text{Hom}_{\mathbb{Q}}(K, \mathbb{R})|$ and $r_2 = (d - r_1)/2$. Throughout this exercise, we consider a fixed choice

$$\Sigma = (\sigma_1, \dots, \sigma_{r_1+r_2}) \in \text{Hom}_{\mathbb{Q}}(K, \mathbb{C})^{r_1+r_2}$$

of an ordered, complete set of representatives of $\text{Hom}_{\mathbb{Q}}(K, \mathbb{C})/\text{Gal}(\mathbb{C}, \mathbb{R})$ such that

$$\text{Hom}_{\mathbb{Q}}(K, \mathbb{R}) = \{\sigma_1, \dots, \sigma_{r_1}\}.$$

We define

$$\sigma_\infty : K \rightarrow \mathbb{R}^{r_1} \oplus \mathbb{C}^{r_2}, \quad \sigma_\infty(z) = (\sigma_1 z, \dots, \sigma_{r_1+r_2} z).$$

- a) Let $\mathfrak{f} \subseteq K$ be a non-zero fractional ideal (not necessarily proper). Prove that

$$\text{covol}(\sigma_\infty(\mathfrak{f})) = 2^{-r_2} |\text{disc}(\mathcal{O}_K)|^{1/2} \text{Nr}_{K/\mathbb{Q}}(\mathfrak{f}).$$

b) Prove that

$$\mathbb{R}^{r_1} \oplus \mathbb{C}^{r_2} \cong K \otimes_{\mathbb{Q}} \mathbb{R}$$

as $\mathbb{R}$ -algebras.

3. Let $K/\mathbb{Q}$ a number field. Show that

$$|\text{disc}(K)| \geq \frac{\pi}{3} \left(\frac{3\pi}{4} \right)^{[K:\mathbb{Q}]-1}$$

and deduce that for any number field $K/\mathbb{Q}$ not equal to $\mathbb{Q}$, there is a prime $p \in \mathbb{Z}$ that ramifies in K .

Hint: Set

$$a_d = \left(\frac{d^d}{d!} \left(\frac{\pi}{4} \right)^{\frac{d}{2}} \right)^2 \quad (d \geq 2).$$

Calculate a_2 and prove that $a_{d+1} \geq a_d$. Then use that $\mathcal{O}_K$ contains a non-zero ideal $\mathfrak{a}$ such that

$$\text{Nr}(\mathfrak{a})^2 \leq \left(\frac{d!}{d^d} \left(\frac{4}{\pi} \right)^{r_2} \right)^2 |\text{disc}(K)|.$$

4. Show that for every $d \geq 2$, for every $\varepsilon > 0$, there exists a constant $C_\varepsilon \geq 0$ such that the following is true. Let K be a number field of degree d , then

$$|\text{Cl}(K)| \leq C_\varepsilon |\text{disc}(K)|^{\frac{1}{2} + \varepsilon}.$$

Hint: Use Exercise 1d.

5. Let $K/\mathbb{Q}$ a number field of degree d , $r_1 = |\text{Hom}_{\mathbb{Q}}(K, \mathbb{C})|$, and $r_2 = (d - r_1)/2$. Show that every ideal class contains an integral ideal containing an integer a satisfying

$$1 \leq a \leq \left(\frac{4}{\pi} \right)^{r_2} \frac{d!}{d^d} |\text{disc}(K)|^{\frac{1}{2}} =: C.$$

Deduce that $\text{Cl}(K)$ is generated by classes of elements of

$$\bigsqcup_{1 \leq p \leq C} \text{Spec}_p(\mathcal{O}_K),$$

where the union runs over rational primes.

Hint: Let $\mathfrak{a} \triangleleft \mathcal{O}_K$ be an appropriately chosen non-zero ideal and consider the generator a of $\mathbb{Z} \cap \mathfrak{a}$.

6. Determine the class group of $\mathbb{Q}(\sqrt{-19})$.

7. Determine the class group of $\mathbb{Q}(\sqrt{-5})$.

Hint: Is $(2, 1 + \sqrt{-5}) \subseteq \mathbb{Z}[\sqrt{-5}] = \mathcal{O}_K$ a principal ideal?

8. a) Use SageMATH to perform the following computation (using whatever built-in function you can find). Given a fundamental discriminant $D \in \mathbb{Z}$, i.e., a number $D \neq 0, 1$ such that

- either $D \equiv 1 \pmod{4}$ and square-free,
 - or $D \equiv 0 \pmod{4}$ such that $D/4$ is square-free and $D/4 \equiv 2, 3 \pmod{4}$,
- calculate the class number $h(D)$ of the quadratic number field of discriminant D .

b) Guess the asymptotics for $h(D)$ as $D \rightarrow -\infty$ among fundamental discriminants.

c) Guess the asymptotics of the relative number of positive fundamental discriminants such that $h(D) = 1$ as $D \rightarrow \infty$ among fundamental discriminants. What do you obtain if you restrict to prime fundamental discriminants?