

Algebra MATH-310

Lecture 11

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Plan of the course

- 1 Integers: 1 lecture
- 2 Groups: 6 lectures
- 3 Rings and fields: 5 lectures
- 4 Review: 1 lecture

Today: Rings: lecture 4

- (a) gcd and lcm in integral domains.
- (b) Properties of a Euclidean domain.
- (c) CRT in Euclidean domains and polynomial rings.
- (d) Congruences in polynomials rings.
- (e) Maximal ideals in PID.

Recall: Euclidean domains

Definition

E is an integral domain such that the Euclidean division works in E :
There exist a function $\nu : E \setminus \{0\} \rightarrow \mathbb{N}$ such that for any $a, b \in E$, $b \neq 0$, there exist $q, r \in E$ such that $a = qb + r$ and either $r = 0$ or $\nu(r) < \nu(b)$.

Properties:

$\nu : F[x] \setminus \{0\} \rightarrow \mathbb{N}$ is the degree of the polynomial.

- 1 Euclidean domain is a PID.
- 2 If F is a field, then $F[x]$ is a Euclidean domain.

Today: The Chinese remainder theorem for Euclidean domains.

Divisibility in commutative rings

Definition

- 1 Let A be a commutative ring. We say that a **divides** b for $a, b \in A$ if there exist $c \in A$ such that $b = ac$.
- 2 We say that $d = \gcd(a, b)$ if $d \mid a$, $d \mid b$, and if $c \mid a$, $c \mid b$, this implies that $c \mid d$.
- 3 We say that $k = \text{lcm}(a, b)$ if $a \mid e$, $b \mid e$, and if $a \mid f$, $b \mid f$, this implies that $e \mid f$.

In general $\gcd(a, b)$ and $\text{lcm}(a, b)$ are not unique.

Proposition

Let A be an integral domain, $a, b \in A$ nonzero elements. If d_1, d_2 are greatest common divisors of a and b , then $d_1 = xd_2$, where $x \in A^*$ is a unit. If e_1, e_2 are least common multiples of a and b , then $e_1 = ye_2$, where $y \in A^*$ is a unit.

Proof: $d_1 = \gcd(a, b), d_2 = \gcd(a, b) \Rightarrow d_1 = xd_2 ; d_2 = zd_1 \Rightarrow$
 $d_1 = xd_2 = xzd_1 \Rightarrow d_1(1-xz) = 0 \xrightarrow{\text{A integral domain}} (1-xz) = 0 \Rightarrow xz = 1$
 $\Rightarrow$ both x and z are units in A .

The case of $\text{lcm}(a, b)$ is similar



Definition

Let A be an integral domain. Elements $a, b \in A$ are **associates** if there exists a unit $u \in A^*$ such that $b = au$ (equivalently, there exist a unit $v \in A^*$ such that $a = vb$).

Associates in an integral domain

Proposition

Associates generate the same ideal in a PID.

$$\left. \begin{aligned} \text{If } g &= u f \Rightarrow g \subset (f) \Rightarrow (g) \subset (f) \\ &\quad \text{unit} \quad xg = xu f \in (f) \quad \forall x \in A. \\ f &= u^{-1} g \Rightarrow f \subset (g) \Rightarrow (f) \subset (g) \end{aligned} \right\} \Rightarrow (g) = (f)$$

$\Leftrightarrow g$ and f are associates

Examples. $A = \mathbb{Z} \Rightarrow$ units $\{\pm 1\}$ n, m are associates $\Leftrightarrow n = \pm m$ in $\mathbb{Z}$
 $(m) = (-m) \subset \mathbb{Z}$

$A = \mathbb{F}[x] \Rightarrow$ units $\mathbb{F}^* = \mathbb{F} \setminus \{0\} \Rightarrow f(x), g(x)$ are associates $\Leftrightarrow$
 $f(x) = \alpha g(x), \alpha \in \mathbb{F}^*$
 $(f(x)) = (\alpha f(x)) \subset \mathbb{F}[x]$

Properties of a Euclidean domain

See *rings.pdf*

Let E be a Euclidean domain and $a, b \in E$ nonzero elements.

① $\gcd(a, b)$ can be found by the Euclidean division.

$$\begin{aligned} a &= q_1 b + r_1 \\ b &= q_2 r_1 + r_2 \\ &\vdots \end{aligned}$$

② $(a) + (b) = (\gcd(a, b))$. *Bezout's theorem holds.*

③ $(a) \cap (b) = (\text{lcm}(a, b))$.

④ If $\gcd(a, b) = 1$ and $\gcd(a, c) = 1$, then $\gcd(a, bc) = 1$.

⑤ If $\gcd(a, b) = 1$, then $\text{lcm}(a, b) = ab$.

Chinese remainder theorem for a Euclidean domain

Theorem

Let E be a Euclidean domain, $m_1, \dots, m_r \in E$ such that $\gcd(m_i, m_j) = 1$ for $i \neq j$. Then

$$f : E/(m_1 \dots m_r) \xrightarrow{\sim} E/(m_1) \times E/(m_2) \times \dots \times E/(m_r)$$

is a ring isomorphism given by

$$[x]_{(m_1 \dots m_r)} \mapsto ([x]_{(m_1)}, [x]_{(m_2)}, \dots, [x]_{(m_r)}).$$

Idea: (1) homomorphism of rings by construction

(2) Surjectivity by induction:

CRT for 2 factors : $\exists a_{12} \in E : a_{12} \equiv a_1 \pmod{m_1} \quad (m_1) + (m_2) = E$
 $a_{12} \equiv a_2 \pmod{m_2}$

$\gcd(m_3, m_1 m_2) = 1 \Rightarrow \text{CRT for 2 factors} \Rightarrow (m_3) + (m_1 m_2) = E$

$\Rightarrow \exists a_{123} \in E :$

Chinese remainder theorem for a Euclidean domain

$$\exists a_{123} \in E: \quad \begin{aligned} a_{123} &\equiv a_{12} \pmod{m_1 m_2} \Rightarrow a_{123} \equiv a_1 \pmod{m_1} \\ &\quad a_{123} \equiv a_3 \pmod{m_3} \quad a_{123} \equiv a_2 \pmod{m_2} \end{aligned}$$

$\Rightarrow$ continue until all congruences are solved.

(3) *Injectivity*: if $a \equiv a_i \pmod{m_i}$ and $b \equiv a_i \pmod{m_i} \forall i \Rightarrow$
 $a - b \in \bigcap_{i=1}^r (m_i) \Rightarrow a - b \in (\text{lcm}(m_1, \dots, m_r)) = (m_1 \cdot m_2 \cdot \dots \cdot m_r)$.
 $\text{gcd}(m_i, m_j) = 1$



Corollary $F[x]$ is a Euclidean domain.

Let F be a field, $\{f_1(x), \dots, f_r(x)\}$ polynomials in $F[x]$ satisfying $\text{gcd}(f_i(x), f_j(x)) = 1$ for all $i \neq j$. Then

$$F[x]/(f_1(x) \dots f_r(x)) \simeq F[x]/(f_1(x)) \times F[x]/(f_2(x)) \times \dots \times F[x]/(f_r(x)).$$

Monic gcd of two polynomials

Remark: $\gcd(f(x), g(x))$ is determined up to a unit in $F[x]$, which is a nonzero constant in F . Therefore there exist a **unique** $\gcd(f(x), g(x))$ with the leading coefficient equal to 1.

"coefficient of the highest degree in $f(x)$ "

Definition

A polynomial $f(x) \in F[x]$ is **monic** if its leading coefficient is 1.

For any nonzero $f(x)$ and $g(x)$ there exist a **unique monic** $\gcd(f(x), g(x))$.

Example: monic gcd of two polynomials

Find the monic $\gcd(f(x), g(x))$.

$$\begin{aligned} f(x) &= x^4 - x^3 + 3x^2 + 2x - 5 \\ g(x) &= x^2 - 2x + 1 \quad \text{in } \mathbb{R}[x] \end{aligned}$$

$$\begin{array}{r} x^4 - x^3 + 3x^2 + 2x - 5 \\ - (x^4 - 2x^3 + x^2) \\ \hline x^3 + 2x^2 + 2x - 5 \\ - (x^3 - 2x^2 + x) \\ \hline 4x^2 + x - 5 \\ - (4x^2 - 8x + 4) \\ \hline 9x - 9 \end{array}$$

$$\begin{aligned} &\underbrace{9x - 9}_{r_1(x)} \\ \deg r_1 &= 1 < \deg g = 2 \end{aligned}$$

$$\begin{array}{r} x^2 - 2x + 1 \\ - (x^2 - x) \\ \hline -x + 1 \\ - (-x + 1) \\ \hline 0 \end{array}$$

$$r_1 = 9x - 9 = \gcd(f(x), g(x))$$

The monic $\gcd(f(x), g(x)) = x - 1$
leading coefficient is 1.

Application of CRT to systems of congruences in $F[x]$

Example: Let $\mathbb{F}_3 = \{0, 1, 2\} = \mathbb{Z}/3\mathbb{Z}$. Find all solutions of the system of congruences in $\mathbb{F}_3[x]$:

$$\begin{cases} f(x) \equiv x + 1 \pmod{(x^2 + 1)} \\ f(x) \equiv 1 \pmod{(x)} \\ f(x) \equiv -x \pmod{(x^2 - 1)} \end{cases}$$

Check that $\overset{g_1(x)}{(x^2+1)}$, $\overset{g_2(x)}{(x)}$, $\overset{g_3(x)}{(x^2-1)}$
are pairwise coprime
(show that $\gcd = 1$ for each pair)
or find $a(x), b(x) \in \mathbb{F}[x]$

$$\begin{cases} (x^2+1) \cdot 1 + x \cdot (-x) = 1 \\ (x^2+1) \cdot 2 + (x^2-1) \cdot 1 = 1 \\ (x^2-1) \cdot 2 + x \cdot x = 1 \end{cases}$$

$$a(x)g_1(x) + b(x)g_2(x) = 1$$

$$\Leftrightarrow \gcd(g_1(x), g_2(x)) = 1.$$

$\Rightarrow$ the polynomials $g_1(x), g_2(x), g_3(x)$
are pairwise coprime.

$\Rightarrow$ by CRT for Euclidean domains

$\exists$ solutions of the form $a(x) + ((x^2+1) \cdot x \cdot (x^2-1))$

How to find $\nearrow a(x)$?

Example: How to solve a system of congruences? -I

Start with any 2 congruences :
$$\begin{cases} f(x) \equiv x+1 \pmod{(x^2+1)} \\ f(x) \equiv 1 \pmod{(x)} \end{cases}$$

Try to find $h(x), g(x)$:
$$\underbrace{(x^2+1)h(x) + x+1}_{(x^2+1)h(x) - x \cdot g(x) = -x} = x \cdot g(x) + 1 \quad (= \underbrace{f(x)})$$

Have: $(x^2+1) \cdot 1 + x \cdot (-x) = 1 \Rightarrow (x^2+1) \cdot (-x) + x \cdot (x^2) = -x$

$\Rightarrow f(x) = (x^2+1) \cdot (-x) + x+1 = -x^3+1 \pmod{(x^3+x)} \equiv x+1 \pmod{(x^3+x)}$

$\Rightarrow$ Now we have
$$\begin{cases} f(x) \equiv x+1 \pmod{(x^3+x)} \\ f(x) \equiv -x \pmod{(x^2-1)} \end{cases}$$

$$h_1(x) \cdot (x^3+x) + x+1 = g_1(x) \cdot (x^2-1) - x \quad x(x^3+x) + (-x^2+1)(x^2-1) = -1 \Rightarrow$$

$$h_1(x)(x^3+x) - g_1(x)(x^2-1) = x-1 \quad \underbrace{x(-x+1)(x^3+x)}_{h(x)} + \underbrace{(-x^2+1)(-x+1)(x^2-1)}_{-g(x)} = x-1$$

$\Rightarrow f(x) = x(-x+1)(x^3+x) + x+1 = x^5+x^4-x^3+x^2+x+1 \pmod{(x^5-x)}$

$\Rightarrow f(x) = x^4-x^3+x^2+1 \pmod{(x^5-x)} \equiv \underline{x^4+2x^3+x^2+1 \pmod{(x^5-x)}}$

Example: How to solve a system of congruences? -II

Let $g_1(x), g_2(x) \in F[x]$ polynomials such that $\gcd(g_1, g_2) = 1$.

$$\begin{cases} f(x) \equiv h_1(x) \pmod{g_1(x)} \\ f(x) \equiv h_2(x) \pmod{g_2(x)} \end{cases}$$

Since $\gcd(g_1, g_2) = 1$, we have $t_1(x), t_2(x) \in F[x]$ such that

$$t_1(x)g_1(x) + t_2(x)g_2(x) = 1.$$

$t_1(x), t_2(x)$ can be found by running the Euclidean algorithm backwards.

Therefore a solution can be written in the form

$$f(x) = h_1(x)t_2(x)g_2(x) + h_2(x)t_1(x)g_1(x).$$

$$f(x) = h_1(x) \underbrace{(1 - t_1(x)g_1(x))}_{\equiv 1 \pmod{g_1(x)}} + h_2(x) \underbrace{t_1(x)g_1(x)}_{\equiv 0 \pmod{g_1(x)}} \equiv h_1(x) \pmod{g_1(x)}.$$

$$f(x) = h_1(x) t_2(x)g_2(x) + h_2(x) \underbrace{(1 - t_2(x)g_2(x))}_{\equiv 1 \pmod{g_2(x)}} \equiv h_2(x) \pmod{g_2(x)}.$$

Solving congruences: general method

Let $g_1(x), g_2(x), \dots, g_r(x) \in F[x]$ pairwise coprime polynomials. Consider the system of congruences

$$\gcd(g_i(x), g_j(x)) = 1 \quad \forall i \neq j$$

$$\begin{cases} f(x) \equiv h_1(x) \pmod{g_1(x)} \\ f(x) \equiv h_2(x) \pmod{g_2(x)} \\ \dots \\ f(x) \equiv h_r(x) \pmod{g_r(x)} \end{cases} \quad \begin{aligned} & G = g_1(x) \dots g_r(x), \quad G_i(x) = \frac{G(x)}{g_i(x)} \\ & \Rightarrow \gcd(G_i(x), g_i(x)) = 1 \quad \forall i \\ & \Rightarrow \exists t_i(x), s_i(x) : t_i(x) G_i(x) + s_i(x) g_i(x) = 1 \end{aligned}$$

$$\Rightarrow f(x) = \sum_{i=1}^r h_i(x) G_i(x) t_i(x)$$

Example: $r = 3$.

$$\begin{aligned} f(x) &= h_1(x) \underbrace{G_1(x) t_1(x)}_{(1 - g_1(x) s_1(x))} + h_2(x) \underbrace{G_2(x) t_2(x)}_{(1 - g_2(x) s_2(x))} + h_3(x) \underbrace{G_3(x) t_3(x)}_{(1 - g_3(x) s_3(x))} \\ &\equiv h_1(x) \pmod{g_1(x)} \\ &\equiv h_2(x) \pmod{g_2(x)} \\ &\equiv h_3(x) \pmod{g_3(x)} \end{aligned}$$

Example: How to solve a system of congruences? - III

$$\begin{cases} f(x) \equiv x+1 \pmod{(x^2+1)} \\ f(x) \equiv 1 \pmod{(x)} \\ f(x) \equiv -x \pmod{(x^2-1)} \end{cases}$$

$$G_1(x) = x(x^2-1) \quad G_2(x) = (x^2+1)(x^2-1)$$

$$G_3(x) = x(x^2+1)$$

$$\Rightarrow \gcd(G_i(x), g_i(x)) = 1 \quad \forall i$$

$$\begin{array}{l|l} (x^2+1)(x^2+1) & -x(x^3-x) \\ x^3 \cdot x & -1(x^4-1) \\ (x^2-1)(x^2-1) & -x(x^3+x) \end{array} \quad \begin{array}{l} = 1 \\ = 1 \\ = 1 \end{array}$$

$$t_i(x) G_i(x)$$

$$\Rightarrow f(x) = \sum h_i(x) t_i(x) G_i(x) = (x+1)(-x)(x^3-x) + 1 \cdot (-1) \cdot (x^4-1) + (-x) \cdot (-x)(x^3+x) =$$

$$= x^4 + 2x^3 + x^2 + 1 \text{ is a solution mod } (x(x^2+1)(x^2-1))$$

$$\begin{array}{r|l} x^4 + 2x^3 + x^2 + 1 & x^2+1 \\ -x^4 + & +x^2 \\ \hline 2x^3 + 1 & \\ 2x^3 + 2x & \\ \hline -2x+1 = x+1 = h_1(x) & \\ \text{in } \mathbb{F}_3[x] & \end{array}$$

$$\begin{array}{r|l} x^4 + 2x^3 + x^2 + 1 & x^2-1 \\ x^4 - x^2 & \\ \hline 2x^3 + 2x^2 + 1 & \\ -2x^3 - 2x & \\ \hline 2x^2 + 2x + 1 & \\ 2x^2 - 2 & \\ \hline 2x = -x = h_3(x) & \\ \text{in } \mathbb{F}_3[x] & \end{array}$$

$$x^4 + 2x^3 + x^2 + 1 \equiv 1 \pmod{x}$$

$$\Rightarrow f(x) = x^4 + 2x^3 + x^2 + 1 \text{ is a solution.}$$

When is E/I a field?

We will consider in detail the rings of the form $F[x]/(f(x))$ where F is a field and $f(x) \in F[x]$ a polynomial. Since $F[x]$ is a PID, any ideal in $F[x]$ is generated by a single polynomial.

We want to know in which case $F[x]/(f(x))$ is a field.

Definition

Let A be an integral domain. An element $c \in A$ is **irreducible** if $c \neq 0$, c is not a unit and if $c = ab$, then either a or b is a unit.

Example. $A = \mathbb{Z}$. Irreducible elements?

$6 = 2 \cdot 3$ is not irreducible since 2, 3 are both non-units

$$c = \pm p \Rightarrow \begin{aligned} p &= (-1) \cdot (-p) = 1 \cdot p \\ -p &= (-1) \cdot p = 1 \cdot (-p) \end{aligned}$$

where p is a prime
are the only irreducible elts in $\mathbb{Z}$

Maximal ideals and irreducible elements

Definition

We say that an ideal $I \subset A$ is **maximal** if there is no ideal $J \subset A$ such that $I \subsetneq J \subsetneq A$.

Theorem

Let A be a PID. Then $p \in A$ is irreducible if and only if $p \neq 0$ and $(p) \subset A$ is maximal.

Proof: ($\Rightarrow$) p is irreducible. Suppose $\exists J \subset A : (p) \subsetneq J \subsetneq A$.

Since A is a PID $\Rightarrow J = (d) \Rightarrow p = dt$, $p \in J = (d)$

$p = dt$ _{irreducible} $\Rightarrow$ $\begin{cases} d \text{ is a unit} \Rightarrow d \cdot d^{-1} = 1 \in J \Rightarrow J = A \text{ impossible} \\ t \text{ is a unit} \Rightarrow p \text{ and } d \text{ are associates} \Rightarrow (d) = (p) \text{ impossible} \end{cases}$
 $\Rightarrow (p) \subset A$ is maximal.

Maximal ideals and irreducible elements

($\Leftarrow$) $p \neq 0$ and $(p) \subset A$ is maximal. Show that p is irreducible

Suppose $p = yz$, y and z are both non-units.

$\Rightarrow p \in (y) \subsetneq A$ (since y is not a unit)

Show that $(p) \subsetneq (y)$. Otherwise $y \in (p) \Rightarrow y = p \cdot t$, $p = y \cdot z = p \cdot t \cdot z$

$\Rightarrow p(1 - tz) = 0 = \begin{cases} p = 0 \text{ impossible, } p \neq 0 \\ tz = 1 \Rightarrow z \text{ is a unit, contradiction.} \end{cases}$

$\Rightarrow (p) \subsetneq (y) \subsetneq A$ contradicts $(p) \subset A$ is maximal

$\Rightarrow p$ is irreducible. $\square$

Poll: Associates in a polynomial ring

Let $A = \mathbb{Z}/5\mathbb{Z}[x]$. Then

A: $(2x - 1)$ and $(4x + 2)$ are associates in A

B: $(x - 2)$ and $(4x - 2)$ are associates in A

C: $(2x - 1)$ and $(3x + 1)$ are associates in A

D: $(4x + 2)$ and $(3x - 4)$ are associates in A

E: $(2x + 1)$ and $(x - 3)$ are associates in A

<i>associates</i> ↓	<i>associates</i> ↓
$x-2$	$x-3$
$2x+1$	$2x-1$
$3x-1$	$3x-4 = 3x+1$
$4x+2$	$4x-2$

When is E/I a field?

Theorem

Let A be a Euclidean domain. Then

$I \subset A$ is maximal $\iff A/I$ is a field

$\iff I = (d)$, $d \in A$ is irreducible.

... proof next time!

When is E/I a field?

Corollary

Let F be a field. Then

$F[x]/(f(x))$ is a field $\iff f(x) \in F[x]$ is irreducible.