

# Prerequisites Test

*Cryptography and Security 2024*

## Exercise 1 Probability

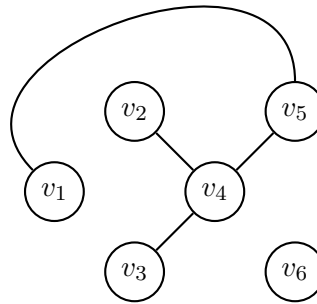


Figure 1: Example graph with 6 vertices ( $V = \{v_1, v_2, v_3, v_4, v_5, v_6\}$ ) and 4 edges ( $E = \{\{v_1, v_5\}, \{v_2, v_4\}, \{v_3, v_4\}, \{v_4, v_5\}\}$ ) where  $v_6$  is an isolated vertex.

A simple, undirected graph  $G = (V, E)$  with vertex set  $V$  and edge set  $E$  is a graph without any self loops or multiedges, and whose edges have no direction. In other words, for any two vertices  $a$  and  $b$ , there is at most one edge  $\{a, b\}$  (edge  $(a, b) = (b, a)$ ) between them, and there is no edge if  $a = b$ . We say a vertex is isolated if there is no edge connected to it. See Figure 1 as an example.

Suppose for every two different vertices  $a$  and  $b$ , the edge  $\{a, b\}$  appears with probability  $p$ . Moreover, the appearances of any two different edges are independent.

### Question 1.1 Expectation

Given  $|V| = n$ , use the linearity of expectation to compute the expected number of isolated vertices.

**Hint:** Define a boolean random variable  $X_i$  that indicates whether vertex  $i$  is isolated.

*Answer.* Let  $X_i$  be a boolean random variable that indicates whether vertex  $i$  is isolated. Then  $\Pr[X_i = 1] = (1 - p)^{n-1}$  since there are at most  $n - 1$  edges that can connect to  $i$ , and each of them does not appear with probability  $1 - p$ . Since  $X_i$  takes value in  $\{0, 1\}$ ,  $E[X_i] = \Pr[X_i] = (1 - p)^{n-1}$ .

Let  $X = \sum_i X_i$  be the random variable for the number of isolated vertices. By linearity of expectation,  $E[X] = \sum_i E[X_i] = n \cdot (1 - p)^{n-1}$

### Question 1.2 Variance

Compute the variance of number of isolated vertices.

*Answer.* We know that  $\text{Var}[X] = E[X^2] - E[X]^2$  and  $E[X] = n \cdot (1 - p)^{n-1}$  from the previous question. It suffices to compute  $E[X^2]$ .

Again let  $X = \sum_i X_i$ . Then we compute

$$\begin{aligned} E[(\sum_i X_i)^2] &= \sum_{i,j} E[X_i X_j] \\ &= \sum_{i \neq j} E[X_i X_j] + \sum_i E[X_i^2] \end{aligned}$$

For the second term, notice that  $X_i^2 = X_i$  for Bernoulli random variable. Then for  $n$  addends,  $\sum_i E[X_i^2] = nE[X_i] = n \cdot (1 - p)^{n-1}$ .

For the first term, we need some extra care. Since  $X_i X_j$  takes value in  $\{0, 1\}$ , it suffices to calculate  $\Pr[X_i X_j]$ . Also notice that  $X_i X_j = 1$  iff both vertex  $i$  and  $j$  are isolated. We first ignore the edge  $\{i, j\}$ , the probability that vertex  $i$  does not have the remaining  $n - 2$  edges is  $(1 - p)^{n-2}$ , same for vertex  $j$ . The probability that both vertex  $i$  and  $j$  are isolated except for edge  $\{i, j\}$  is then  $(1 - p)^{2n-4}$ . Finally, the probability that  $\{i, j\}$  does not appear is  $(1 - p)$ . Putting everything together, the probability that  $X_i X_j = 1$  is  $(1 - p)^{2n-3}$ .

To conclude, the variance is  $n(1 - p)^{n-1} + n(n - 1)(1 - p)^{2n-3} - n^2(1 - p)^{2n-2}$ .

## Exercise 2 Algorithms

### Question 2.1 Randomized Algorithms

In this exercise, we are going to analyze the expected number of iterations for a simple randomized search algorithm. Let  $a_1, \dots, a_N$  be  $N$  integers. Our goal is to search the index of a given target number  $T$ . Below is an algorithm  $\text{RandSearch}(a_1, \dots, a_N, N, T)$  that takes a list of integers as  $a_1, \dots, a_N$ , the number of integers as  $N$  and the target integer as  $T$ , outputs an index in  $\{1, \dots, N\}$  if  $T$  is found, 0 if not.

```
RandSearch( $a_1, \dots, a_N, N, T$ )
1:  pick a uniformly random permutation of  $\sigma$  of  $a_1, \dots, a_N$ 
2:  for  $i = 1$  to  $N$  :
3:      if  $a_{\sigma(i)} = T$  :
4:          return  $\sigma(i)$ 
5:  return 0
```

1. Compute the expected number of iterations of the for loop in line 2 of the  $\text{RandSearch}$  algorithm.

*Answer.* **Assuming**  $a_i$  are distinct and there exists  $i, 1 \leq i \leq N$  s.t.  $T = a_i$ . The grading was a bit relaxed due to this assumption being announced mid exam and each question was graded based on its own assumption.

**Note:** Albeit being simple, this analysis appears quite often in the course while analyzing the complexity of brute force attacks.

Let  $X$  be the random variable for the number of iterations of the  $\text{RandSearch}$  algorithm. We have

$$E[X] = \sum_{i=1}^N E[Pr[C = a_{\sigma(i)}]] \cdot i$$

Since  $\sigma$  is a uniformly random permutation, we have

$$E[Pr[C = a_{\sigma(i)}]] = \frac{1}{N}$$

for all  $i$ . Hence, we have

$$E[X] = \sum_{i=1}^N \frac{1}{N} \cdot i = \frac{N+1}{2}$$

**Common mistake:**  $X$  is not a geometric distribution, it would be the case if we were sampling an element from  $\{a_1, \dots, a_N\}$  at each iteration. However, in our case we are iterating over these elements meaning that we only visit them once in a random order.

### Question 2.2 Asymptotic Notation

Assume that  $f(n)$  and  $g(n)$  are two positive functions.

**Definition 1** ( $O(\cdot)$ ). We write  $f(n) = O(g(n))$  if and only if there exist constants  $N > 0$  and  $C > 0$  such that for all  $n \geq N$ ,  $f(n) \leq C \cdot g(n)$ .

**Definition 2** ( $\Omega(\cdot)$ ). We write  $f(n) = \Omega(g(n))$  if and only if there exist constants  $N > 0$  and  $C > 0$  such that for all  $n \geq N$ ,  $f(n) \geq C \cdot g(n)$ .

**Definition 3** ( $\Theta(\cdot)$ ). We write  $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ .

**Definition 4** ( $o(\cdot)$ ). We write  $f(n) = o(g(n))$  if and only if for all constants  $C > 0$  there exists  $N > 0$  such that for all  $n \geq N$ ,  $f(n) < C \cdot g(n)$ .

1. Show that if  $f = \Omega(g)$  then  $f$  is not in  $o(g)$ .

*Answer.* Assume the contrary that  $f = \Omega(g)$  and  $f = o(g)$ , we have the following:

- (a) by definition of  $o$ , for all constants  $c > 0$  there exists  $N_1 > 0$  such that for all  $n \geq N_1$  we have

$$f(n) < c \cdot g(n)$$

- (b) by definition of  $\Omega$ , there exists constants  $c_2 > 0$  and  $N_2 > 0$  such that for all  $n \geq N_2$  we have

$$f(n) \geq c_2 \cdot g(n)$$

Note that since the first condition holds for all positive constants, it holds for  $c_2$  as well. Moreover, both conditions when  $n$  is greater than  $N_1$  and  $N_2$ . Hence, we obtain a contradiction as required.

2. Show that if  $f(n) + g(n) = \Theta(\max\{f(n), g(n)\})$ .

*Answer.* By definition, we need to show that  $f(n) + g(n) = O(\max\{f(n), g(n)\})$  and  $f(n) + g(n) = \Omega(\max\{f(n), g(n)\})$ . Note that both  $f$  and  $g$  are positive functions. Hence, for a fixed for any  $n > 0$  we have  $f(n) > 0$  and  $g(n) > 0$ .

- Due to the positiveness of  $f$  and  $g$  we have  $f(n) + g(n) > 1 \cdot \max\{f(n), g(n)\}$  so  $f(n) + g(n) = \Omega(\max\{f(n), g(n)\})$  with  $C = 1$ .
- Again, due to positiveness, we have  $f(n) + g(n) \leq 2 \cdot \max\{f(n), g(n)\}$  so  $f(n) + g(n) = O(\max\{f(n), g(n)\})$  with  $C = 2$ .

### Exercise 3 Number Theory & Algebra

The goal of this exercise is to demonstrate the connection between two mathematical concepts: Mersenne primes and perfect numbers.

**Definition 5** (Mersenne primes). A prime number  $q$  is called a Mersenne prime if  $q = 2^n - 1$  for some  $n \in \mathbb{N}$ .

For example,  $7 = 2^3 - 1$  and  $31 = 2^5 - 1$  are Mersenne primes. Note that  $q$  being prime implies  $n$  is prime but not all numbers of the form  $2^p - 1$  are Mersenne primes. For instance,  $2^{11} - 1 = 2047 = 23 \times 89$ .

**Definition 6** (Perfect numbers). A number  $n \in \mathbb{N}$  is called *perfect* if the sum of all its positive divisors equals  $2n$ .

For example, 6 is the smallest perfect number, as its divisors are 1, 2, 3 and 6 and their sum is equal to 12.

To link both concepts, we use *Euler's  $\sigma$  function*, which is similar to Euler's totient function. It is defined as follows:

$$\sigma : \mathbb{N} \rightarrow \mathbb{N}$$

$$\sigma(n) = \sum_{d|n, d>0} d$$

#### Question 3.1 Perfect Numbers

Here are some calculations to reinforce your understanding in those notions:

- Are 28 and 42 perfect numbers? Show why.
- What is the value of  $\sigma(p^n)$ , where  $p$  is prime and  $n \in \mathbb{N}$ ?
- Show that  $\sigma$  is *multiplicative*, i.e., for  $a, b \in \mathbb{N}$  such that  $\gcd(a, b) = 1$ , we have

$$\sigma(a \cdot b) = \sigma(a)\sigma(b)$$

*Answer.* • 28 is a perfect number. Since  $28 = 7 \times 4$ , we have

$$\sigma(28) = 1 + 2 + 4 + 7 + 14 + 28 = 56 = 2 \times 28.$$

However, 42 is not perfect. Since  $42 = 2 \times 3 \times 7$ , we have

$$\sigma(42) = 1 + 2 + 3 + 6 + 7 + 14 + 21 + 42 = 96 \neq 84 = 2 \times 42.$$

- Since divisors of  $p^n$  are of the form  $p^m$  with  $0 \leq m \leq n$ , we have

$$\sigma(p^n) = \sum_{i=0}^n p^i = \frac{p^{n+1} - 1}{p - 1}.$$

- Since  $a$  and  $b$  are coprime, all divisor  $d$  of  $ab$  can be uniquely written as  $d = d_a d_b$  with  $d_a | a$  and  $d_b | b$ . Therefore,

$$\sigma(ab) = \sum_{d|ab} d = \sum_{d_a|a, d_b|b} d_a d_b = \sum_{d_a|a} \sum_{d_b|b} d_a d_b = \left( \sum_{d_a|a} d_a \right) \left( \sum_{d_b|b} d_b \right) = \sigma(a)\sigma(b).$$

### Question 3.2 Euclid-Euler Theorem

Prove the following equivalence, known as the Euclid-Euler Theorem:

$$n = 2^{p-1}(2^p - 1) \text{ with } 2^p - 1 \text{ a Mersenne prime} \iff n \text{ is an even perfect number.}$$

**Hint:** Let  $n = 2^k x$  with  $x$  odd and  $n$  perfect, show that  $x$  and  $\frac{x}{2^{k+1}-1}$  must be the only divisors of  $x$ .

*Answer.*

Let  $n = 2^{p-1}(2^p - 1)$  with  $2^p - 1$  prime. Then,

$$\sigma(n) = \sigma(2^{p-1})\sigma(2^p - 1) = \frac{2^p - 1}{2 - 1}(2^p - 1 + 1) = (2^p - 1)(2^p) = 2n.$$

Thus,  $n$  is a perfect number.

Now, suppose  $n = 2^k x$  with  $x$  odd, and  $n$  is perfect. Then,

$$2^{k+1}x = 2n = \sigma(n) = (2^{k+1} - 1)\sigma(x).$$

Since  $2^{k+1} - 1$  is odd, it must divide  $x$ . Hence,  $\frac{x}{2^{k+1}-1}$  must be a divisor of  $x$ . Therefore,

$$\frac{2^{k+1}x}{2^{k+1} - 1} = \sigma(x) = x + \frac{x}{2^{k+1} - 1} + \underbrace{\cdots}_{\text{other divisors}}.$$

The equality holds only if  $x$  has no other divisors, meaning  $x$  is prime. Additionally,  $1 = \frac{x}{2^{k+1}-1}$  implies  $x = 2^{k+1} - 1$ , which shows  $x$  is a Mersenne prime.