

Frontiers in Chemical Synthesis I

Towards Sustainable Chemistry

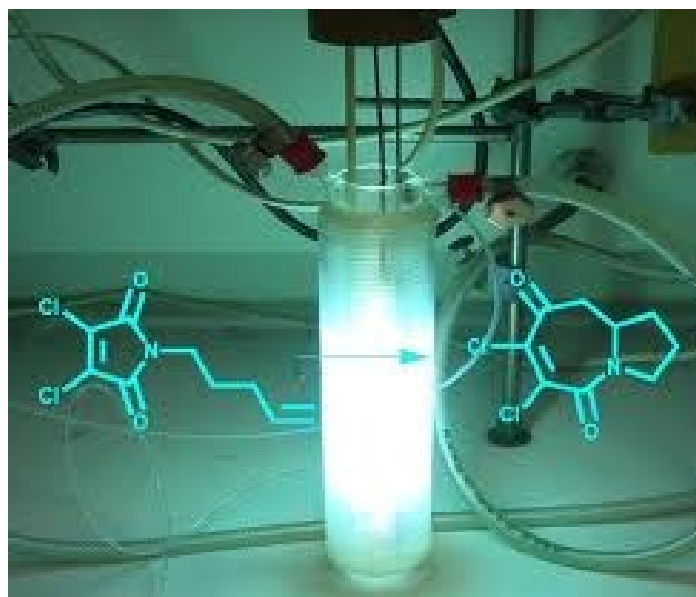
Seminar Program

June 6-7, BCH 3118

	Speaker	Title
June 6, 2011		
Session I: New Technologies for Organic Chemistry (Chairman: Stefano Nicolai)		
9h30-10h45	Filippo De Simone	<i>Flow Chemistry in Organic Synthesis</i>
10h45-12h00	WeiJa Gan	<i>Design of Ligands for Metal-Catalyzed Reactions in Water</i>
Session II: Functionalization of Olefins and C-H bonds (Chairman: Jean-Baptiste Gualtierotti)		
13h30-14h45	Ala Bunescu	<i>Palladium-Catalyzed C-H Amination</i>
14h45-16h00	Laetitia Souillart	<i>Late Stage C-H Activation in Protecting Group Free Total Synthesis</i>
16h00-17h15	Baihua Ye	<i>Rhodium-Catalyzed Hydroamination of Olefins</i>
June 7, 2011		
Session III: Organocatalysts and Radicals (Chairman: Filippo De Simone)		
8h15-9h30	Thomas Buyck	<i>Organocatalysis by N-Heterocyclic Carbenes</i>
9h30-10h45	Florian De Nanteuil	<i>Cooperative Catalysis: Organocatalysts and Metals</i>
10h45-12h00	Jean-Baptiste Gualtierotti	<i>Metal and Enlightenment, Radical Pathways toward Complex Structures</i>
Session IV: Metal Catalysis (Chairman: Baihua Ye)		
13h30-14h45	Pablo Marcelo Perez Garcia	<i>Enantioselective Reactions Catalyzed by Iron Complexes</i>
14h45-16h00	Daniel Lamey	<i>Gold Nanoparticles for Heterogenous Catalysis in Organic Chemistry</i>
16h00-17h15	Stefano Nicolai	<i>Rhodium-Catalyzed Cycloaddition Reactions</i>

Flow Chemistry in Organic Synthesis

Litterature Talk



Filippo De Simone

EPFL

6 June 2011

1) Try to identify the limitations of flow chemistry

2) In which context flow chemistry is advantageous?

Table of contents

- Introduction...*from batch to flow*
- Temperature, pressure, light... *μW , induction, photochemistry*
- Flow on supported catalysts...*oxidation and reduction*
- Solid Phase...*mono- and multi-components*
- Multi-steps flow synthesis...*from easy to complex*



Flow chemistry



Microfluidics (10^{-9} to 10^{-18} L)

Control of concentration in space/time

Molecular analysis (GC, HPLC, CE)



- Small volume
- Low cost
- Short analysis time

Biodefence



1990 Microfluidic detector
(for chemical and biological threats)

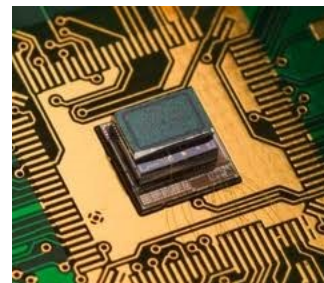
Academic interest

Molecular biology



DNA sequencing

Microelectronics



Microelectronics
Technologies

(DARPA = Defense Advanced Research Projects Agency from US Department of Defense)

From batch to flow

- Superior heat and mass transfer rates (high surface/volume ratio)
- Easy scale up (bigger or multi columns)
- Safe use of extreme pressures and temperatures
- Precise control of conditions
- Reduced chemical exposure and waste
- Ideal for fast reactions, exothermic reactions, unstable intermediate

Microfluidic ($\varnothing$ 10-500 μm)

- High heat transfer
- Efficient mixing
- Low flow capacity
- High pressure drop
- Tendency to block

Minifluidic ($\varnothing$ >0.5mm)

- High flow capacity
- Low pressure drop
- No blocking
- Multigrams-multikilogram scale
- Packed reactors
- Lower heat transfer

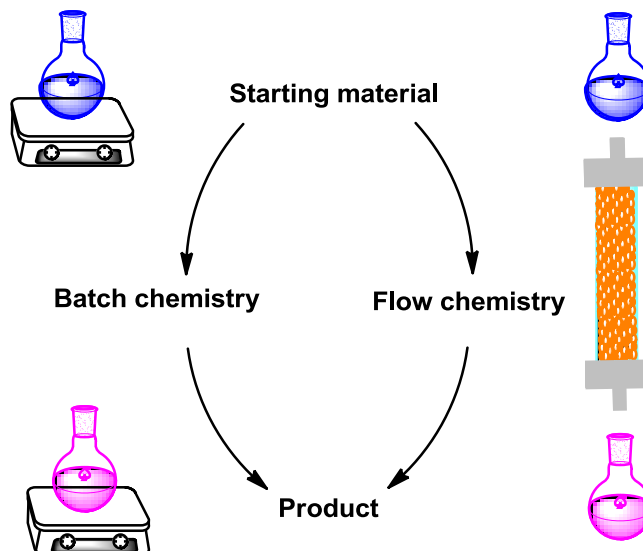


From batch to flow

Stoichiometry

Concentration / Volumetric ratio

- Batch Volume
- Concentration
- Reaction time



Stoichiometry

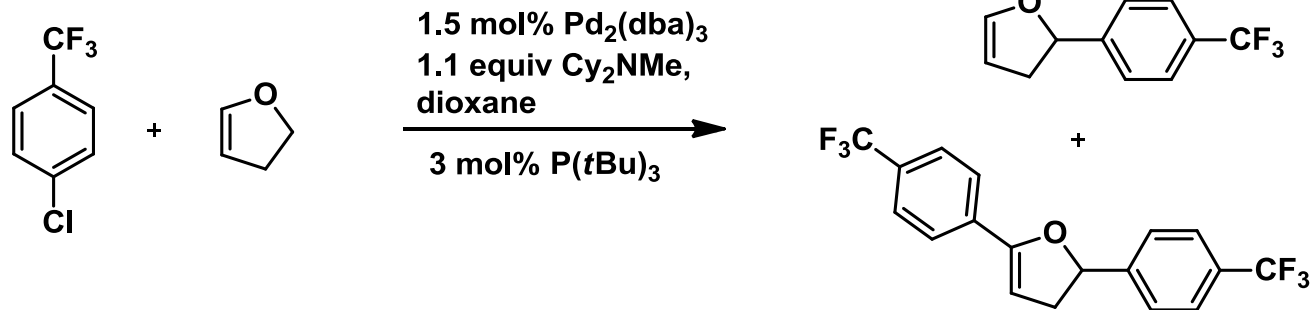
Concentration / Flow rate

- Reactor Volume
- Residence time
- Operation time

EtOH $\rightarrow$ AcOH	Industrial batch process	Flow microreactor
Residence time (s)	1760	3
Conversion (%)	30/90	>99
Reaction volume (cm ³)	2900	3
Throughput (cm ³ /h)	5930	4300
Space-time yield (h ⁻¹)	0.7-2.0	500

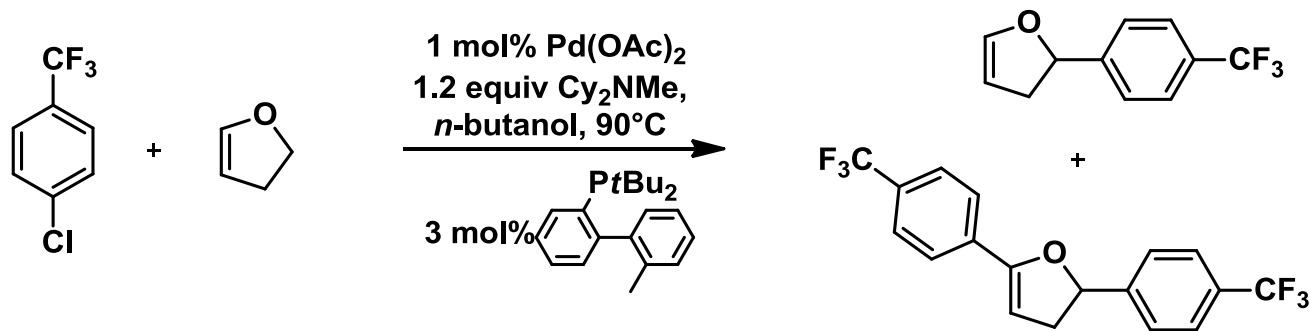


From batch to flow
Heck reaction



- Side biaryl compound
- Formation of black Pd
- Insoluble ammonium salt

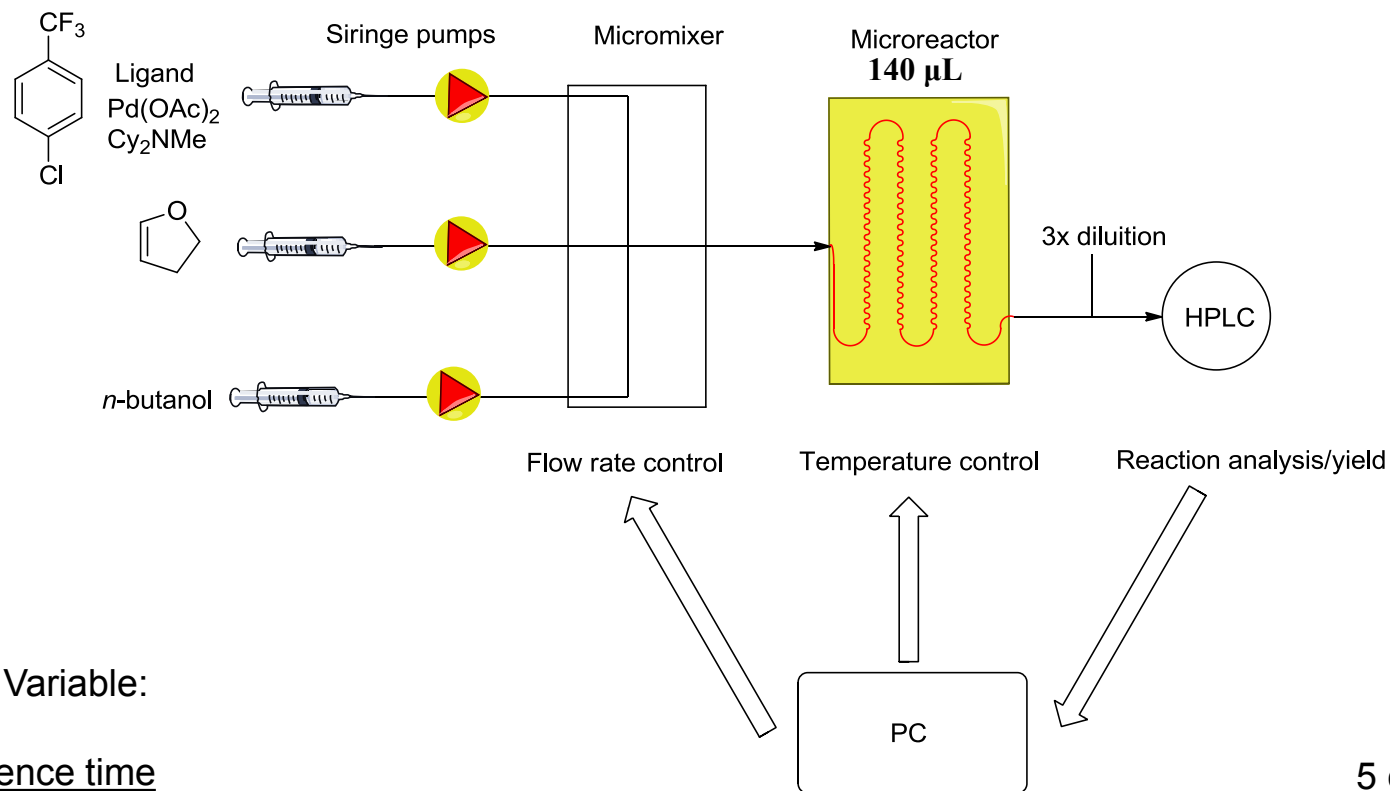
G. C. Fu, *JACS* **2001**, 123, 6989.



S. L. Buchwald and K. F. Jensen, *ACIE*, **2010**, 49, 7076.

From batch to flow Heck reaction

Self optimization



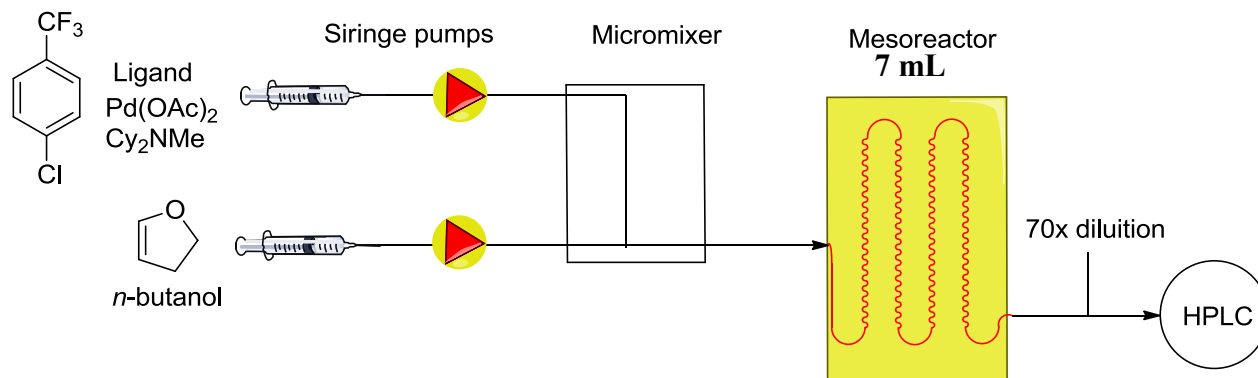
Variable:

- Residence time
- Equivalents of alchene
- Concentration
- Catalyst loading
- Ph value
- Ionic strenght

5 eq of alchene
6 gr of aryl chloride
Yield 83%
Process time 20 min

From batch to flow Heck reaction

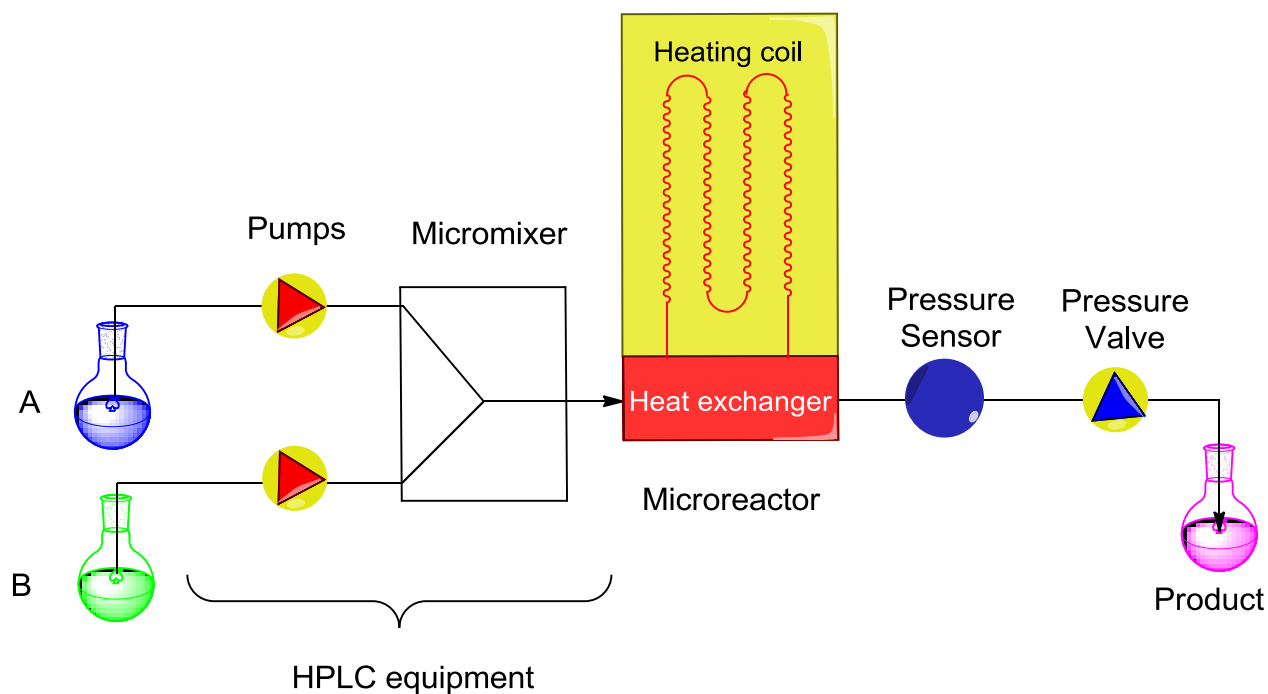
Scale up



Concentration of alkene adjusted manually
No feedback control
Comparison with microscale

5 eq of alkene
26.9 g of product
Yield 80%
Process time 2 hours

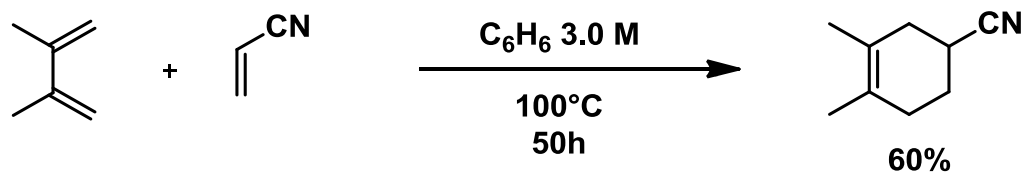
High Temperature/Pressure Conditions



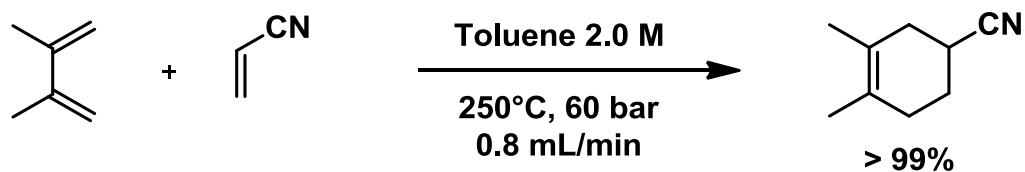
Steal microreactor:

- Pressure up to 200 bar
- Temperature > 300°C
- Rapid heating and cooling
- Easily scale-up

High Temperature/Pressure Conditions



Li, Y.; Padias, A. B.; Hall, H. K., Jr. *J. Org. Chem.* **1993**, 58, 7049.

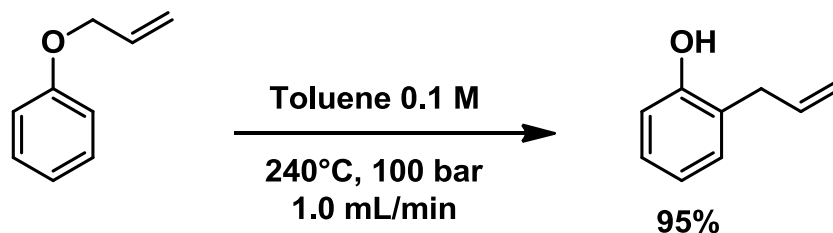


Also:
MeCN 36 bar
THF 42 bar
DME 33 bar

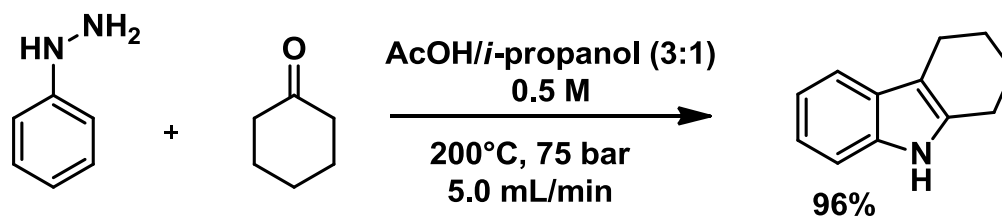
T. N. Glasnov and C. O. Kappe, *Eur. J. Org. Chem.*, **2009**, 1321



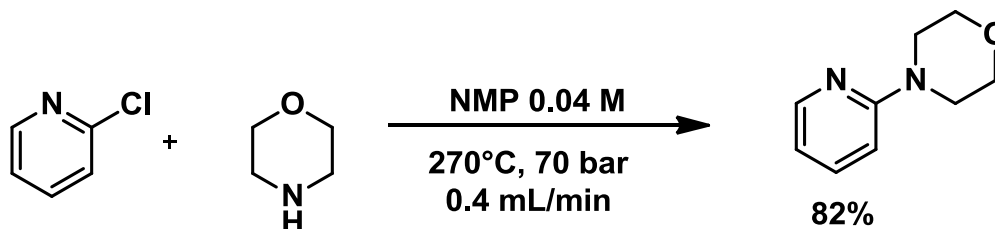
High Temperature/Pressure Conditions



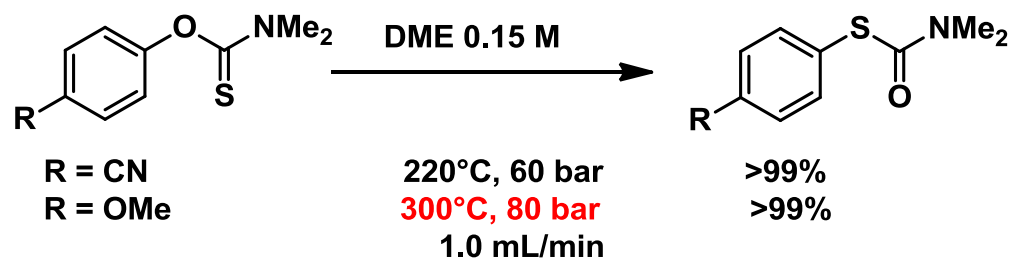
- Claisen rearrangement



- Indole Fisher synthesis

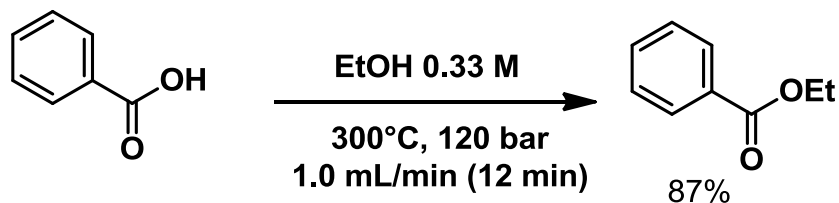
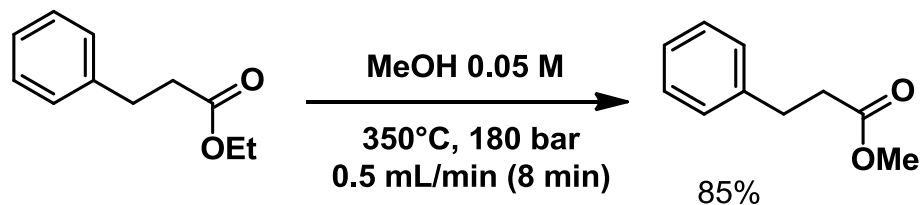


- S_NAr of activated Ar

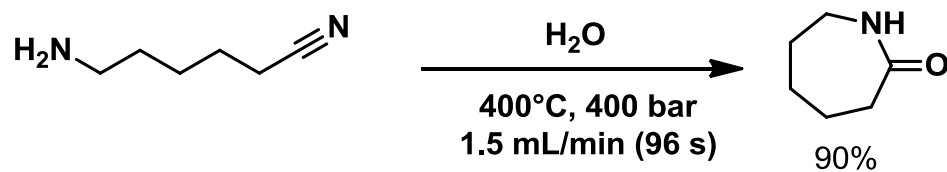


- Newman-Kwart rearrangement

Supercritical Conditions

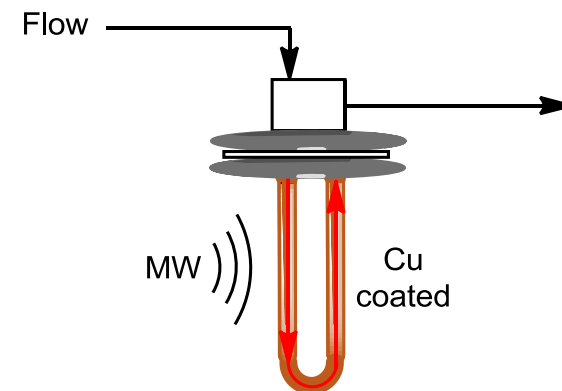
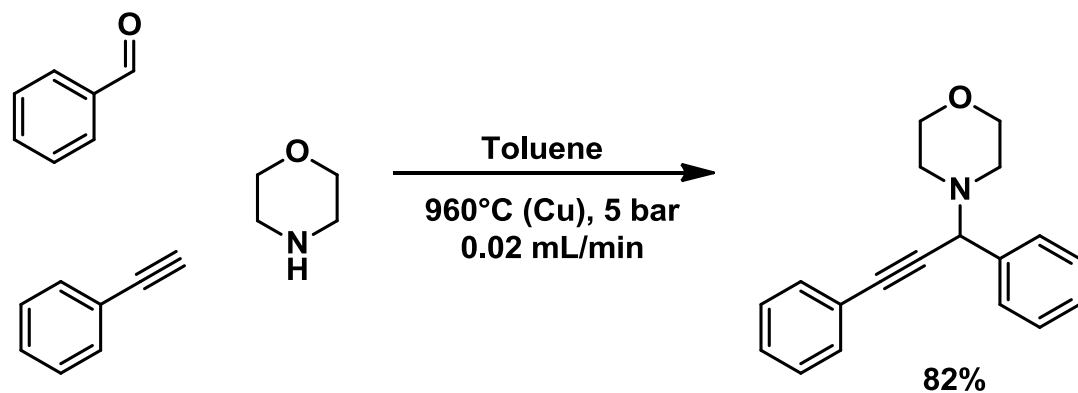
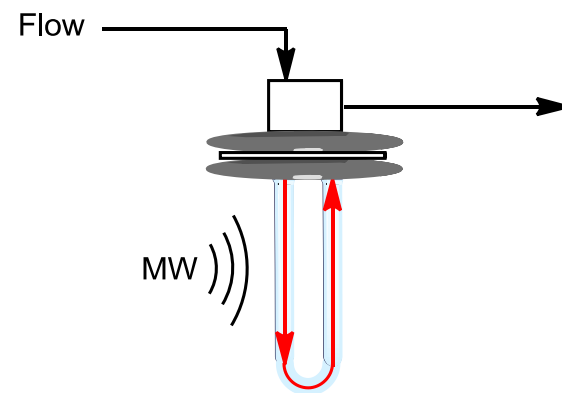
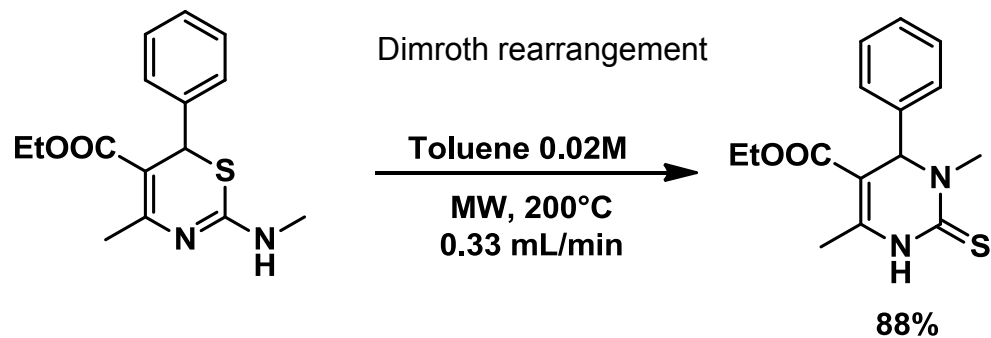


C. O. Kappe, *Eur. J. Org. Chem.*, **2009**, 1321

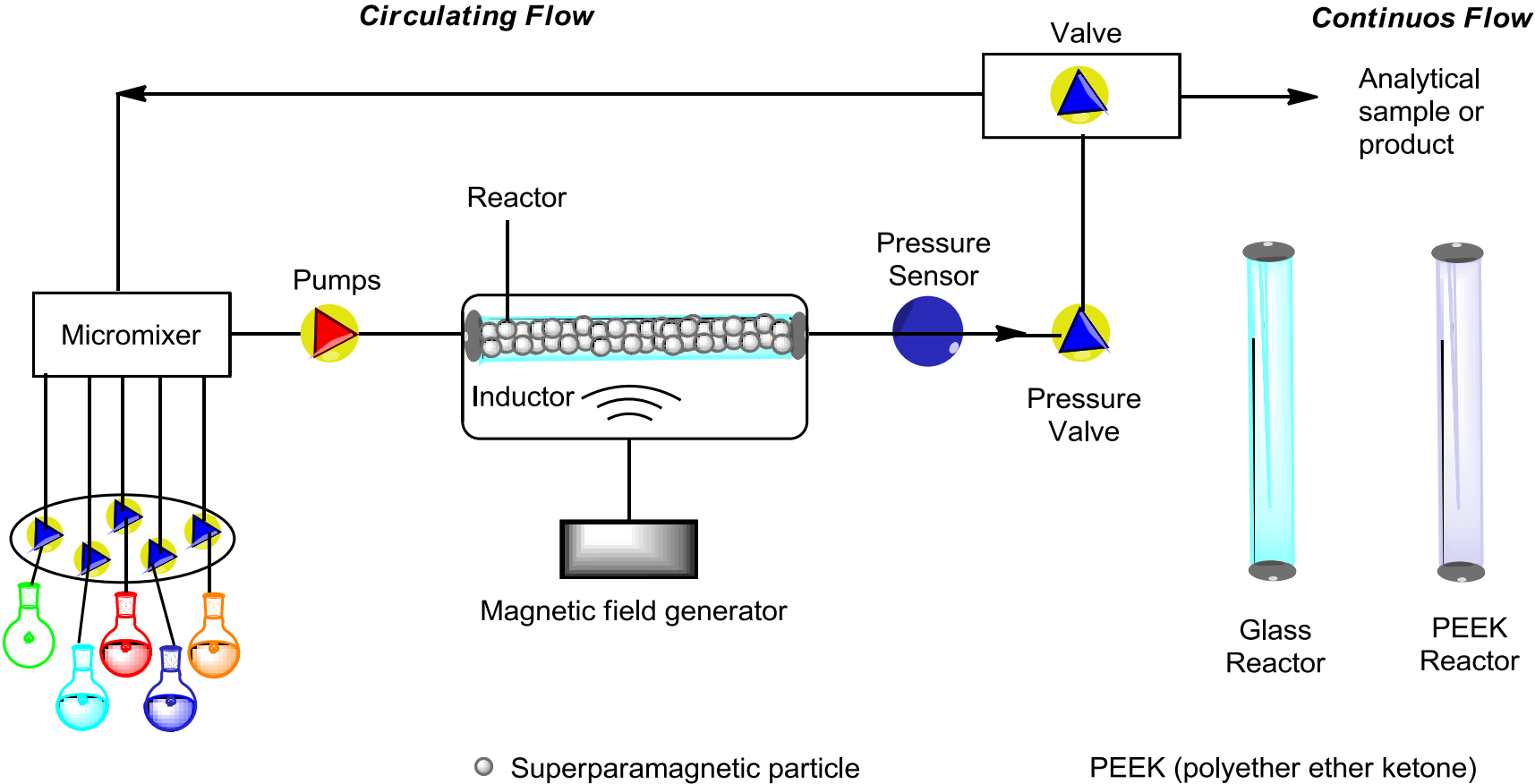


M. Poliakoff, *Green Chem.*, **2008**, 10, 98.

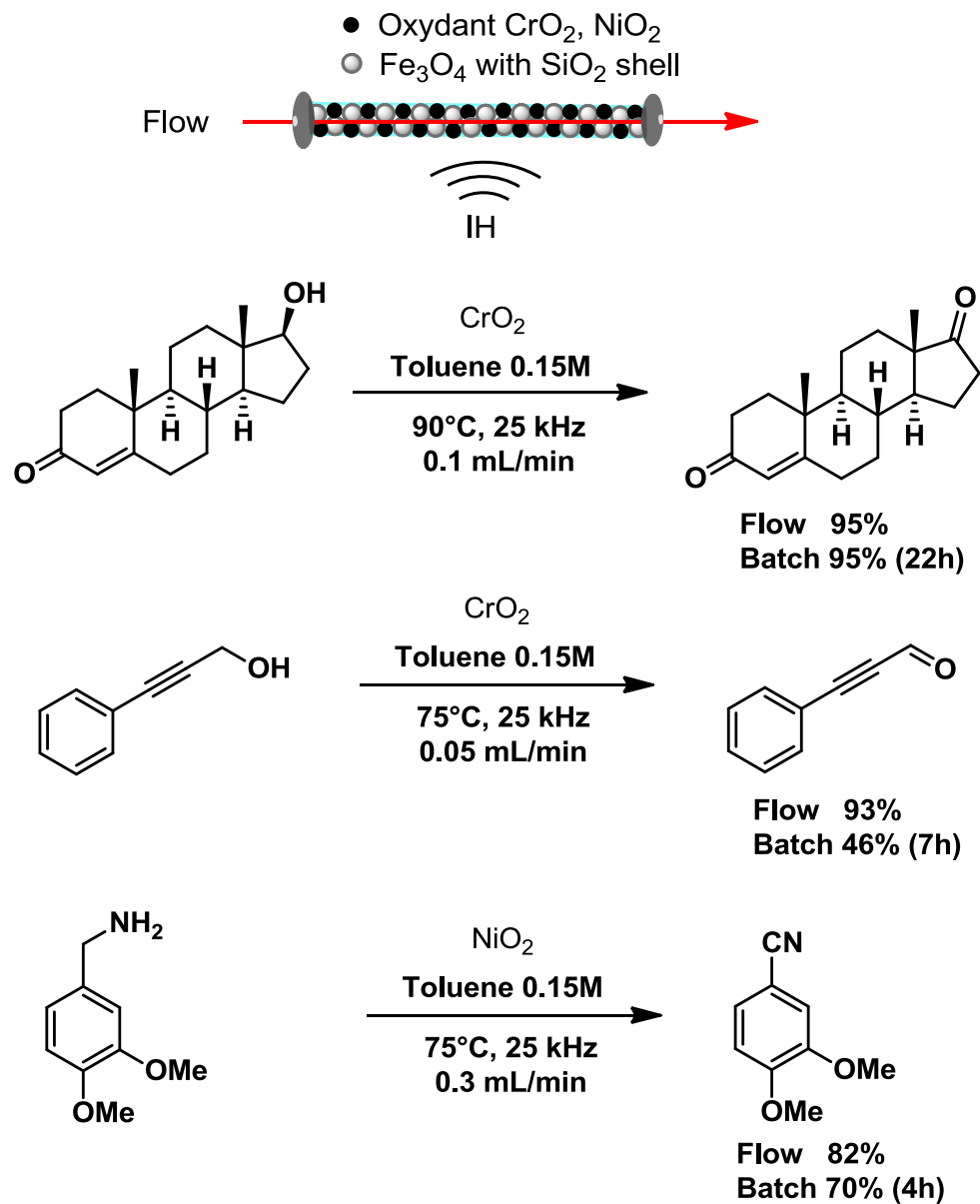
Microwave-assisted synthesis



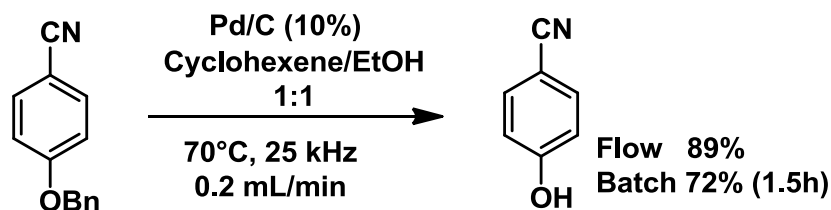
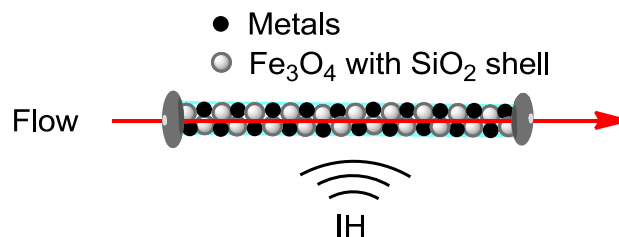
Inductively heated flow reactor



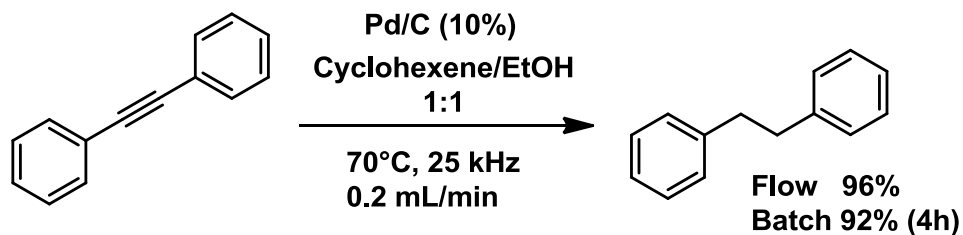
Inductively heated flow reactor



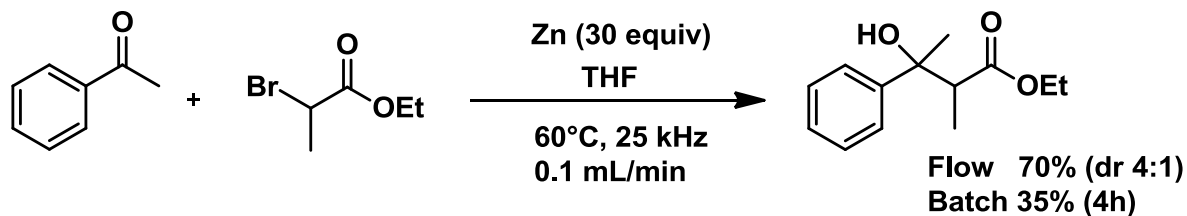
Inductively heated flow reactor



• Hydrogenolysis



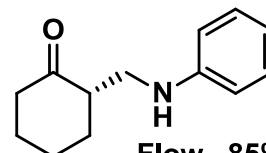
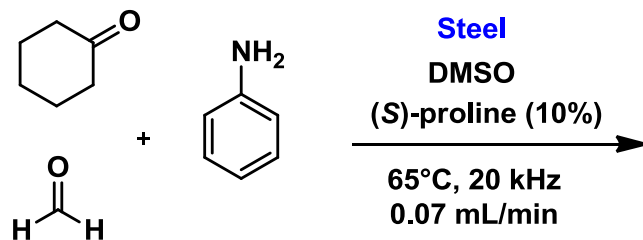
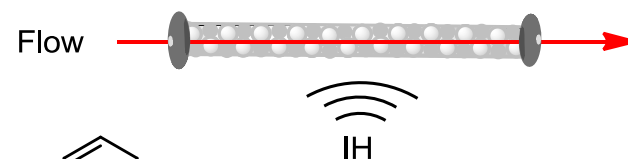
• Reduction



• Reformatzky

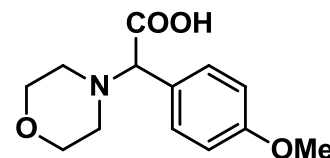
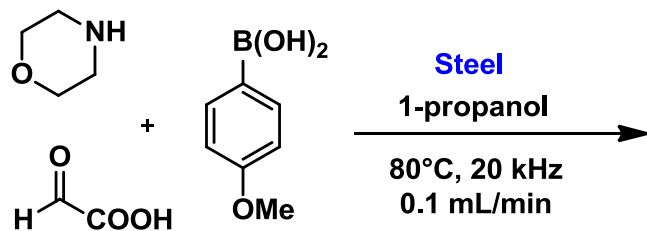
Inductively heated flow reactor Multi-component reactions

● Fe₃O₄ or Steel



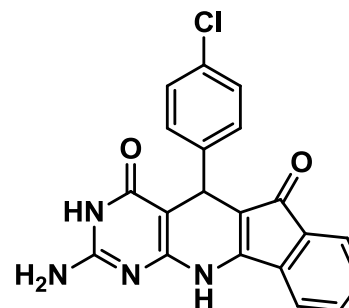
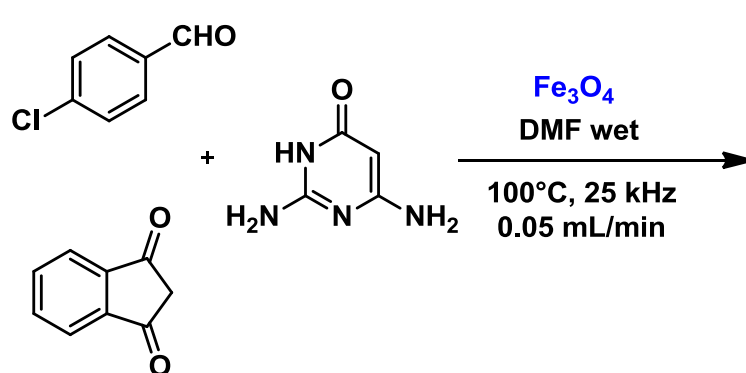
Flow 85% (88% ee)
 Batch 64% (92% ee) (1 h)

• Mannich



Flow 98%
 Batch 77% (40 min)

• Petasis



Flow 87%
 Batch 70% (3h)

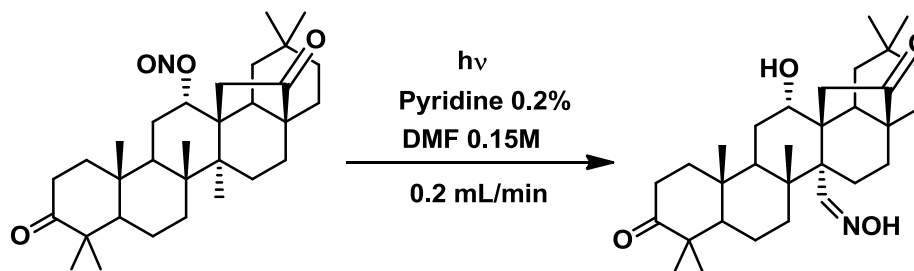
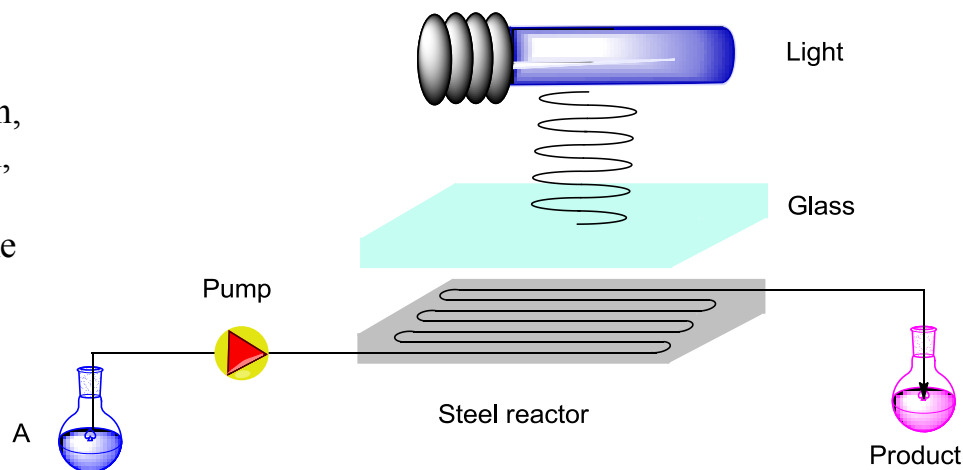
• MCR

Flow and photochemistry

Burton reaction

Micro flow
1000 μm width,
107 μm depth,
2.2 m length
0.2 mL volume

Mini flow
1000 μm width,
500 μm depth,
0.5 m length
4 mL volume

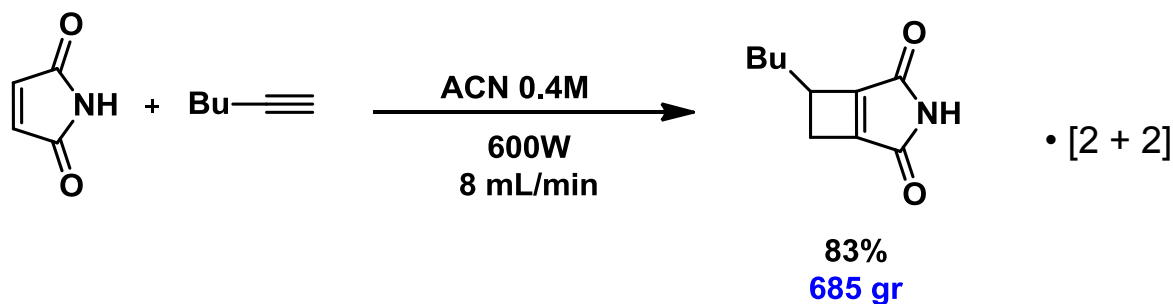
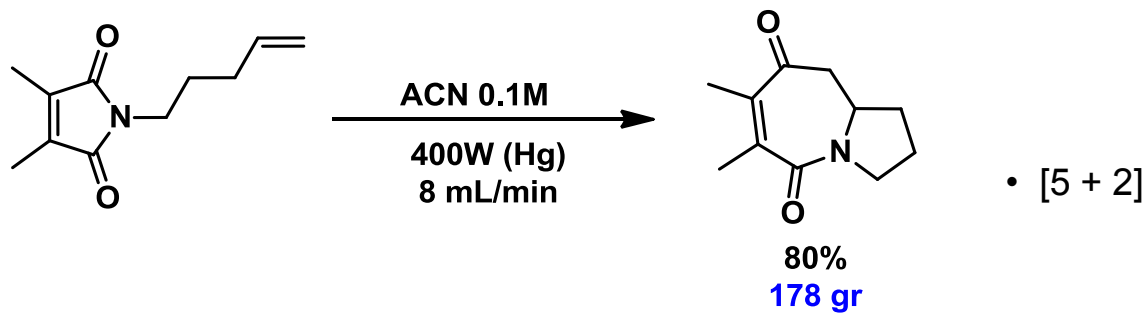
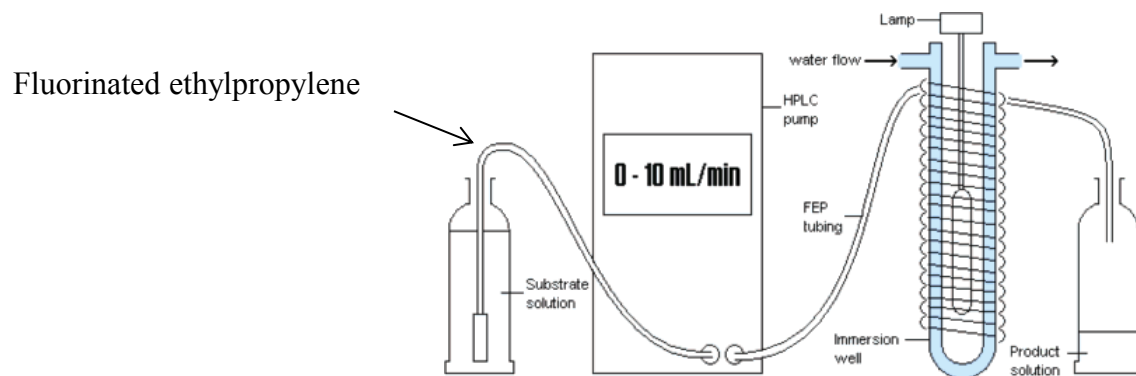


- **Micro flow condition (10 mL)**
- **15 W black light or LED**
- **Pyrex glass**
- **71% yield**
- **mg scale, 0.05 mL/h**
- **6-12 min residence time**

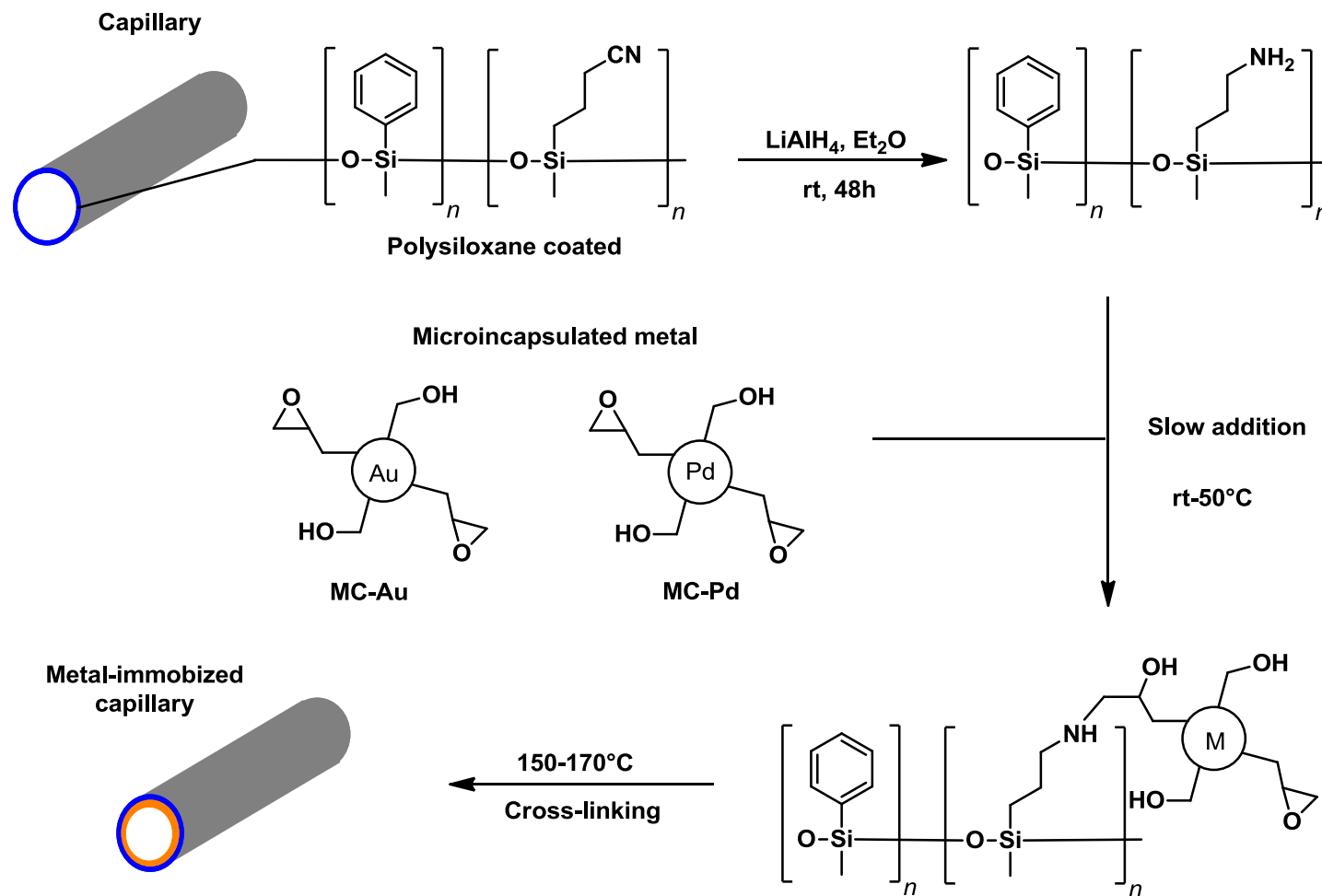
- **Mini flow condition**
- **15 W black light (6x)**
- **Pyrex glass**
- **61% yield**
- **5.3 g (HPLC) 40 h of flow**
- **20 min residence time**

Flow and photochemistry

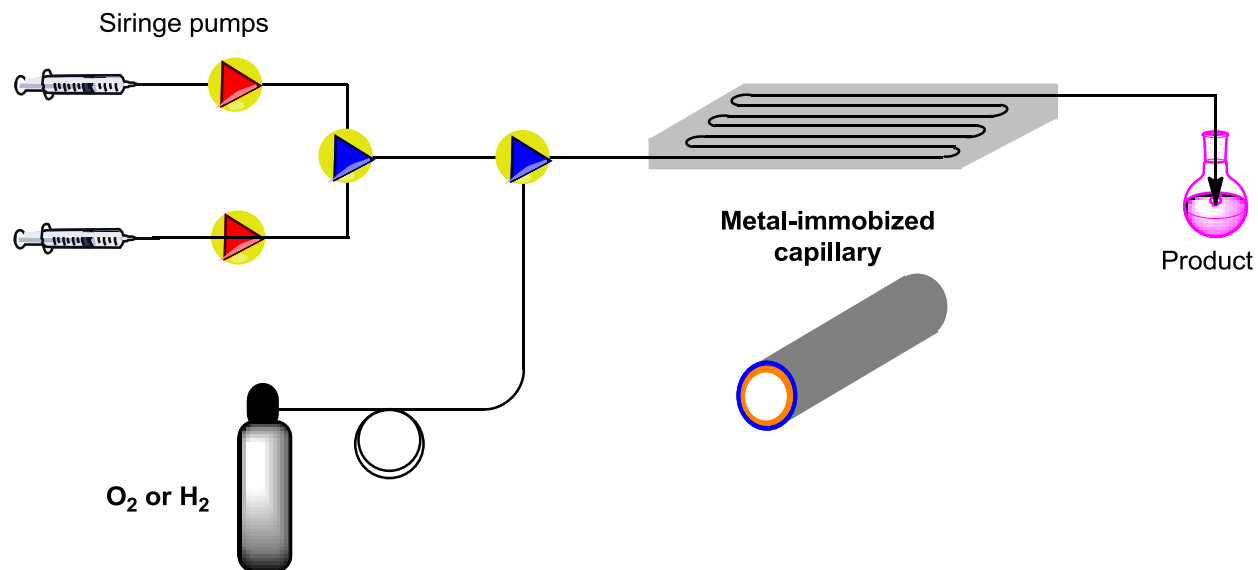
Photocycloadditions



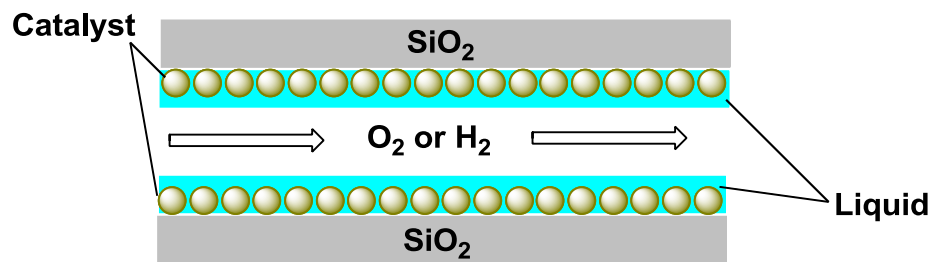
Flow on supported catalysts

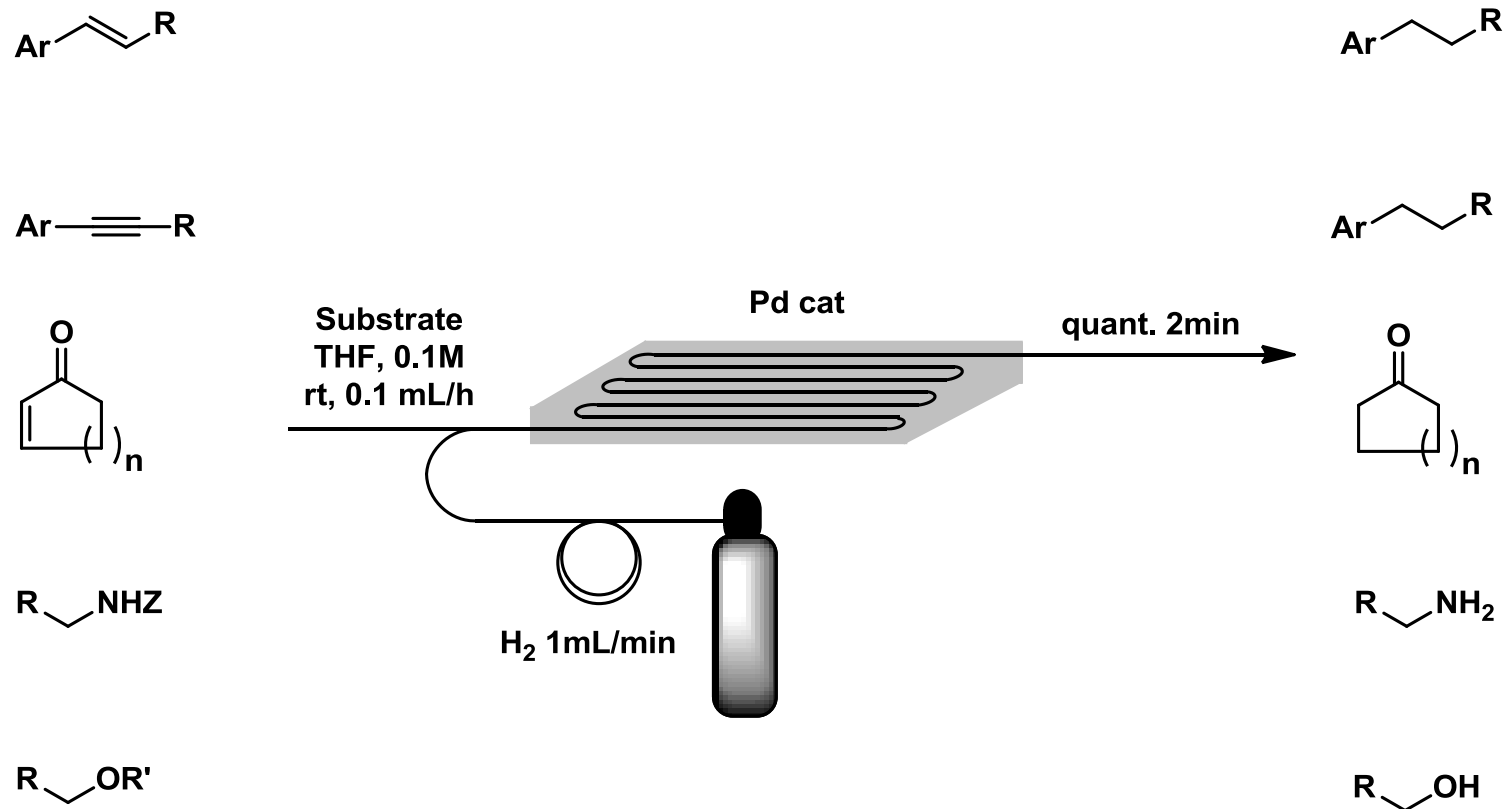


Flow on supported catalysts



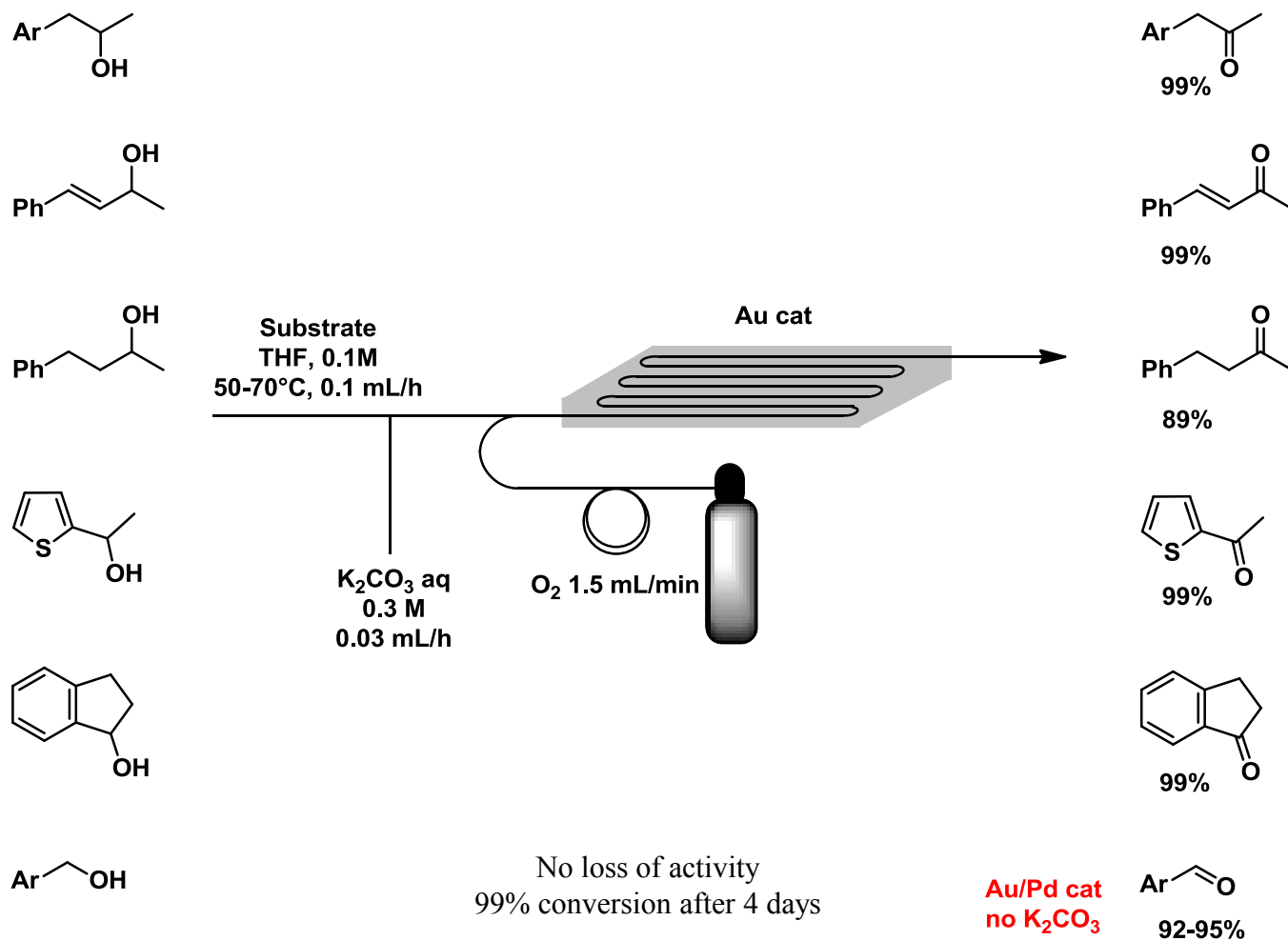
3 Phases



Flow on supported catalysts
Hydrogenation

Flow on supported catalysts

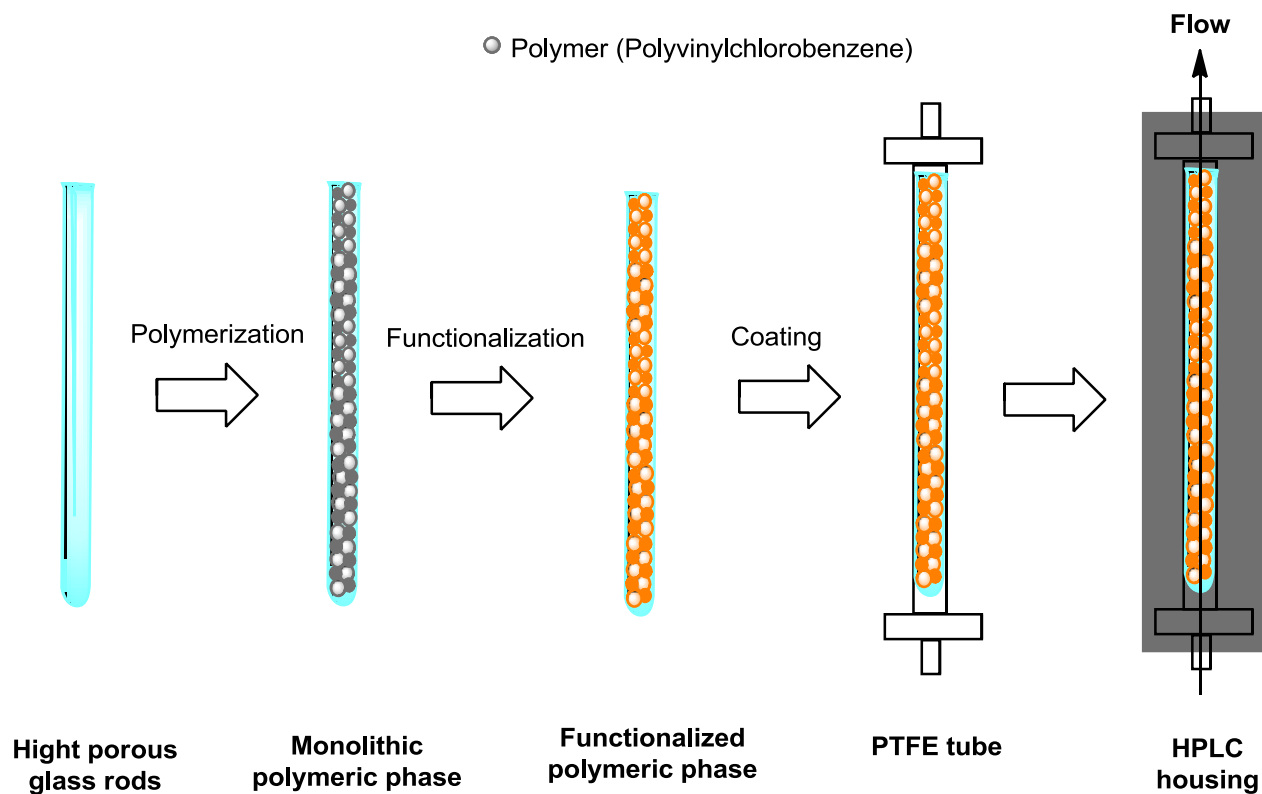
Oxidation



Full conversion in flow

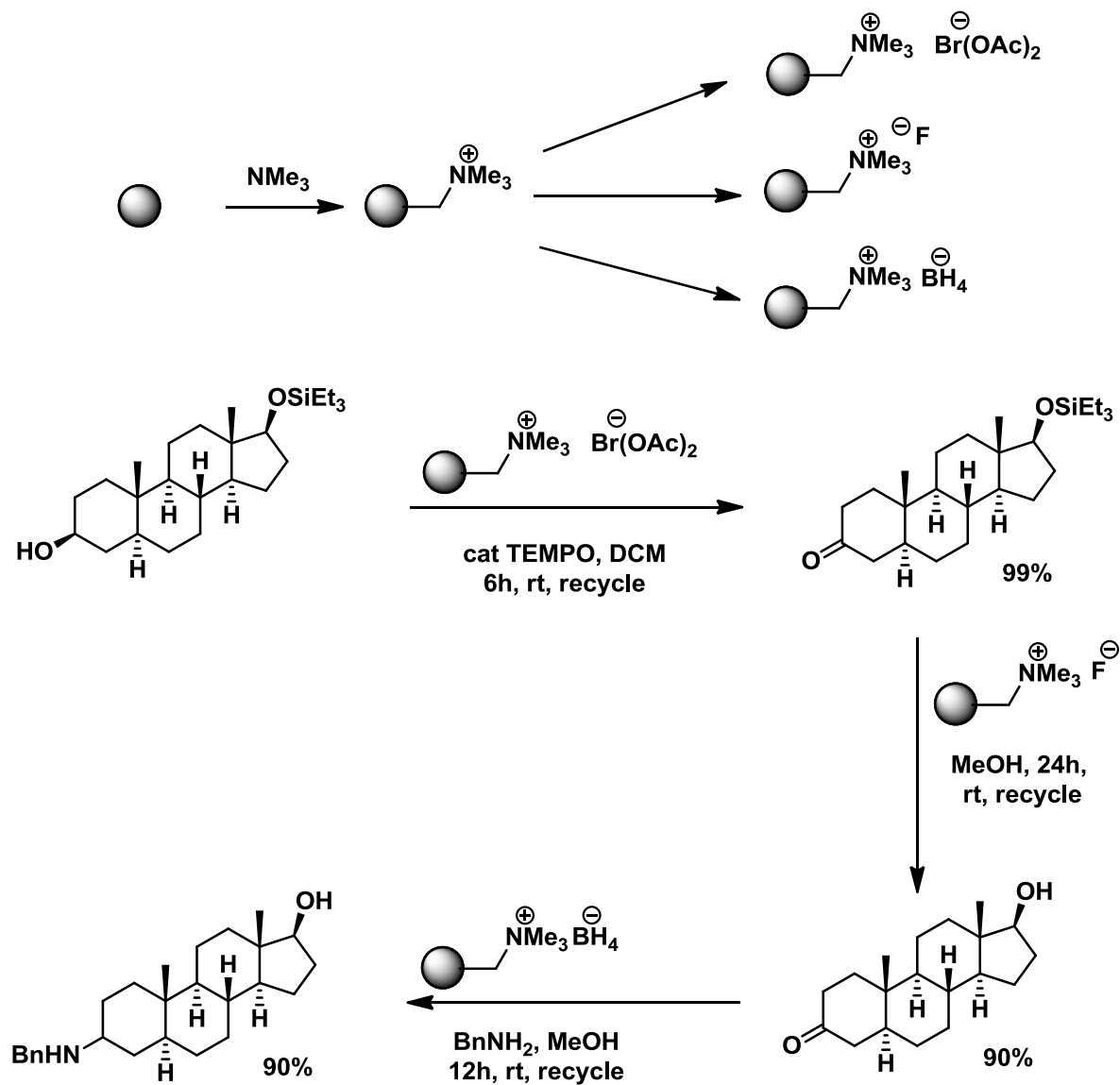
Flow synthesis through solid phase reagents

PASSflow
Polymer Assisted Solution-phase Synthesis



- **Homogeneous surface**
- **High pressure drop avoided**
- **HPLC system used**
- **Wide functionalization**

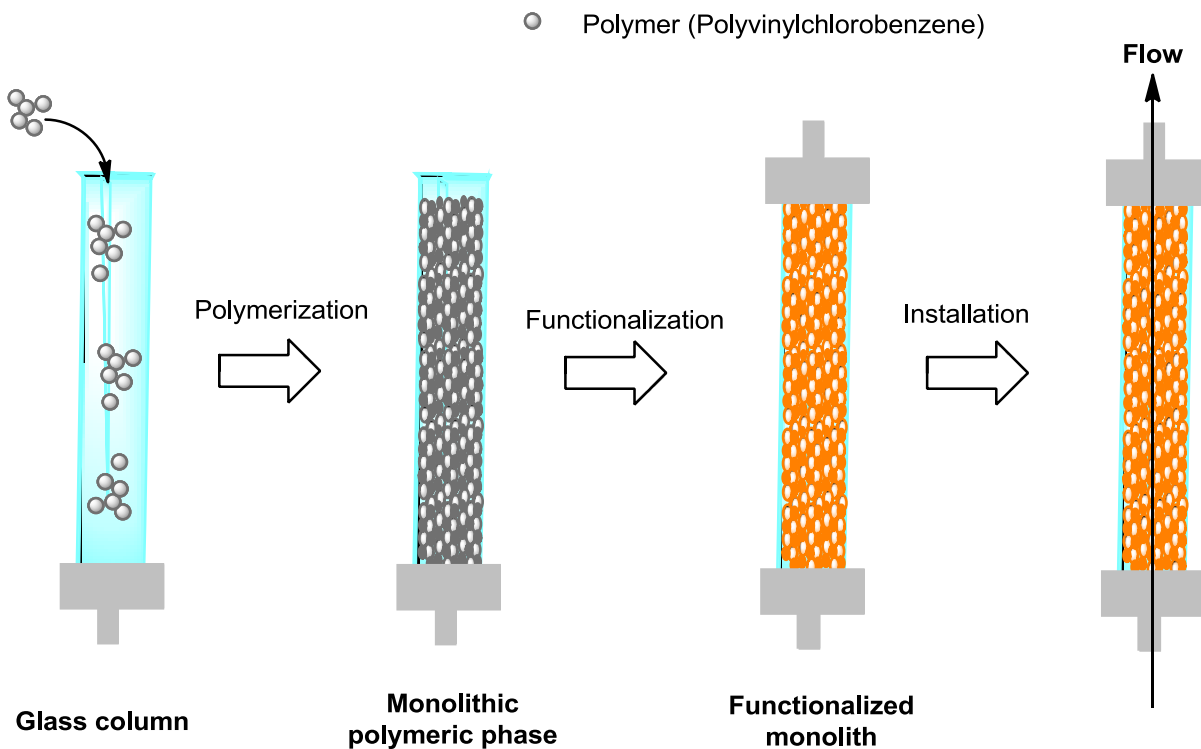
Flow synthesis through solid phase reagents





Flow synthesis throw solid phase reagents

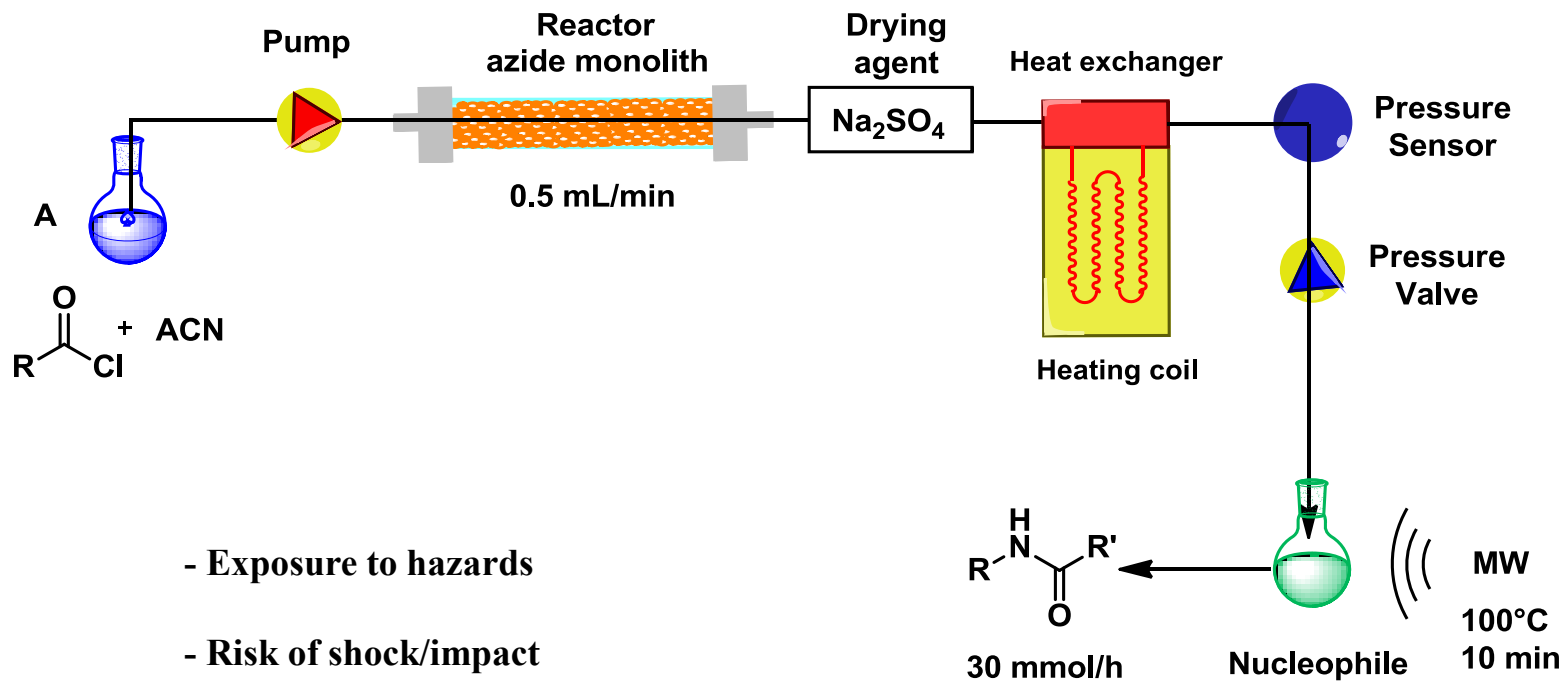
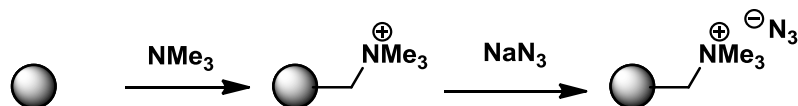
Curtius Rearrangement



- **Control of pore dimension**
- **Micro- and mini-flow system**
- **Easy to scale up**
- **Wide functionalization**

Flow synthesis through solid phase reagents

Curtius Rearrangement

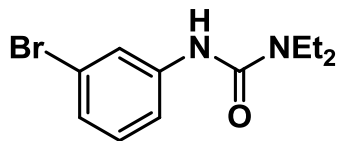


- Exposure to hazards
- Risk of shock/impact
- + Multiple usage
- + Easy regeneration

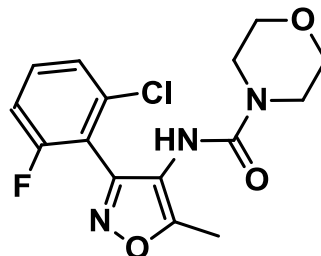


Flow synthesis throw solid phase reagents

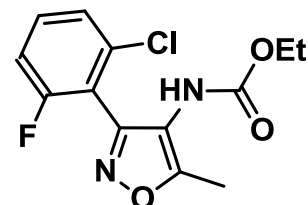
Curtius Rearrangement



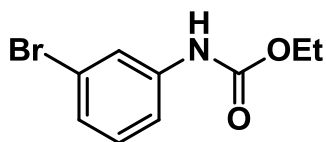
86%



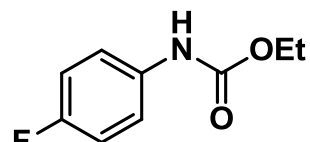
76%



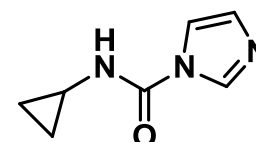
78%



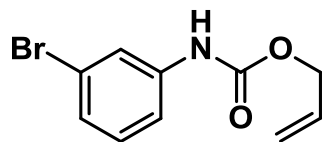
84%



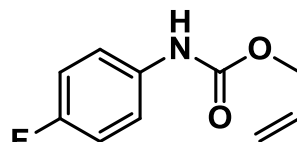
78%



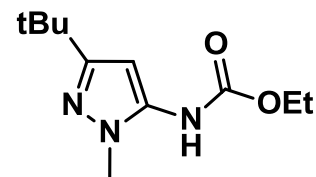
73%



74%



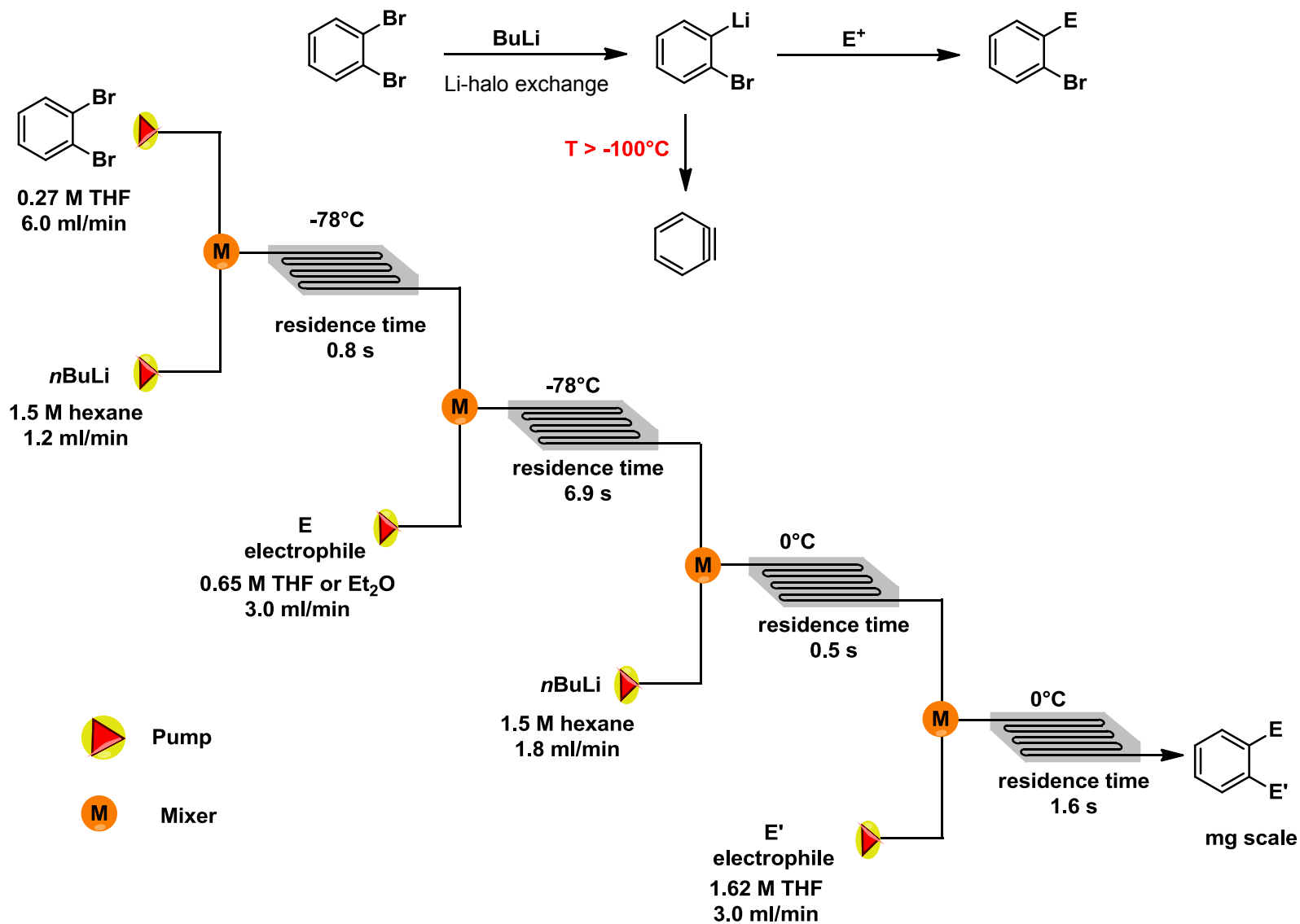
78%



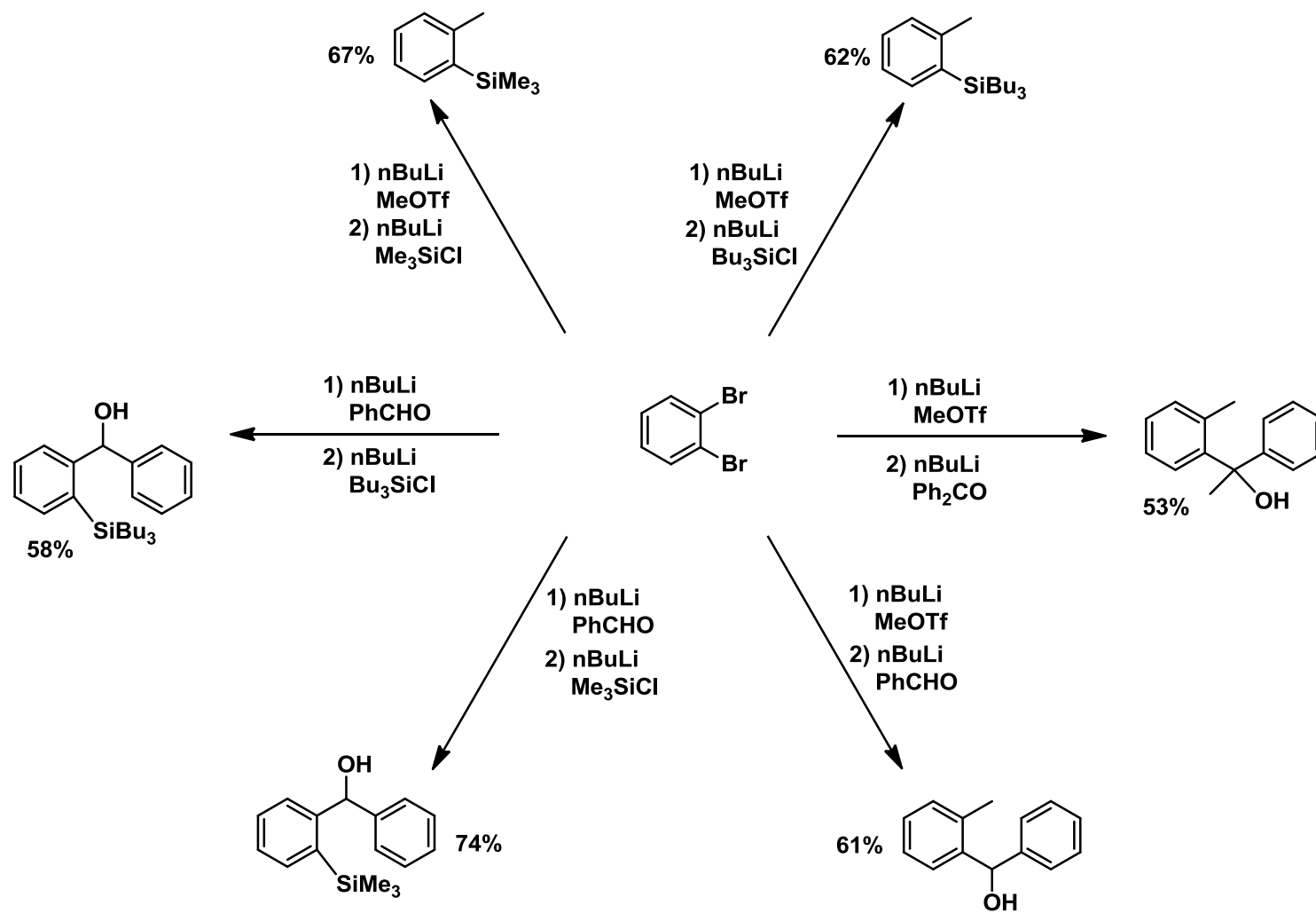
90%

Multi step flow synthesis

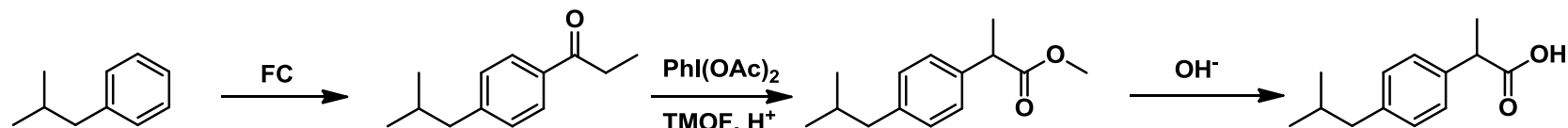
Continuos Flow



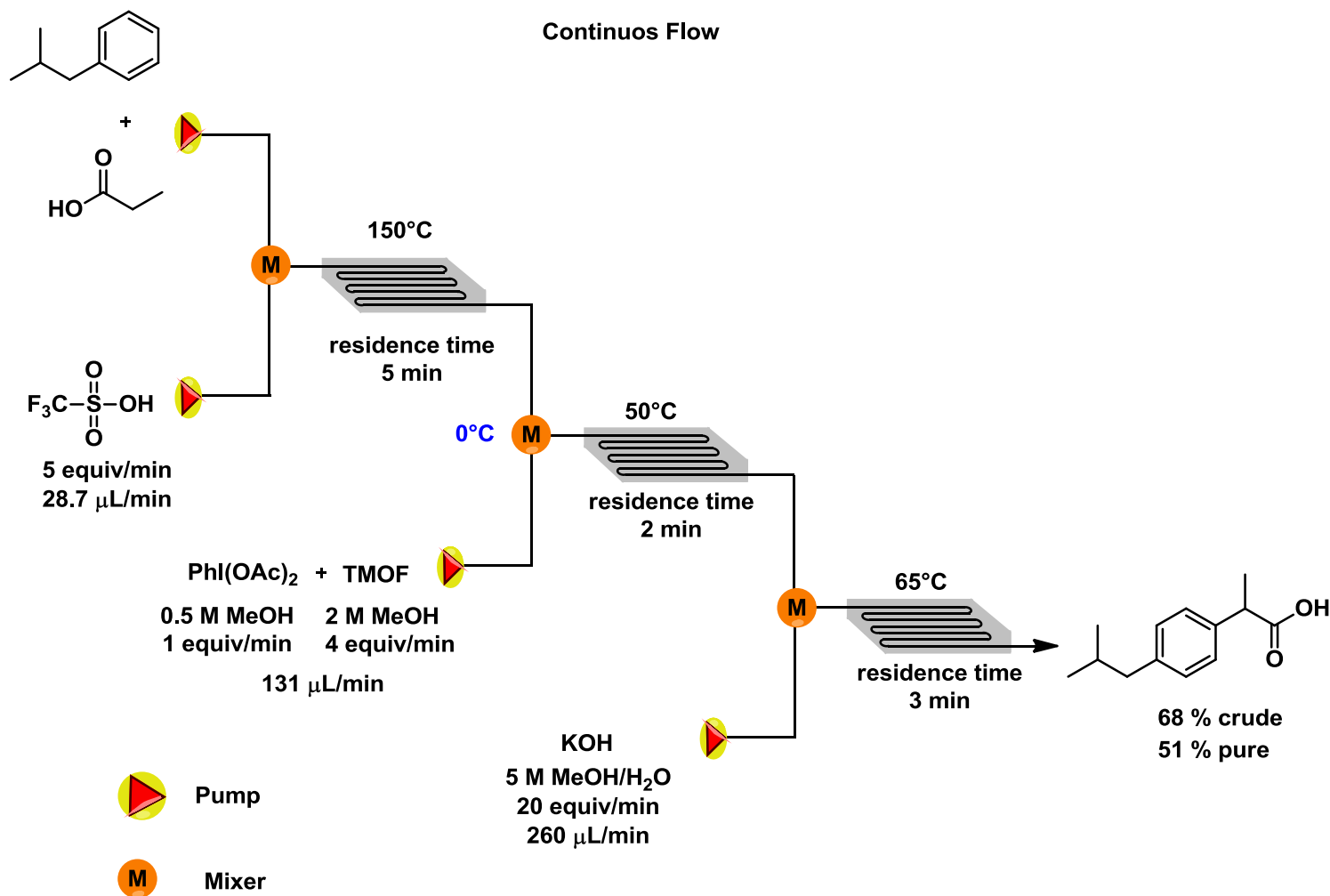
Multi step flow synthesis



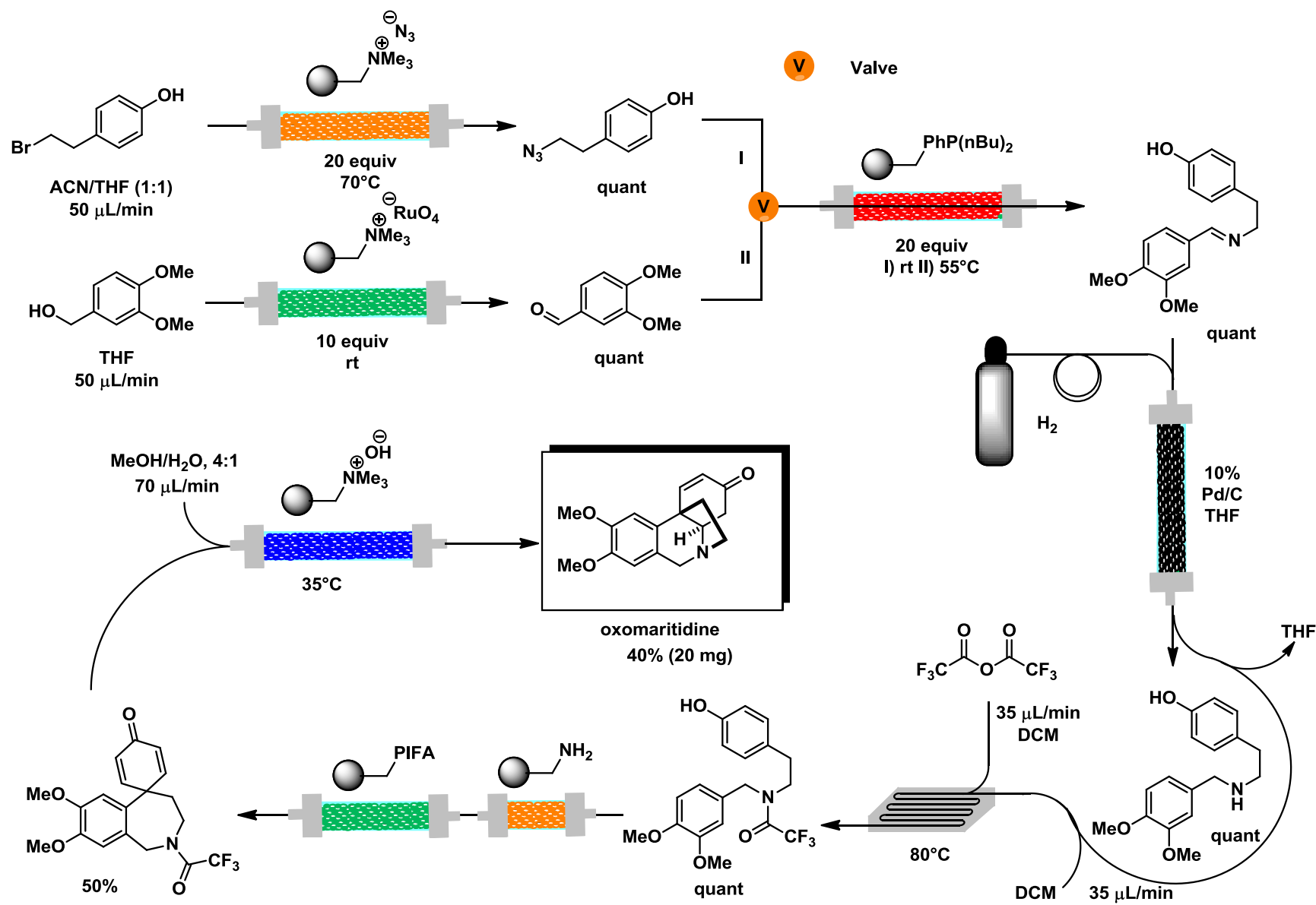
Multi step flow synthesis



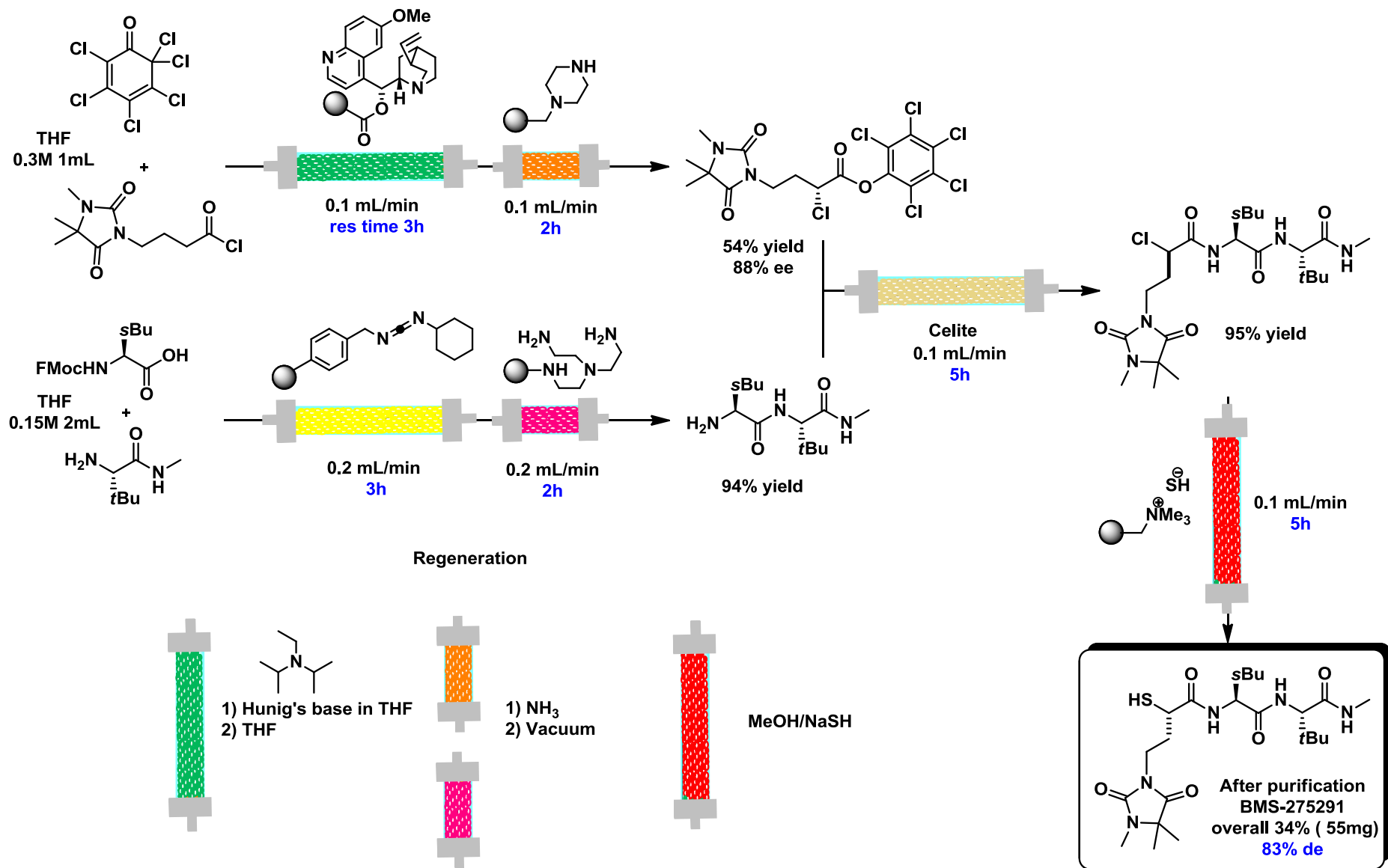
Continuos Flow



Multi step flow synthesis



Multi step flow synthesis



Conclusion

- Flow chemistry high potential to improve synthesis process
- Flow chemistry: safe, time saving and easy scale up
- Progress needed in multi reactor assembly
- Collaboration between Chemists and Engineers are essential

DESIGN AND APPLICATION OF HYDROPHILIC LIGANDS FOR AQUEOUS-PHASE METAL-CATALYZED ORGANIC REACTIONS

Questions?

- How to improve the mass transport issue in aqueous-biphasic system?
- How the hydrophilic functionalities could influence the ligand properties (water solubility, steric and electronic effects)?

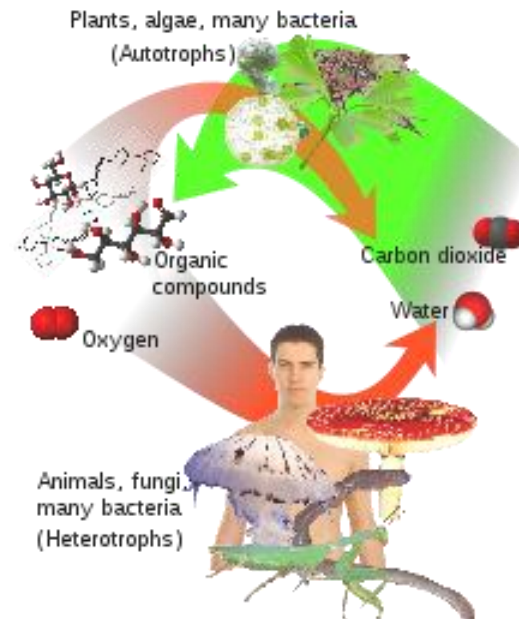
Outline

- Introduction
 - ▣ Why and how to use water as the solvent for organic reactions
- Strategies to perform organic reaction in water using hydrophilic ligands
 - ▣ Examples of aqueous-phase hydroformylation
- Examples of the application of the hydrophilic ligands on some typical organic reactions
 - ▣ Hydrogenation; metathesis; cross-coupling; oxidation; etc.
- Conclusions and perspectives

Solvent of life - water



- Water covers more than 70.9% of the earth's surface and makes up about 60% of the mass of the human body.



- Water plays an important role in the world economy as it functions as solvent for a wide variety of chemical substances and facilitates industrial cooling and transportation.

Organic solvents vs. water

Organic solvents

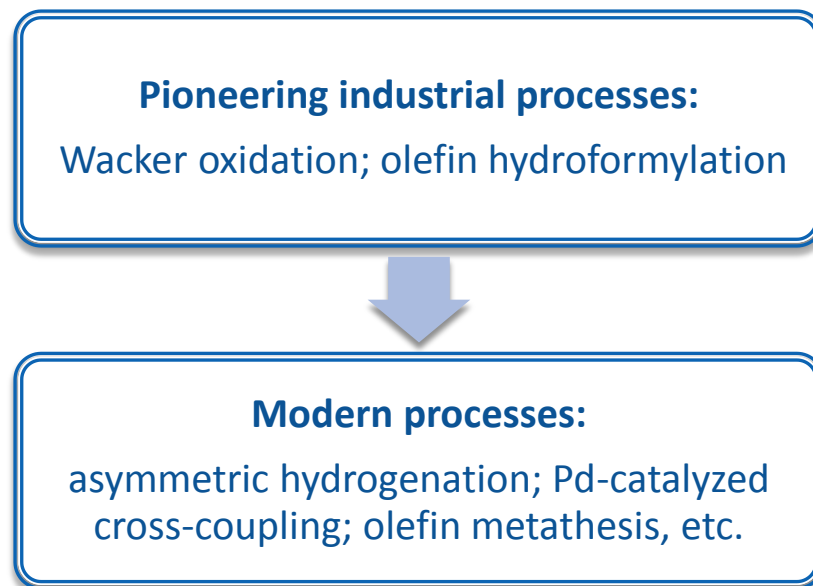
- Dissolve a wide range of organic compounds
- A variety of options and properties
- Volatile and easily removed
- Toxic, inflammable, nonrenewable, low heat capacities, etc.

Water

- Nontoxic
- Nonflammable
- High heat capacity
- Relatively inexpensive
- Green solvent
- Poor solvent for most organic molecules
- Highly reactive with many reagents.

Homogeneous metal catalyzed reactions

- Homogeneous catalysts offer unparalleled degrees of selectivity and tunability from



- Similar solubility characteristics of the catalyst and product – **how to recover the metal from the product?**

How to recover the catalyst?

Catalyst immobilization

- To heterogenize the homogeneous catalyst by attaching it to a solid support, allowing the catalyst to be recovered in the same way as traditional heterogeneous catalysts.
- Synthetically challenging
- Decreased activity and selectivity
- Metal leaching

Organic immiscible solvents

- To constrain the catalytic species in an organic immiscible liquid, allowing the reaction to proceed by stirring the immiscible phases.
- Retain the reactivity and selectivity properties
- Good activities when sufficient interaction between the substrate phase and catalyst phase provided
- Simple decantation separation
- water, fluorous solvents, supercritical CO₂, and ionic liquids

Water as an organic immiscible solvent

- Proven track record of using water in industrial catalytic processes: **Wacker oxidation; Rhône-Poulenc hydroformylation of propene.**
- Poor solvent for most organic molecules
 - ▣ The hydrophilic catalysts and hydrophobic products strongly partition into their relative phase;
 - ▣ Phase separation can be easily achieved upon completion of reaction.
- Green solvent
 - ▣ Water in its pure form is completely benign;
 - ▣ Significant savings in the use of solvents and energy in the purification process can be realized by simplifying the separation → low E-factor (kg of waste/kg of product).
- Water becomes waste itself once contacted with organic materials; its high heat capacity makes purification by distillation an energy intensive process.

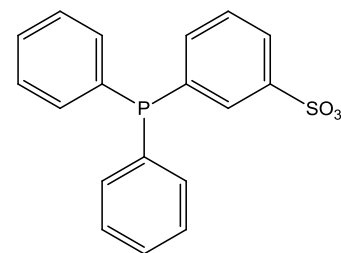
Water effect on chemical reactivity and selectivity

- Accelerating reactions through **hydrophobic effect** (organic reactants in water attempt to minimized their interaction with water), favoring reactions with negative volumes of activation;
- The Lewis-basic nature of water leads in some cases to accelerated reactions;
- Water is highly polar and provides effective solvation for ionic species, leading to solvent separated ions;
- Providing the opportunity to finely tune pH;
- Serving as an acid, base, nucleophilic reagent, and even a hydrogen source.

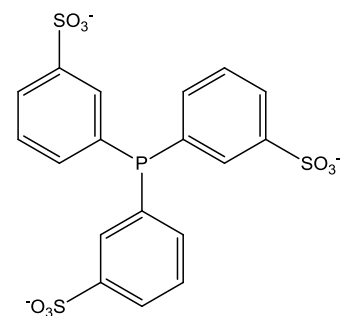
Reactions in water can in some cases proceed **much differently** than they do in traditional organic solvents.

Design of hydrophilic ligands

- Suitable hydrophilic functionality:
 - ▣ Partition into aqueous phase;
 - ▣ Providing the necessary steric and electronic properties to provide desired catalyst stability, activity and selectivity.
- Attaching water-solubilizing groups to known hydrophobic ligands:
 - ▣ Most common ionic substituents: $-\text{SO}_3^-$, $-\text{COO}^-$, $-\text{PO}_3^{2-}$, $-\text{NMe}_3^+$
 - ▣ Non-ionic hydrophilic substituents: polyol, carbohydrate, polyether



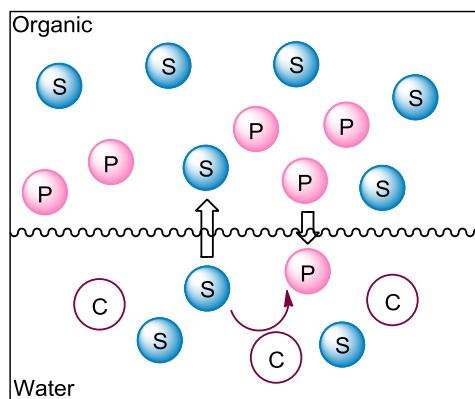
L1: mTPPMS



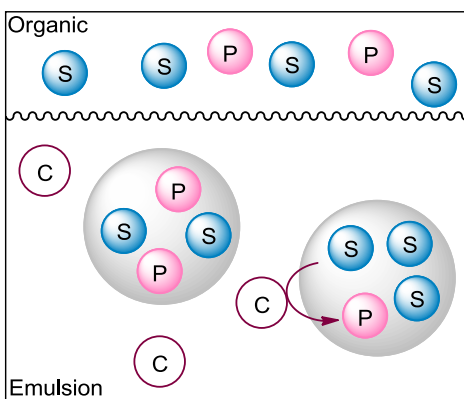
L2: mTPPTS

A major challenge in aqueous-biphasic catalysis is to bring the water soluble catalyst into contact with the hydrophobic substrate and other reagents.

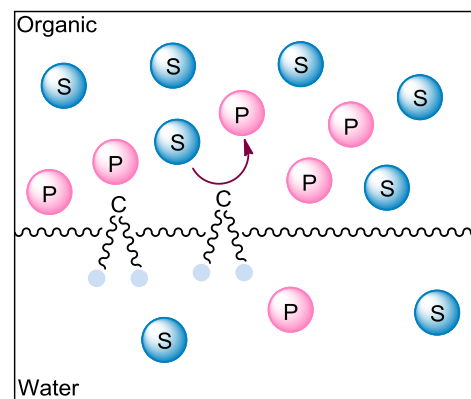
Possible modes for aqueous-biphasic catalysis



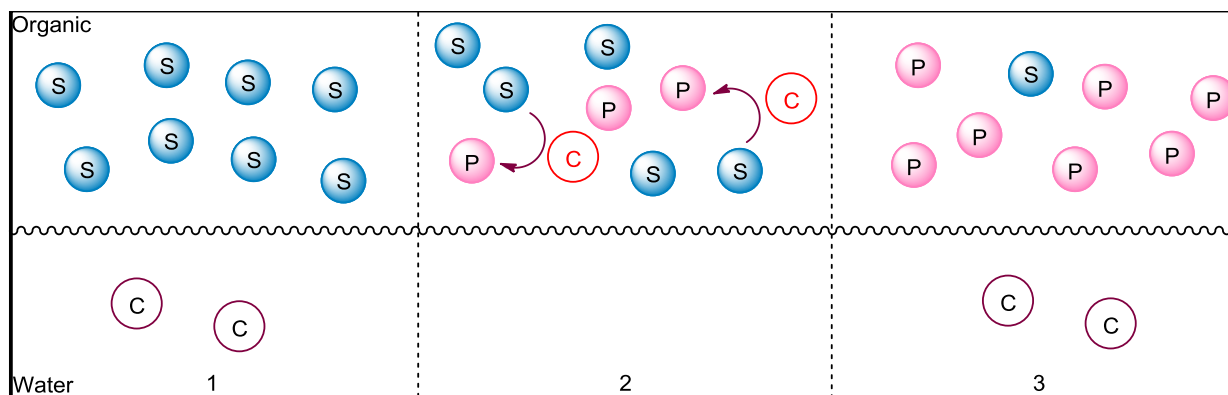
S Substrate
P Product
C Catalyst



Micelle

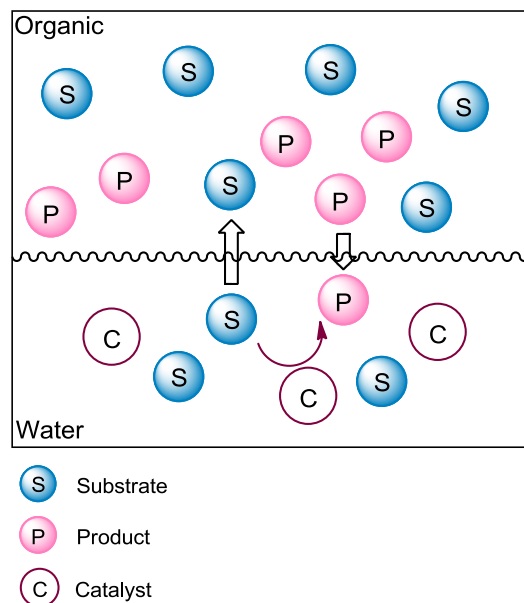


Catalytic site C Hydrophilic tail
Surface active catalyst



C Hydrophilic form
C Hydrophobic form

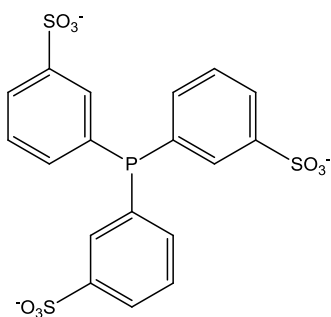
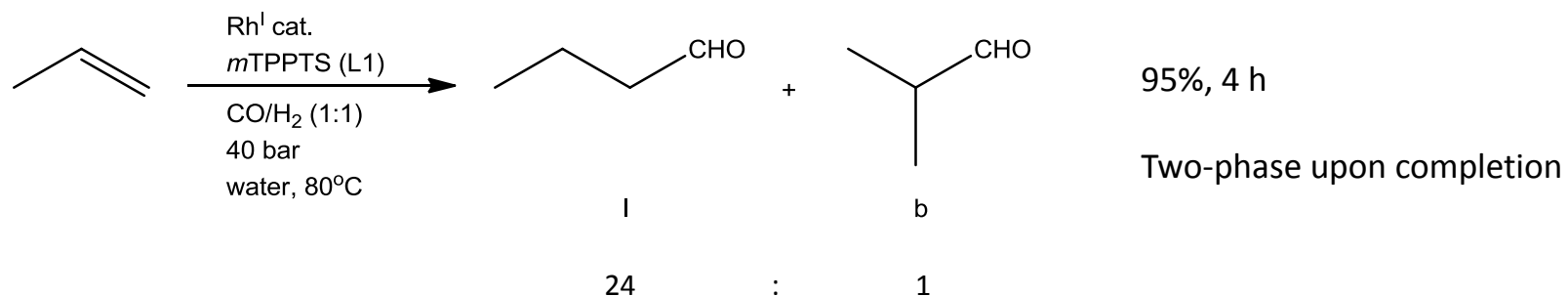
For molecules with some degree of water solubility



- The reaction can occur in the aqueous bulk through interaction of the water-soluble catalyst with the substrate dissolved in water.

Starting point of aqueous-phase catalysis: Rhône-Poulenc process

□ Hydroformylation of Propene: Co → Rh

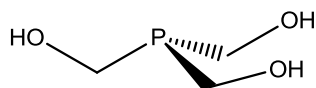


L2: $m\text{TPPTS}$

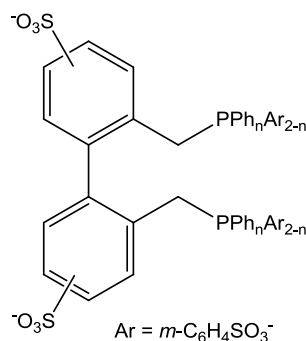
This process was commercialized in a plant in Oberhausen, Germany in 1984. Initial capacity was 100,000 tons/year.

E. G. Kuntz, *CHEMTECH*, **1987**, 17, 570.
E. G. Kuntz, French Patent, 2,314,910, **1975**.
E. G. Kuntz, French Patent, 2,349,562, **1976**.

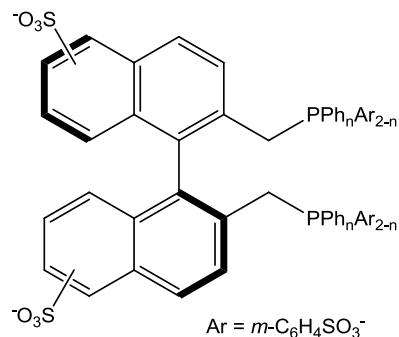
Other hydrophilic ligands applied to the hydroformylation of lower olefins



L3: THMP



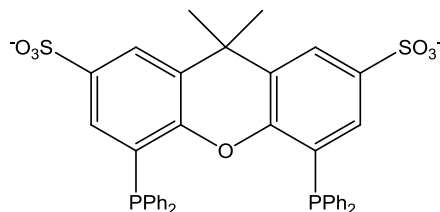
L4: BISBIS



L5: BINAS

Catalyst (Rh)	Substrate	I/b	Recyclability
L2 mTPPTS		24:1	Industrial process
L3		0.75:1	-
L4		97:3	-
L5		98:2	Constant conversion over 2 month period
L6		30:1 *	5 cycles in the absence of CO

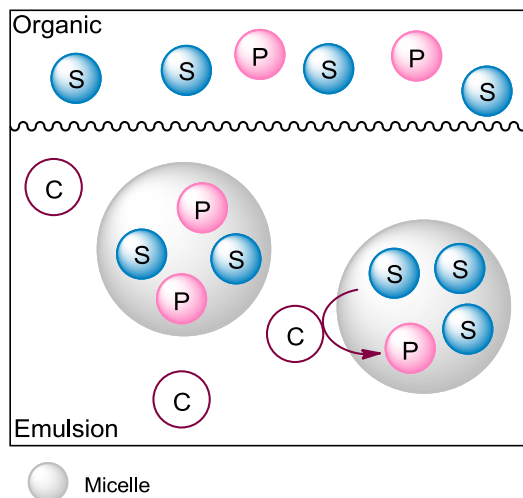
* Results obtained under low pressure (10 bar CO/H₂) when **L1** gave the I/b ratio of 16:1.



L6: Xantphos-S

A. Fukuoka, et al. *Chem. Commun.* **1999**, 489.
 W. A. Herrmann, et al. *J. Mol. Catal.* **1992**, 73, 191.
 W. A. Herrmann, et al. *J. Mol. Catal. A*, **1995**, 97, 65.
 H. Bahrmann, et al. *J. Mol. Catal. A*, **1997**, 116, 49.
 M. Kranenburg, et al. *Organometallics*. **1995**, 14, 3081.

For molecules less soluble in water



- ❑ To use water miscible organic co-solvents to increase the solubility of the hydrophobic substrate in the aqueous solution;
- ❑ To use surfactants or phase transfer agents to carry the organic reagent into the aqueous phase by formation of water-soluble micelles with hydrophobic interiors.

Strategies for hydroformylation of higher olefins

- To use a water-miscible organic co-solvent to increase the solubility of the alkene in the aqueous phase or of the catalyst in the organic phase

Catalyst	Substrate	Solvent	Reaction condition	Conversion
Rh-<i>m</i>TPPTS	1-dodecene	H ₂ O		No conversion
		ethanol/H ₂ O 1/1	2 h, 100°C	25%
		propanol/H ₂ O 1/1		42%
	1-octene	H ₂ O	15 h, 80°C	24%
		methanol/H ₂ O 1/1	10 h, 80°C	90%

- ▣ The linear selectivity decreased
- ▣ Catalyst leaching into the organic phase
- ▣ Undesirable reactions, such as acetal formation

H. Chen, et al. *J. Mol. Catal. A*, **1999**, 149, 1.
 F. Monteil, et al. *J. Organomet. Chem.* **1994**, 480, 177.
 P. Purwanto, et al. *Catal. Today*, **1995**, 135.

Strategies for hydroformylation of higher olefins

- To use surfactants to provide micelles to make the hydrophobic substrate dispersed in the aqueous phase, increasing the water/organic interfacial area significantly

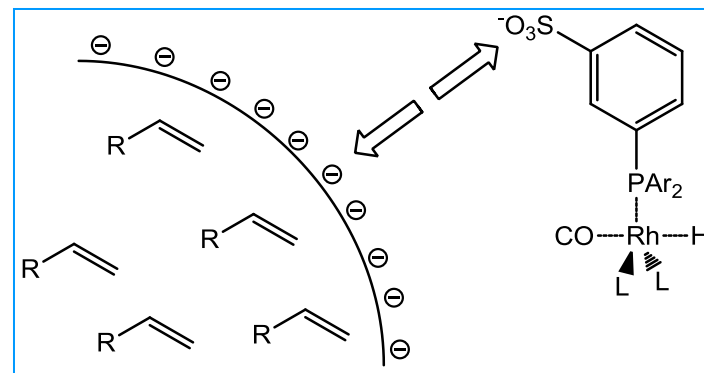
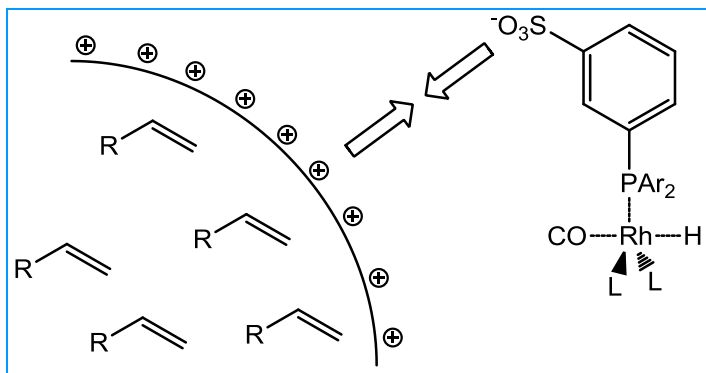
Catalyst	Substrate	Surfactant	Reaction condition	Conversion
Rh-<i>m</i>TPPTS	1-dodecene	No surfactant	2 h, 100°C	No conversion
		Cationic surfactant CTAB		61%
		Dicationic surfactant		78% - 85%
		Neutral surfactant Tween 20 and Spam 40		3 - 4%
		Anionic surfactant SDS		No conversion

- The linear selectivity was higher than using co-solvent
- **Complicated phase separation**

H. Chen, et al. *J. Mol. Catal. A*, **1999**, 149, 1.
M. Li, et al. *J. Mol. Catal. A*, **2005**, 235, 130.
F. Monteil, et al. *J. Organomet. Chem.* **1994**, 480, 177.
P. Purwanto, et al. *Catal. Today*, **1995**, 135.

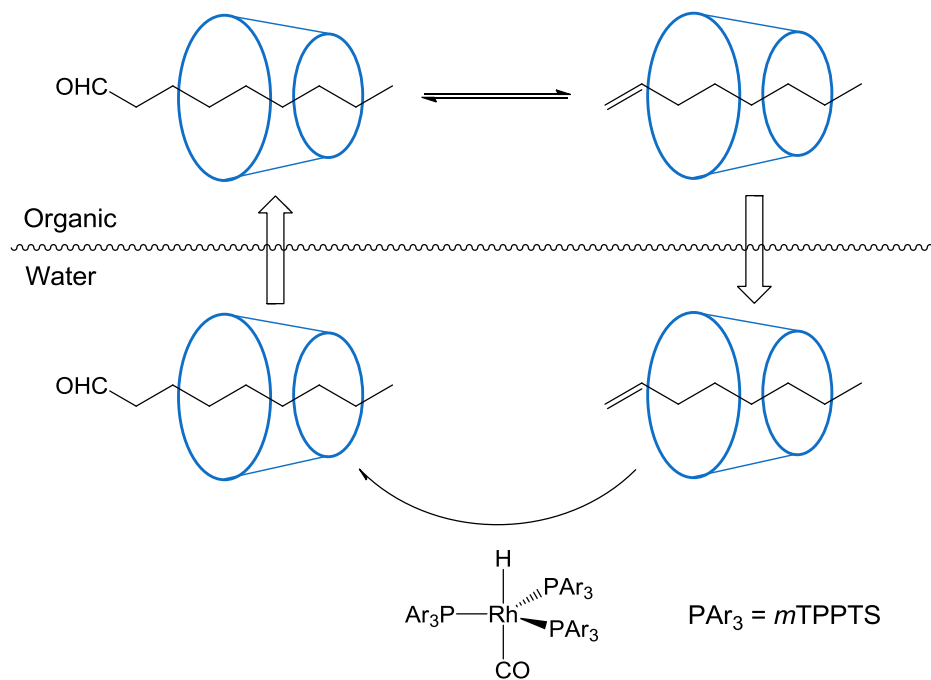
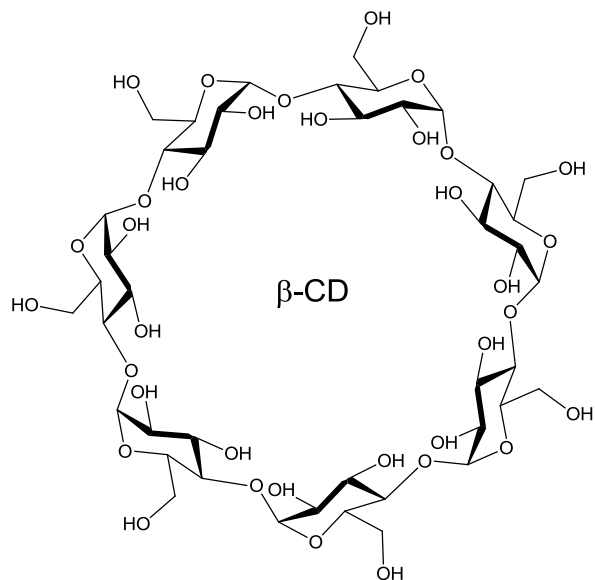
Micelle surface

- The cationic surfactants play more than just a simple role of forming micelles



Strategies for hydroformylation of higher olefins

- To use cyclodextrins (CD) as inverse phase transfer catalysts

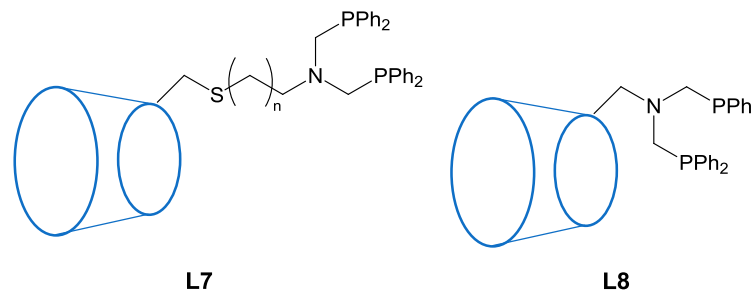


Strategies for hydroformylation of higher olefins

- The degree of methylation is an important parameter in these systems. Methylated CD has surface-active behavior and lower stability of the aldehyde inclusion complex.

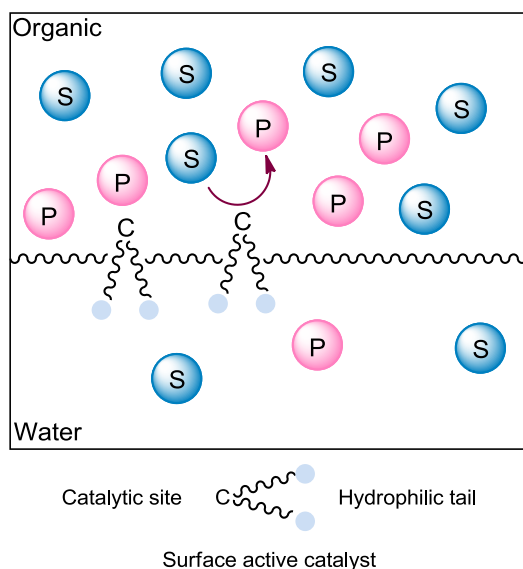
Catalyst	Substrate	Surfactant	Reaction condition	Conversion	Aldehyde selectivity
Rh-<i>m</i>TPPTS	1-decene	No CD		< 1%	-
		β -CD	18 h, 80°C	6%	90%
		Dimethylated β -CD		51%	90%
		Randomly methylated β -CD (12.6 methyl/CD)	8 h, 80°C	100%	95%
Rh-L7/L8	1-octene		18 h, 80°C	100%	> 99%

- The steric congestion of CD-supported olefin can also increase linear selectivity in some cases.



E. Monflier, et al. *Tetrahedron Lett*, **1995**, 36, 9481.
M. Dessoudeix, et al. *Eur. J. Inorg. Chem.* **2001**, 1797.
E. Monflier, et al. *Angew. Chem. Int. Ed.*, **1995**, 34, 2269.
M. Reetz, et al. *Angew. Chem. Int. Ed.*, **1997**, 36, 865.

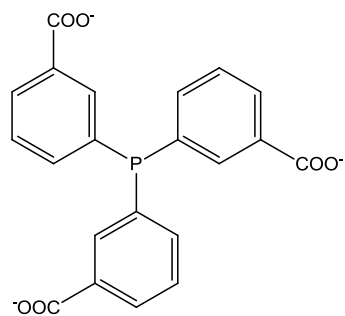
For molecules less soluble in water – surface active ligands



- Surfactant-like structures with long aliphatic tails connected to an hydrophilic functionality; the metal coordination site is placed on the hydrophobic end of the ligand;
- In some cases the ligand itself can form micelles, in addition to supporting the metal catalyst.

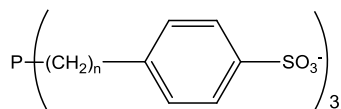
Strategies for hydroformylation of higher olefins

- The development of ligands that can act as surfactants themselves

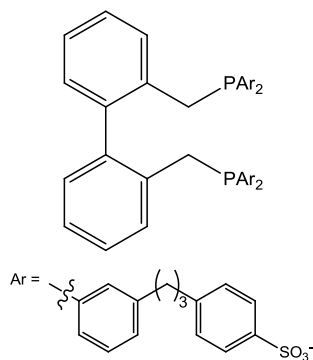


L9: mTPPTC

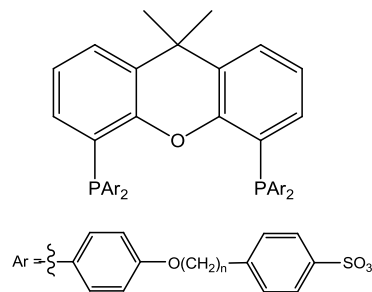
Catalyst (Rh)	Substrate	Reaction condition	Conversion	Aldehyde selectivity	I/b
mTPPTS		3 h, 80°C	2%	45%	2.8 : 1
L9 mTPPTC			94%	87%	2.3 : 1
L10 (n=3)	1-octene	10 h, 120°C		85% yield	8 : 1
L11		5 h, 120°C		73% yield	94 : 6
L12 (n=6)		24 h, 120°C		97% yield	98 : 2



L10



L11



L12

S. Tilloy, et al. *Adv. Synth. Catal.*, **2006**, 348, 1547.

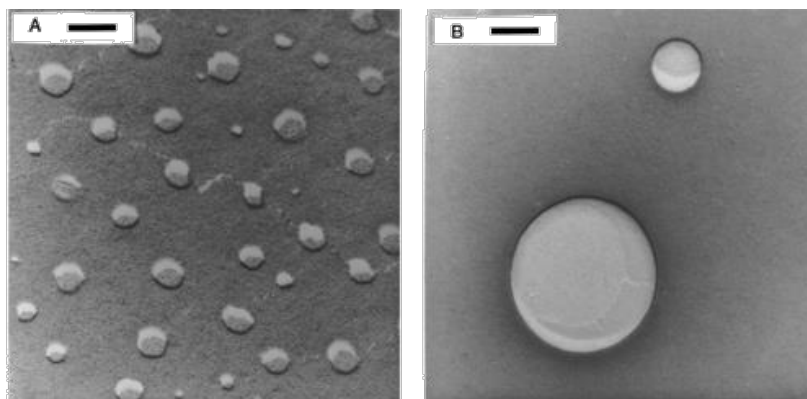
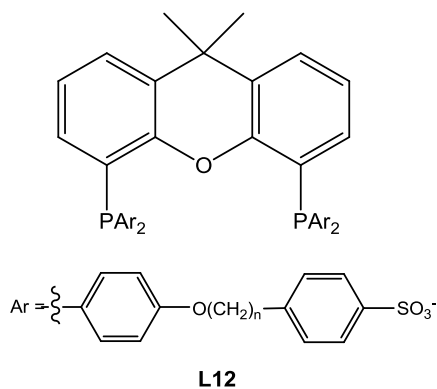
T. Bartik, et al. *J. Mol. Catal.*, **1994**, 88, 43.

H. Ding, et al. *J. Mol. Catal. A*, **1997**, 124, 21.

M. S. Goedheijt, et al. *J. Am. Chem. Soc.*, **2000**, 122, 1650.

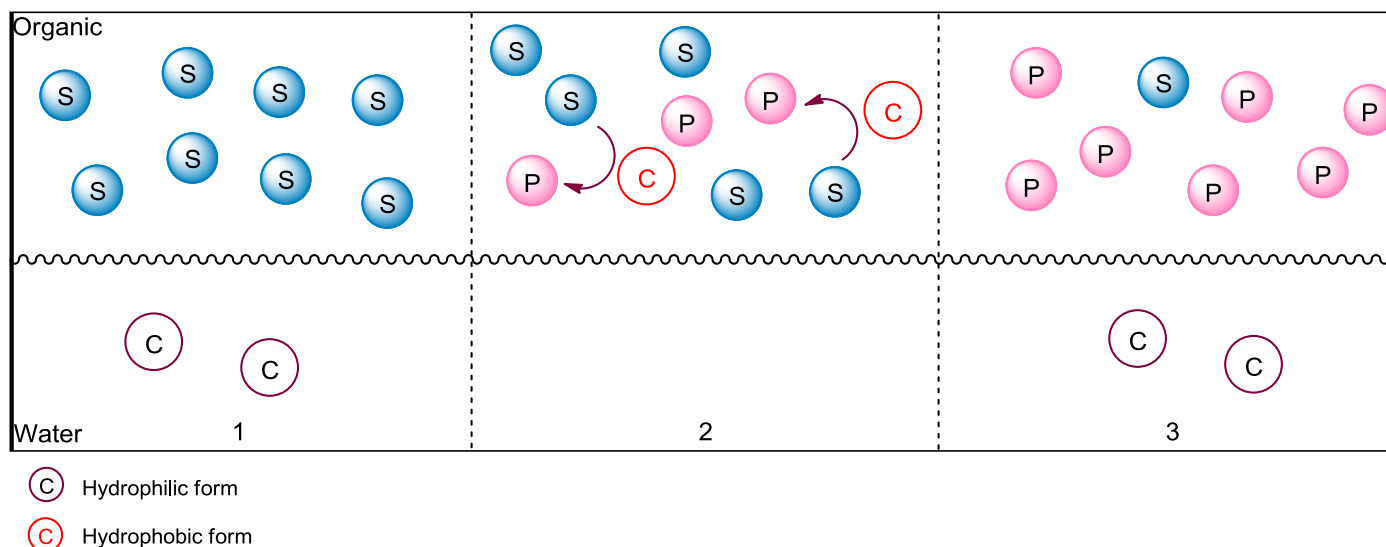
Vesicles formed by ligands

- Electron microscopy pictures showed the vesicles formed by L12 in aqueous solution.
 - ▣ A. A uniform distribution of vesicles of L12 (n=3);
 - ▣ B. A large and an average sized vesicle formed by L12 (n=6).



Aqueous-biphasic catalysis - Mass transport issue

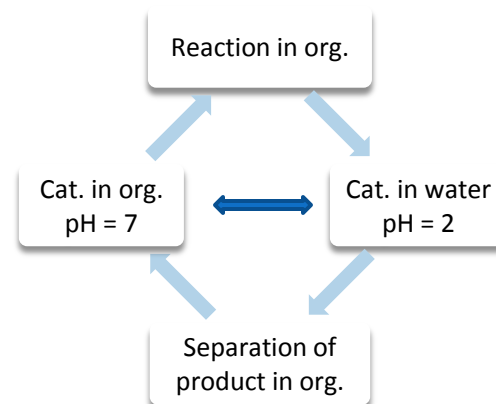
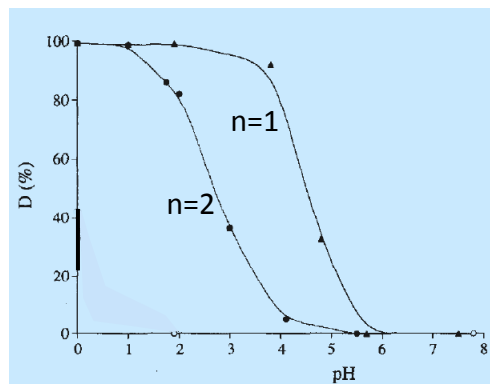
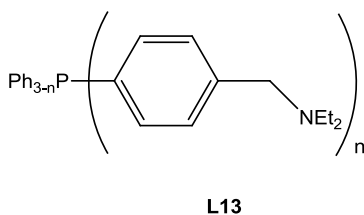
- The ideal catalyst would have controllable solubility properties.



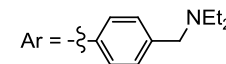
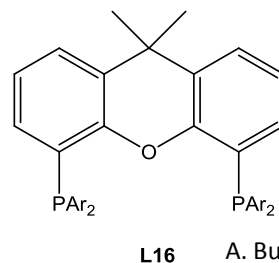
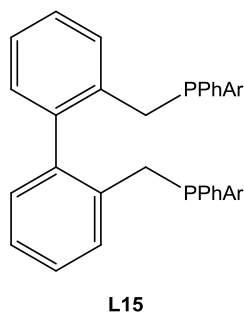
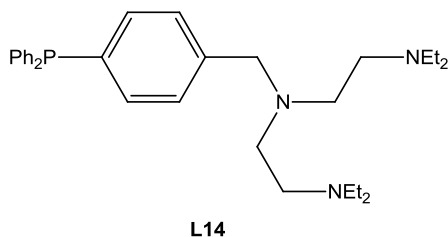
- To carry out the reaction using an ionic ligand in a polar solvent under homogeneous conditions. Upon completion, water can be added to give a biphasic mixture with the products in the organic phase and the catalyst in the aqueous phase;
- To design ligands with adjustable solvent properties: by changing a reaction variable (pH or Temperature), the catalyst partitions into the organic phase to provide high activity; at the end of reaction, the conditions are changed back to allow easy separation

pH responsive ligands for Rh-catalyzed hydroformylation of 1-octene

- Amine-functionalized ligands has pH-controlled phase preference

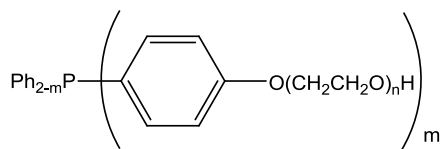


- Activity nearly identical to PPh_3 in toluene;
- The recovered catalyst retained 98.5% of Rh and 86% of its original activity. The loss of activity is likely due to decomposition of the catalyst under the strongly acidic and basic conditions used for recovery.

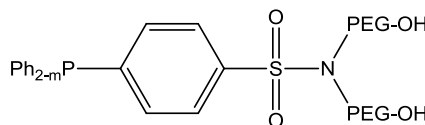


- A. Buhling, et al. *J. Mol. Catal. A*, **1995**, 98, 69.
 A. Buhling, et al. *J. Mol. Catal. A*, **1997**, 116, 297.
 M. Karlsson, et al. *J. Chem. Soc. Dalton Trans.*, **1999**, 4187.
 A. Buhling, et al. *J. Chem. Soc. Dalton Trans.*, **1996**, 2143.
 A. Buhling, et al. *Organometallics*, **1997**, 16, 3027.

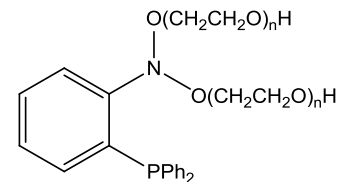
PEG-substituted ligands with inverse temperature-dependent solubility



L17



L18



L19

m	n	Cloud point (°C)
1	8	26
	16	52
	25	75
2	15	55
	20	71
3	18	95

	T (°C)	Aldehyde yield	I/b
Rh-L18 catalyzed hydroformylation of 1-decene, time 5h	70	10.4%	160 : 1
	80	26.4%	1.42 : 1
	90	81.7%	0.98 : 1
	100	95.6%	0.64 : 1

- For Rh-L19, the TOF was 418 h⁻¹ at 100°C; at room temperature, the catalyst located exclusively in the aqueous phase, which could be used for 20 reaction cycles with only 5% loss in activity.

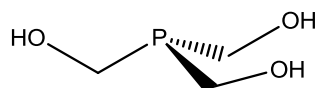
A. Jin, et al. *J. Mol. Catal. A*, **1997**, 116, 55.
 J. Jiang, et al. *J. Mol. Catal. A*, **2001**, 171, 85.
 C. Liu, et al. *J. Mol. Catal. A*, **12003**, 1198, 23.

Examples of the application of hydrophilic ligands

- Aqueous-phase hydrogenation
- Aqueous-phase olefin metathesis
- Aqueous-phase palladium-catalyzed cross-coupling
- Aqueous-phase catalytic oxidation
- Substrate partitioning-controlled reaction in aqueous phase.

Olefin hydrogenation

- One of the earliest examples of the use of a hydrophilic ligand for aqueous-phase catalysis : to use Rh-THMP catalyzing the hydrogenation of 1-octene in ethanol (rapid hydrogenation) and water (no activity).



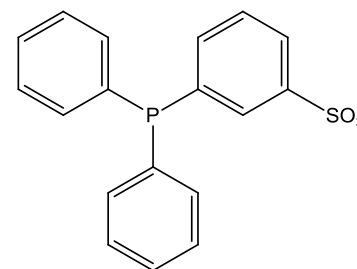
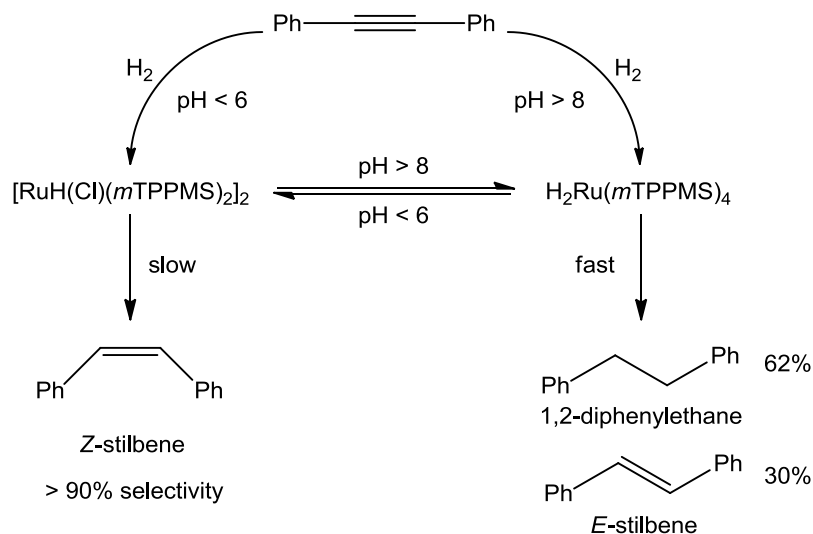
L3: THMP

“We conclude that these complexes show no obviously useful catalytic properties”

- THMP complexes of ruthenium and iridium have shown modest activity in some hydrogenation and hydroformylation reactions in water.

Ru-*m*TPPMS catalyzed hydrogenation

- Early examples of alkene hydrogenation in aqueous-biphasic systems by Manassen, Joó and Wilkinson.
- pH-dependent hydrogenation by $[\text{RuCl}_2(\text{mTPPMS})_2]_2$

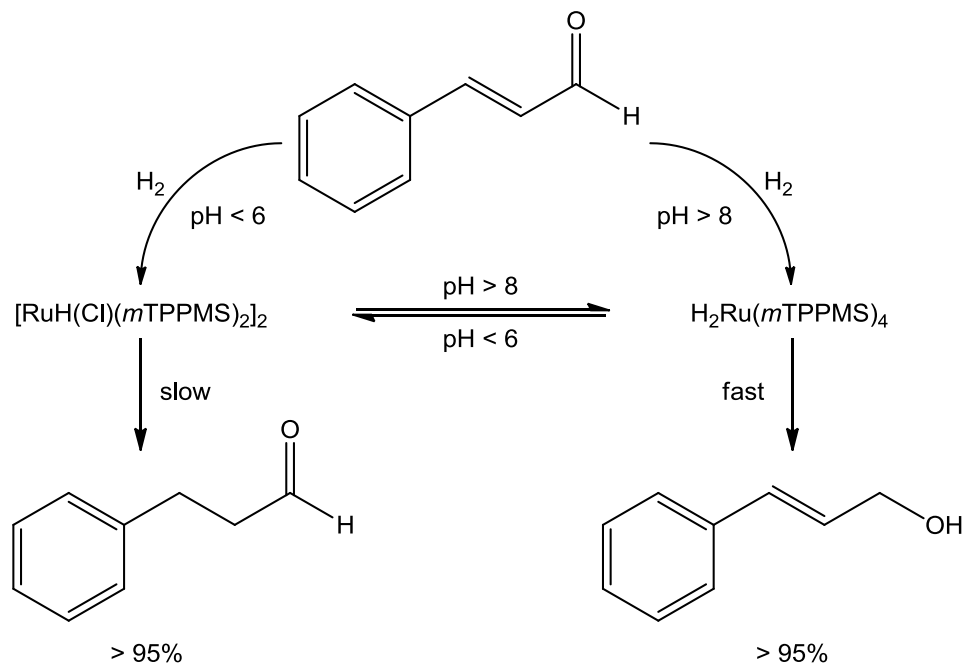


L1: *m*TPPMS

Y. Dror, et al. *J. Mol. Catal.* **1977**, 2, 219.
 F. Joó, et al. *Inorg. Chim. Acta.*, **1977**, 25, L61.
 A. F. Borowski, et al. *Nouv. J. Chim.*, **1978**, 2, 137.
 H. H. Horváth, et al. *React. Kinet. Catal. Lett.*, **2005**, 85, 355.
 F. Joó, et al. *Angew. Chem. Int. Ed.*, **1998**, 37, 969.

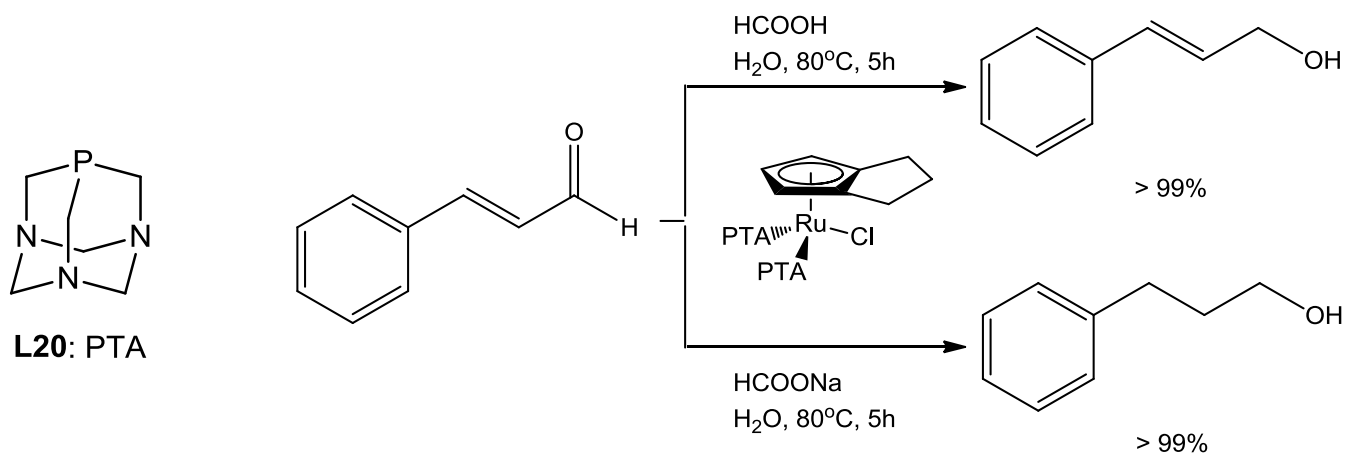
pH-dependent hydrogenation of cinnamaldehyde

- Hydrogenation of α , β -unsaturated aldehydes, such as cinnamaldehyde, can give the **unsaturated allylic alcohol** by reduction of aldehyde; the **saturated aldehyde** by reduction of alkene; or the **fully reduced saturated alcohol**.
- Hydrogenation of cinnamaldehyde under comparable conditions in toluene using Ru/ PPh_3 complexes give low selectivity with the fully reduced alcohol being the major product in most cases.



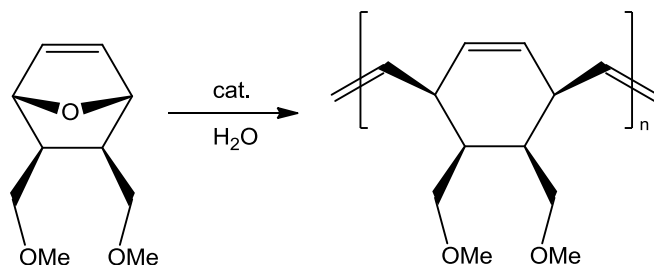
pH-dependent hydrogenation of cinnamaldehyde

- The product selectivity for cinnamaldehyde reduction under transfer hydrogenation conditions was found to be dependent on the reaction pH when using Cp/Dp-Ru-PTA complexes

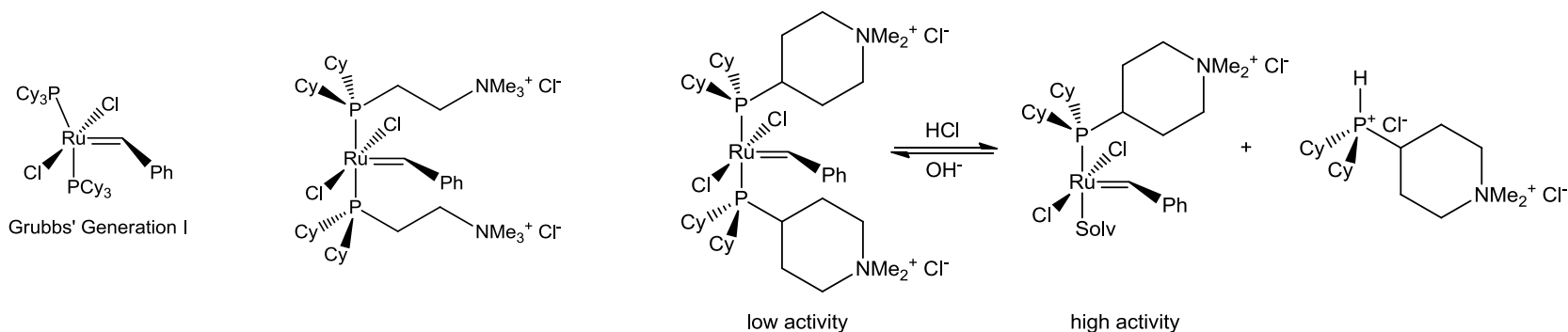


Metathesis

- The molybdenum complexes developed by Schrock are sensitive to water; the ruthenium catalysts developed by Grubbs are quite stable in water.
- The first examples of water-soluble olefin metathesis: ring opening metathesis polymerization of functionalized oxanorbornene.

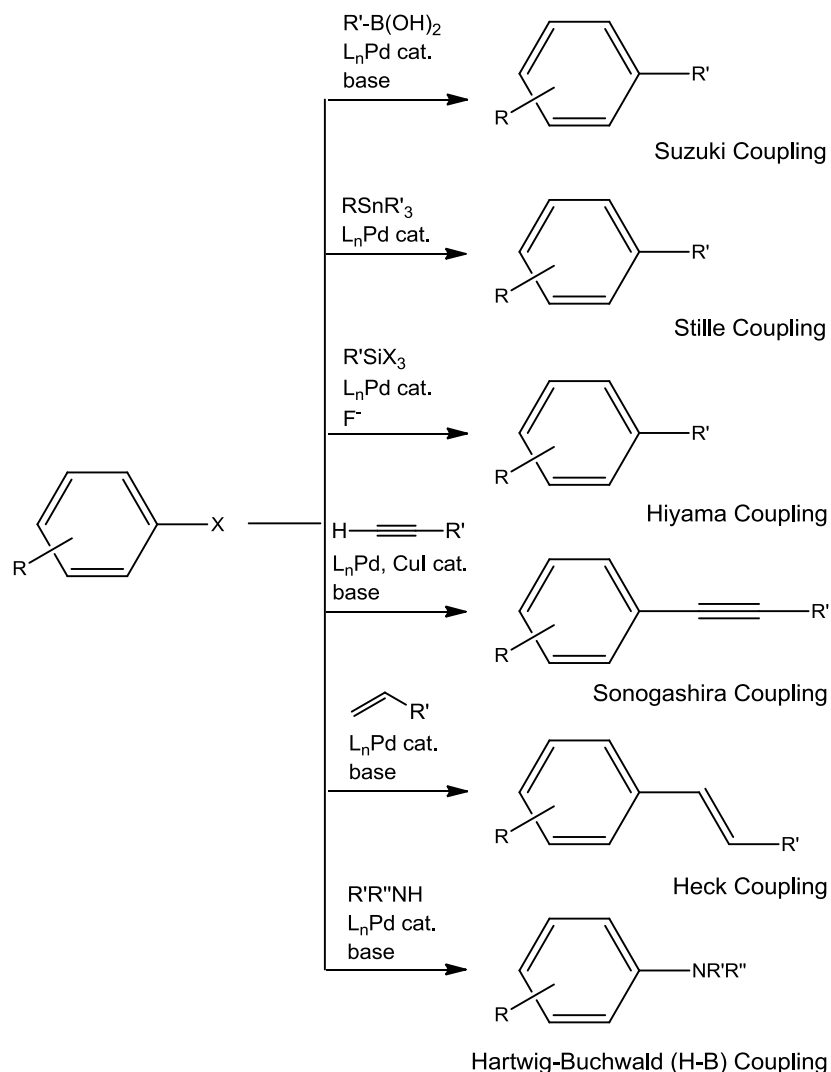


D. M. Lynn, et al. *J. Am. Chem. Soc.*, **2000**, *122*, 6601.
D. M. Lynn, et al. *J. Am. Chem. Soc.*, **1998**, *120*, 1627.



- Addition of acid to complexes resulted in loss of a phosphine ligand to give a highly active ROMP catalyst.

Aqueous-phase Pd-catalyzed cross-coupling



- The history of using aqueous solvent systems in Pd-catalyzed cross-coupling goes back to the early development of the Suzuki coupling, which utilized aqueous base to activate the organoboron nucleophile.

- The first example of the use of a water-soluble ligand for Pd-catalyzed cross-coupling in water was reported by Casalnuovo in 1990:

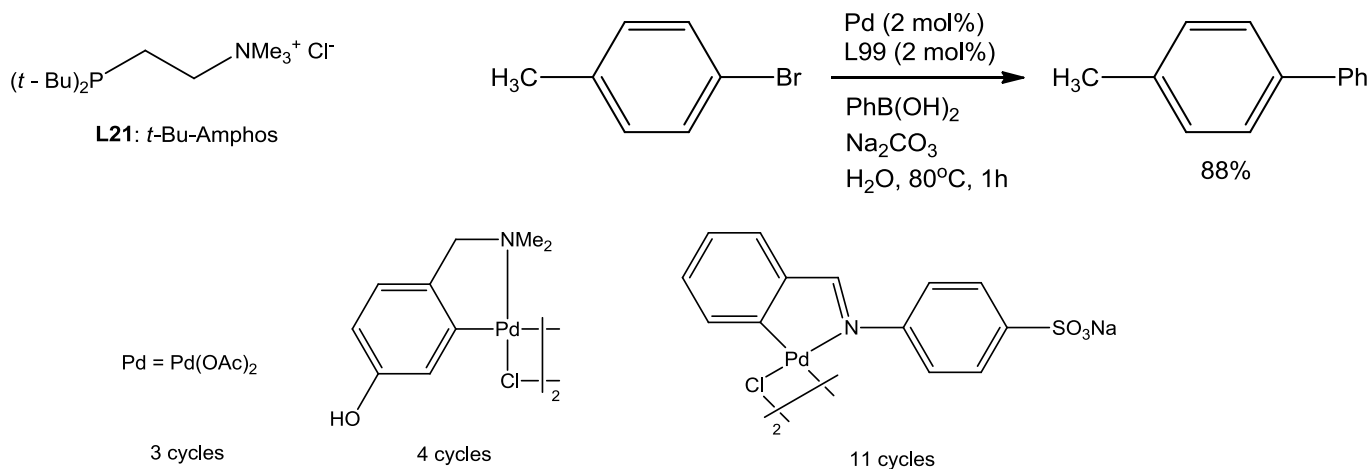
Using **Pd-*m*TPPMS** catalyst for the cross-coupling of aryl iodides and activated aryl bromides in $\text{H}_2\text{O}/\text{CH}_3\text{CN}$.

N, Miyaura, et al. *J. Am. Chem. Soc.*, **1985**, 107, 972.

A. L. Casalnuovo, et al. *J. Am. Chem. Soc.*, **1990**, 112, 4324.

Aqueous-phase Pd-catalyzed cross-coupling

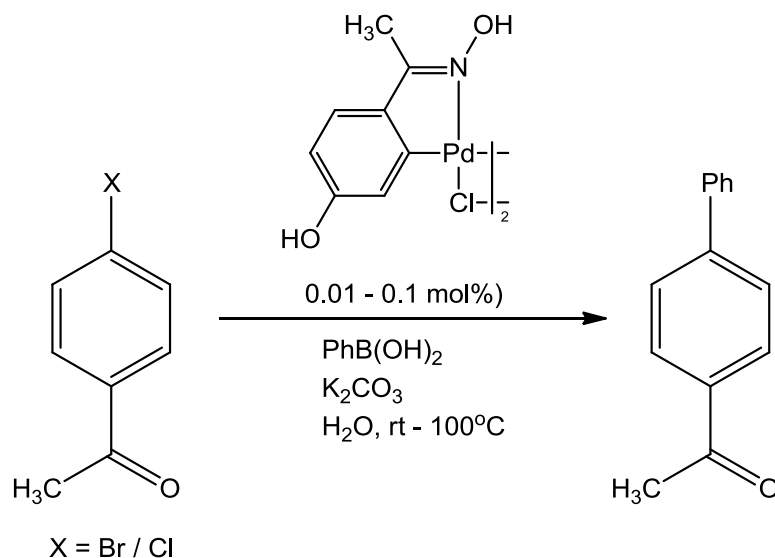
- Sterically demanding trialkylphosphines provide effective catalysts for a range of coupling reactions of aryl bromides and chlorides. Catalyst derived from *t*-Bu-Amphos and $\text{Pd}(\text{OAc})_2$ gave good activity for Suzuki, Sonogashira and Heck couplings of aryl bromides in aqueous-biphasic reactions.



- The *t*-Bu-Amphos/ $\text{Pd}(\text{OAc})_2$ system gave turnover values of 730,000 mol/mol of Pd at 80°C for the coupling of 4-bromotoluene and phenylboronic acid.
- Using hydrophilic palladacycles in combination with *t*-Bu-Amphos gave a highly stable catalyst systems.

Pd-catalyzed cross-coupling with hydrophilic nitrogen ligands

- Palladacyclic complexes showed significant promise as catalyst precursors in cross-coupling of aryl bromides and chlorides reactions.

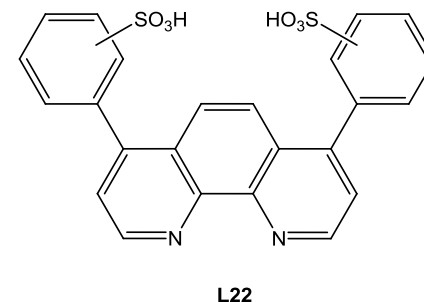
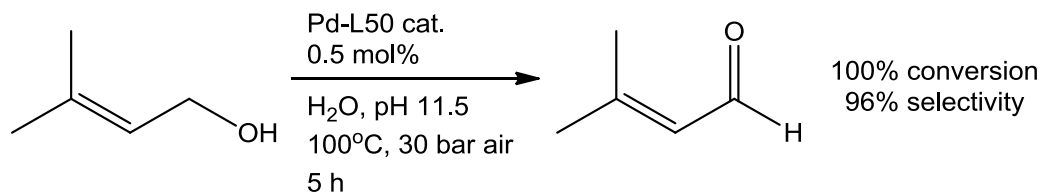


L. Botella, et al. *Angew. Chem. Int. Ed.*, **2002**, 41, 179.

L. Botella, et al. *J. Organomet. Chem.*, **2002**, 663, 46.

Aqueous-phase catalytic oxidation

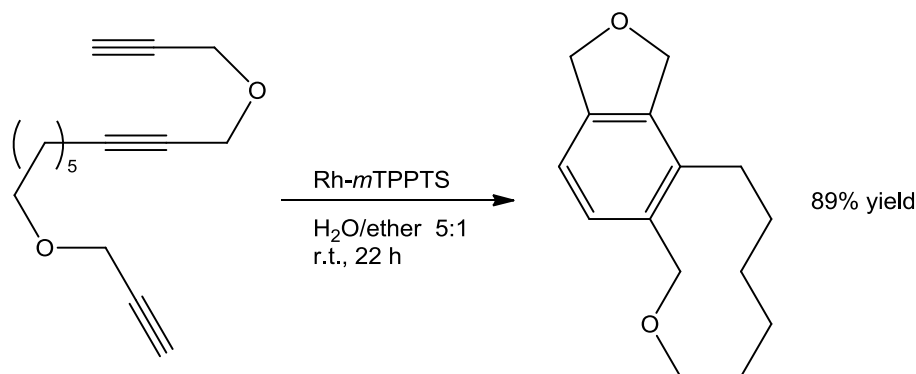
- Water is an attractive solvent for metal catalyzed oxidations as the oxidants and their byproducts are often water-soluble, which allows them to be easily separated from the organic product;
- These reactions typically rely on hydrophilic nitrogen-based ligands.



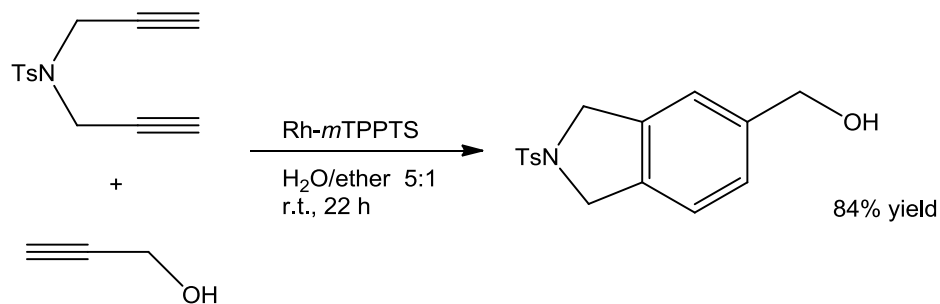
- Because oxygen is more soluble in water than nitrogen, nitrogen diluted oxygen (8% O₂, not explosive in combination with organic materials) was used at high pressure.

Selective cycloisomerization controlled by substrate partitioning

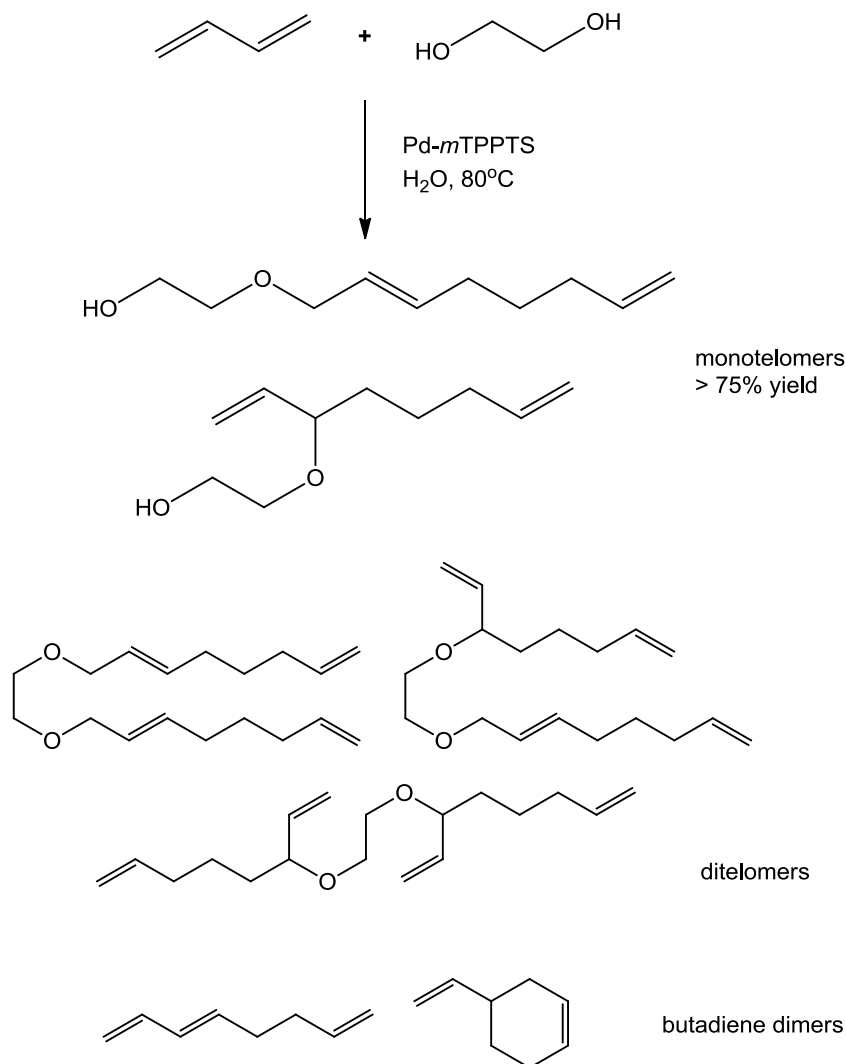
- Cyclization of triynes to give polycyclic arenes typically are carried out under ultradilute conditions to avoid intermolecular reactions; by using a water-soluble catalyst, the [2+2+2] cyclization would occur in the aqueous phase where the concentration of the substrate would be low.



- The intermolecular [2+2+2] cyclization of a diyne and propargyl alcohol often gives low yields due to oligomerization of the diyne.



Telomerization of butadiene with ethylene glycol in water



- Telomerization of butadiene with ethylene glycol using Pd/PPh_3 give 50-60% selectivity for the monotelomers.
- The high selectivity was believed to be due to the low solubility of the product in water, which prevented further reaction to give ditelomeric products.
- The catalyst can be recycled up to six times with only slight loss of activity.

Conclusions and perspectives

- Since the report of the Rhône-Poulenc aqueous-phase hydroformylation process, nearly any catalytic reaction involving late transition metals can be adapted to the use of water as a solvent provided the reagents themselves are water stable;
- The majority of hydrophilic ligands developed are based on known hydrophobic ligands. Most commonly ionic and non-ionic hydrophilic substituents have been attached, providing the necessary solubility and steric and electronic properties;
- There remain few examples of industrial processes that use water-soluble catalyst systems, thus further effort is needed develop industrially viable systems. These must be demonstrated to be economically advantageous over current processes.

Conclusions and perspectives

- The major drivers/advantages to develop aqueous-phase catalysts:
 - The aqueous-phase catalyst systems can simplify the separation of the catalytic active species from the hydrophobic product stream, which is of significant importance for industrial application. The expensive and rare metals can be recovered and the products have low levels of metal or ligand derived impurities;
 - Water is potentially a more environmentally benign solvent than traditional organic solvents. Issues related to handling water contaminated with organic impurities must be addressed. Thus aqueous-phase catalyst systems that provide comparable activity and selectivity to homogeneous processes have the potential to provide significant savings if implemented;
 - Another advantage is the ability to use water's unique properties to fundamentally change the nature of the reaction, e.g. the formation of macrocycles in water.

Conclusions and perspectives

- One of the key questions is how does water affect the fundamental organometallic reaction steps that make up catalytic cycles. By better understanding the role of water in catalytic processes, and how this differs from typical organic solvents, the unique properties of water can be better utilized. In this way, not only can water be used as a substitute for organic solvents, but it can be used to create new types reactivity or selectivity.
- While continuing the development of new ligand architectures and adapting new reactions to the aqueous phase, fundamental studies of organometallic reactivity related to catalysis in aqueous environments will be critical to the rational development of novel aqueous-phase catalytic reactions.

Palladium Catalyzed C-H **Amination**

Presented by Ala Bunescu: 1st year PhD student, LSPN, EPFL
PhD supervisor : Prof. Jieping Zhu

Questions:

- What is the mechanism of C-H amination?
- What are the limits of C-H amination?

Plan

1. Introduction
2. Pd-Catalyzed direct C-H amination: Mechanistic overview
3. Examples found in literature:
 - Mechanistical pathway
 - Type of oxidant: internal/external
 - Type of C-H bond: sp^3 , allylic, aromatic
 - Inter/Intra Molecular C-H amination

Pd-Catalyzed direct C-H functionalization

Advantages:

- Atom economy /low energy starting material
- Direct route to complex product
- An alternative approach for Buchwald-Hartwig Amination

Desavantages:

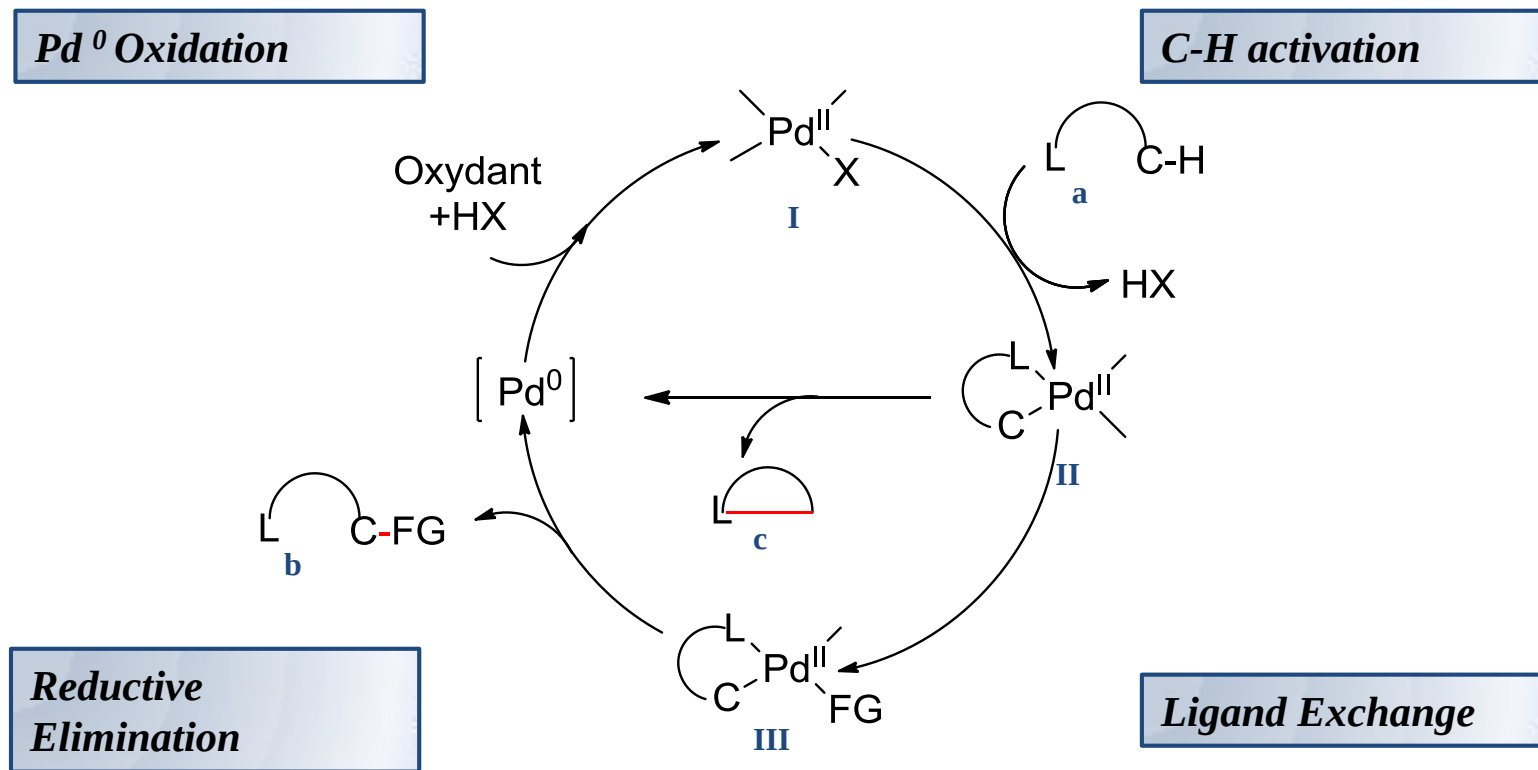
- Inert nature of most C-H carbon bonds: harsh conditions
- Requirement of site selectivity: directing group

Pd-Catalyzed direct C-H amination: Mechanistical Overview

- Reductive functionalization pathway
- Electrophilic functionalization pathway
- C-H activation

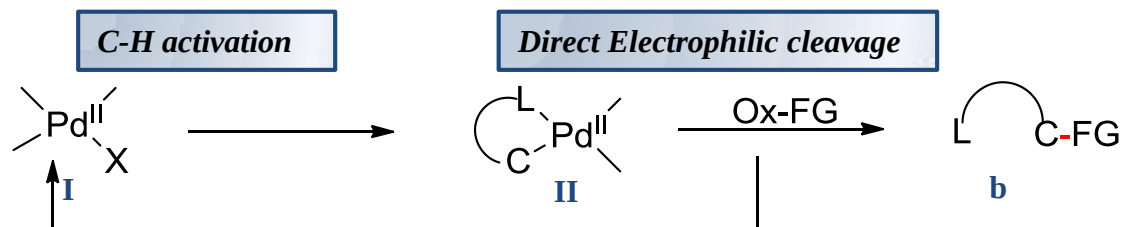
Reductive Functionalization Pathway

- Pd^{II/0} Catalytic Cycle

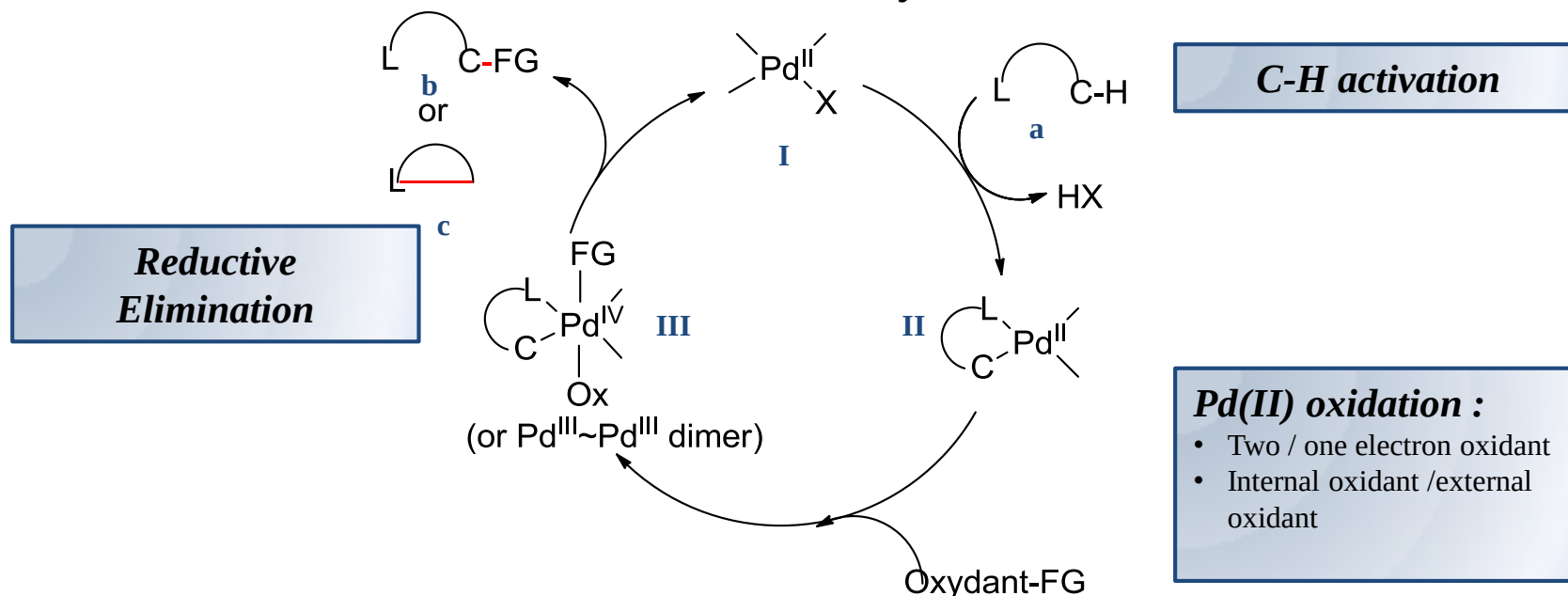


Electrophilic Functionalization Pathway

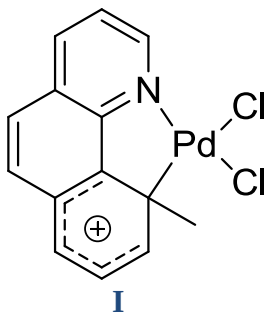
- Direct electrophilic Functionalization of Paladacycle:



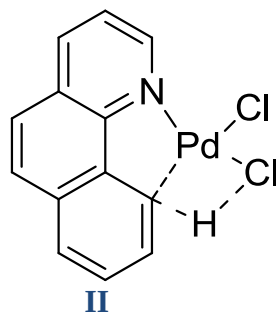
- One or Two electron Oxidation of Palladacycle:



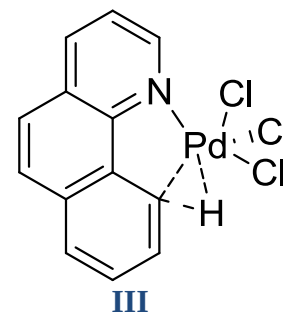
Transition states for C-H activation : DFT calculation



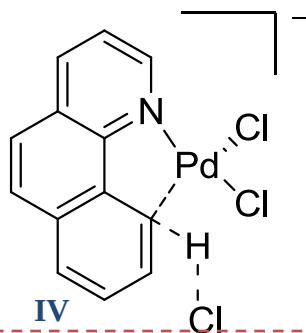
Electrophilic Activation
(metal arenium): *Th. instable*
via DFT calculation



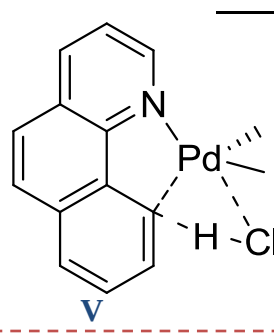
Intra-deprotonation
From C-H agostic form:
32kcal/mol



Oxidative addition:
23kcal/mol



Deprotonation
(external base)
15.6kcal/mol

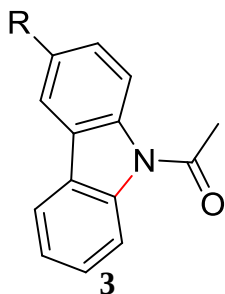
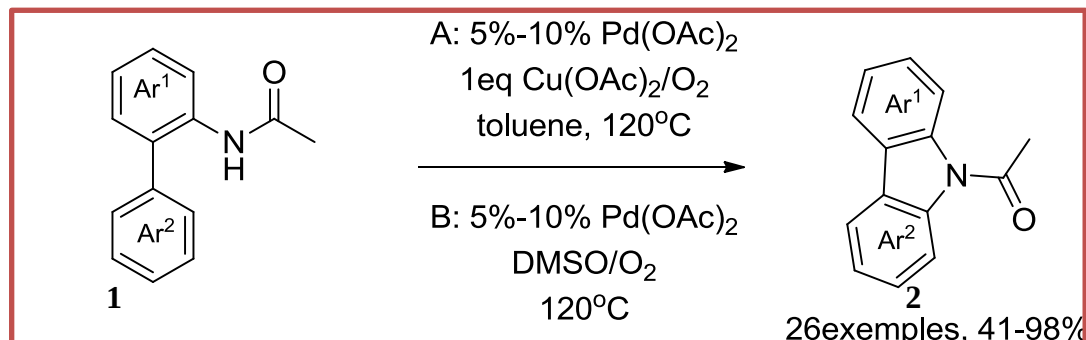


Intra-deprotonation
(internal base)
15.1kcal/mol

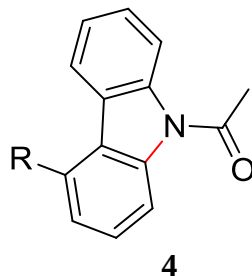
Examples: Type of Mechanism

- Pd(0)/Pd(II): 2 examples
- Pd(II)/Pd(IV): 2 examples
- Nitrene insertion: 1 example

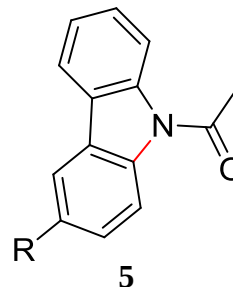
C-H Amination to carbazole: Pd(0)/Pd(II)



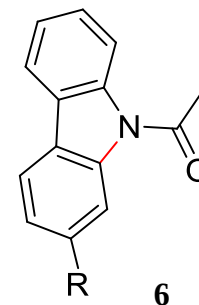
R: OMe (92%)
F (94%)
CF₃ (88%)



R: OMe (81%)
F (78%)
CF₃ (95%)



R: Me (82%)
OMe (41%)
CF₃ (86%)
CO₂Me (99%)

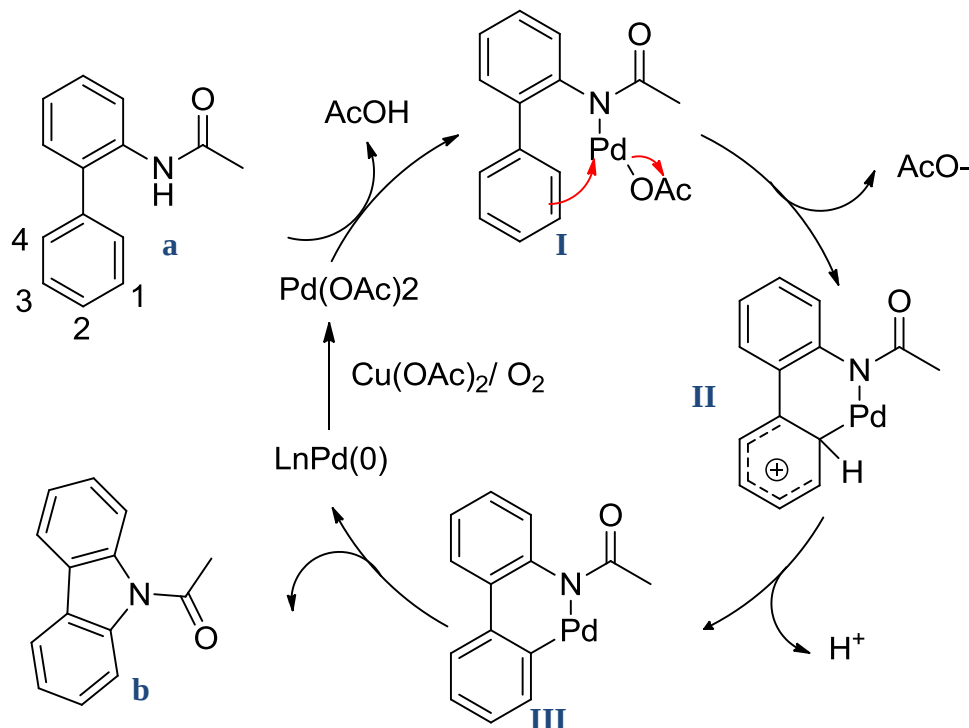


R: OMe (97%)
F (87%)
CF₃ (94%)
SMe (82%)^b
NO₂ (72%)^b

Buchwald, S.L. *J. Am. Chem.Soc.*, **2005**, 127, 14560-14561

Buchwald, S.L. *J. Org. Chem.*, **2008**, 73, 7603-7610

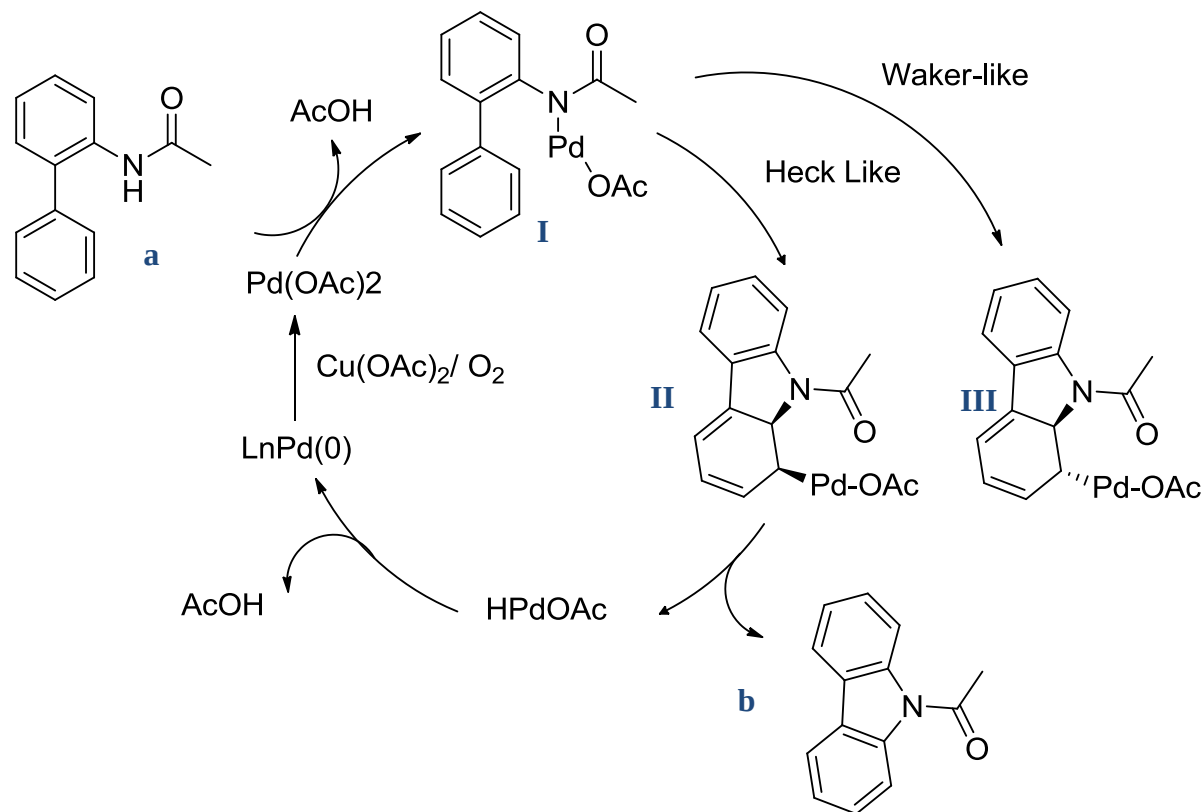
Initial Proposed Pathway



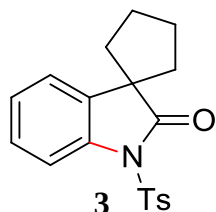
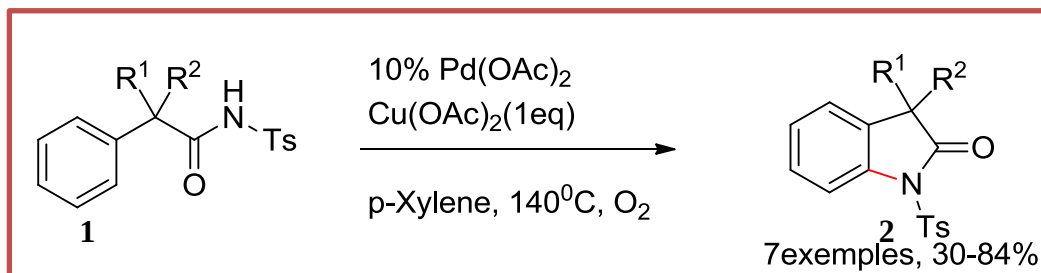
Experimental observation:

- OMe group in position 3 give just 41% yield
- At lower temperature just 2'-acetamido- 4-methoxybiphenyl cyclizide in 73% (inductive effect of MeO)

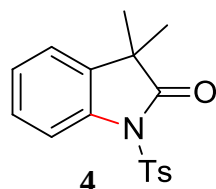
Alternative Pathway



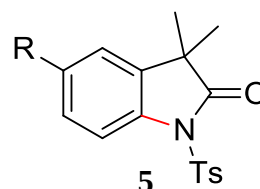
C-H amination to oxindole: Pd(0)/Pd(II)



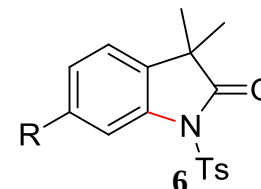
84%



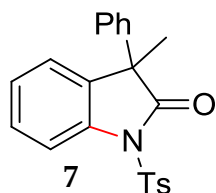
82%



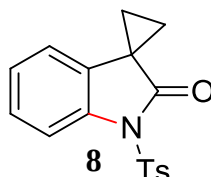
R: OMe (30%)
Cl (63%)



R: OMe (61%)
Cl (65%)



97%

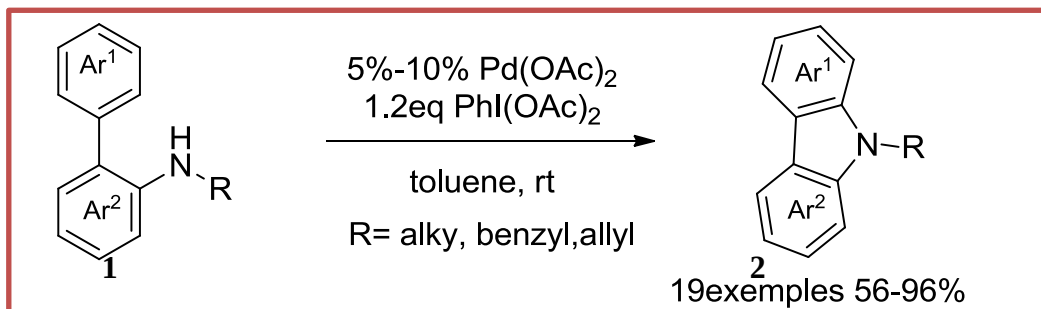


39%

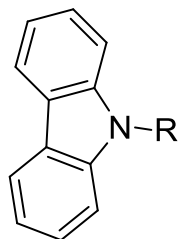
- Non-substituted substrates failed: Thorpe- Ingold effect
- PMB instead Ts group: no reaction
- MeO in 4 retarded the process : no S_EAr for C-H activation
- Proposed mechanism: via six membered palladacycle in Pd(0)/Pd(II)

Murakami, M. *Chemistry Lett.*, **2009**, 38, 328-329

Oxidative Pd(II) C-H Bond Amination to Carbazole



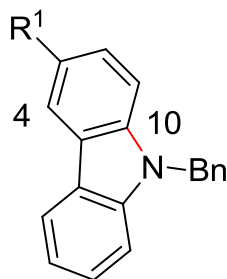
Scope of reaction



3

R^a: Bn (96%)
i-Pr (96%)
Allyl (79%)
Me (80%)

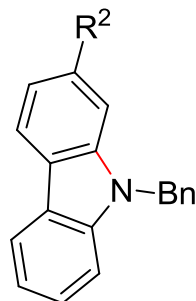
^a Use of 1eq of AcOH



4

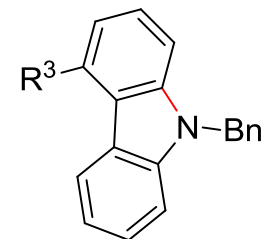
R¹: OMe (85%)^b
Me (86%)^{b,a}
F (79%)
CO₂Me(95%)^a

^b Mixture C10/C4 carbazole isomer



5

R²: OMe (81%)
CO₂Me(94%)



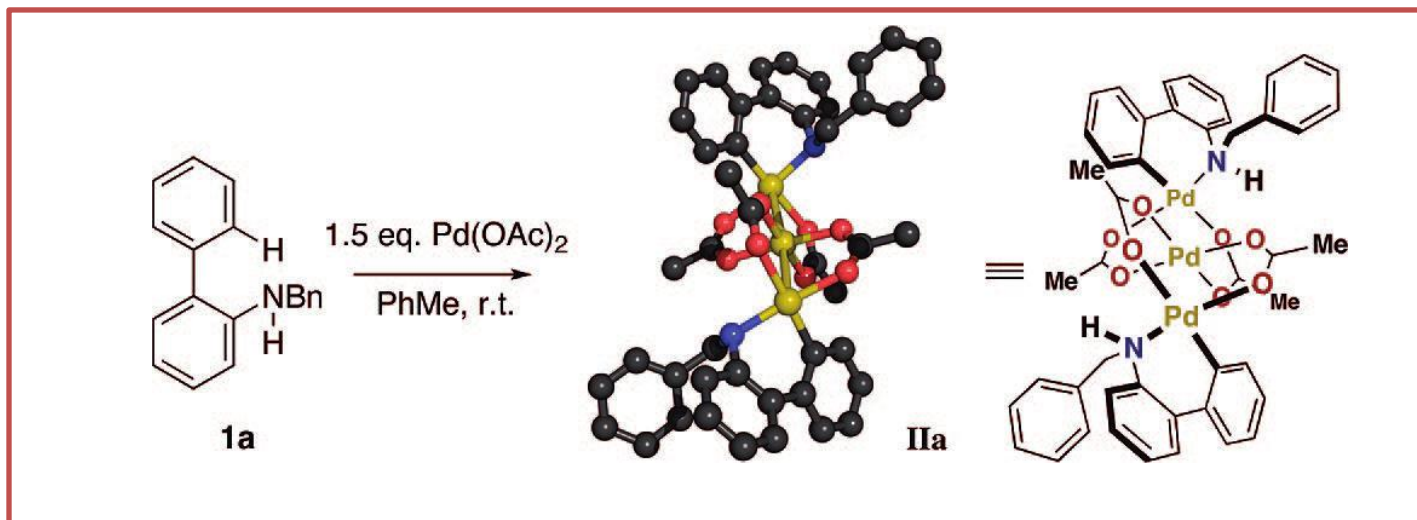
6

R³: Me (56%)*
OMe(75%)

Gaunt, M. *J. Am. Chem. Soc.*, **2008**, *130*, 16184–16186

6/5/2011

Proposed Mechanism: Pd(II)/Pd(IV)

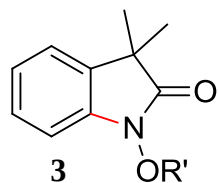
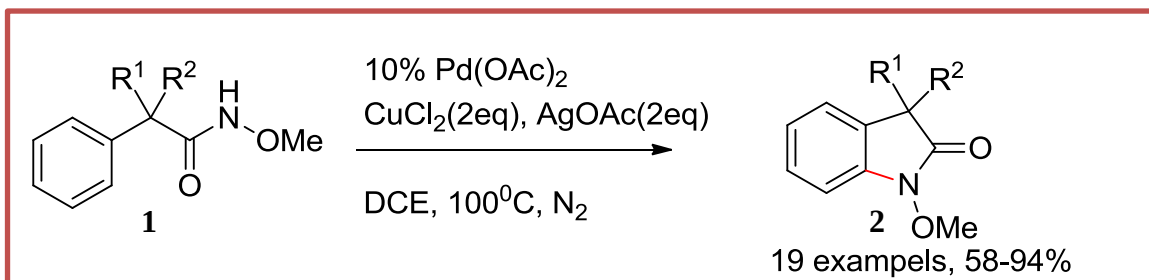


Experimental observation:

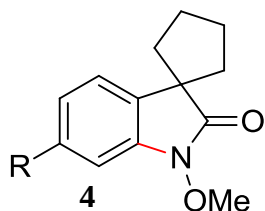
- Electron rich substrate react faster: -electrophilic mechanism
-stronger interaction of C-H with the metal
- X ray of **IIa** : trinuclear complex
- **IIa** can be transformed to the carbazole: $\text{PhI}(\text{OAc})_2$, PhMe , rt
- No oxidation in DMSO or in presence of coordinating additive (Py) : monomeric paladacycle

Pd(II) C-H Activation to β , γ , δ -lactame

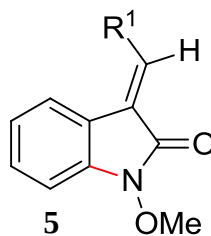
γ , δ -lactame :



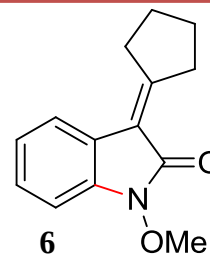
R¹: OMe(94%)
OBn(95%)



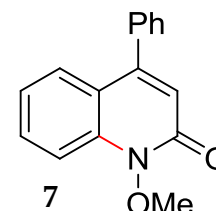
R: OMe(90%)
Cl (88%)
F(86%)



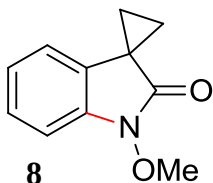
R¹: Ph (58%)
Et (60%)
iPr (62%)



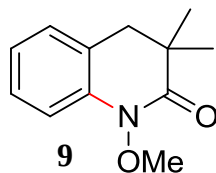
78%



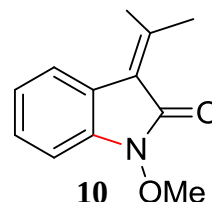
91%



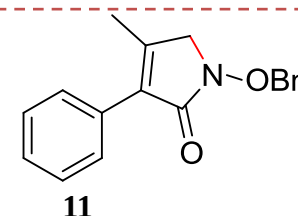
88%



72%



Sp² CH 72%

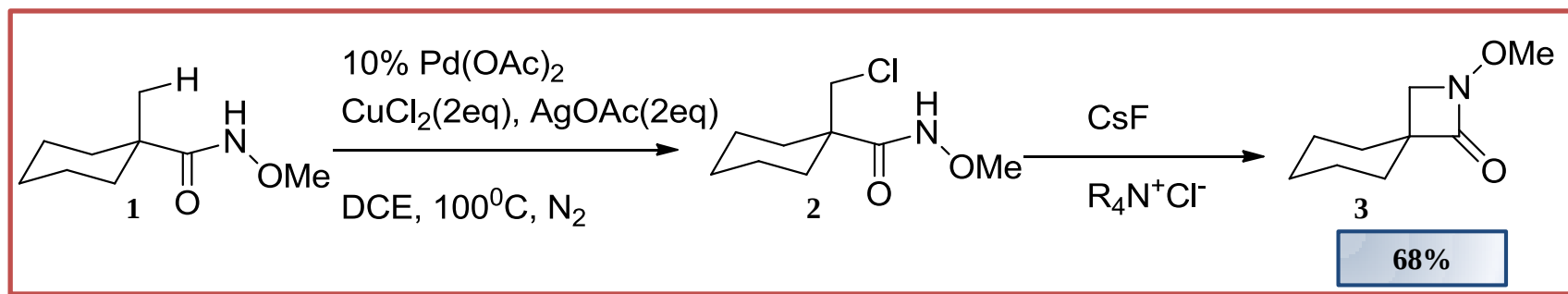


Sp³ CH 68%

Yu, J.-Q. *J.Am.Chem. Soc.* **2008**, 130, 14058–14059

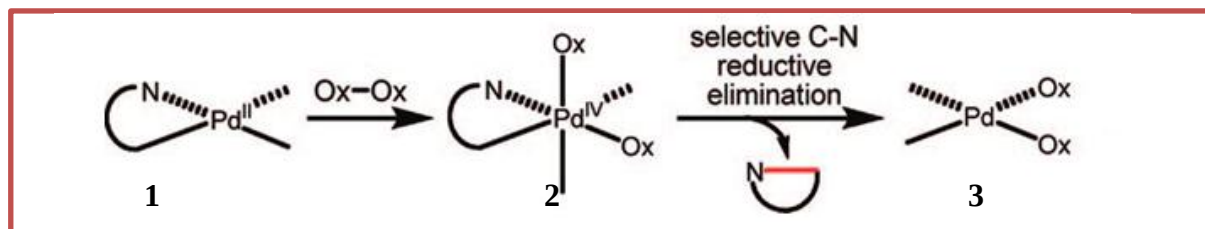
Pd(II) C-H Activation to β , γ , δ -lactame

β -lactame



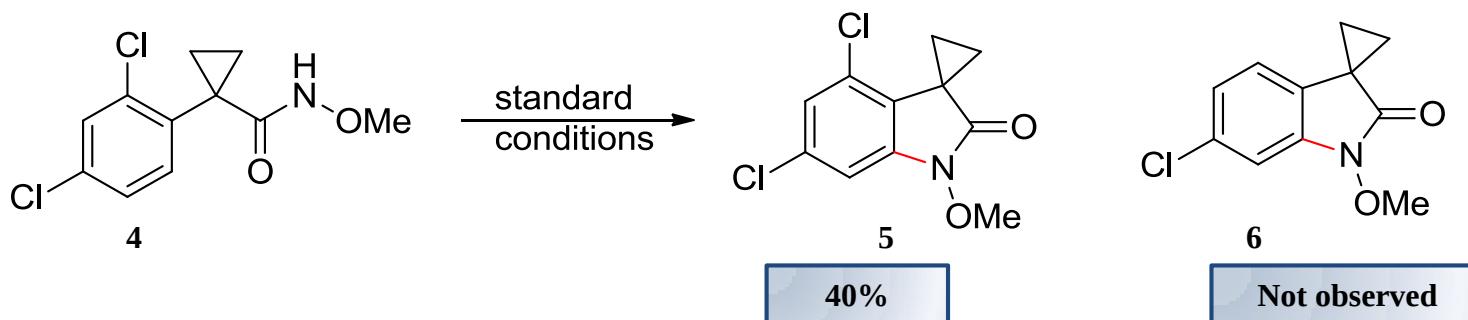
- One-pot procedure
- Reductive elimination of R-Cl from five membered palladacycle
- Strained transition state

Proposed Mechanism: Pd(II)/Pd(IV)

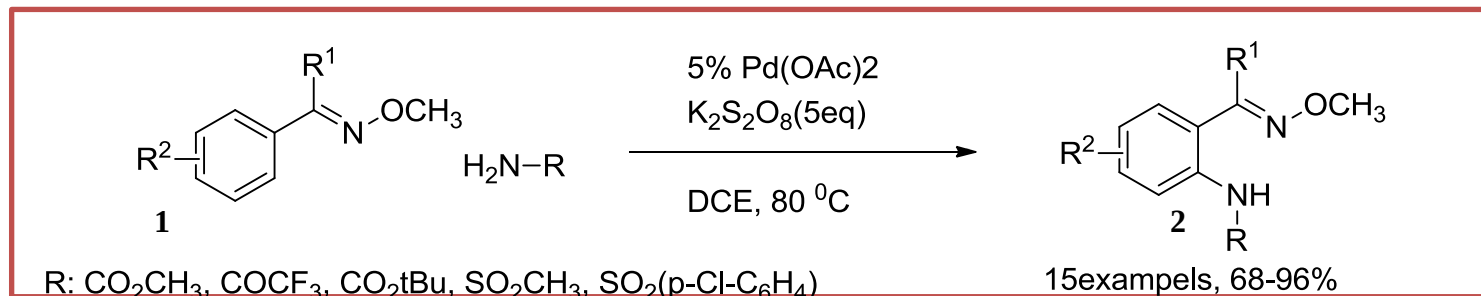


Experimental observation/ hypothesis

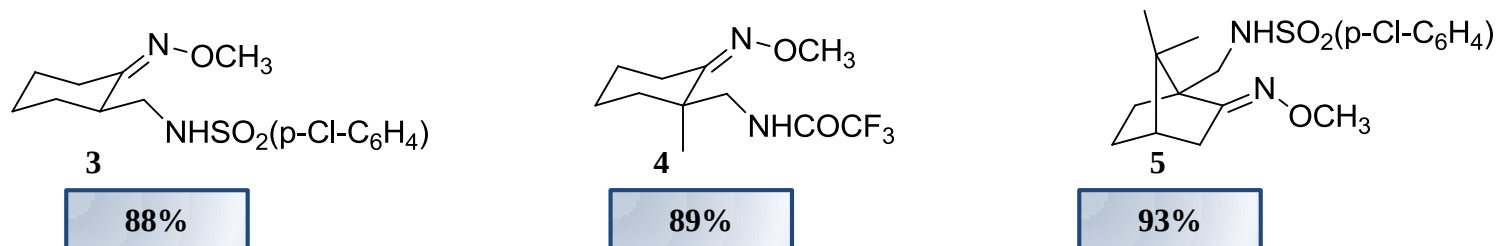
- Using $\text{PhI}(\text{OAc})_2$ give 10% of desired oxindole
- CuCl_2 source of $\text{Cl}^+ : 1\text{e}^-$ or 2e^- ?
- PdCl_2 ligand exchange with AgOAc
- Sequential chlorination –amination excluded:



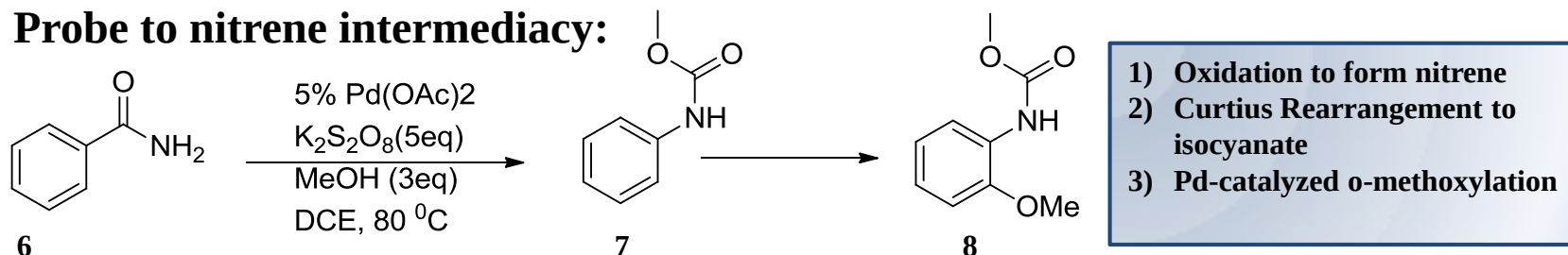
C-H activation via nitrene insertion



- Sp³ C-H amination**



- Probe to nitrene intermediacy:**



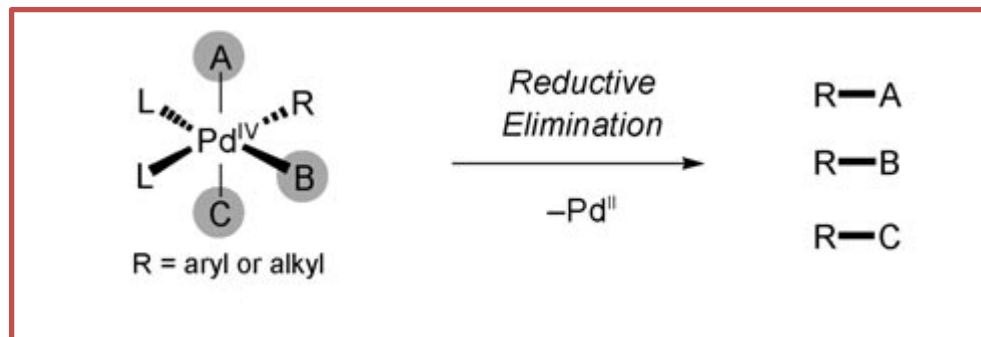
- Proposed mechanism:** cyclopalladation then nitrene insertion into Pd-C bond or Pd(II) nitrene. Pd(II)/Pd(IV) is not excluded

Chi-Ming Che *J. Am. Chem. Soc.*, **2006**, 128, 9048-9049

Examples: Type of oxidant

- External Oxidant:
 - ✓ bystanding oxidant: definition
 - ✓ F^+ :bystanding oxidant
 - ✓ Example1: Indoline synthesis via Pd(II)/Pd(IV)
- Internal Oxidant:
 - ✓ Example1: N-Nosyl carbamate
 - ✓ Example2: Oxime esters

External Oxidant Pd(II)/Pd(IV)



- Octahedral Pd IV: lack of selectivity
- Bystanding oxidant : *“A reagent that participates in electron transfer to increase the oxidation state of a transition metal species but is not incorporated into the final product during subsequent reductive elimination”*
- Why F⁺ bystander oxidant?

Yu J.-Q. *Angew. Chem. Int. Ed.* **2011**, 50, 1478 – 1491

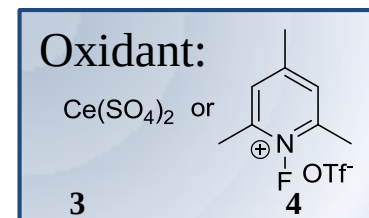
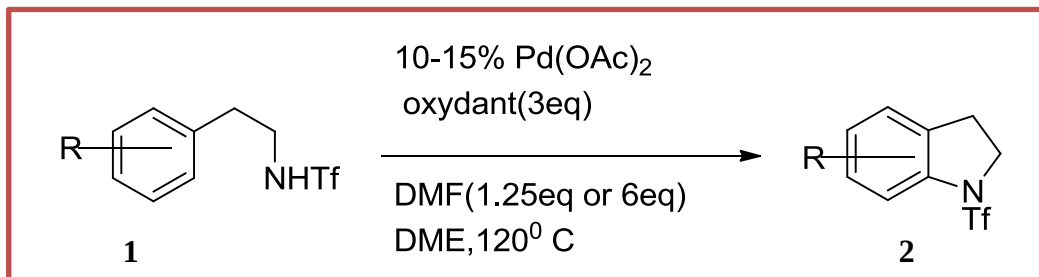
F⁺: Bystanding Oxidants in Pd(II)/Pd(IV)

Catalysis

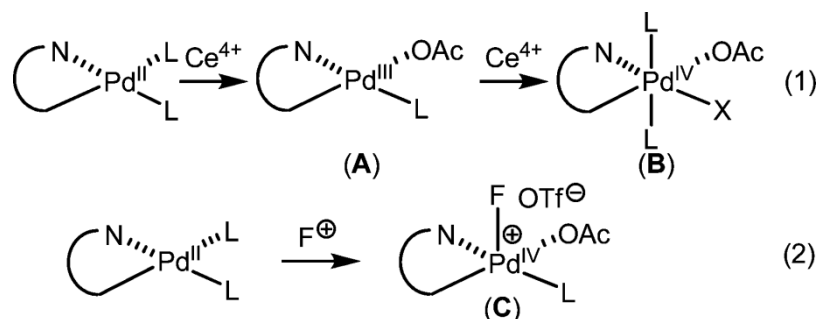
- Cl₂, CuCl₂, NCS, NBS, NIS, IOAc, and PhICl₂ source of X⁺ : halogenating agent C-H activation reactions
- F⁺ more problematic:
 - F⁺ reagent : strong sigma donor ligand hamper the C-H activation
 - Highly electronegative, low polarizability : attenuate the reductive elimination

C-H amination using F^+ and Ce^{4+} as oxidant:

Indoline synthesis

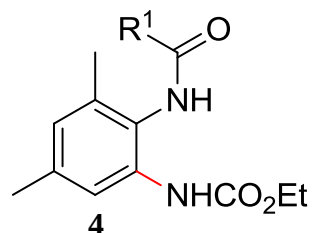
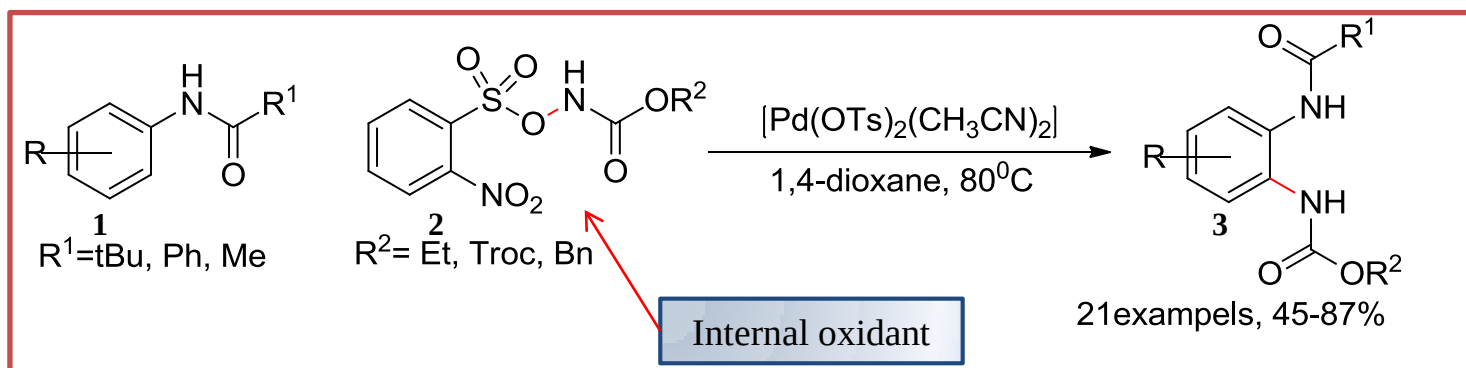


- Using classical oxidation agent ($CuCl_2$, NCS, NBS, NIS, IOAc) give halogenation/acetoxylation side product
- 22 examples, yield 53-91% when F^+ (two electron oxidant) is used: large number of functionalities are tolerated (Br, Cl, F, CN, NO_2 ...), quinoline low yield
- 9 examples, yield 40-80% when $Ce(SO_4)_2$

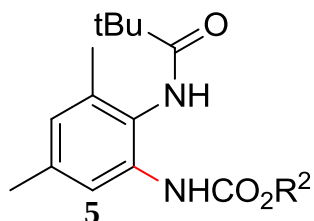


Yu J., Q. *J. Am. Chem. Soc.*, **2009**, 131, 10806–10807

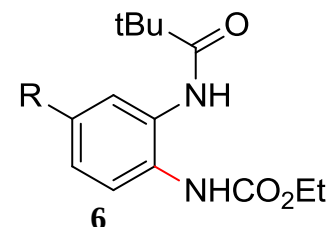
External-oxidant free oxidative amination:



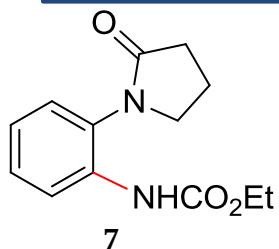
**R¹: t-Bu (84%)
 Me (52%)
 Ph (57%)**



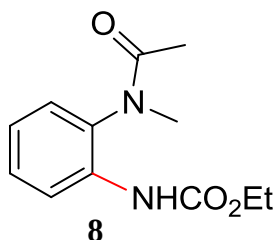
**R²: Troc (87%)
 Bn (70%)**



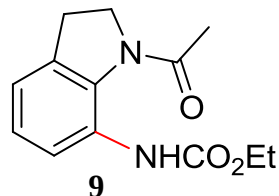
**R: OMe (45%)
 F (66%)
 Br (68%)**



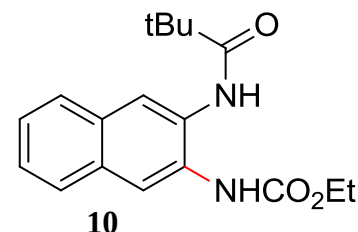
85%



72%



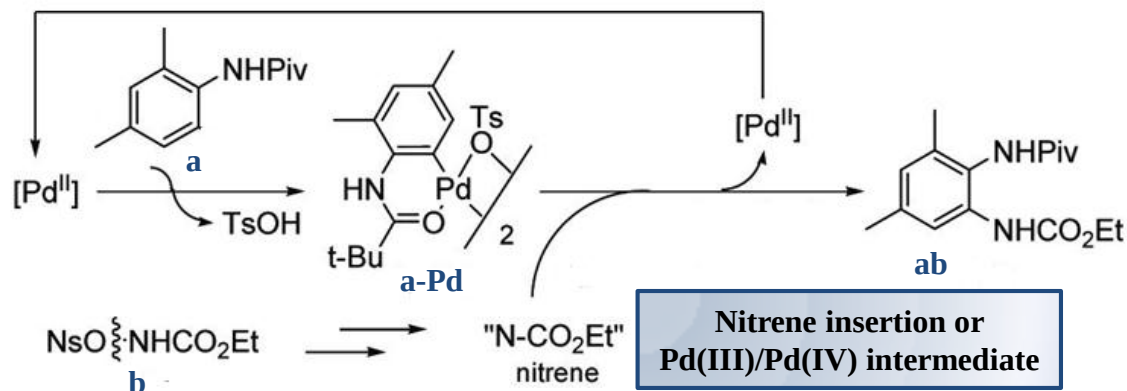
68%



72%

Yu, J. M., *J. Am. Chem. Soc.*, **2010**, 132, 12862–12864

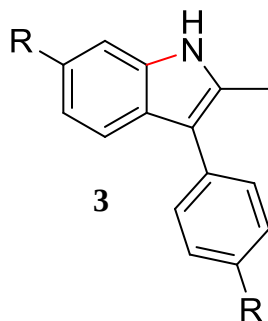
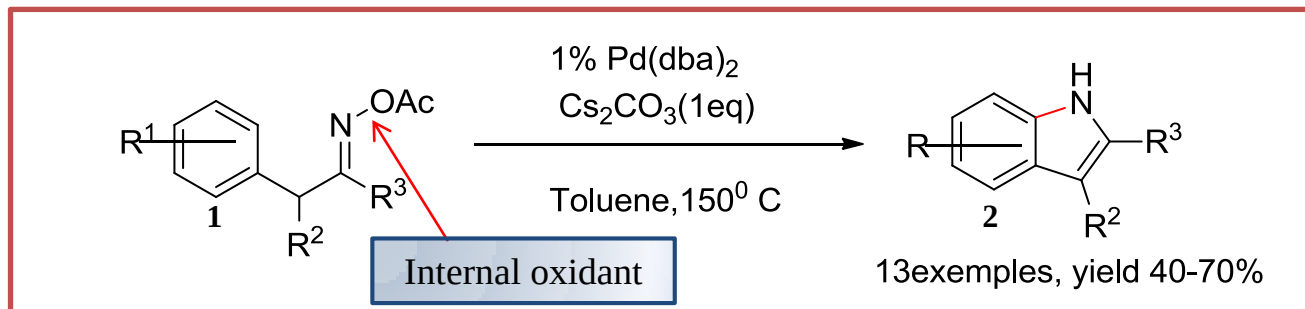
Proposed mechanism: Pd(II)/Pd(IV) or nitrene:



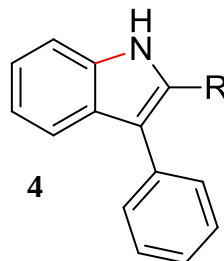
Experimental observation:

- Isotope effect (k_H/k_D) = 3.7
- Stoichiometric amidation of cyclopaladated intermediate afford 45% of **ab**
- Anilide stabilized reactive intermediary species
- Treating **a-Pd**, **a** with **b** in presence of K_2CO_3 (generate nitrene) give 74% desired compound

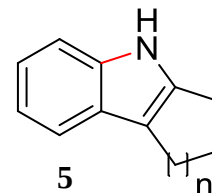
External-oxidant free oxidative amination: Indole synthesis



R¹: Me (65%)
F (61%)
Cl (63%)
Br(60%)
OMe(40%)



R¹: Me (65%)
Et (51%)

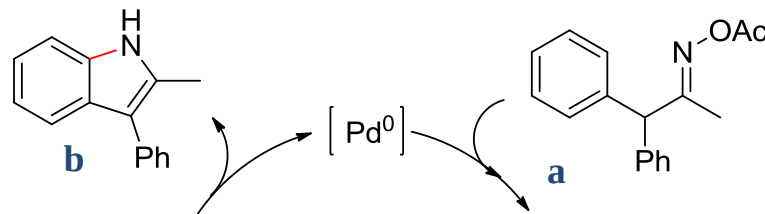


n=1(41%)
n=2 (51%)

Hartwig J., *F. J. Am. Chem. Soc.*, **2010**, 132, 3676–3677

Proposed mechanism Pd(0)/Pd(II)

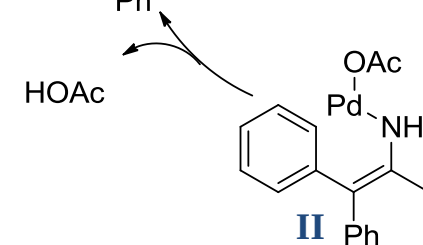
C-N bond reductive elimination



N-O bond oxidative addition



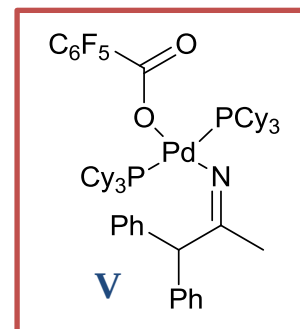
C-H activation



Tautomerization

Experimental observation:

- Complex **V** was isolated (X-Ray structure)
- Complex (1%) catalyzed the reaction with **a** in 58%
- By heating **V** indole **b** is obtained in 31%

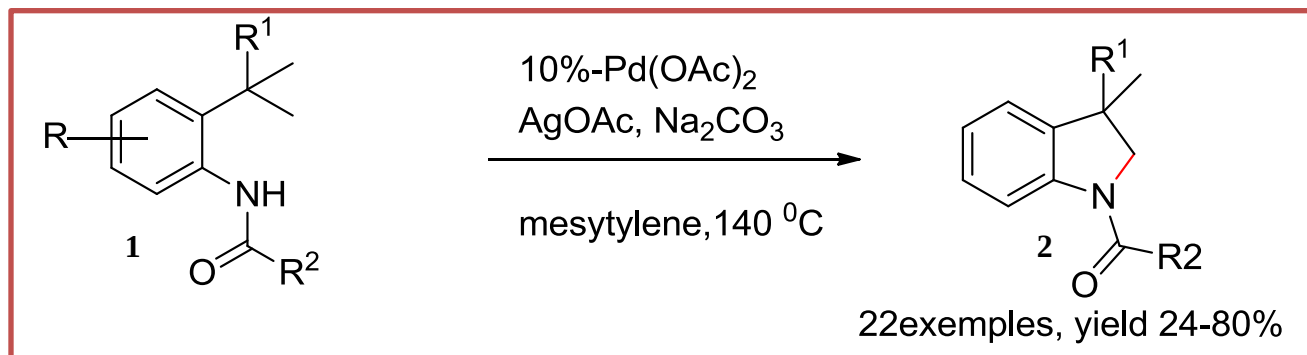


Type of C-H bond

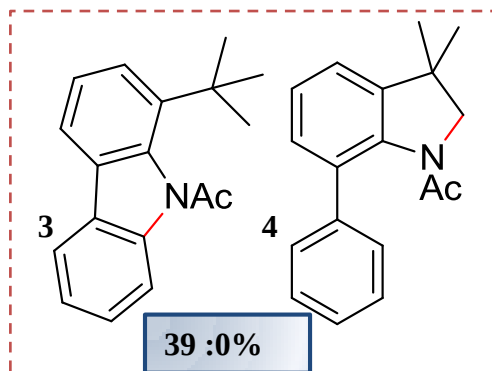
- C(sp³)-H
- Allylic
- Aromatic

Note : Pd(II) catalyzed addition of nitrogen nucleophiles to the alkene is not included in this talk

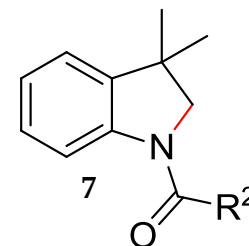
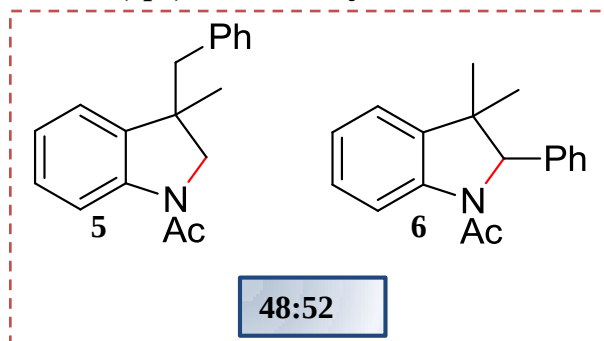
Sp³-CH Amination : from aniline to indoline



C(sp³)-H vs C(sp²)-H



C(sp³)-H vs benzylic C-H

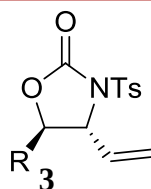
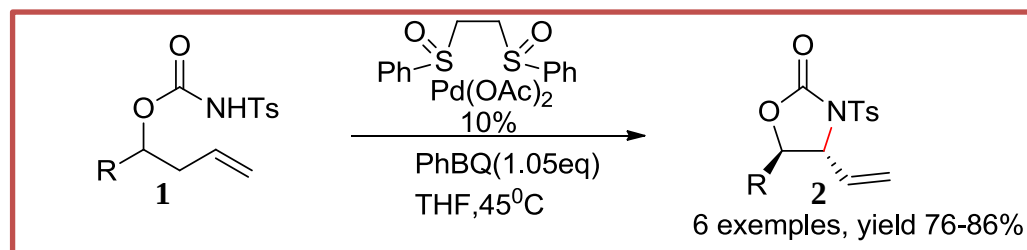


R²: H(73%)
Me(80%)
Et (59%)
iPr(24%)

- Large variety of groups is tolerated: Cl, OMe, CHO, ketone...

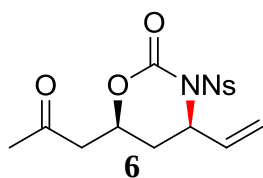
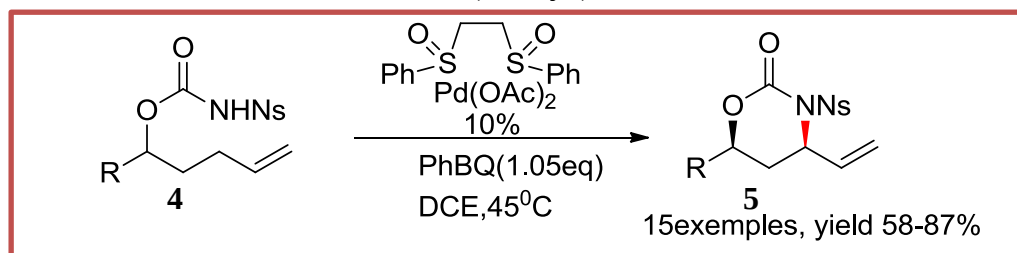
Glorius, *F. Angew. Chem. Int. Ed.*, **2009**, 48, 6892 –6895

Allylic intramolecular C-H amination: syn-1,3 and 1,2-Aminoalcohols

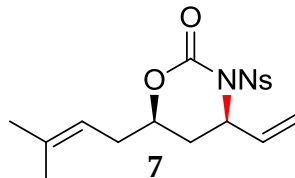


R: iPr (76%, 7/1*)
tBu (8%, 18/1)
nPr (59%, 1.6/1)

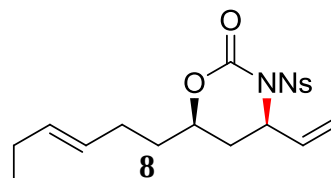
*dr (anti:syn)



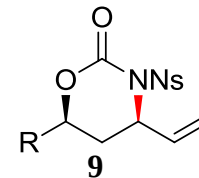
76%, 3.4/1



67%, 4.5/1



76%, 3.4/1



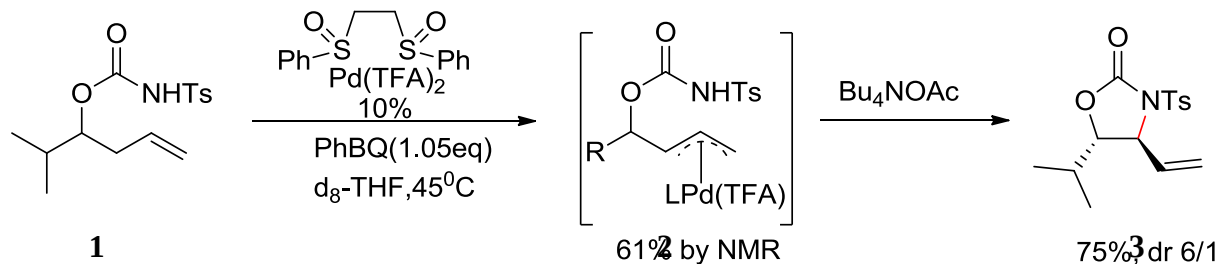
R: iPr (80%, 6/1)
tBu (84%, 6.3/1)
Et (87%, 4.3/1)

White, C. *J. Am. Chem. Soc.*, **2007**, *129*, 7274-7276; White, C. *J. Am. Chem. Soc.*, **2009**, *131*, 11707-11711

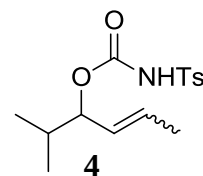
Stoichiometric allylic amination: Hegedus, L. S. *J. Am. Chem. Soc.* **1981**, *103*, 3037; Trost, B. M. *Tetrahedron*, **1977**, *33*, 2615

Possible mechanism:

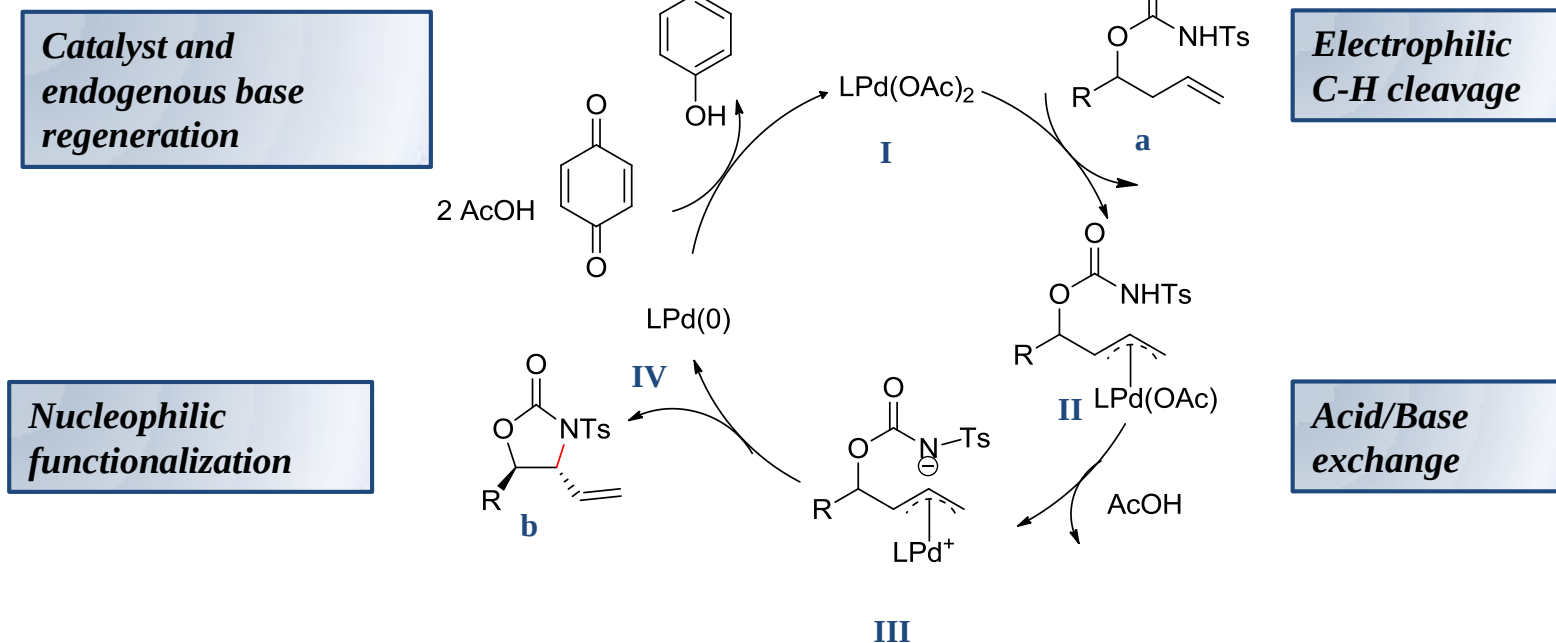
- Allylic C-H amination / isomerization of double bond followed by aminopalladation



- Alkene **4** give very poor yield (9% for Z, 20% for E)



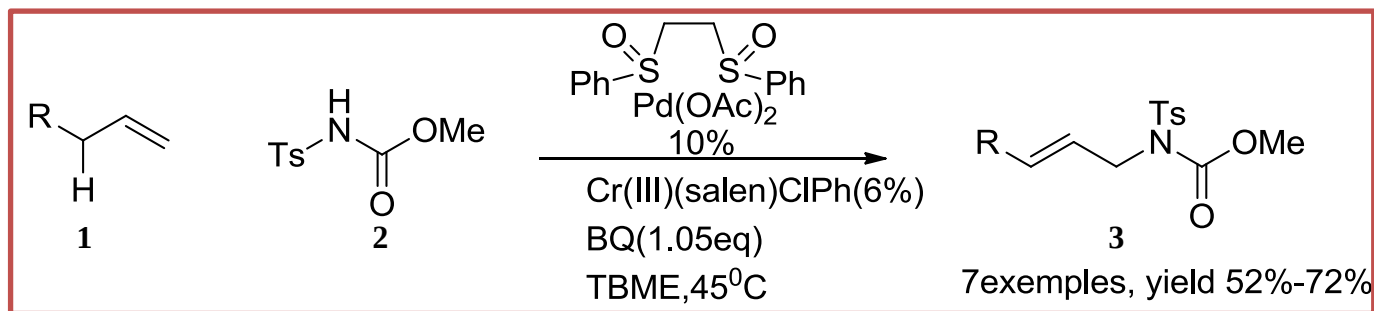
Proposed mechanism:



Allylic intermolecular C-H amination

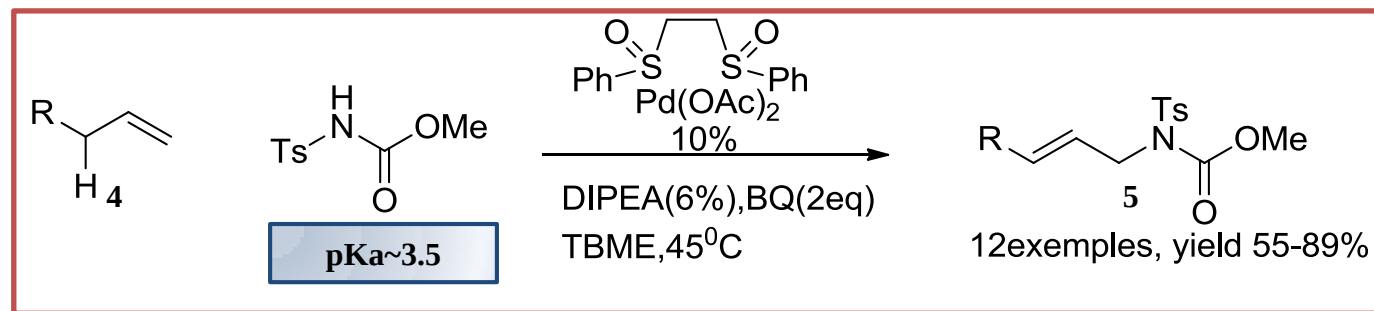
Heterobimetallic catalysis :

- Lewis Acid catalysis Cr bind to BQ π -Allyl complex increasing his electrophilicity



Bronsted base activation :

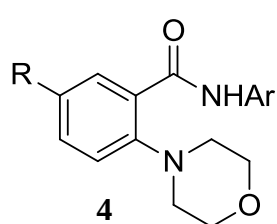
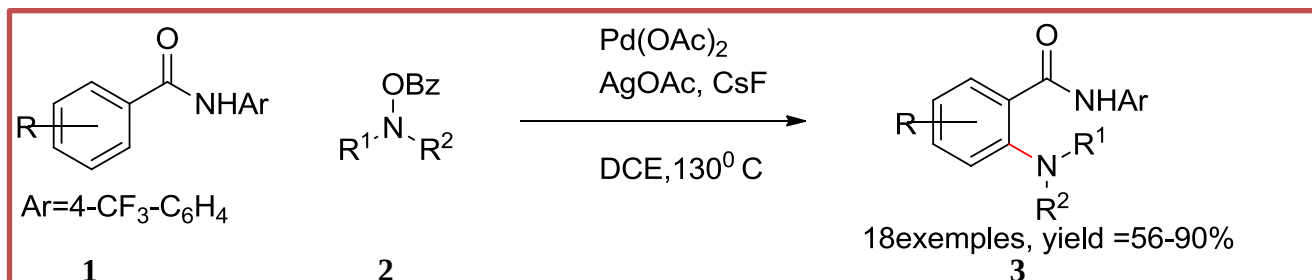
- Exogenous base will increase the concentration of deprotonated nucleophile nitrogen



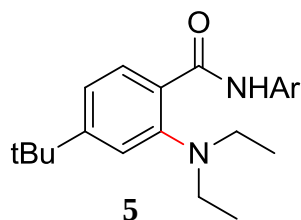
White, C. *J. Am. Chem. Soc.*, **2008**, *130*, 3316-3318

White, C. *J. Am. Chem. Soc.*, **2009**, *131*, 11701-11706

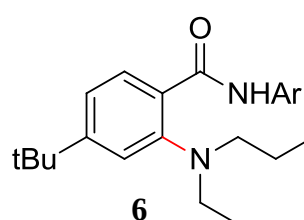
Aromatic intramolecular amination with amine



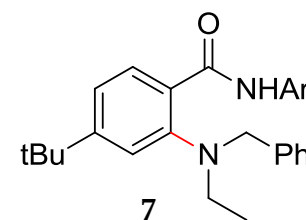
R: OMe (79%)
 Br (56%)
 Cl (60%)
 Me (82%)



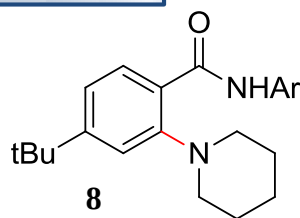
73%



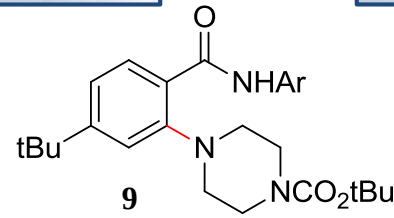
80%



75%



97%

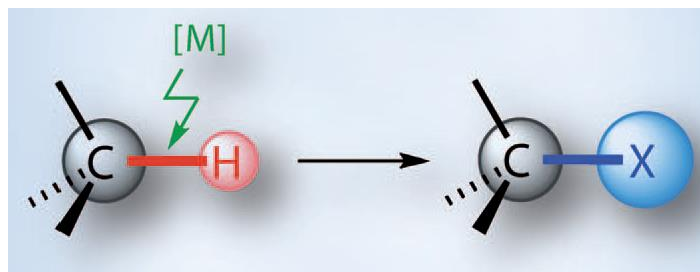


78%

- No external oxidant is required
- Reaction work as well with amine in presence of benzoyl peroxide
- CsF as base, cyclopaladation, Pd(II)/Pd(IV) or electrophilic amination
- Pd(dba)₂ catalyzed as the reaction: N-O bond oxidative addition

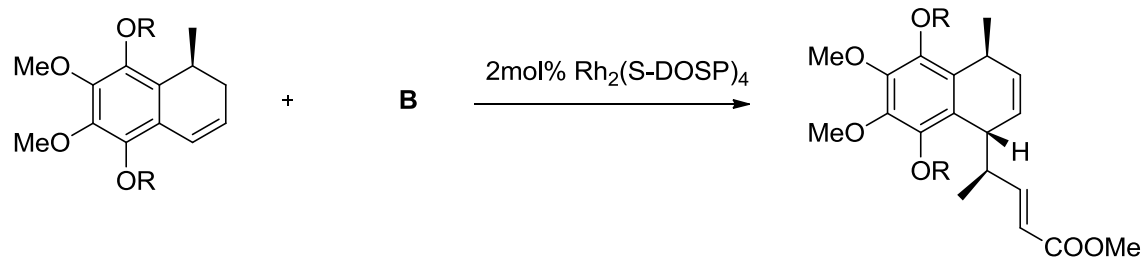
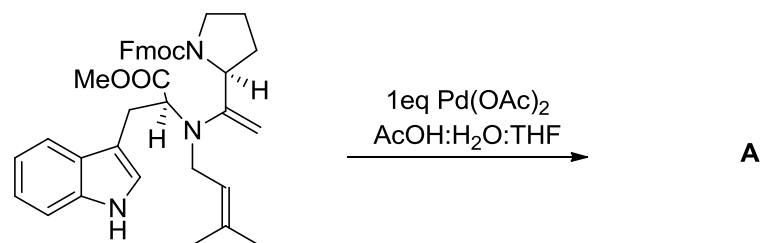
Thank you for your
attention!

Late stage transition metal catalyzed C-H functionalization in total synthesis



Questions

Complete the following syntheses:



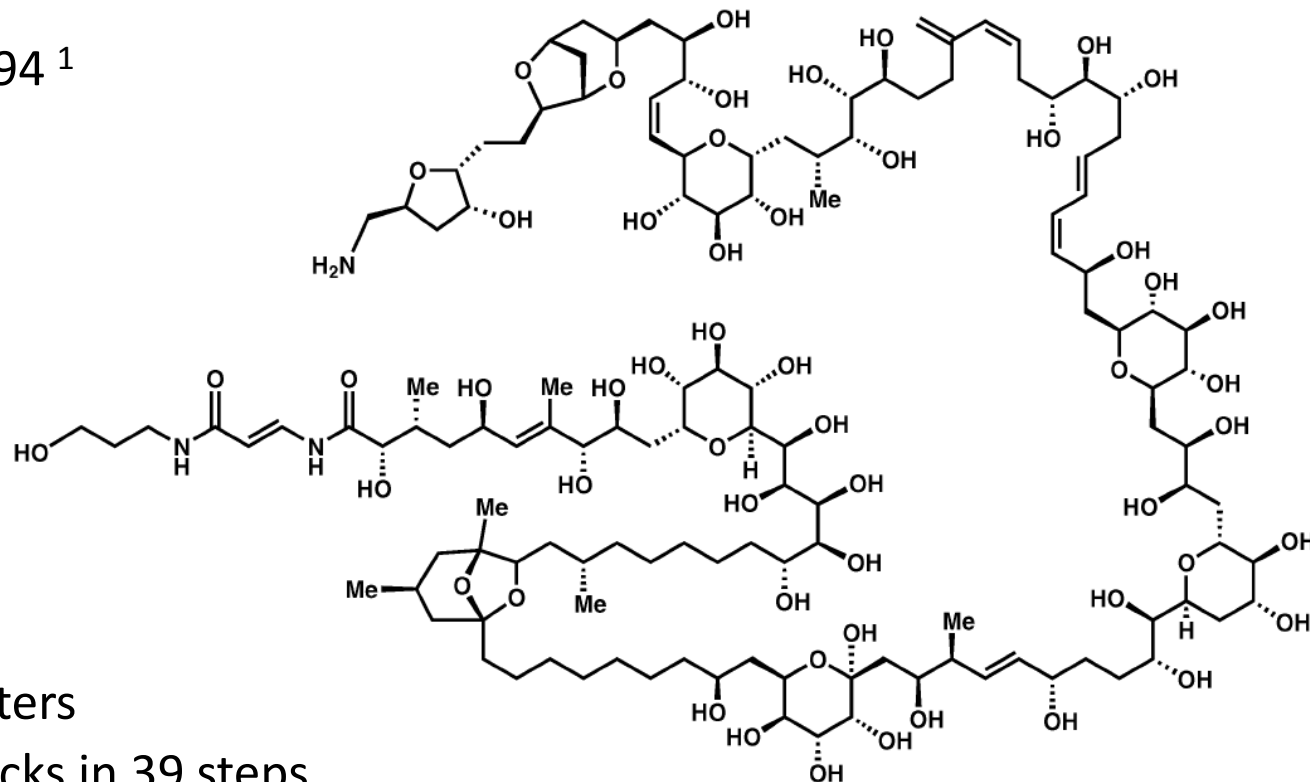
Introduction to the concept of « economy »

- Hendrickson “ideal synthesis”¹:

“ The ideal synthesis creates a complex molecule from available small molecules ... linking them together in a sequence only of successive construction reactions involving no intermediary refunctionalizations, and leading directly to the structure of the target, not only its skeleton but also its correctly placed functionality.”

Introduction to the concept of « economy »

Palytoxin, Kishi, 1994 ¹



64 stereogenic centers

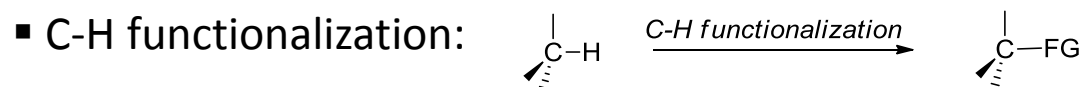
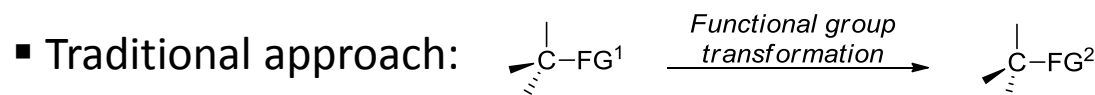
from 7 building blocks in 39 steps

(11 skeleton forming, 15 refunctionalization, 13 protecting group management steps)

→ far away from Hendrickson's « ideal synthesis »

C-H bond functionalization

- Formally, any retrosynthetic disconnection resulting in a C-H synthon in a C-H bond functionalization¹
- Generally, restricted to “inert” C-H bonds

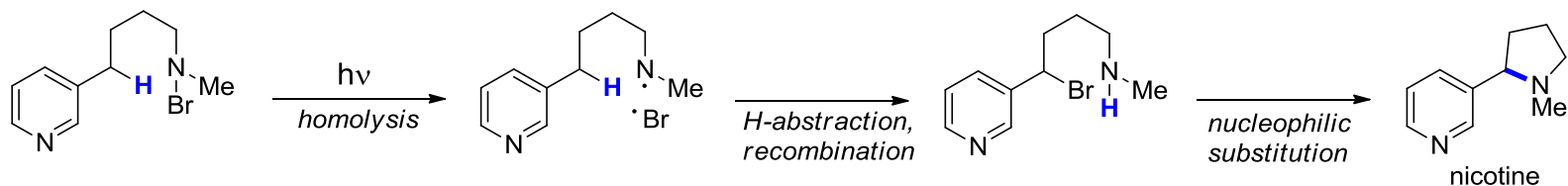


→ C-H bonds are omnipresent so that methods must be selective

→ Methods must be tolerant to the other functionalities to be applied at a late stage

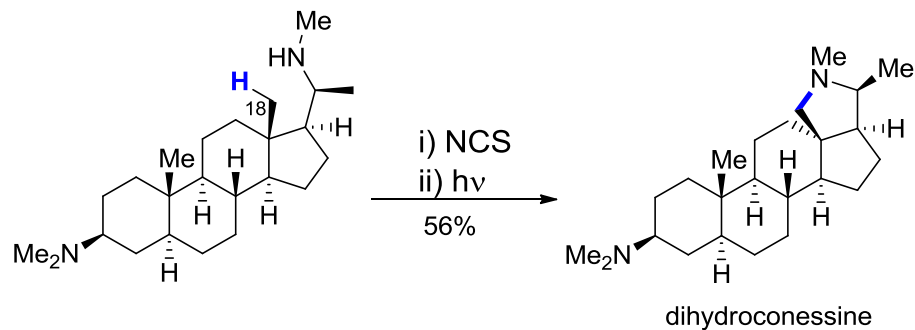
Pioneering work

■ 1909: Nicotine, Löffler ¹



- earliest example of C–H functionalization in total synthesis
- one single step

■ 1958: Dihydroconessine, Corey ²

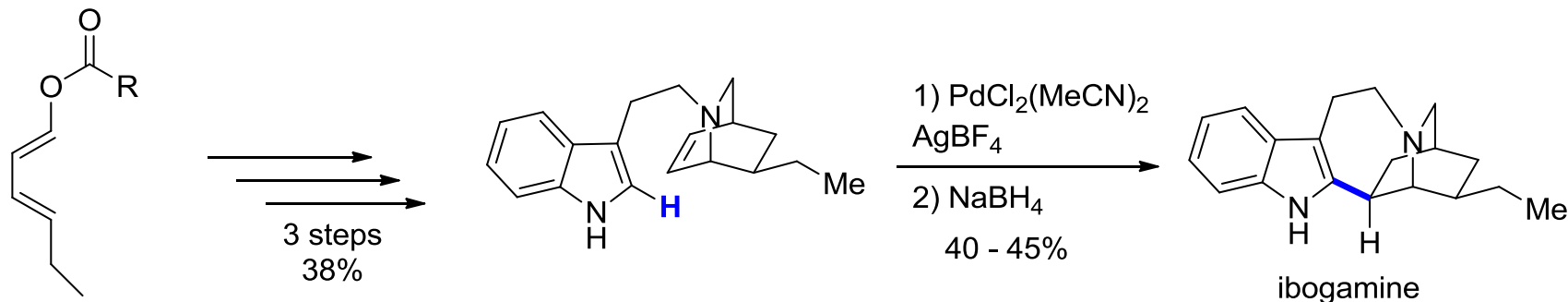


1. K. Löffler, *Ber.*, **1909**, 42, 3431-3438

2. E.J. Corey, *J.A.C.S.*, **1958**, 80, 2905-2906

Pioneering work

- 1978: Ibogamine, Trost¹



- earliest example of transition metal catalyzed C–H activation in total synthesis
- stoichiometric quantities of palladium and silver salts
- 4 steps; 17% overall yield

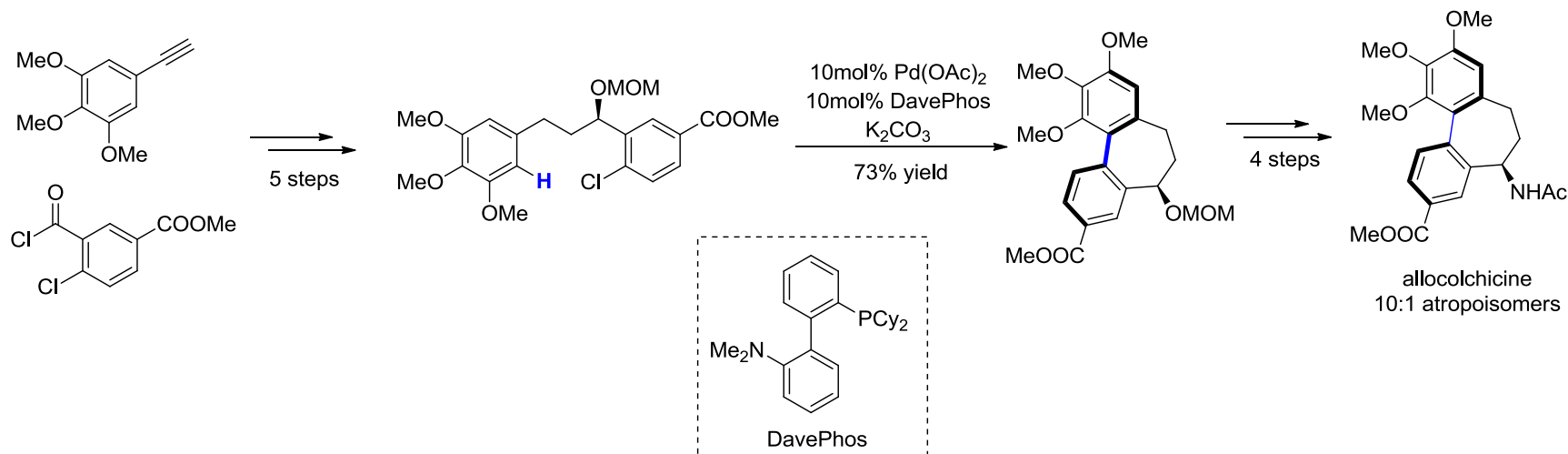
(previous synthesis by Ziegler² was 14 steps and overall yield <1%)

1. B.M. Trost, *J.A.C.S.*, **1978**, 100, 3930-3931

2. E. Ziegler, *J.A.C.S.*, **1965**, 87, 2073-2075

Recent developments

■ 2005: Allocolchicine, Fagnou ¹



- intramolecular direct arylation of aryl chloride
- 10 steps, 24% overall yield

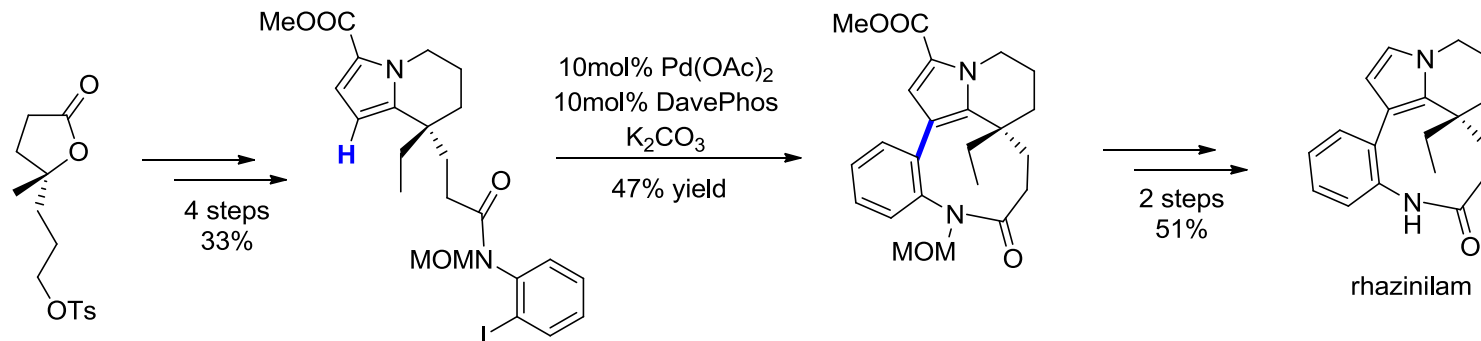
(previous synthesis by Wulff ² was 14 steps, 7% overall yield)

1. K. Fagnou, *Org. Lett.*, **2005**, 7, 2849-2852

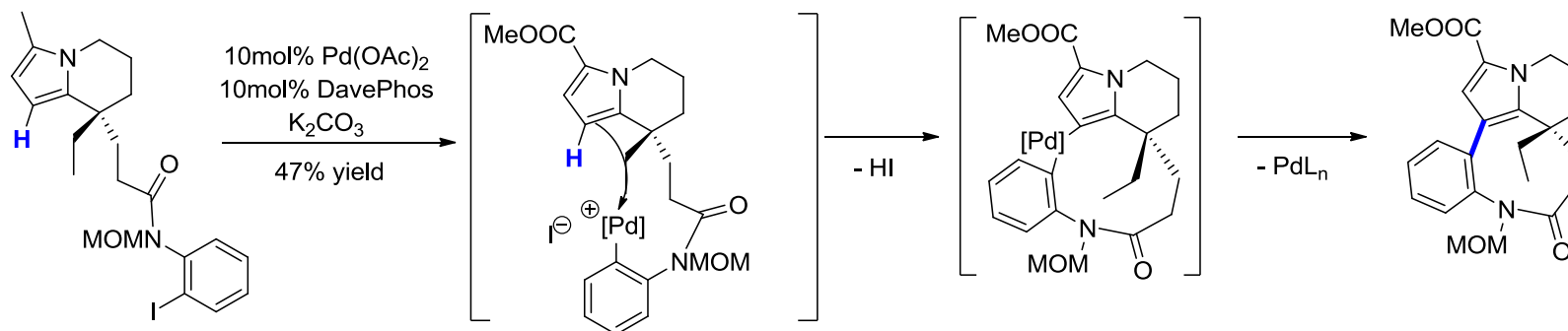
2. W. D. Wulff, *J. Org. Chem.*, **2003**, 68, 5826-5831

Recent developments

■ 2005: Rhazinilam, Trauner¹



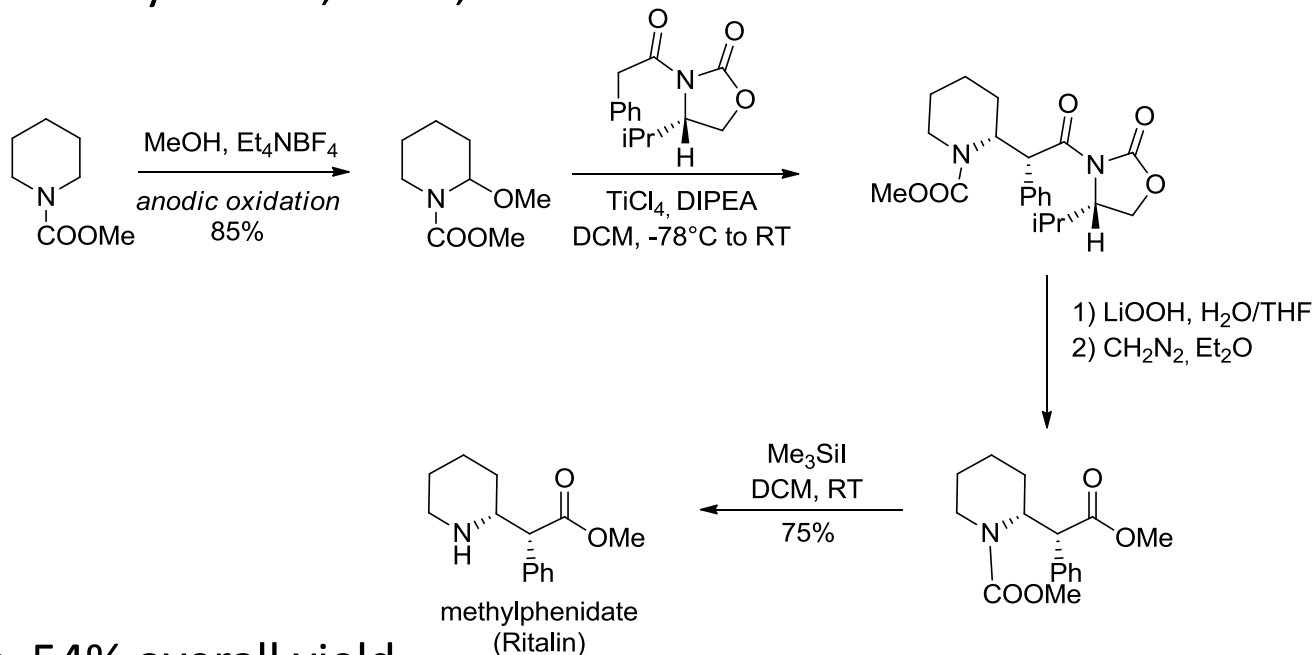
- Palladium(II)-catalyzed intramolecular direct arylation
- 7 steps, 8% overall yield



Recent developments

- 2004: Methylphenidate (Ritalin), Davies ¹

- Previous synthesis, 1999, Matsumura ²



5 steps, 54% overall yield

95% de, >99% ee

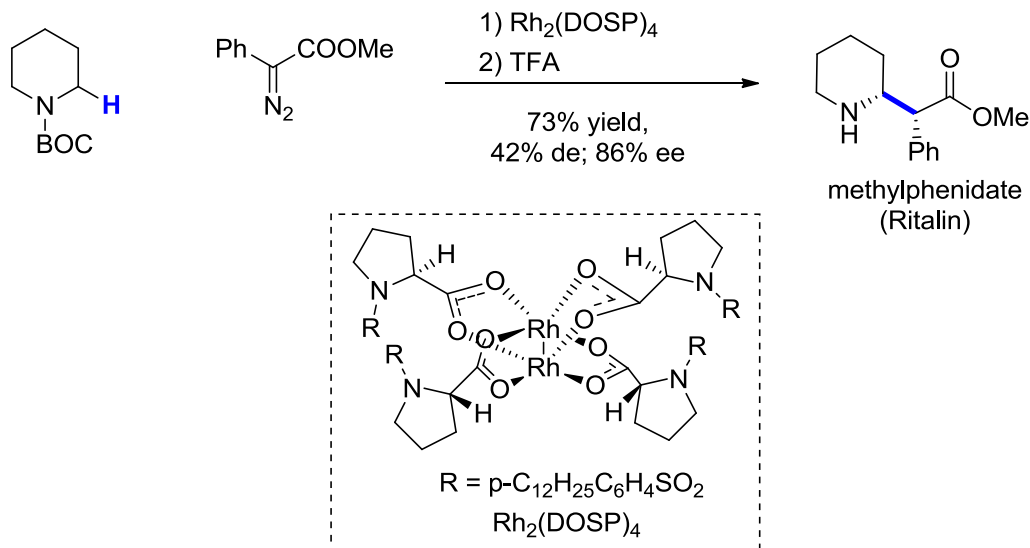
Stoichiometric amount of Evans chiral auxiliary

1. H.M.L. Davies, *Bioorg. Med. Chem. Lett.*, **2004**, 14, 1799-1802

2. Y. Matsumura, *Org. Lett.*, **1999**, 1, 175-178

Recent developments

- 2004: Methylphenidate (Ritalin), Davies ¹



Rhodium(II)-catalyzed intermolecular C–H insertion

2 steps, 73% yield

42% de, 86% ee

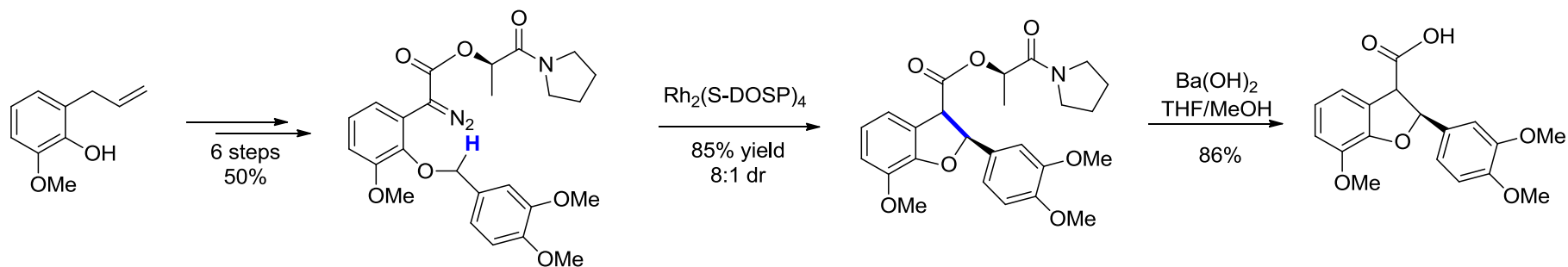
Recent developments

- 2011: Lithospermic acid, J.Q. Yu¹
- 2005: Ellman²: 14 steps, 6% overall yield
- 2011: J.Q. Yu: 13 steps, 10% overall yield

2 key C-H functionalization reactions

First key step:

Rhodium(II)-catalyzed intermolecular C–H insertion using Davies' conditions³



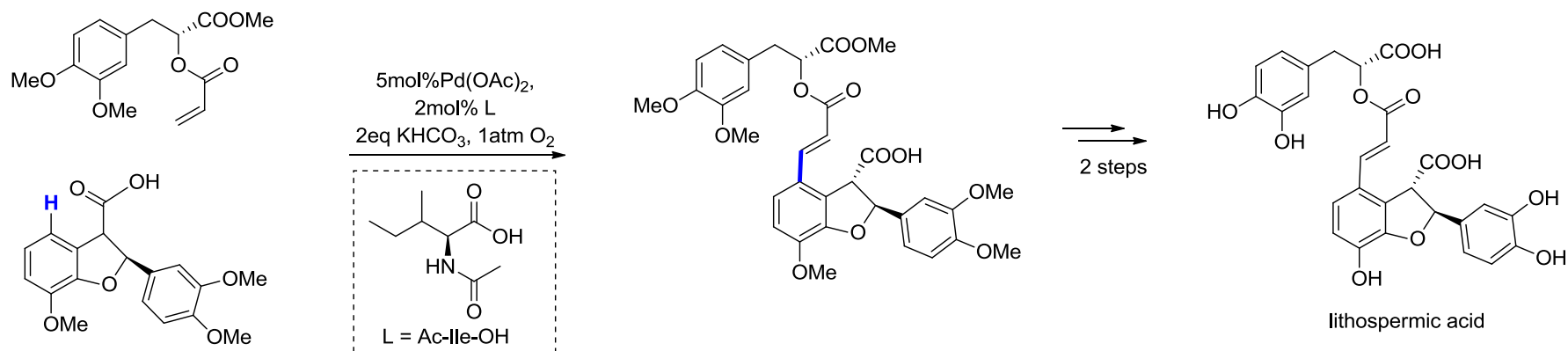
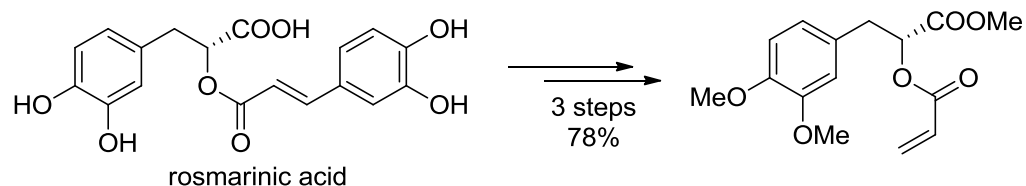
1. J. Q. Yu, *J.A.C.S.*, **2011**, 133, 5765-5769
2. J. A. Ellman, *J.A.C.S.*, **2005**, 127, 13496
3. H.M.L. Davies, *Bioorg. Med. Chem. Lett.*, **2004**, 14, 1799-1802

Recent developments

- 2011: Lithospermic acid, J.Q. Yu¹

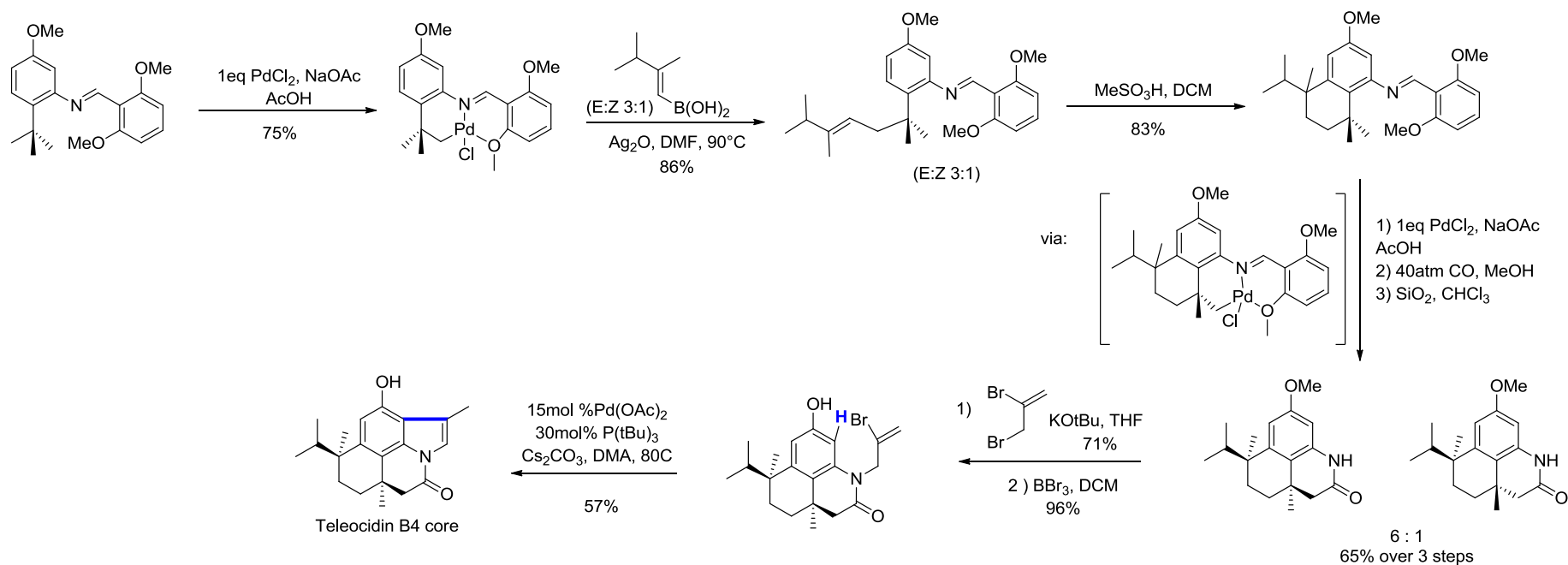
Second key step:

Palladium(II)-catalyzed intermolecular C-H olefination



Recent developments

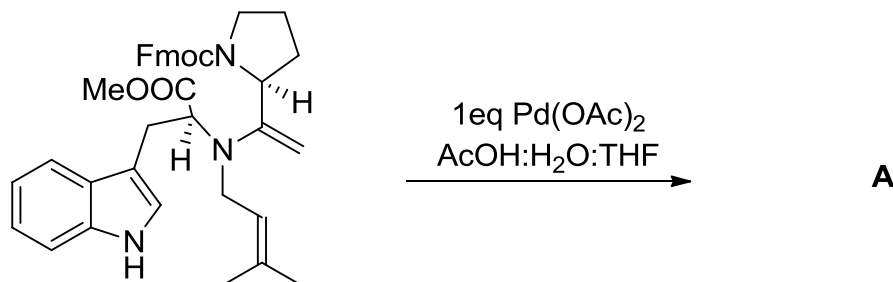
- 2002: core of Teleocidin B4, Sames ¹
 - 9 steps including 4 C-C bond forming step including:
 - a palladium(II) catalyzed intramolecular alkenylation
 - two tandem cycles of directed C-h bond functionalization of t-Bu group



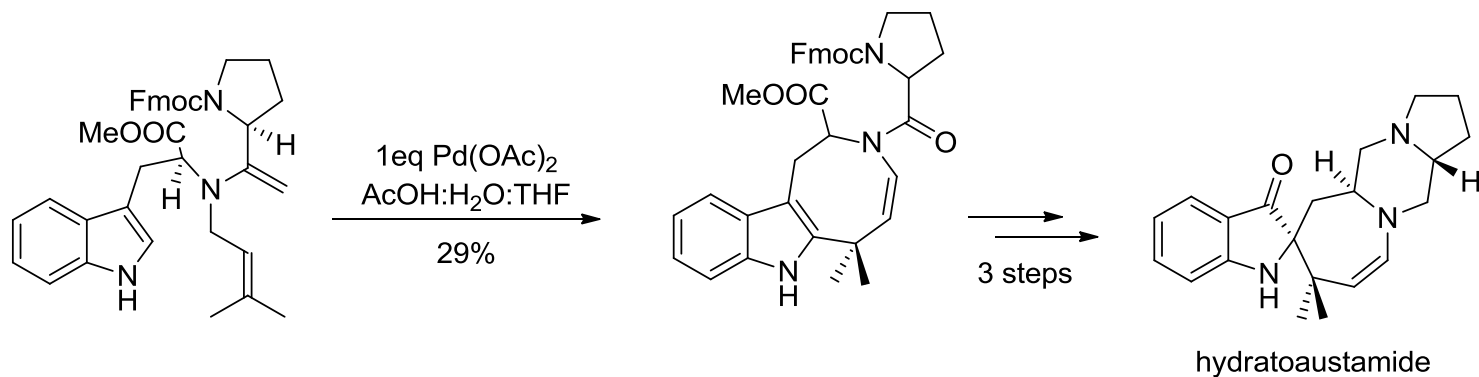
Conclusion and outlook

- Advantages of applying C-H functionalization to total synthesis:
 - Atom economy
 - Step economy
 - Redox economy
 - New methods for functionalizing C-H bonds rapidly growing leading to new retrosynthetic disconnections
- C-H bond is a new function

Questions

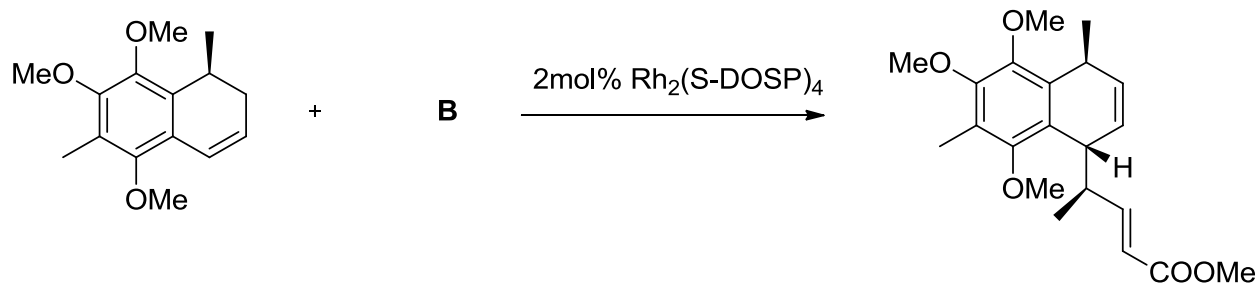


■ Corey's hydratoaustamide synthesis¹:

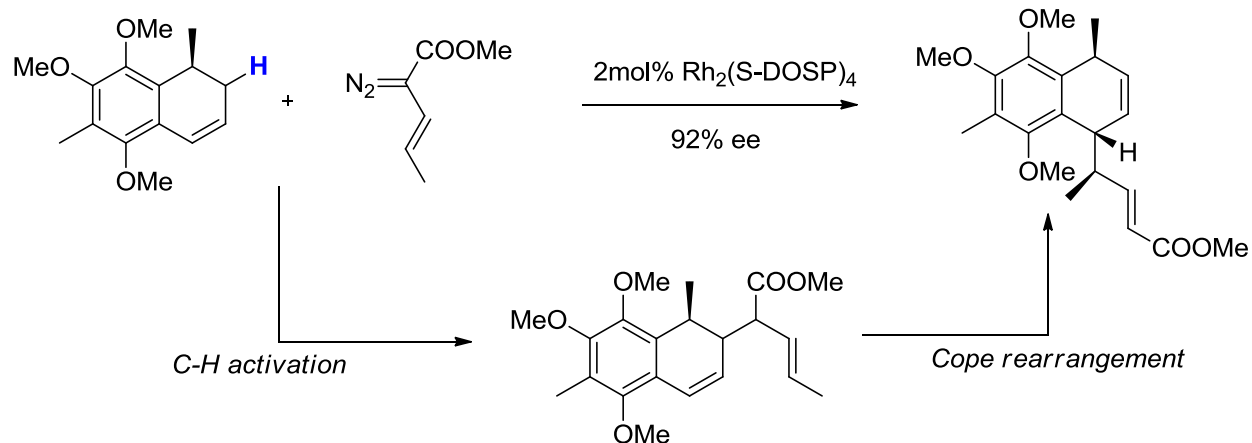


- Indole is palladated at C-2 position (similar to Trost's ibogamine synthesis)

Solutions to questions



■ Davies' Elisapterosin synthesis¹:



Rhodium-catalyzed hydroamination of olefin

Baihua YE

06/06/2011

Summary

1. Introduction

2. Rhodium-catalyzed intermolecular hydroamination

2.1. Rh-catalyzed hydroamination of ethylene

2.2. Rh-catalyzed hydroamination of norbornene

2.3. Rh-catalyzed hydroamination of aryl olefin

3. Rhodium-catalyzed intramolecular hydroamination

3.1. Markovnikov cyclization

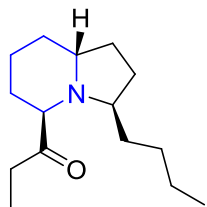
3.2. Anti-Markovnikov cyclization

4. Enantioselective intramolecular hydroamination

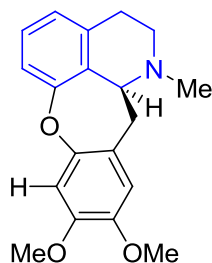
5. Conclusion and Outlook

1. Introduction

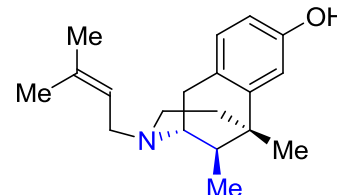
The ubiquity of nitrogen-containing compounds in naturally occurring and biologically active molecules



(Myrmecaria Alkaloid)^a



(+)-Cularine
(Cularine alkaloid)^b



(-)-Pentazocine^c
(Opium alkaloid)

Hydroamination^d: Direct formation of C-N bonds from amine and alkene or alkyne

- One of the simplest and most atom-economical methods
- From the inexpensive starting materials to the nitrogen heterocycles.

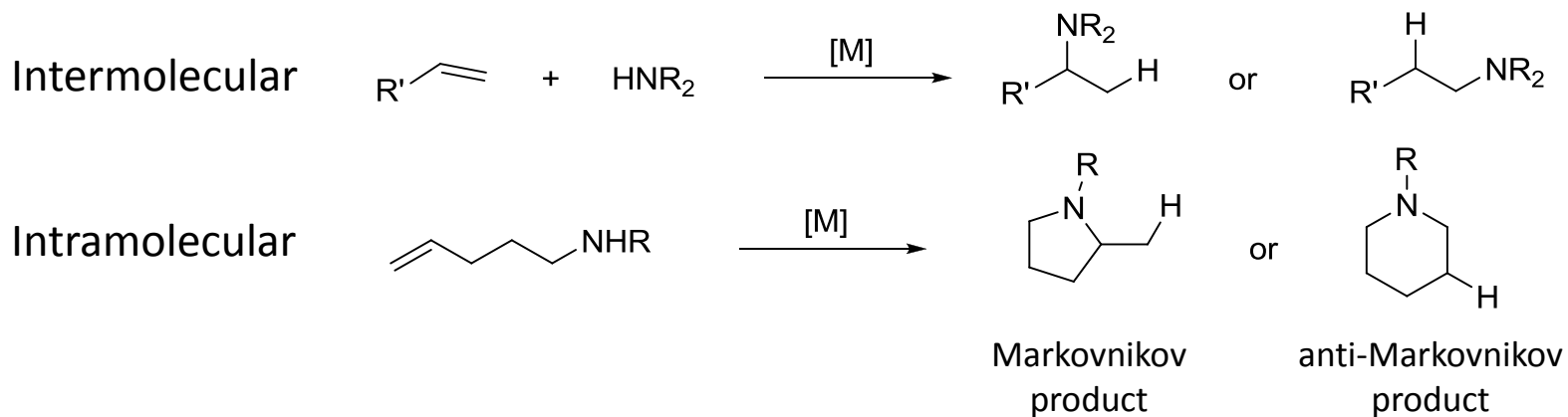
^aNat. Prod. Rep. **2000**, 17, 435

^bChem. Rev. **2004**, 104, 3341

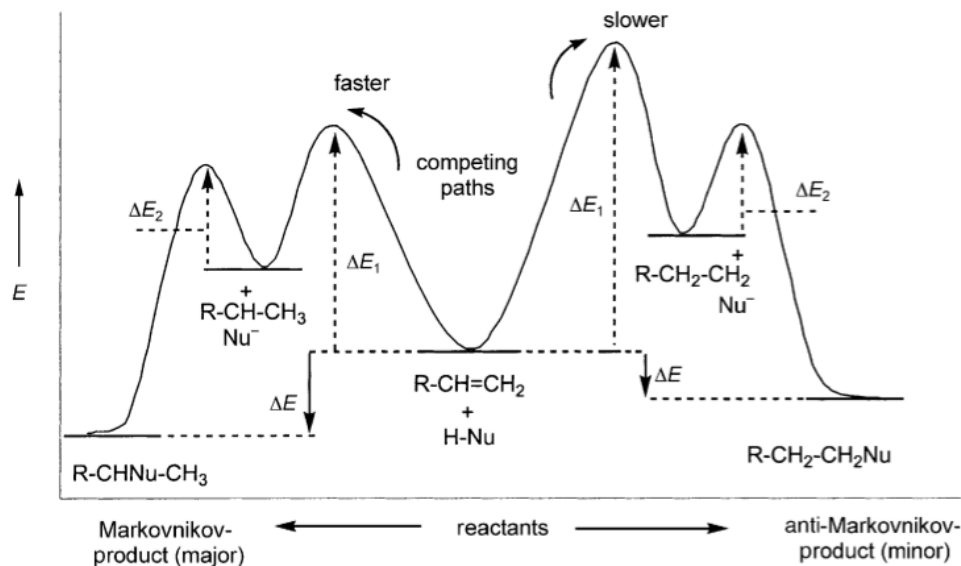
^cJACS **2003**, 8744

^dChemCatChem **2010**, 2, 1192

1. Introduction

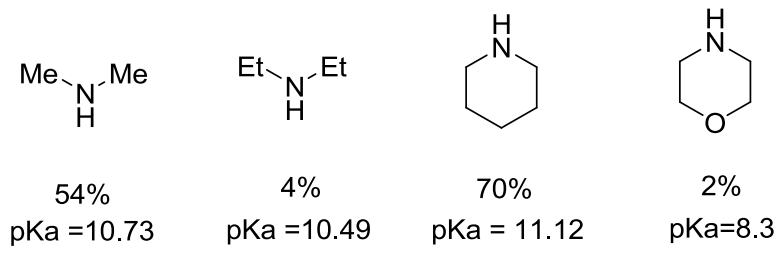
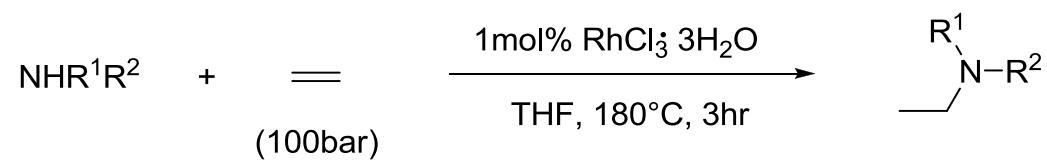


Energy diagram of the addition of H-Nu to olefins^a



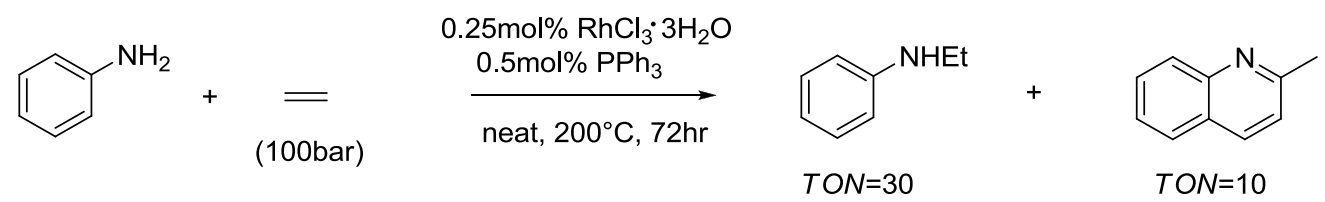
2.1. Rh-catalyzed hydroamination of ethylene

Coulson^a, 1970: First example of a homogeneous transition metal-catalyzed hydroamination



- Steric hindrance
- Basicity (or nucleophilicity)

Diamond^b, 1979: subsequent investigation

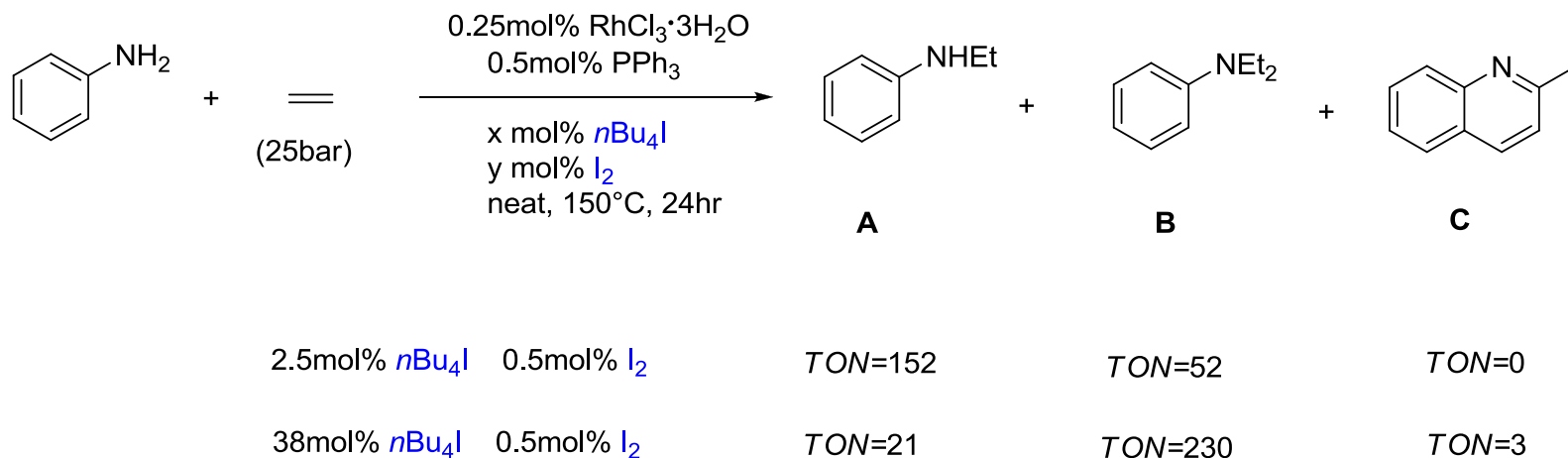


- 10% overall yield
- Main side reaction: the dimerization of ethylene to butene

^a*Tetrahedron Lett.* **1971**, 429
^b*JACS.* **1979**, 490

2.1. Rh-catalyzed hydroamination of ethylene

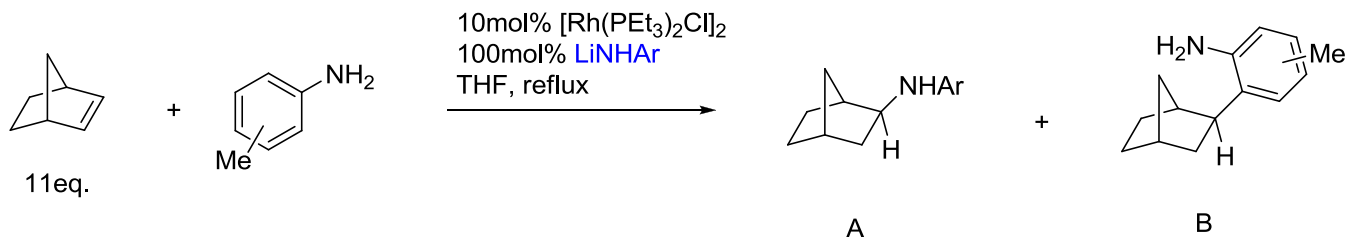
Brunet^a: more active catalytic system



- About 65% overall yield
- Increase of catalytic efficiency
- Basicity: diethylaniline > ethylaniline

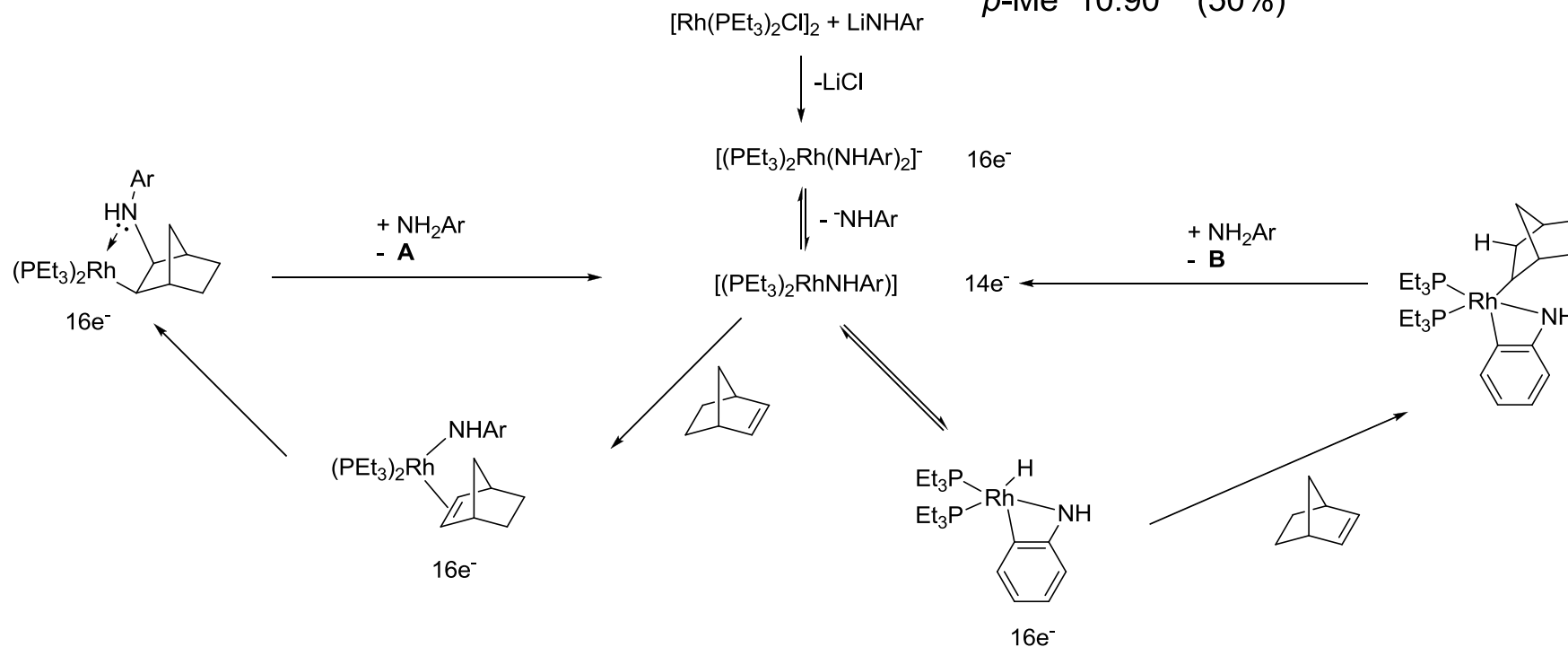
2.2. Rh-catalyzed hydroamination of norbornene

Brunet^a: norbornene



Hypothesized mechanism:

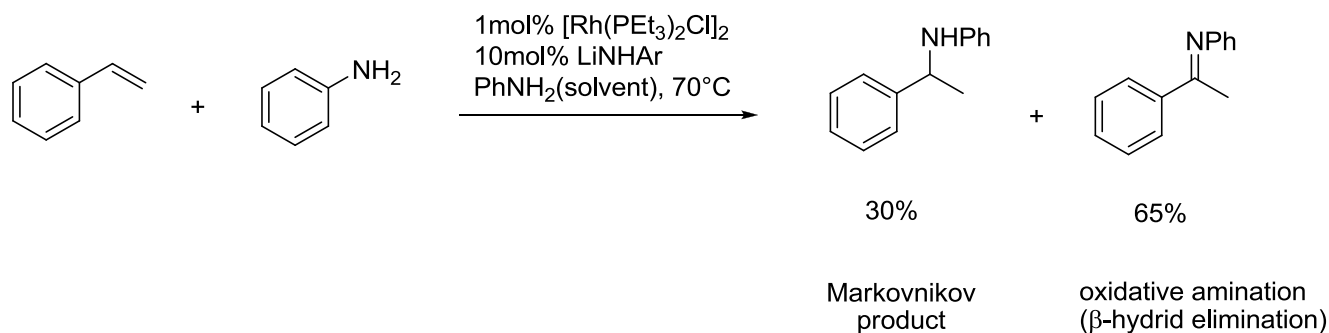
	A:B	
<i>o</i> -Me	0:100	(95%)
<i>m</i> -Me	40:60	(50%)
<i>p</i> -Me	10:90	(50%)



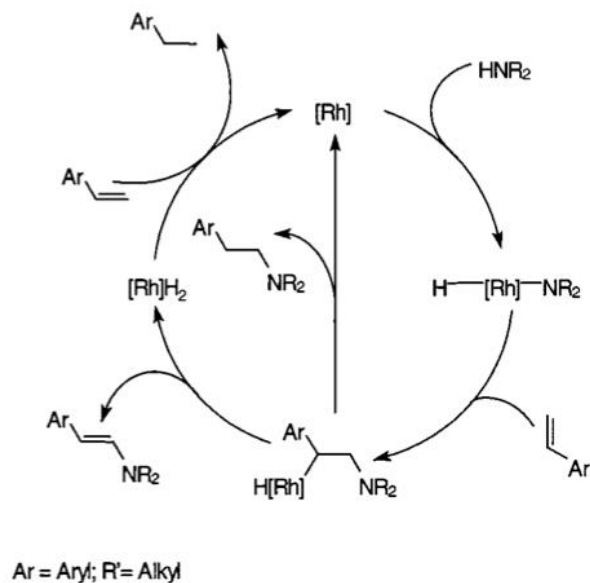
Orthometallation

2.3. Rh-catalyzed hydroamination of aryl olefin

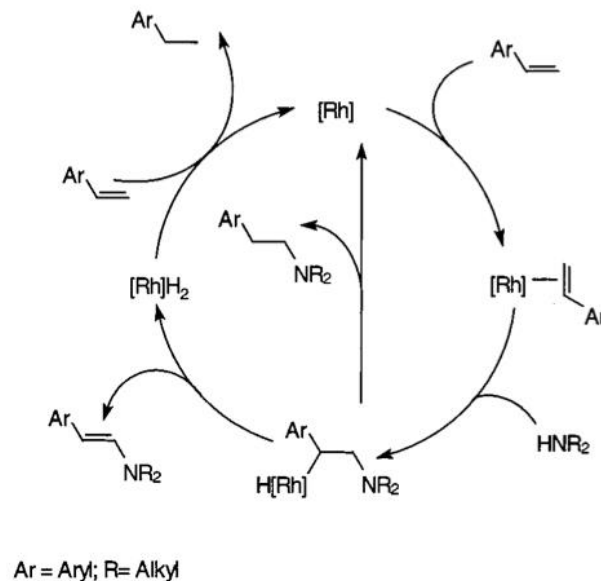
Brunet^a: Styrene



N-H oxidative addition

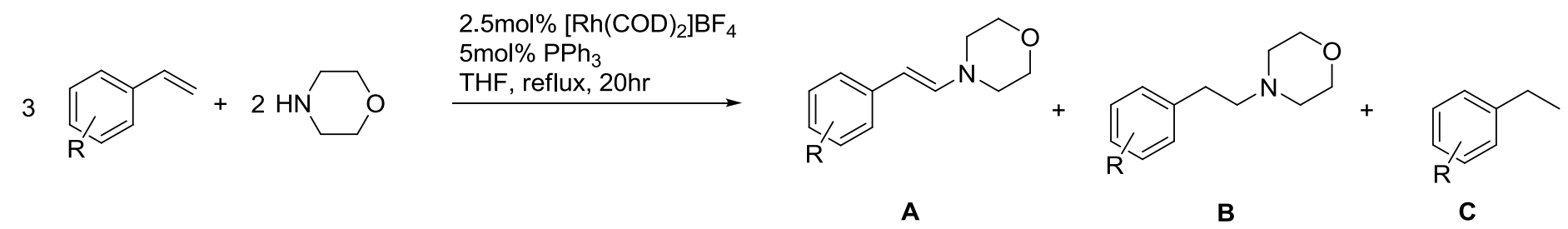


Nucleophilic attack on coordinated alkene



2.3. Rh-catalyzed hydroamination of aryl olefin

Beller^b: anti-Markovnikov product



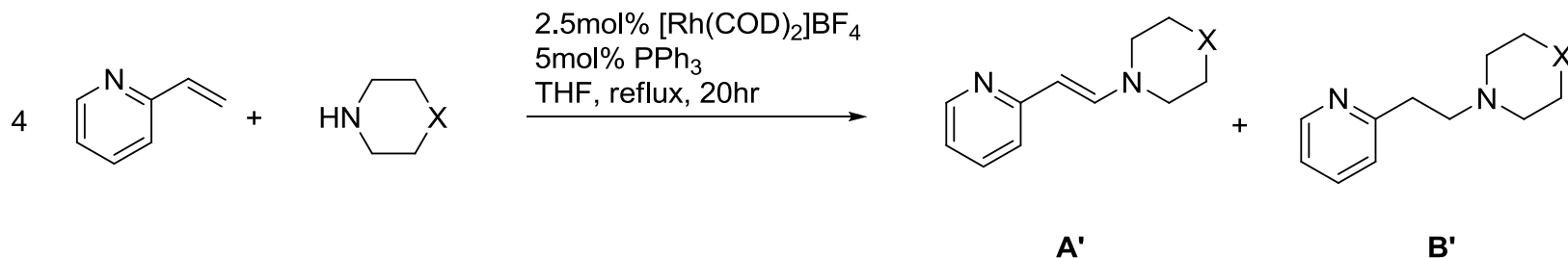
	A	B	C	A/B
R=H	74%	14%	84%	5.3
R=4-Me	76%	15%	99%	5.1
R=2-Me	27%	6%	20%	4.5
R=4-OMe	50%	12%	47%	4.2
R=4-F	60%	19%	85%	3.1
R=4-CF ₃	21%	2%	9%	10.5

Electronic and steric factors
of aryl olefin

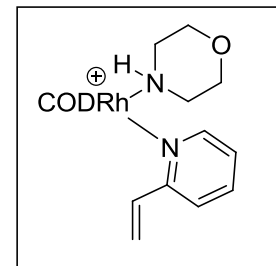
^bChem. Eur. J. **1999**, 5

2.3. Rh-catalyzed hydroamination of aryl olefin

Beller^a: vinyl pyridine



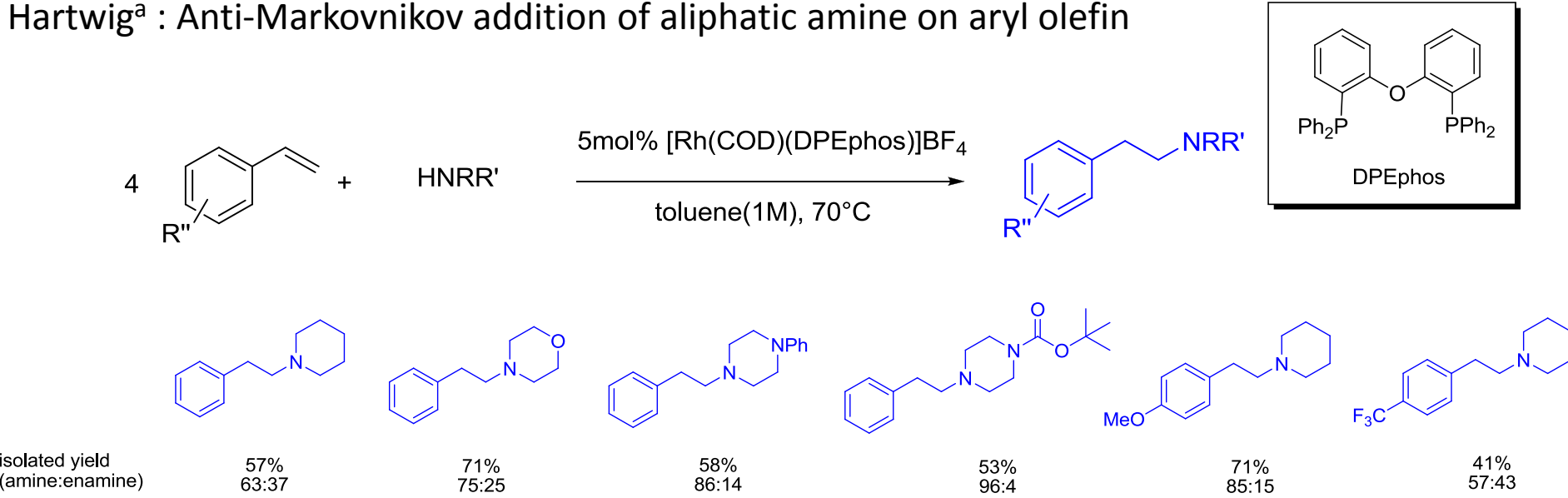
	A'	B'
X=C	47% (2%)	53% (97%)
X=O	2%	98%
X=S	<1%	98%
X=NPh	8%	91%



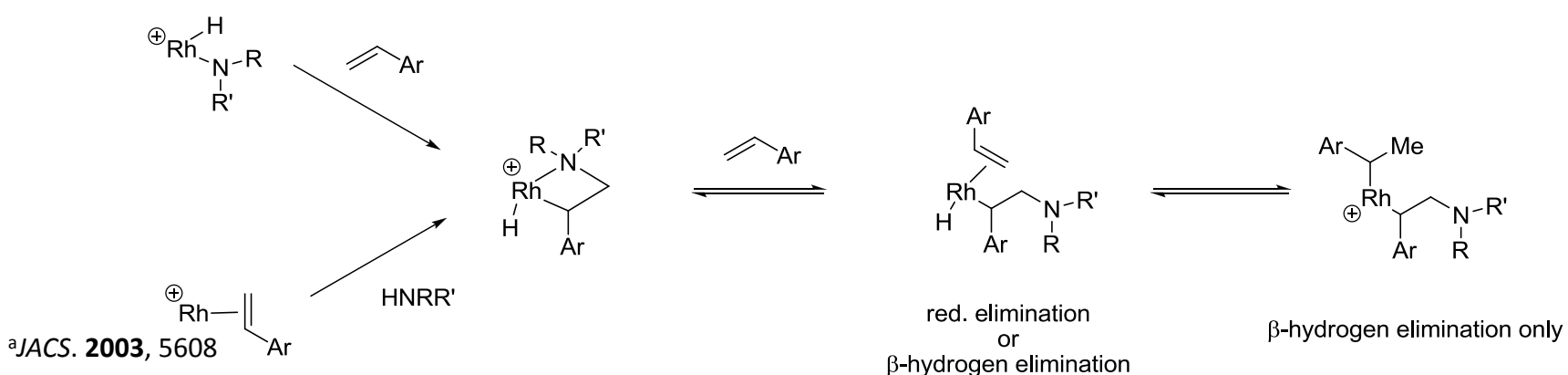
- Increased amount of PPh_3 leads to enamine product.
- Coordination of N-atom of pyridine to Rh metal center leads a decreased in a electron density of vinyl group (Micheal acceptor).
- Mechanism: N-H oxidative addition

2.3. Rh-catalyzed hydroamination of aryl olefin

Hartwig^a : Anti-Markovnikov addition of aliphatic amine on aryl olefin

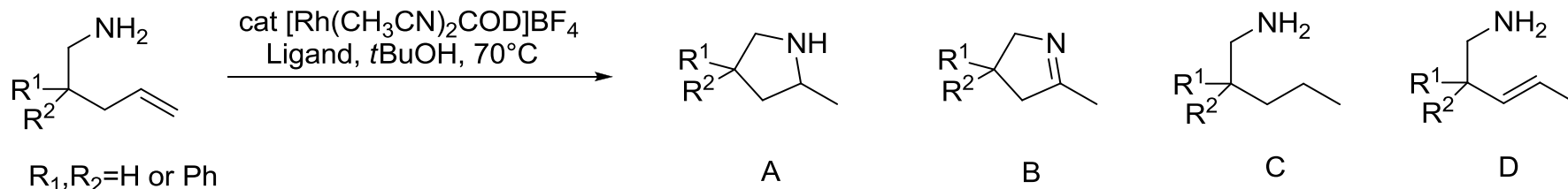


Excess equivalent of aryl olefin is essential:

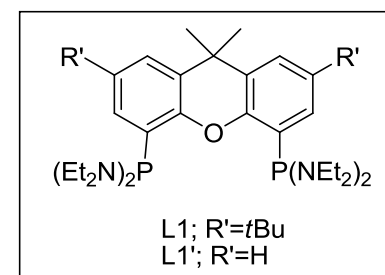


3.1. Intramolecular hydroamination- Markovnikov cyclization

Hartwig^a: Primary Aminoalkene: initial results



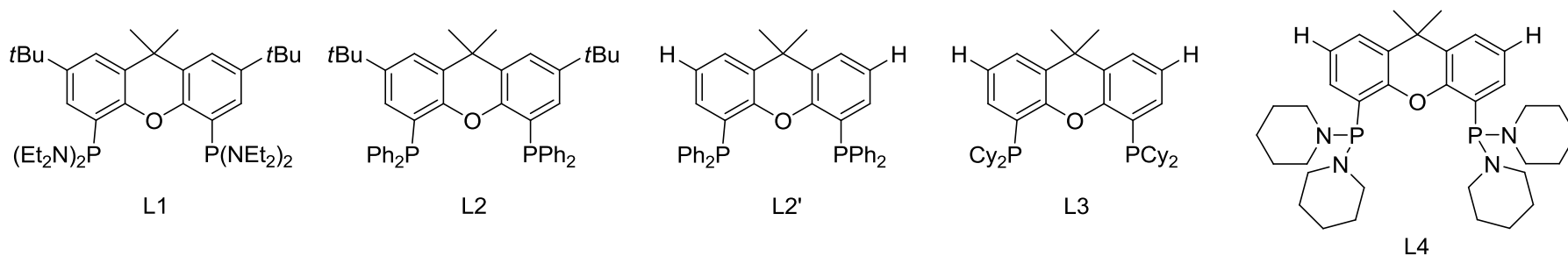
SM	mol % [Rh]	Ligand	time(h)	conversion (%)	A	B	C	D
	1	L1	2	100	87%	5%	5%	3%
	1	L1'	2	100	83%	8%	8%	1%
	3	L1	15	100	78%	9%	9%	2%
	3	L1'	15	100	83%	7%	7%	3%



- Markovnikov product
- Geminal disubstitution on the alkyl linker
- *t*-Bu group on the xanthene backbone had little effect on the reactivity or selectivity of the catalyst

3.1. Intramolecular hydroamination- Markovnikov cyclization

Investigation of suitable substitution on the Xantphos^a:

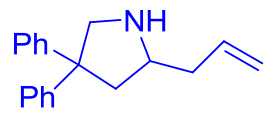
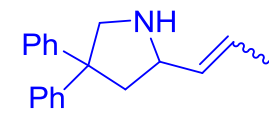
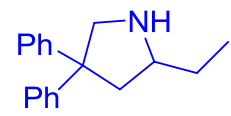
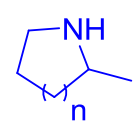
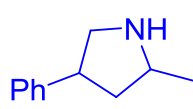
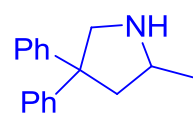
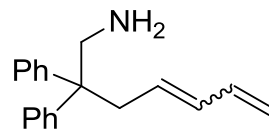
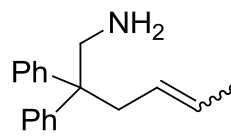
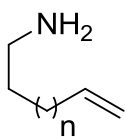
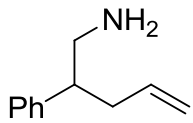
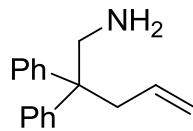


SM	mol % [Rh]	Ligand	time(h)	conversion (%)	A	B	C	D
	1	L1	2	100	87%	5%	5%	3%
	3	L2	2	83	18%	2%	0%	80%
	3	L2'	2	62	10%	2%	0%	88%
	3	L3	2	47	50%	25%	25%	3%
	3	L4	2	100	70%	12%	12%	6%

^aJACS, **2010**, 13813; JACS, **2008**, 1570

3.1. Intramolecular hydroamination- Markovnikov cyclization

Scope of Rh-Catalyzed Hydroamination of primary and secondary amines
Catalytic loading 1-18mol%



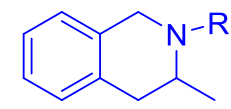
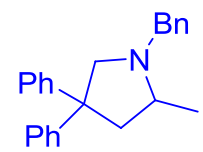
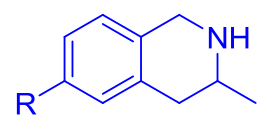
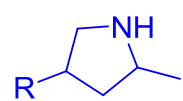
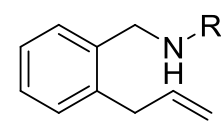
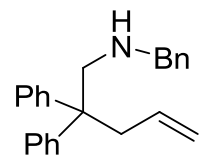
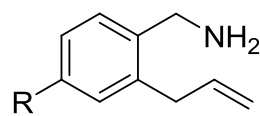
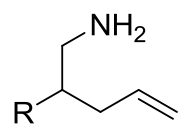
80%

60%
1.3:1

n=1; 76%
n=2; 77%

40%

64%



R=OTBDPS; 71%; 1.6:1
R=4-(CO₂Me)C₆H₄; 64%; 1.6:1
R=4-(CH₂CN)C₆H₄; 79%; 1.5:1
R=4-(CH₂OH)C₆H₄; 56%; 1.1:1

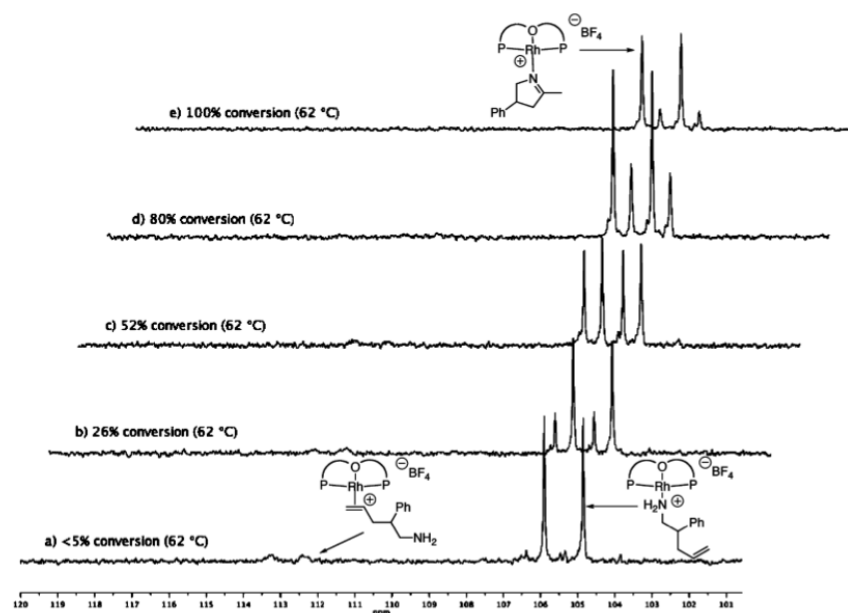
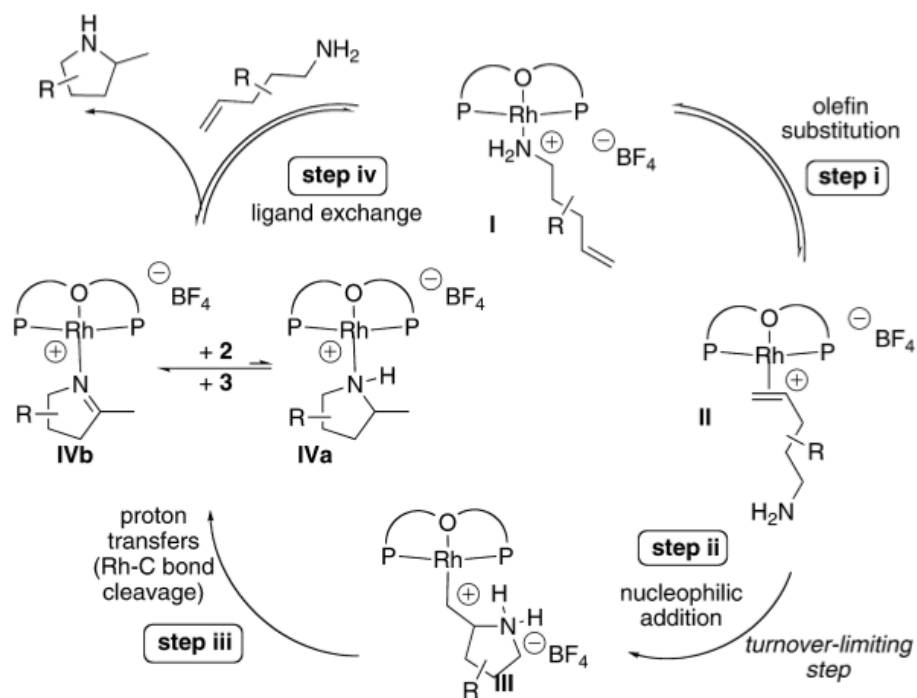
R=H; 87%
R=Cl; 84%

83%

R=iPr; 78%
R=Bn; 86%

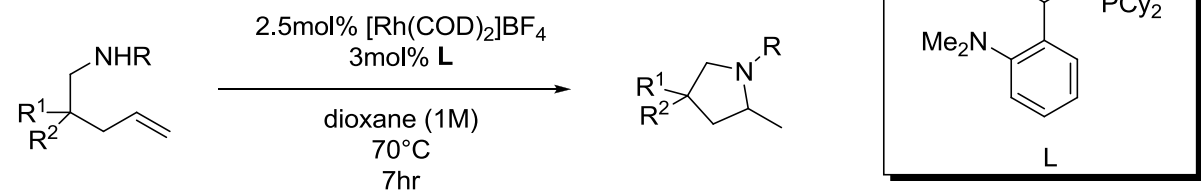
3.1. Intramolecular hydroamination- Markovnikov cyclization

Proposed mechanism^a

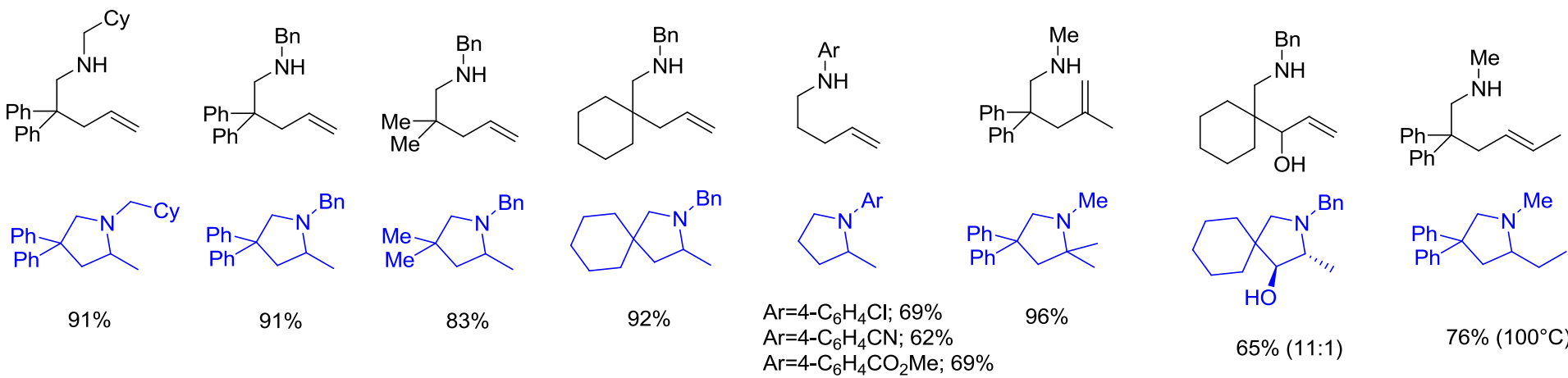


3.1. Intramolecular hydroamination- Markovnikov cyclization

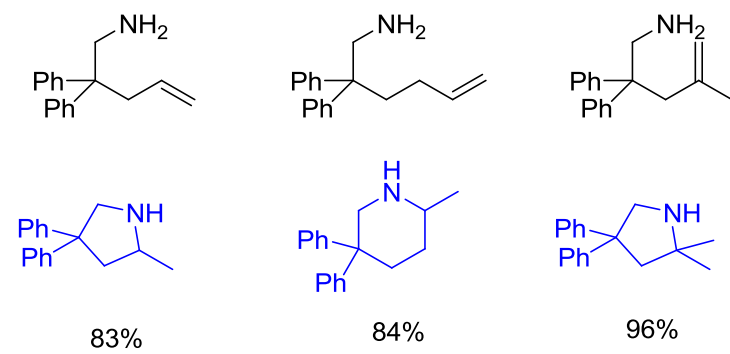
Hartwig^a:biarylphosphine ligand



Secondary amines:

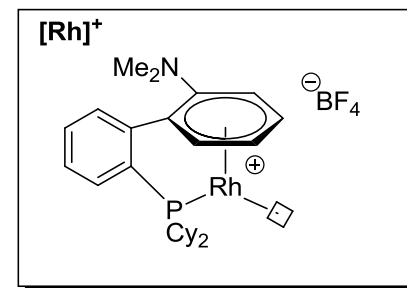
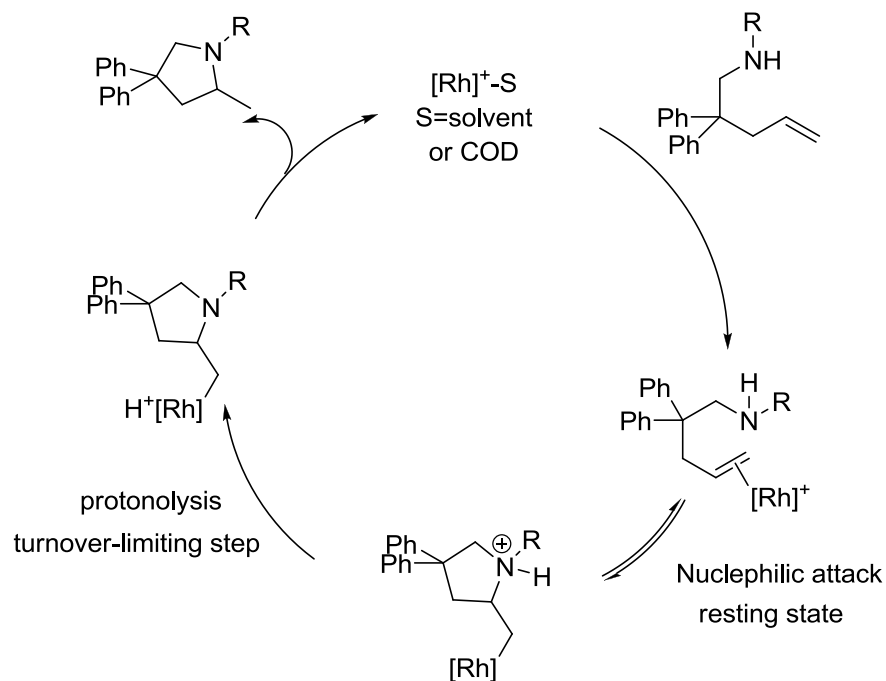


Primary amines:



^aJACS. 2008, 130, 1570; JACS, 2011, 2772

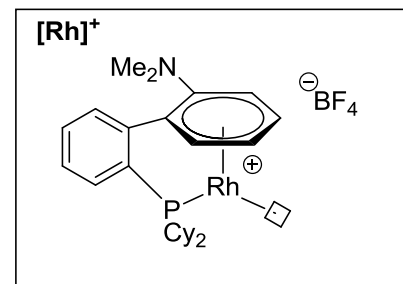
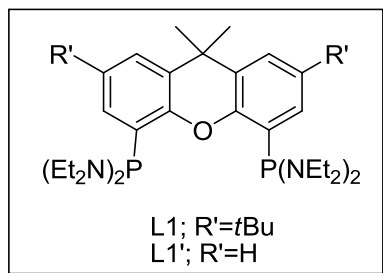
3.1. Intramolecular hydroamination- Markovnikov cyclization



Nucleophilic attack generates a coordinatively saturated, 18-electrons aminoalkyl complex

➡ Disfavor β -hydrogen elimination

3.1. Intramolecular hydroamination-Markovnikov cyclization



- Advantage :

Primary and secondary amines

Mild condition

High degree of tolerance for polar functional group

Aminophosphine groups on ligand are involved in creating the high rates and selectivity

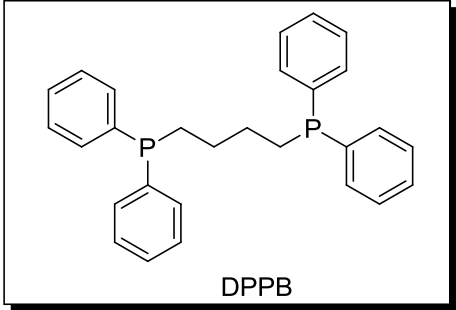
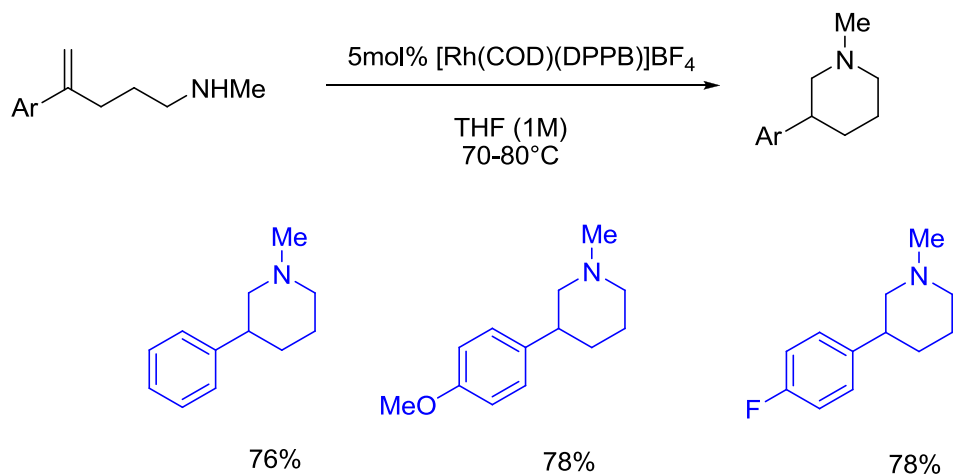
- Limitation

Basic amine is required

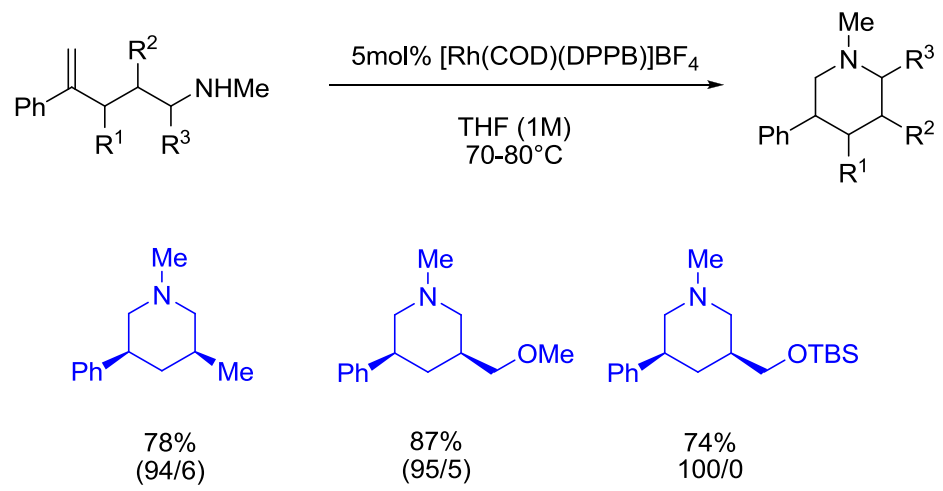
Five to six-membered rings of Markovnikov product

3.2. Intramolecular hydroamination-Anti-Markovnikov cyclization

Hartwig^a: Anti-Markovnikov piperidine:



1-4% enamine only each case



High diastereoselectivity to *cis* product

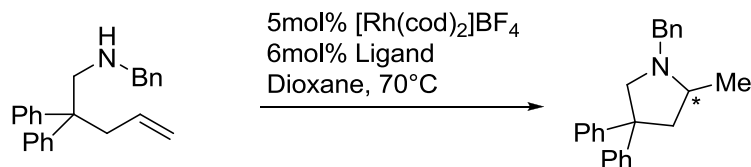
No product detected if $R_1, R_3 = H$.

Mechanism unknown yet.

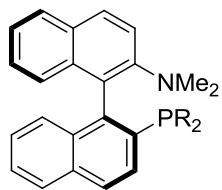
^aJACS. 2006, 6042

4. Enantioselective intramolecular hydroamination

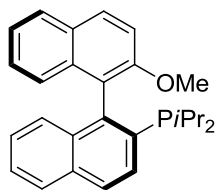
Buchwald^a: first Rh-catalyzed asymmetric intramolecular addition of amines to olefins



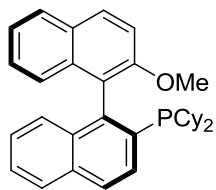
Ligand development:



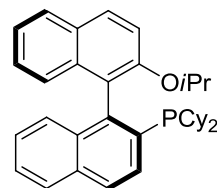
L1: R=Cy, 95%, 38%ee(S)
L2: R=iPr, 83%, 20%ee(S)
L3: R=tBu, 8%, n.d.



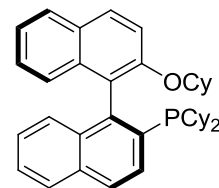
L4: 94%; 42%ee(S)



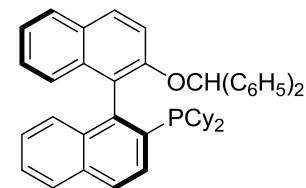
L5: 96%; 53%ee(S)



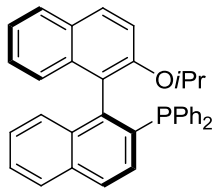
L6: 98%; 70%ee(S)



L7: 95%; 75%ee(S)



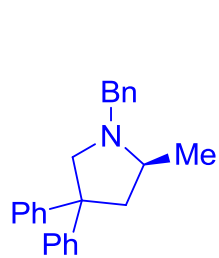
L8: 94%; 80%ee(S)



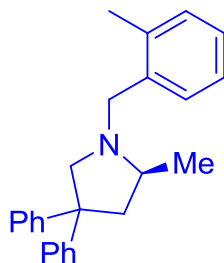
L9: 9%; n.d.

4. Enantioselective intramolecular hydroamination

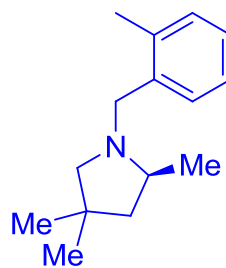
Scope of Rh-catalyzed enantioselective intramolecular hydroamination of aminoalkene with ligand L8



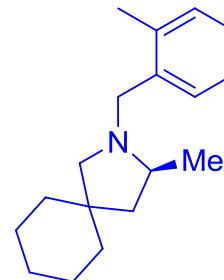
91%
80%ee(S)



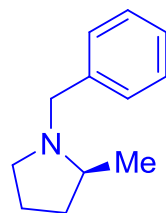
92%
84%ee(S)



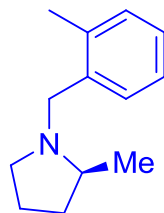
70%
62%ee(S)



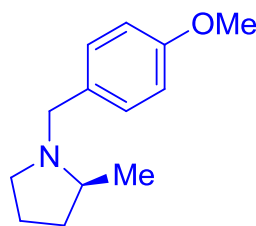
80%
63%ee(S)



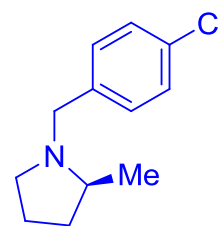
48%
90%ee(S)



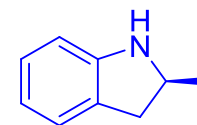
50%
86%ee(S)



35%
85%ee(S)



61%
85%ee(S)



85%
64%ee(S)



Unprotected aminoolefins exhibited poor reactivity; 2-allylaniline is the exceptional

5. Conclusion and Outlook

- Rhodium-mediated catalyst have been employed successfully in addressing specific challenges for both the inter- and intramolecular variants of the alkene hydroamination reaction.
- Important contributions towards anti-Markovnikov additions in the intermolecular hydroamination.
- Phosphine ligand can modulate either Markovnikov or anti-Markovnikov addtions.
- No Rh-catalyzed asymmetric intermolecular hydroamination yet.
- Scope of substrate is still limited.



LABORATOIRE DE SYNTHÈSE
ET PRODUITS NATURELS

N-Heterocyclic carbenes : A powerful tool in organic synthesis

Thomas BUYCK

*PhD Student in Prof. Zhu Group,
LSPN, EPFL*

Frontiers in Chemical Synthesis

June 6th, 2011



LABORATOIRE DE SYNTHÈSE
ET PRODUITS NATURELS

Questions

- I. Why is this type of catalysis important ?

- II. What is the name of the reactive specie in all the presented reactions ?



LABORATOIRE DE SYNTHÈSE
ET PRODUITS NATURELS

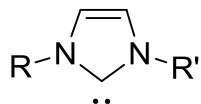
Plan

Introduction

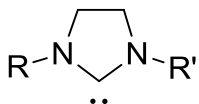
- I. Benzoin condensation and Stetter reaction
- II. Homoenolate reactivity
- III. Miscellaneous reactions

Introduction

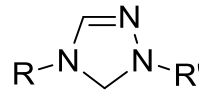
N-Heterocyclic carbenes



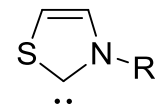
Imidazolylidene



Imidazolinylidene



Triazolylidene



Thiazolylidene

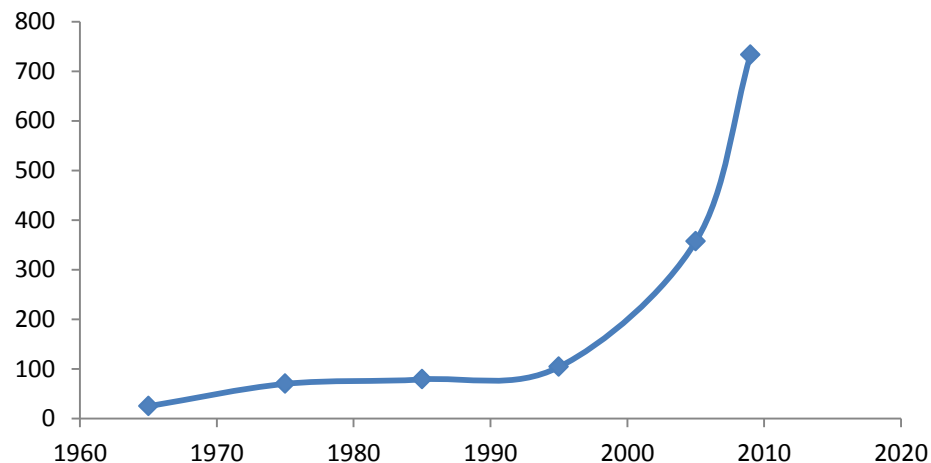
- Alternative to metal catalysed reactions
- Cheaper and more environment friendly

New field in organic chemistry

Introduction

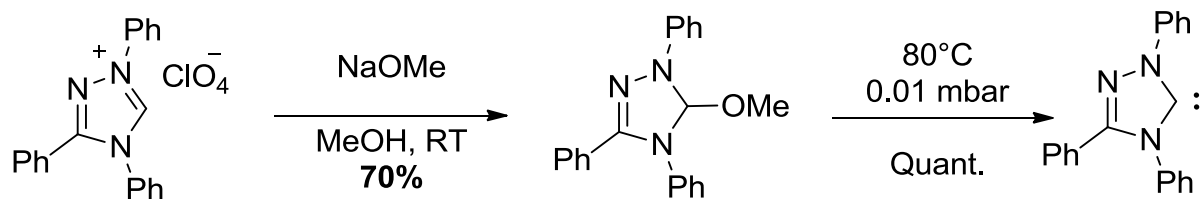
Explosion in this field during the last decade

Publications containing "NHC"



Introduction

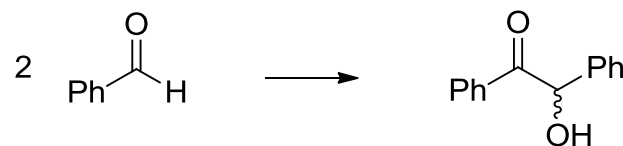
Multigram scale synthesis of carben by Enders



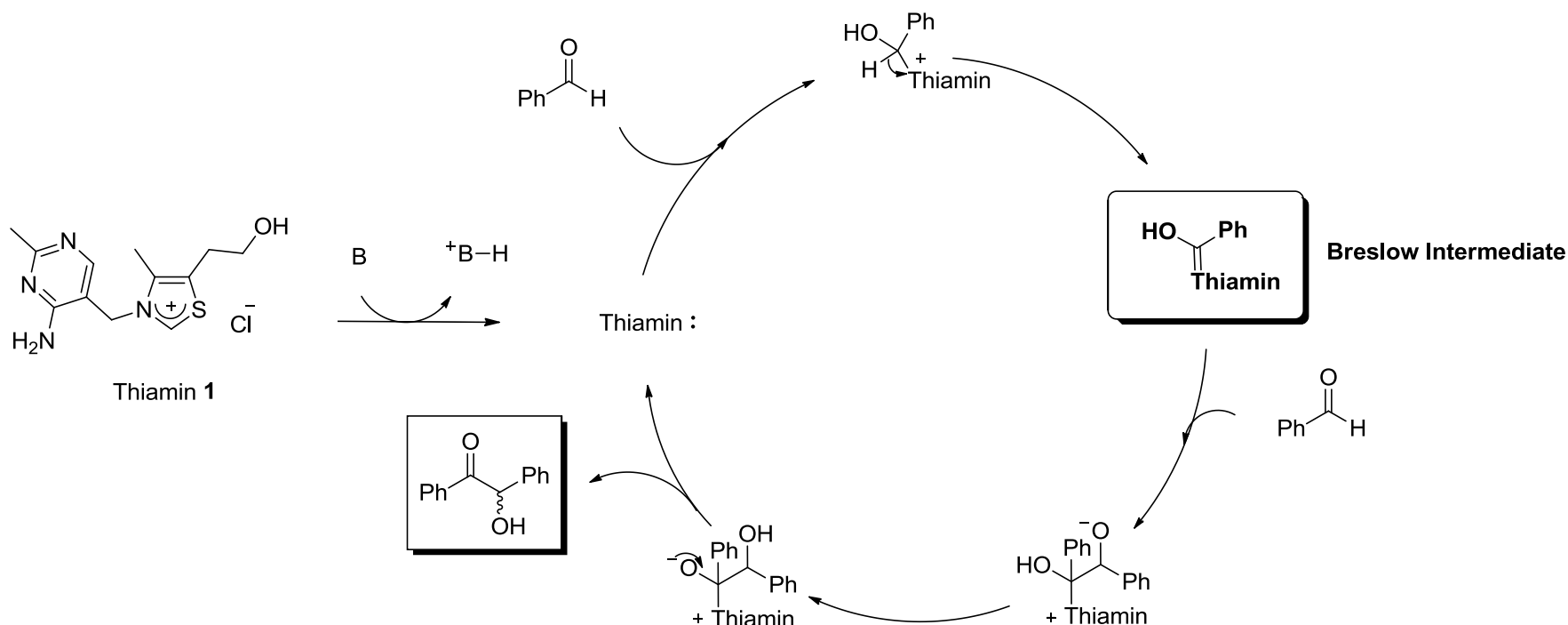
Commercially available from Acros® 195 CHF/g

Benzoin Condensation

Autocondensation of benzaldehyde



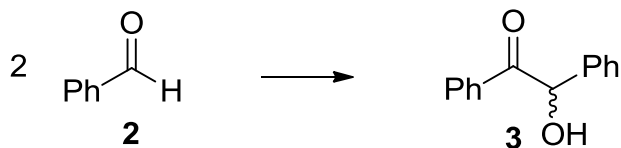
F. Wöhler, J. Liebig, *Ann. Pharm.*, **1832**, 3, 249-282 ; T. Ukai, *J. Pharm Soc. Jpn*, **1943**, 63, 296-300



R. Breslow, *J. Am. Chem. Soc.*, **1958**, 80, 3719-3726

Benzoin Condensation

Creation of a stereogenic center

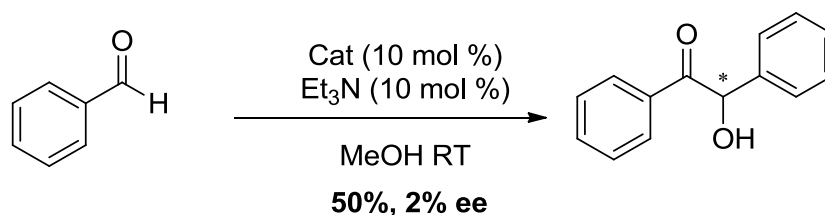


How to control the selectivity of this reaction to obtain a single enantiomer ?

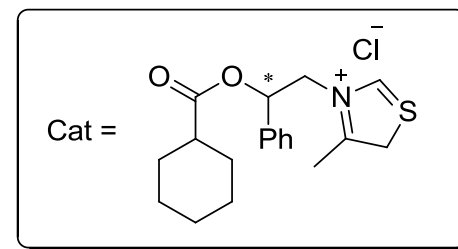
Benzoin Condensation

Enantiomeric version

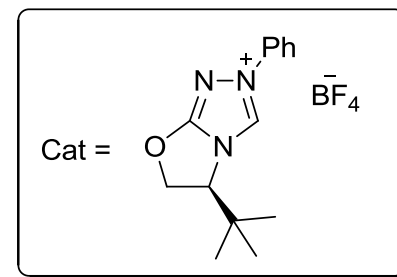
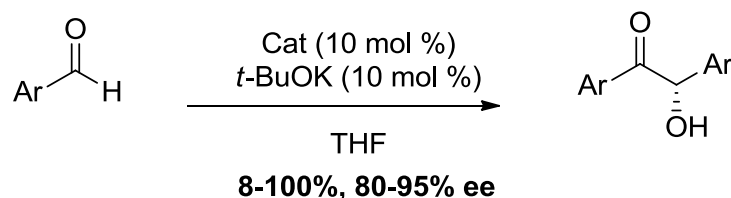
First example in 1966 by Sheehan



J. Sheehan, *J. Am. Chem. Soc.*, **1966**, 88, 3666-3667



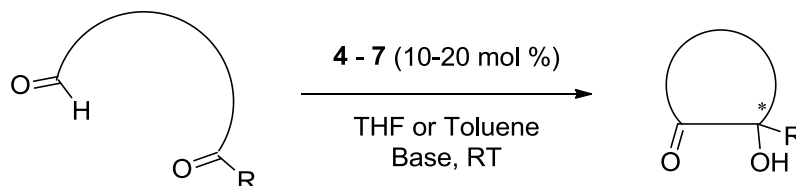
Enders published in 2002 a more efficient method



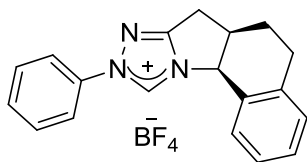
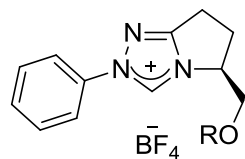
D. Enders, *Angew. Chem. Int. Ed.*, **2002**, 41, 11743-1745

Benzoin Condensation

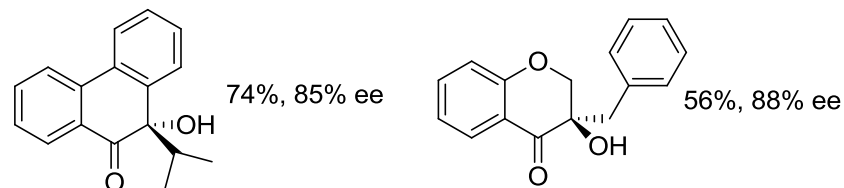
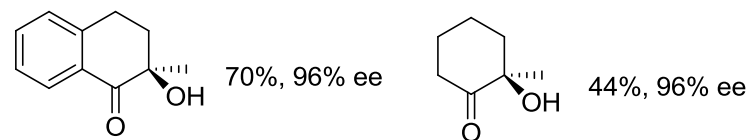
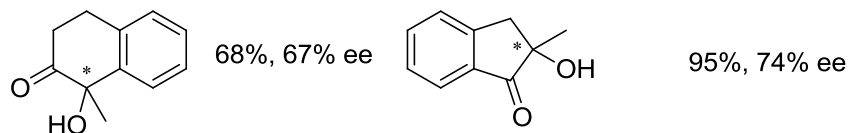
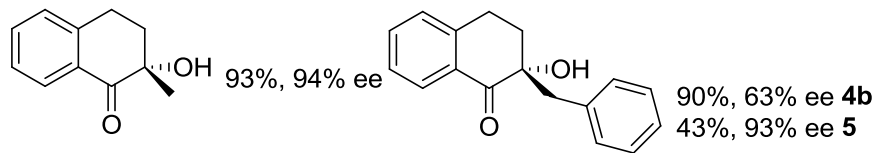
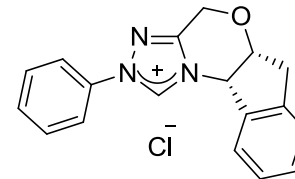
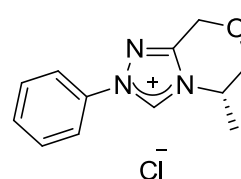
Enantiomeric version



Enders et al.

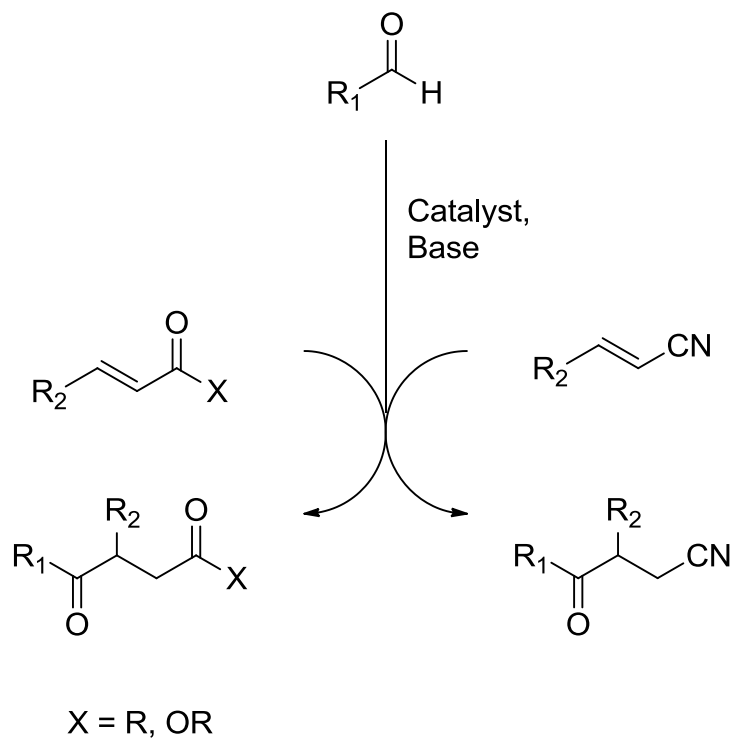


Suzuki et al.



Stetter reaction

In the early 70's Stetter described a new reaction useful to create 1,4 dicarbonyl



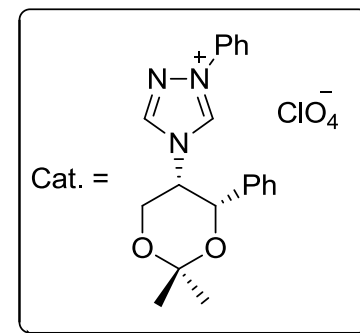
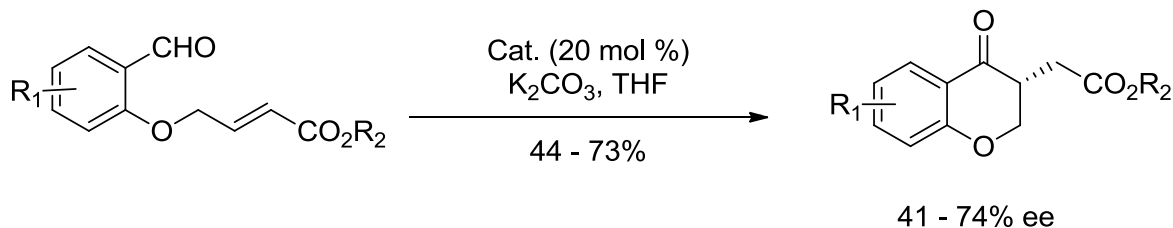
Catalyst :

- CN^- (1973)
- Thiazolium salt (1976)

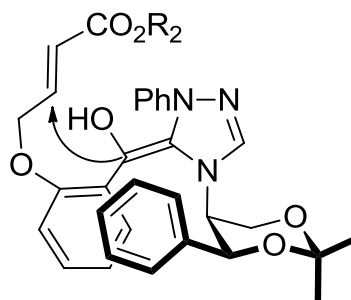
Stetter reaction

Enantiomeric version

First example in 1996 by Enders



Model explaining the facial selectivity

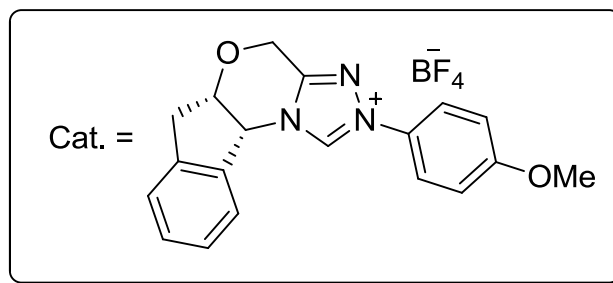
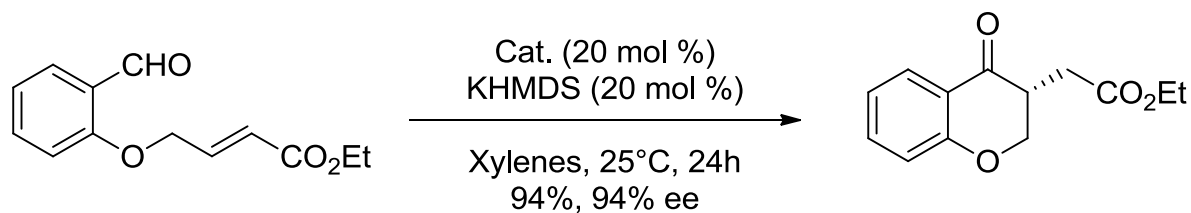


Re-face shielded by Phenyl group

Stetter reaction

Enantiomeric version

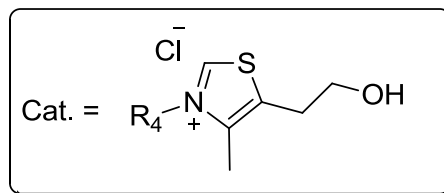
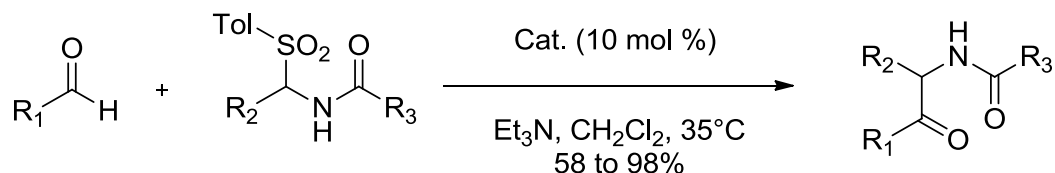
The second example is published only in 2002 by Rovis



Stetter reaction

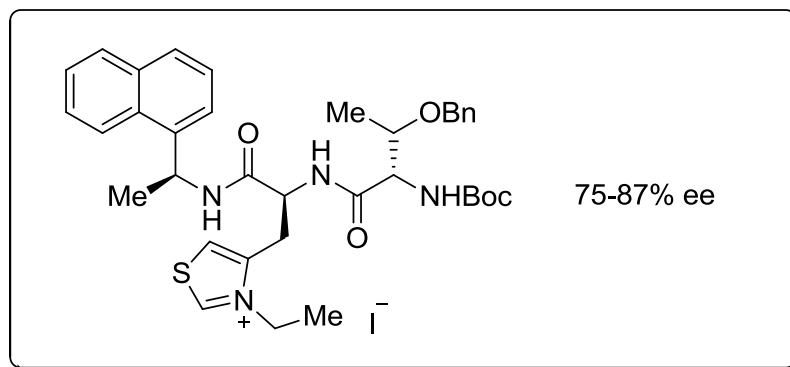
Extension of the scope

Merck published in 2001 an Aldehyde-Imine Cross-Coupling



J. A. Murry, D. E. Franz, *J. Am. Chem. Soc.*, **2001**, 123, 9696-9697

Enantiomeric version is described by the group of Miller in 2005

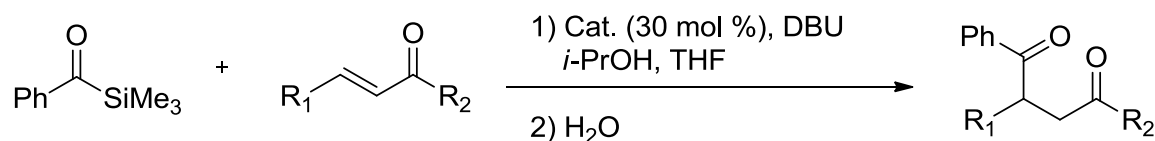


S. J. Miller, *J. Am. Chem. Soc.*, **2005**, 127, 1654-1655

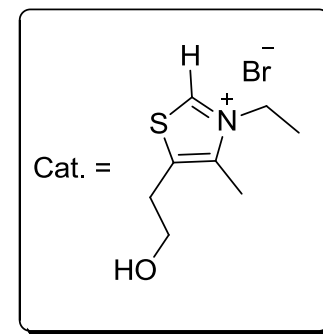
Stetter reaction

Extension of the scope

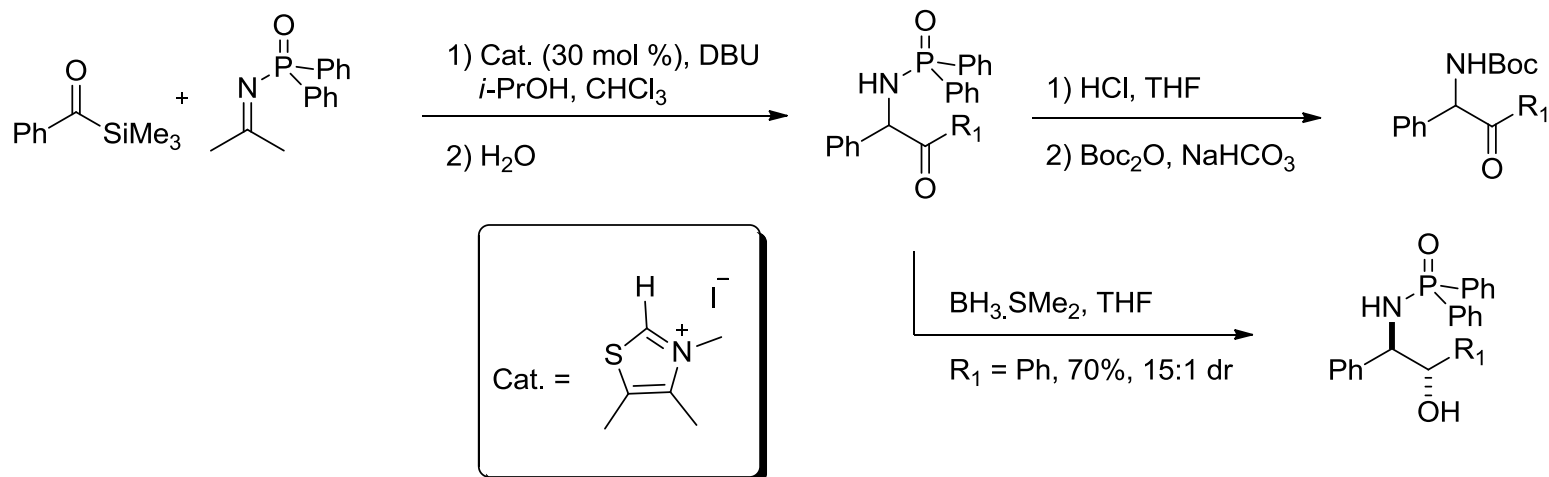
Sila-Stetter reaction



K. A. Scheidt, *J. Am. Chem. Soc.*, **2004**, 126, 2314-2315



Addition of acylsilanes to Imines

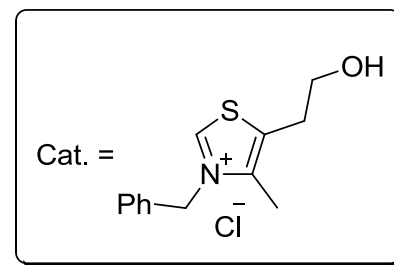
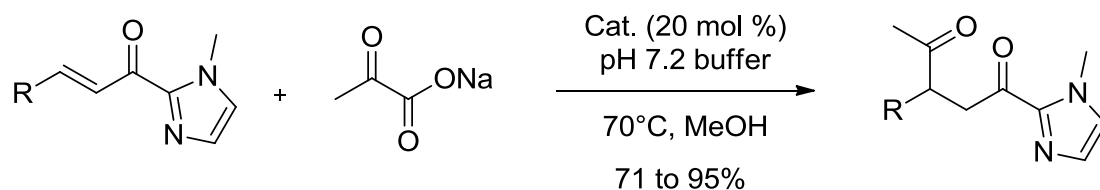


K. A. Scheidt, *Org. Lett.*, **2004**, 6, 4363-4366

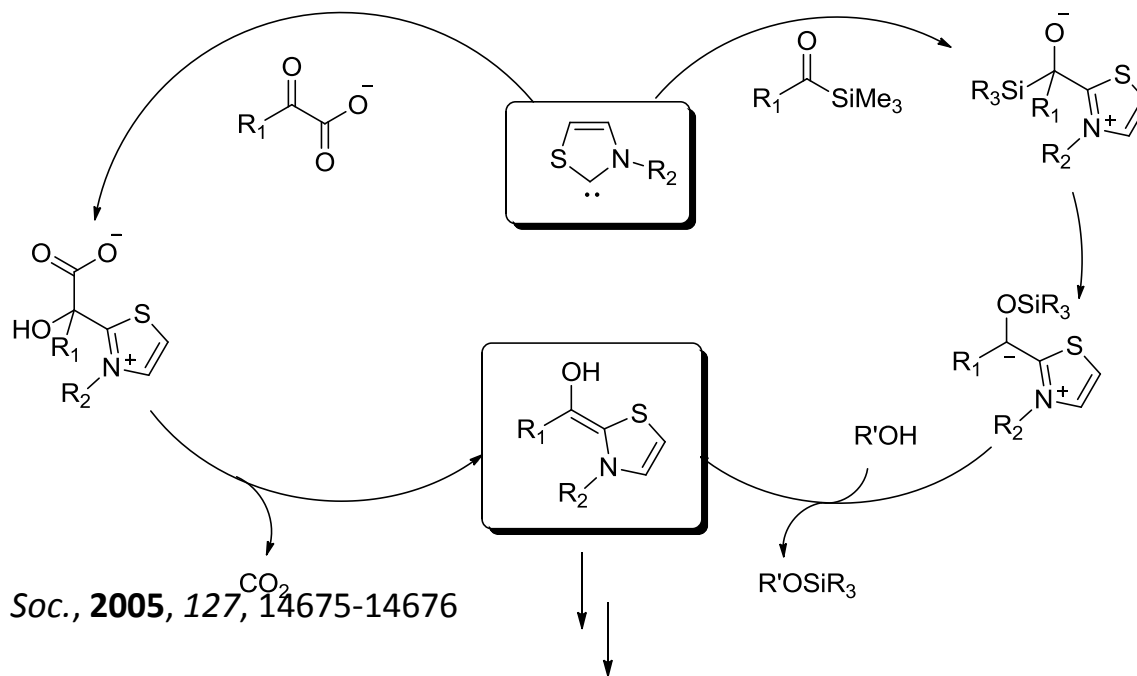
Stetter reaction

Extension of the scope

Keto carboxylate as acyl anion precursor

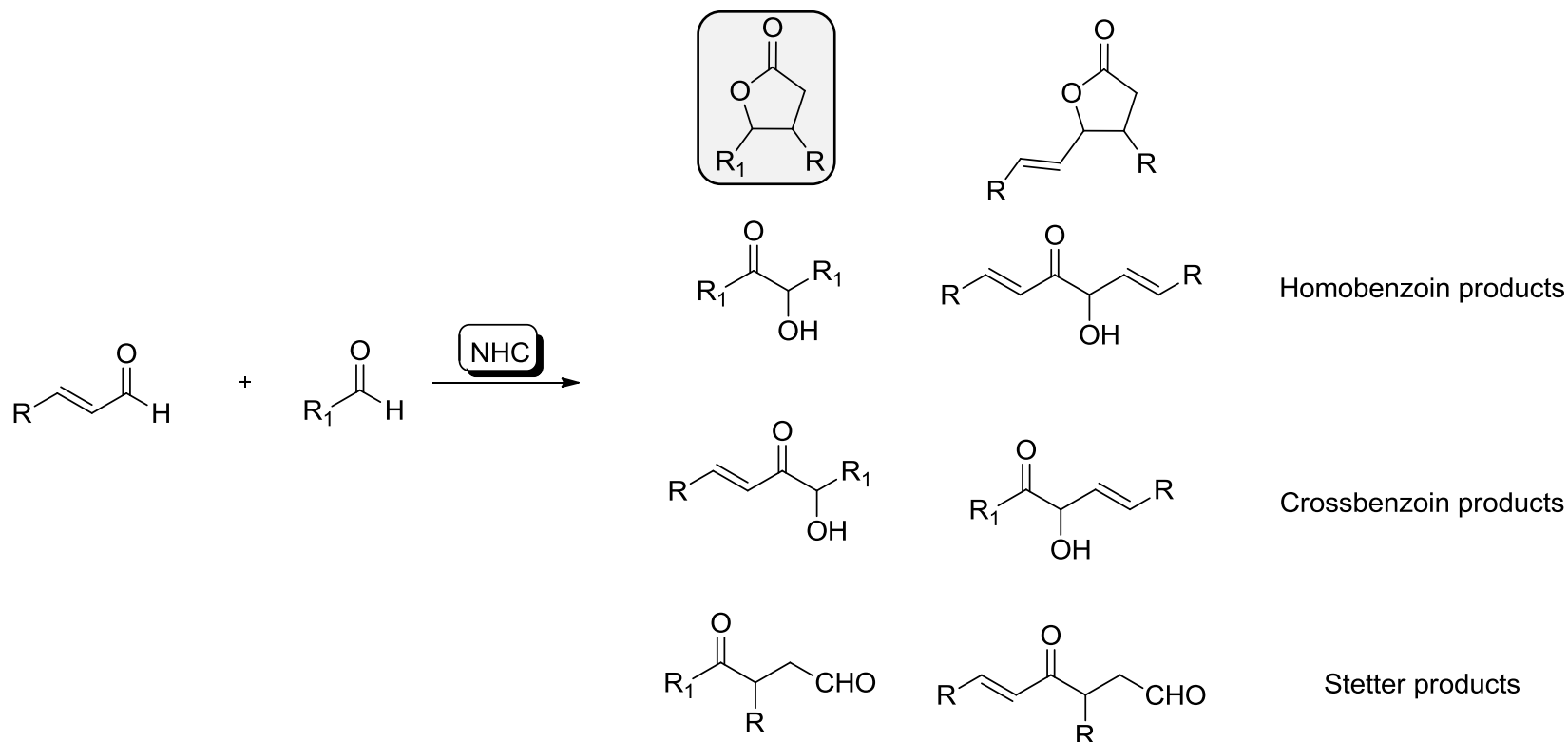


Generation of acyl anion equivalent

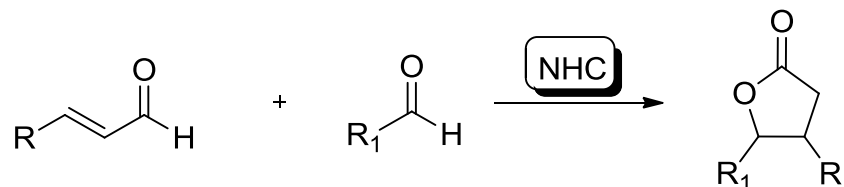


Homoenolates

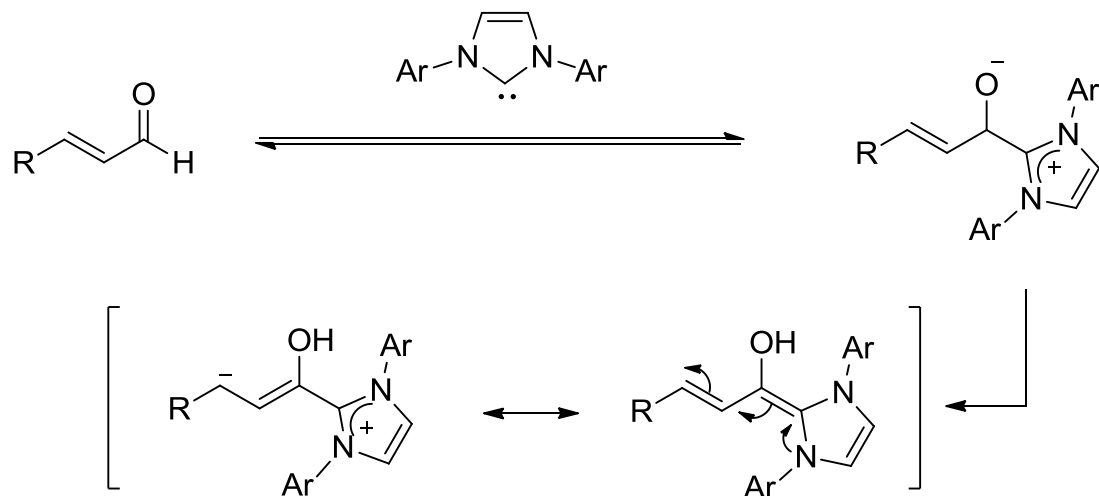
In 2004, Glorius and Bode reported independently a new reaction



Homoenolates

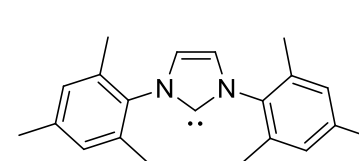
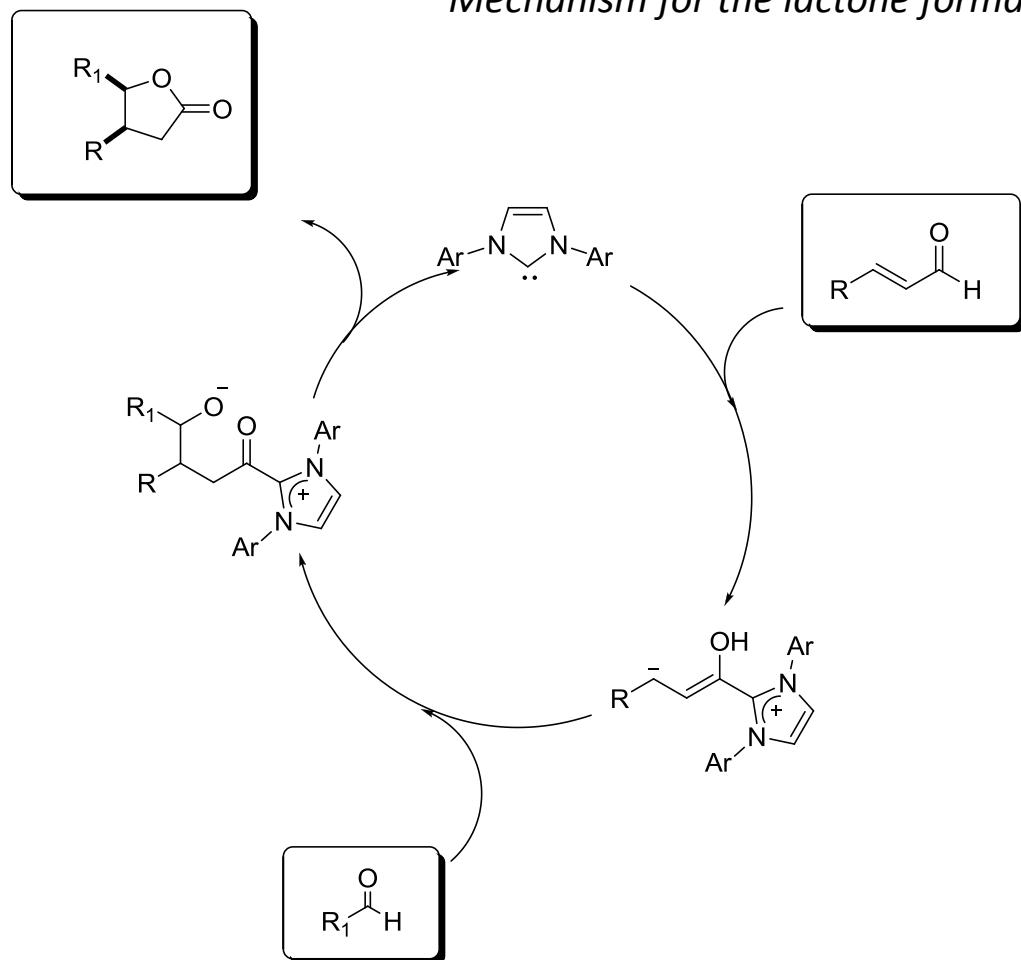


Homoenolate formation

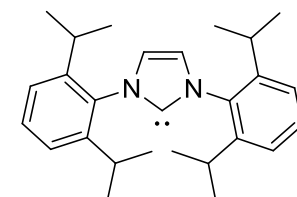


Homoenolates

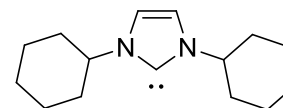
Mechanism for the lactone formation



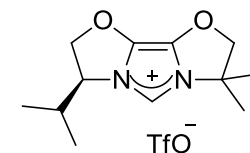
IMes



IPr unselective



ICy unreactive



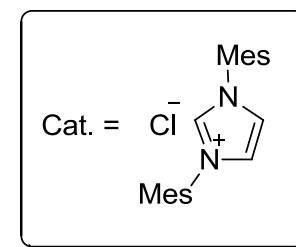
Enantiomeric version

Enantiomeric version but with only 25% ee

Homoenolates

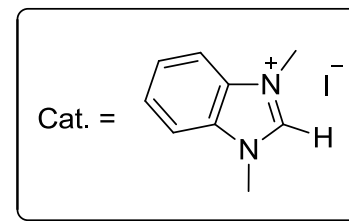
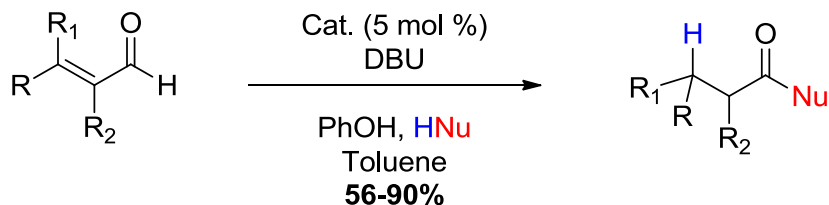
Electrophile diversification

- N-Sulfonylimines



J. Bode, *Org. Lett*, **2005**, 7, 3131-3134

- Proton trapping followed by addition of a Nucleophile

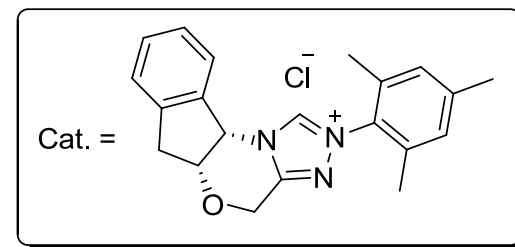
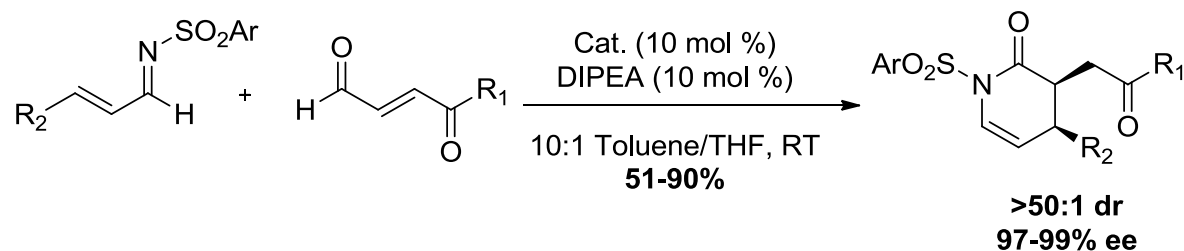


K. A. Scheidt, *Org. Lett*, **2005**, 7, 905-908

Homoenolates

Electrophile diversification

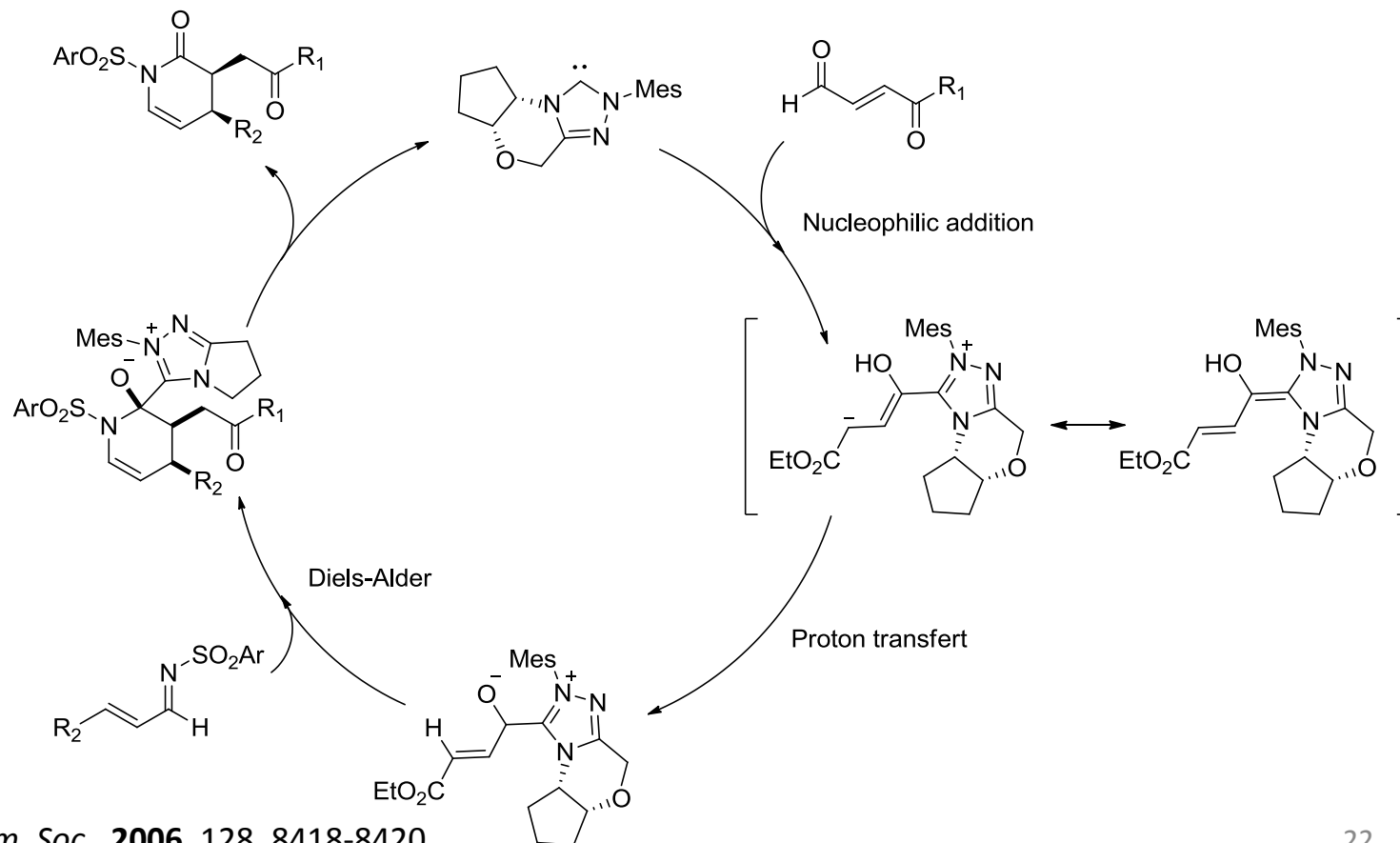
- Azadiene Diels-Alder reaction



Homoenolates

Electrophile diversification

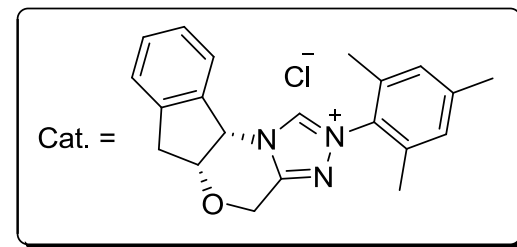
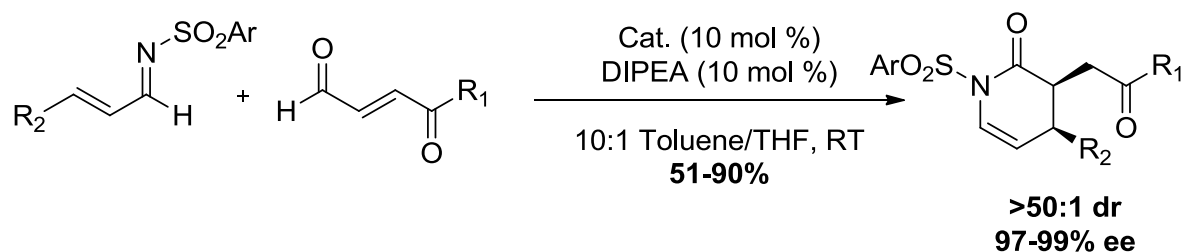
- Azadiene Diels-Alder reaction



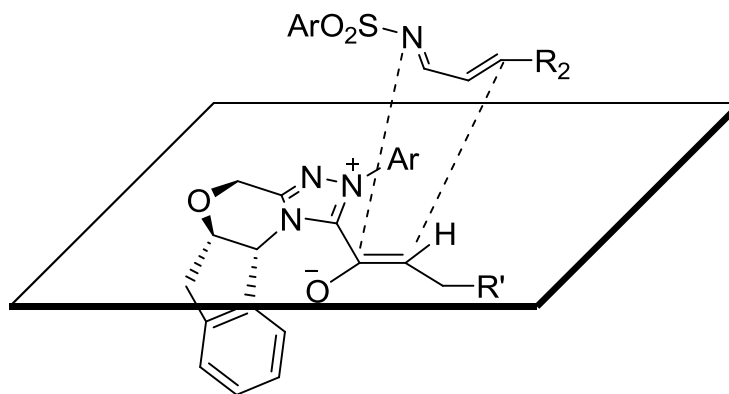
Homoenolates

Electrophile diversification

- Azadiene Diels-Alder reaction



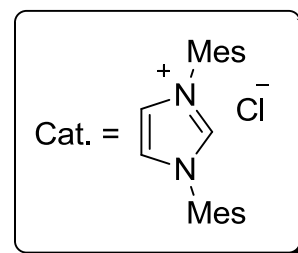
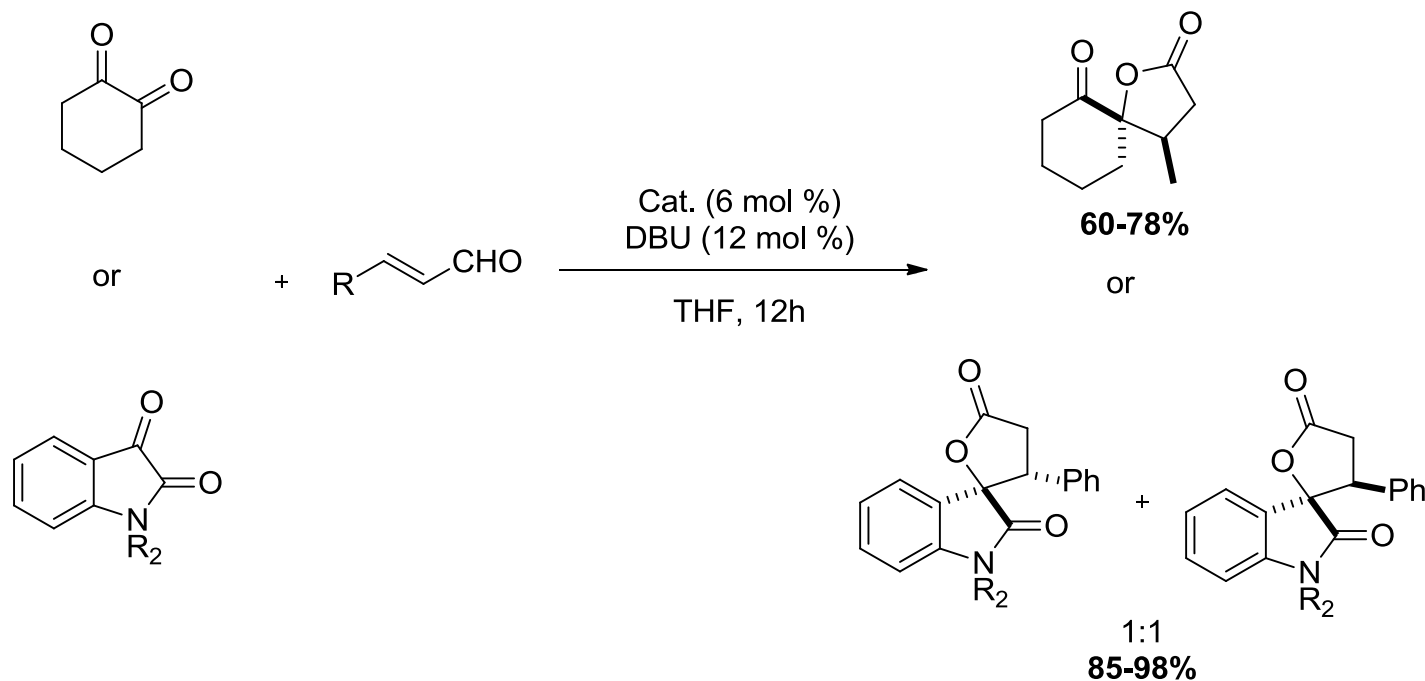
Model explaining the selectivity



Homoenolates

Electrophile diversification

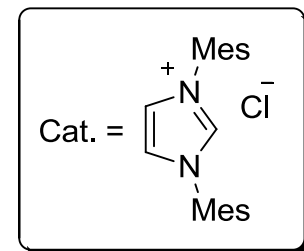
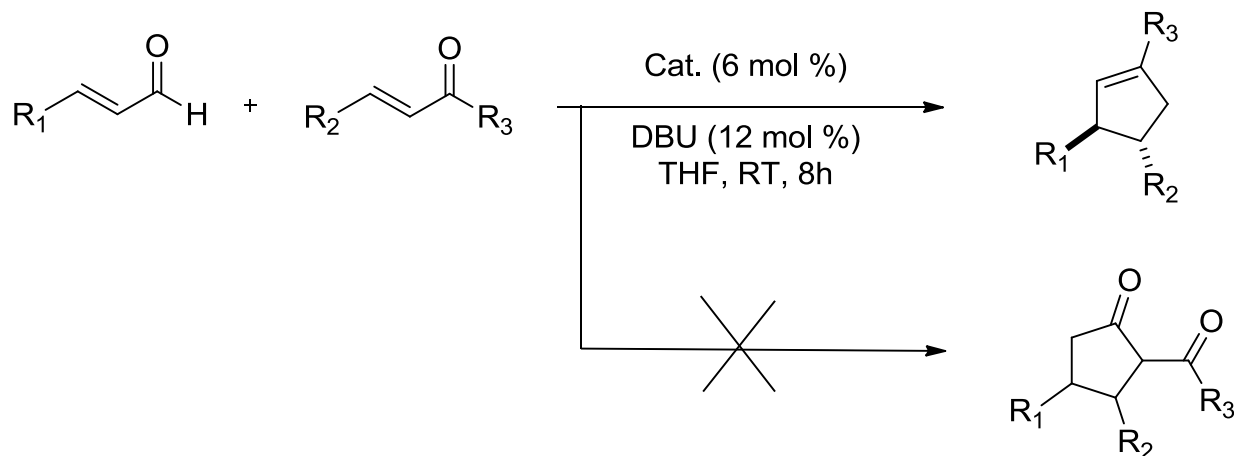
- 1,2-Dicarbonyl Compounds



Homoenolates

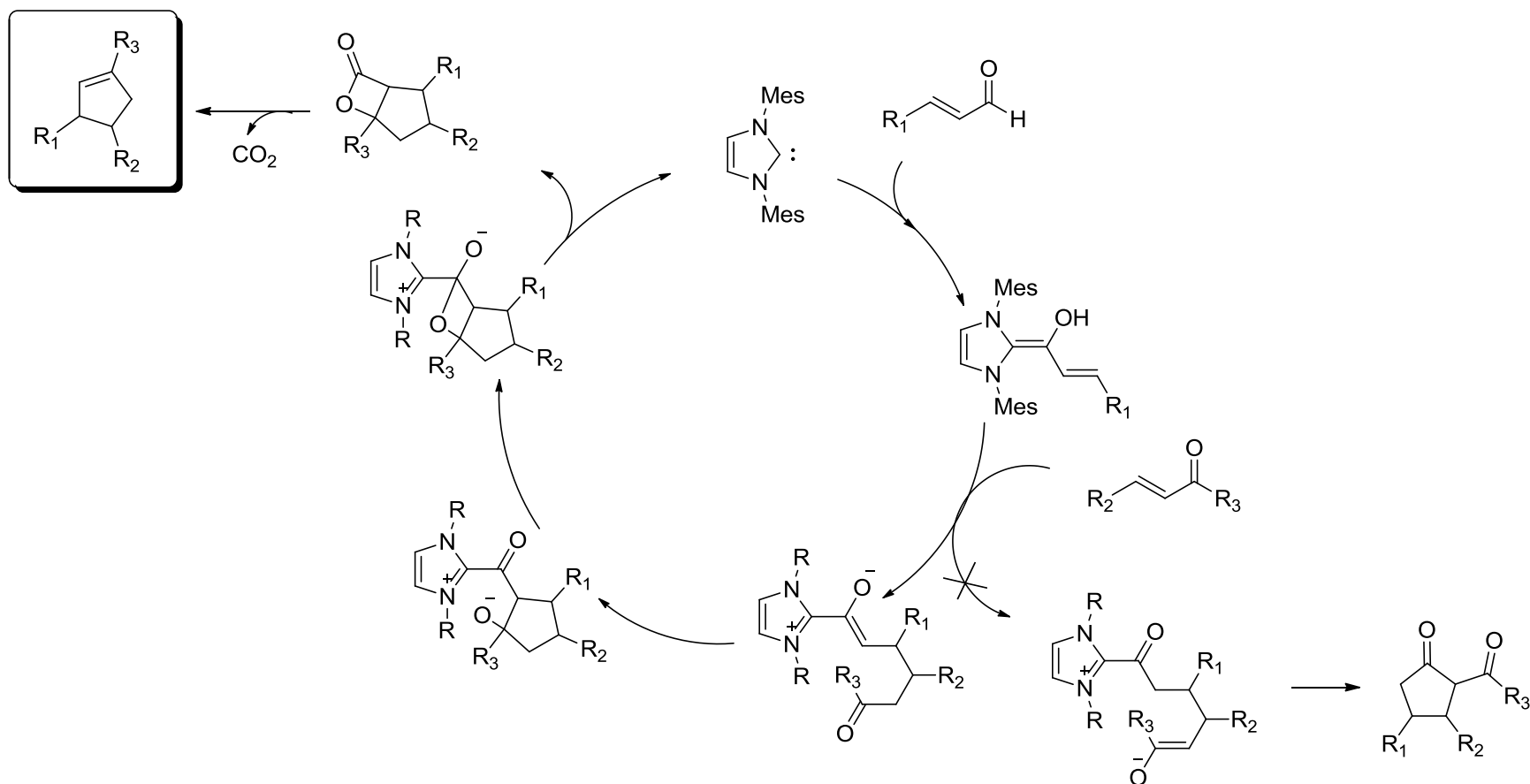
Electrophile diversification

- α,β unsaturated ketone



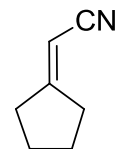
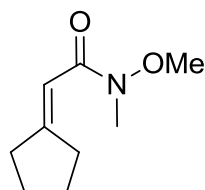
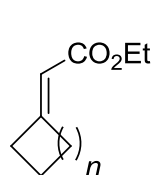
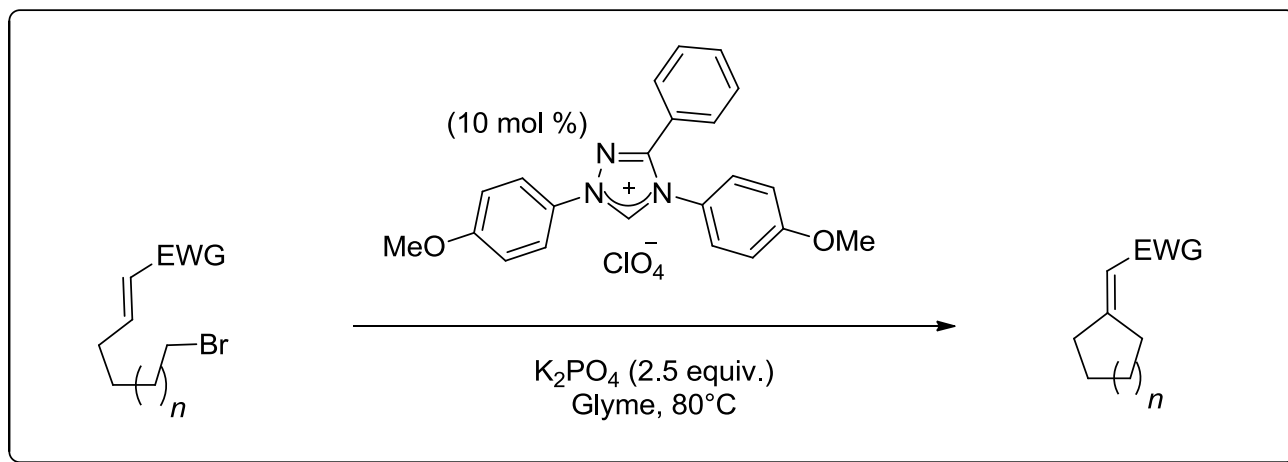
Homoenolates

Mechanism to form the cyclopentene



Homoenolates

Intramolecular alkylation of α β unsaturated ester



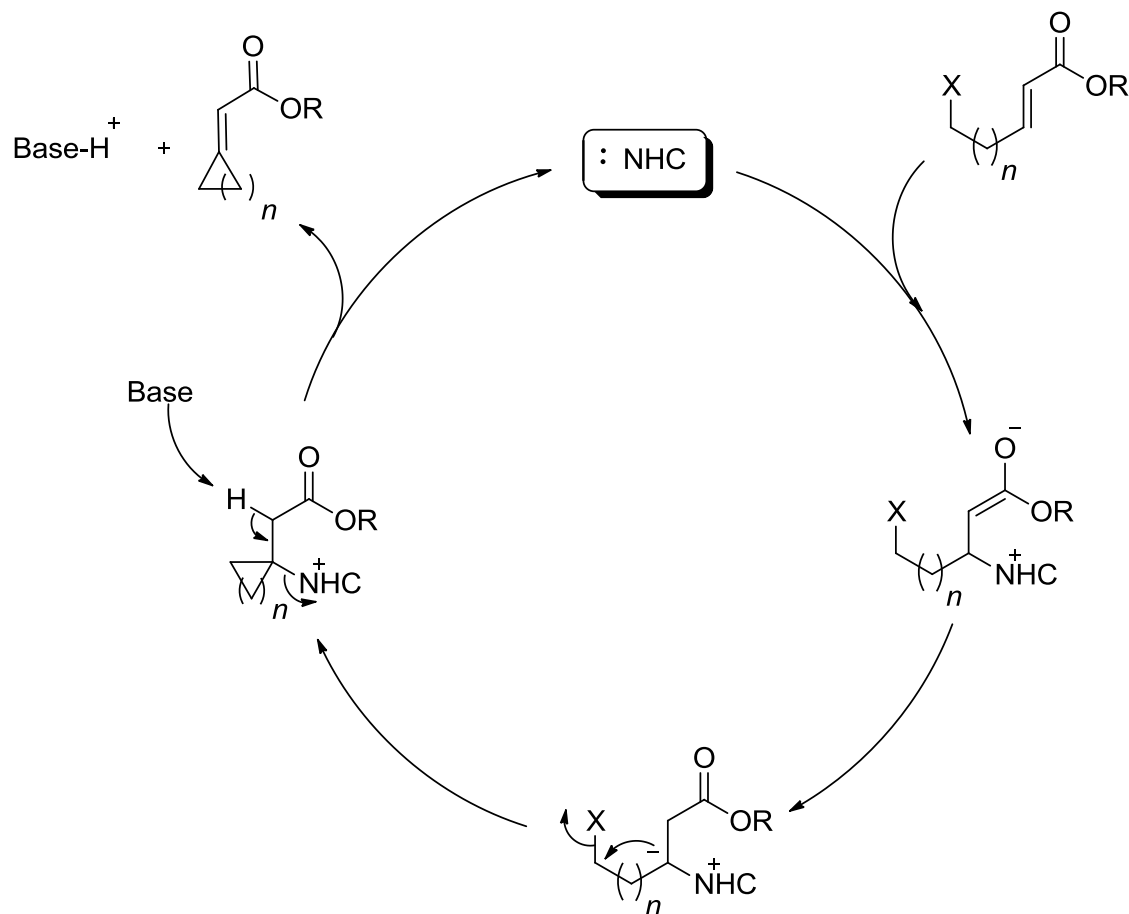
$n = 1$, 48%
 $n = 2$, 94%
 $n = 3$, 81%

68%

71%

Homoenolates

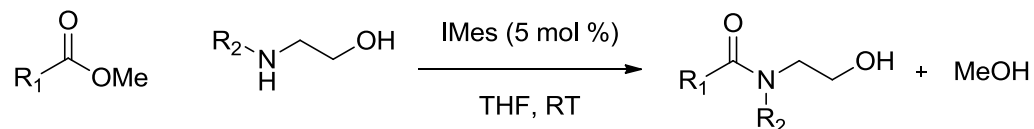
Homoenolates obtention via α β unsaturated ester



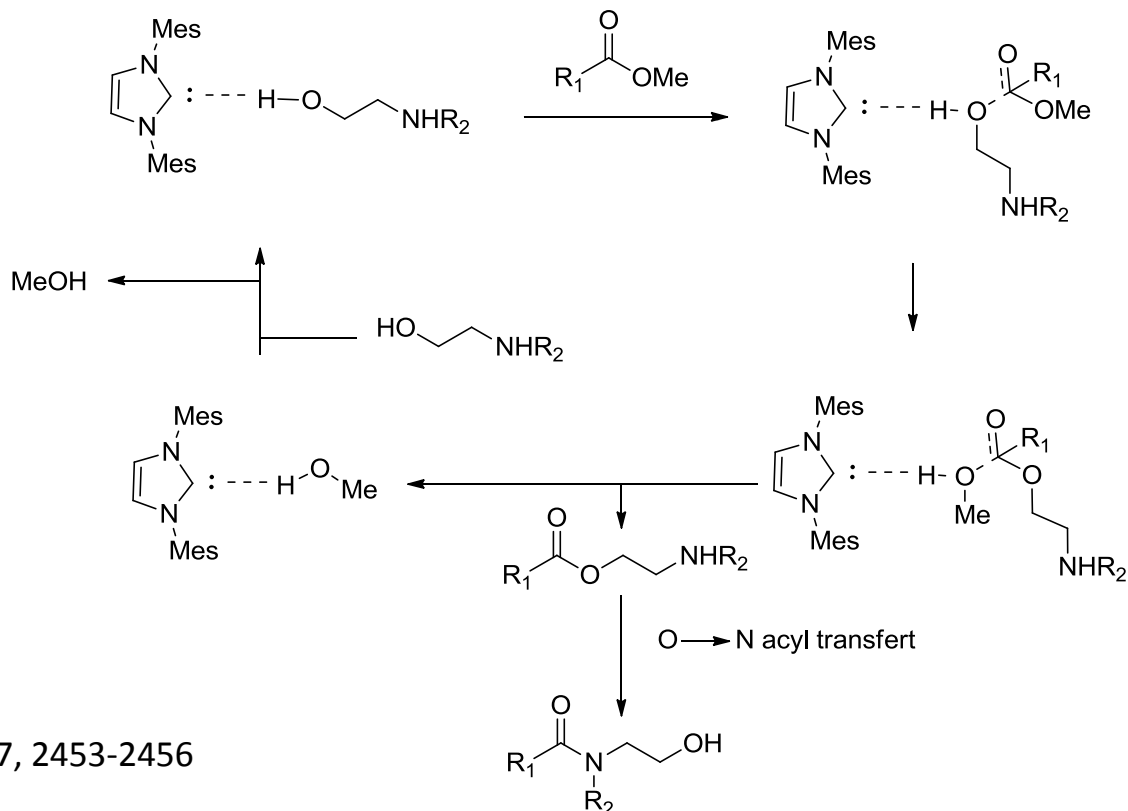


Trans-esterification

Amide formation

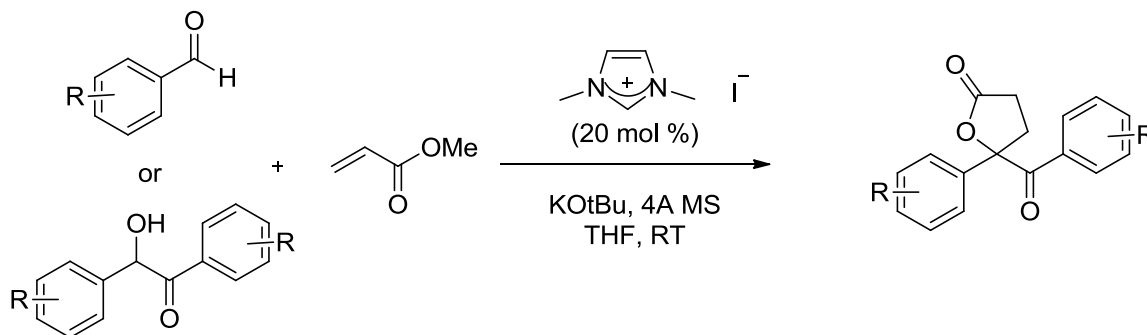


Proposed mechanism

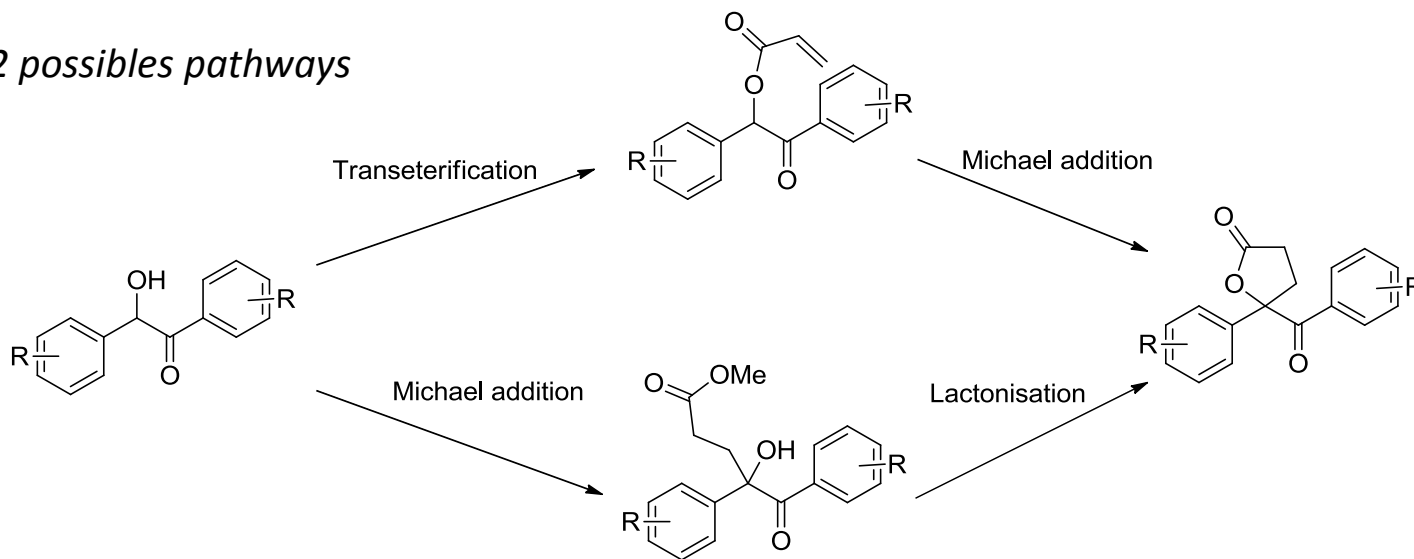


Trans-esterification

γ -butyrolactone formation

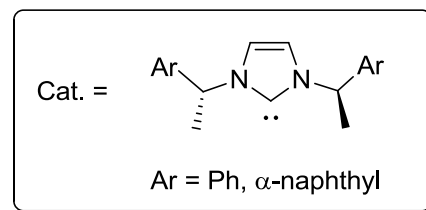
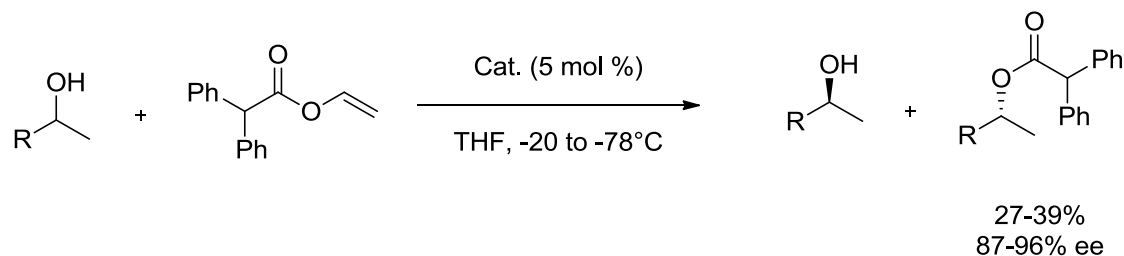


2 possibles pathways



Acylation reaction

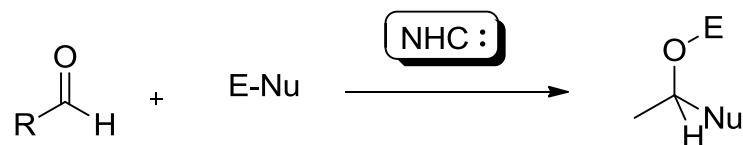
Kinetic resolution with chiral NHCs



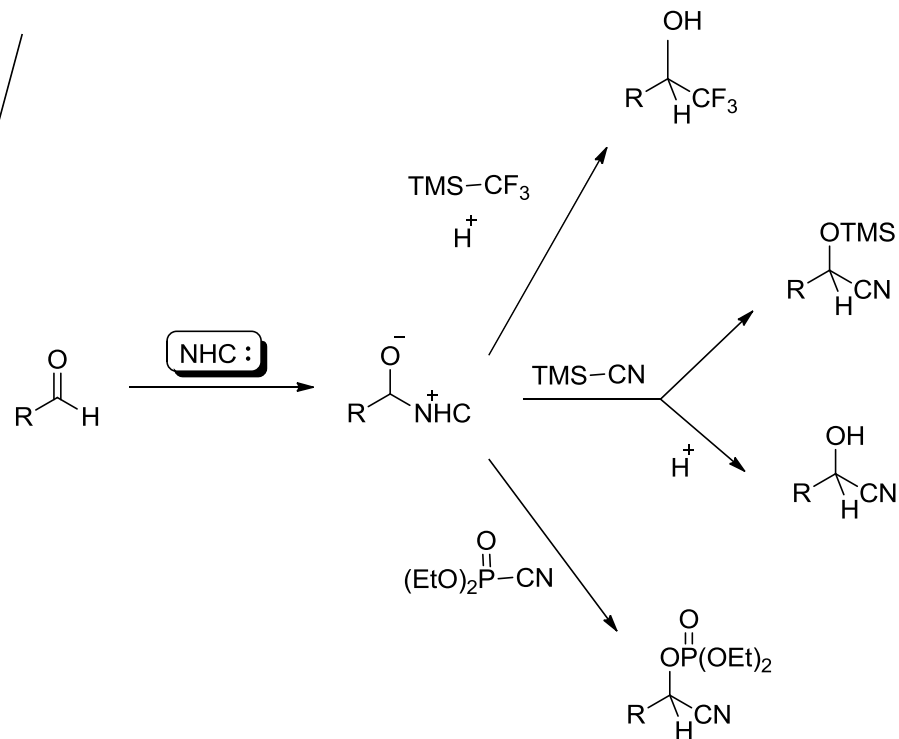
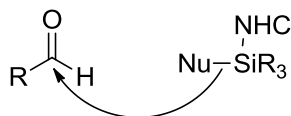
Need to have a hindered acylating agent

1,2-Addition reactions

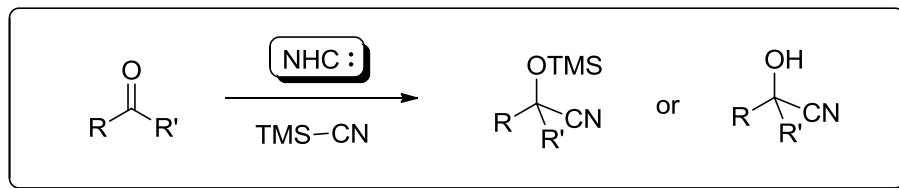
Reaction with E-Nu



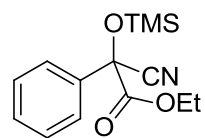
Possible activation by NHC



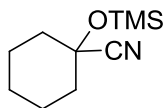
1,2-Addition reactions



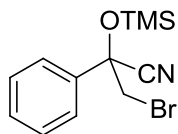
Song et al.



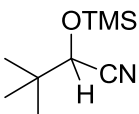
79%



79%

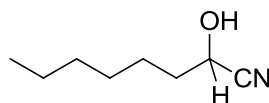


86%

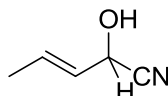


86%

Suzuki, Sato et al.

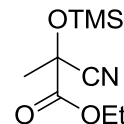


77%

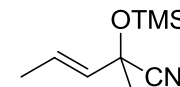


75%

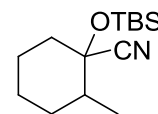
Maruoka et al.



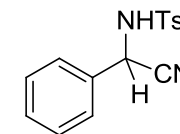
89%



93%



98%



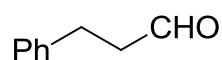
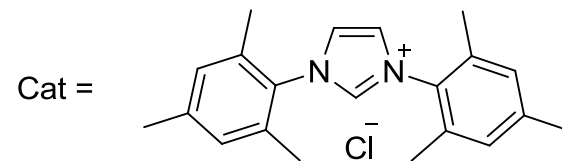
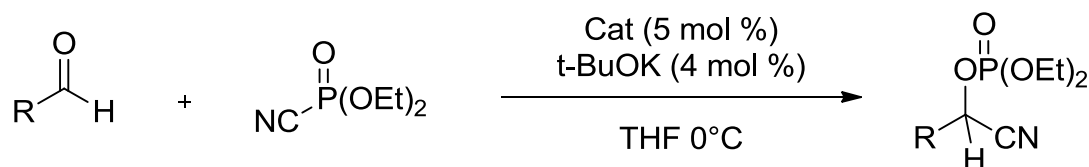
92%

- Ketone
- Enal
- Imine
- α -ester

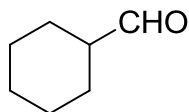
Asymmetric version was tried by Suzuki and Sato with a poor ee 22%

1,2-Addition reactions

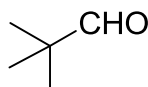
Cyanophosphorylation of aldehyde



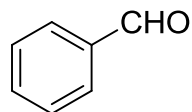
91%



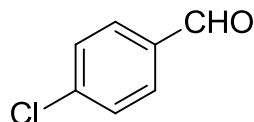
94%



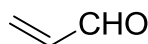
98%



94%



92%

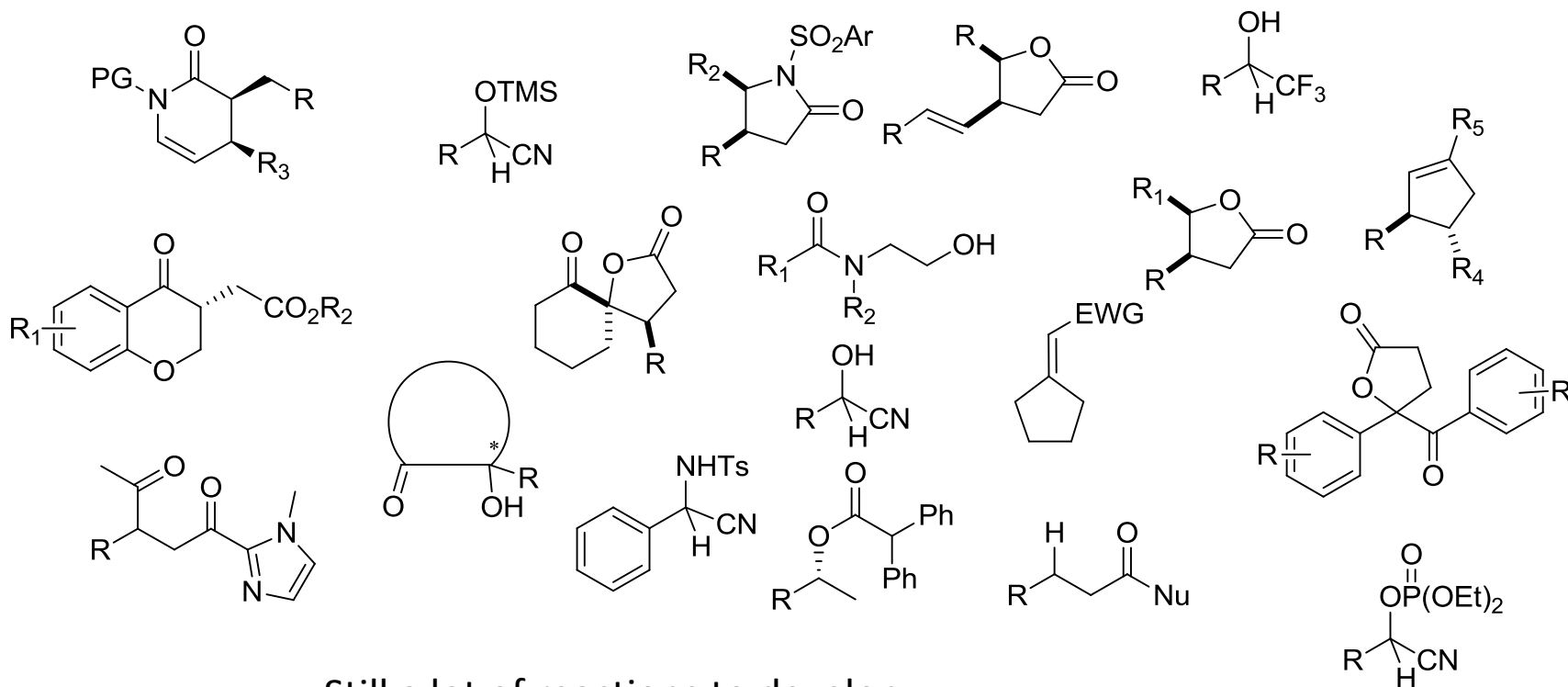


90%

Reaction time less than 5 min

Conclusion

NHC as an organocatalyst allows access to a wide diversity of molecules



- ❑ Still a lot of reactions to develop
- ❑ Enantiomeric version of homoenolate reaction to upgrade



LABORATOIRE DE SYNTHÈSE
ET PRODUITS NATURELS

Conclusion

Questions

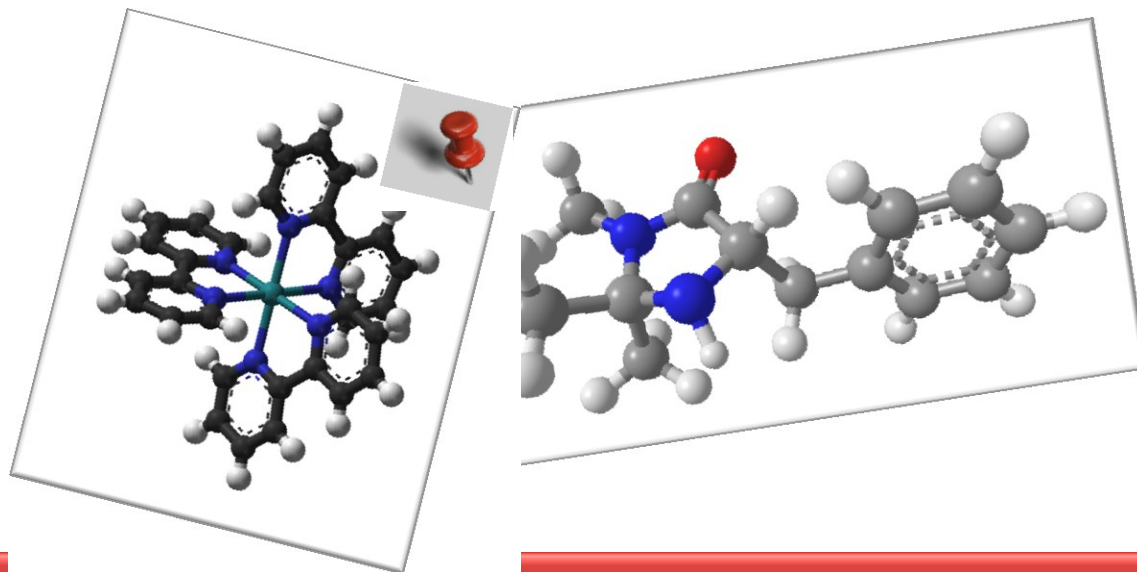
Reviews :

❖ N-Heterocyclic carbenes as organocatalysts

N. Marion, S. Díez-González*, and S. P. Nolan*, *Angew. Chem. Int. Ed.*, **2007**, 46, 2988-3000

❖ Nucleophilic carbenes in asymmetric organocatalysis

D. Enders*, T. Balensiefer, *Acc. Chem. Res.*, **2004**, 37, 534-541



Cooperative catalysis between metals and organocatalysis

LITERATURE TALK

Florian de Nanteuil

EPFL 2011

Questions

- What is the difference in terms of reactivity between the MacMillan SOMO and Photoredox-catalysis?
- In the case of a cationic-gold complex, why the chiral-counteranion strategy is particularly interesting in order to induce chirality?

Sustainable Chemistry

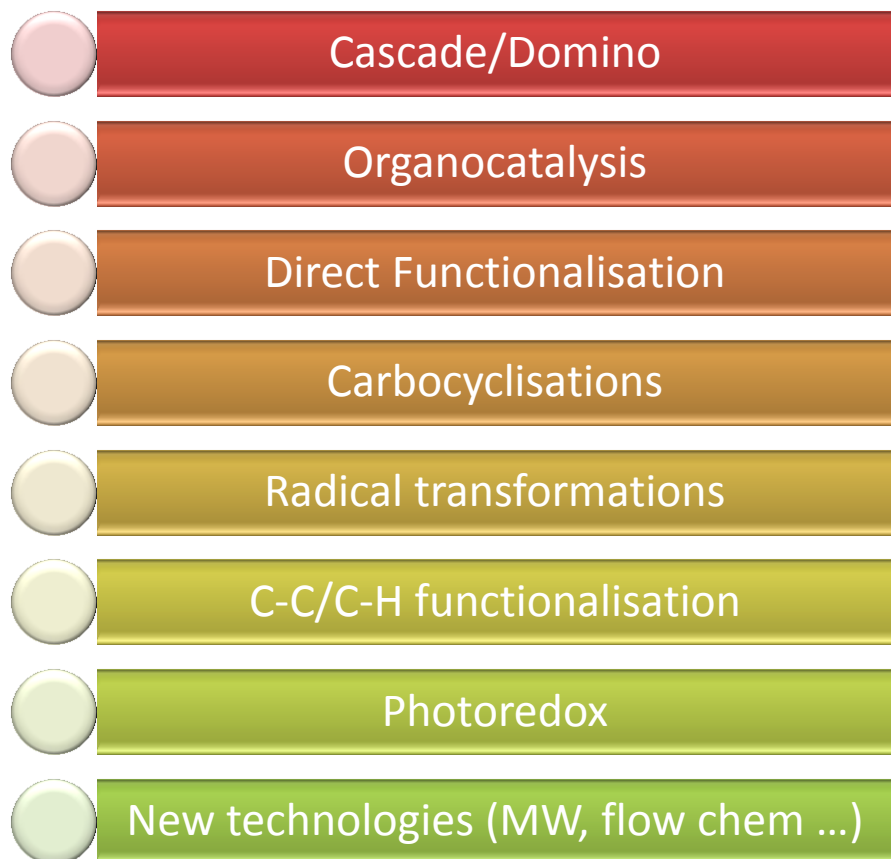
"meets the needs of the present without compromising the ability of future generations to meet their own needs."¹



1. United Nations. 1987. "Report of the World Commission on Environment and Development." General Assembly Resolution 42/187, 11 December 1987

Anastas, P. T.; Warner, J. C.; *Green Chemistry: Theory and Practice*, Oxford University Press: New York, **1998**, p.30

Sustainability in organic chemistry

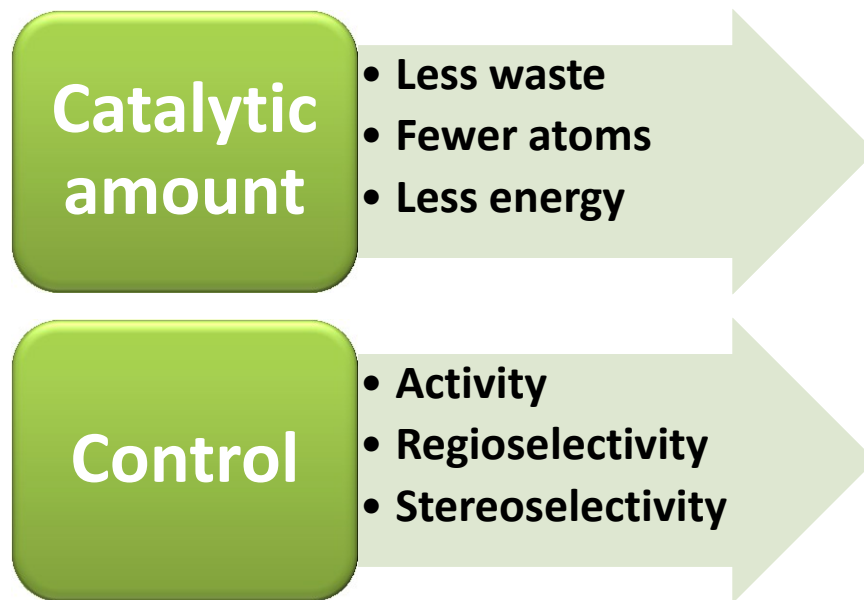


Catalysis

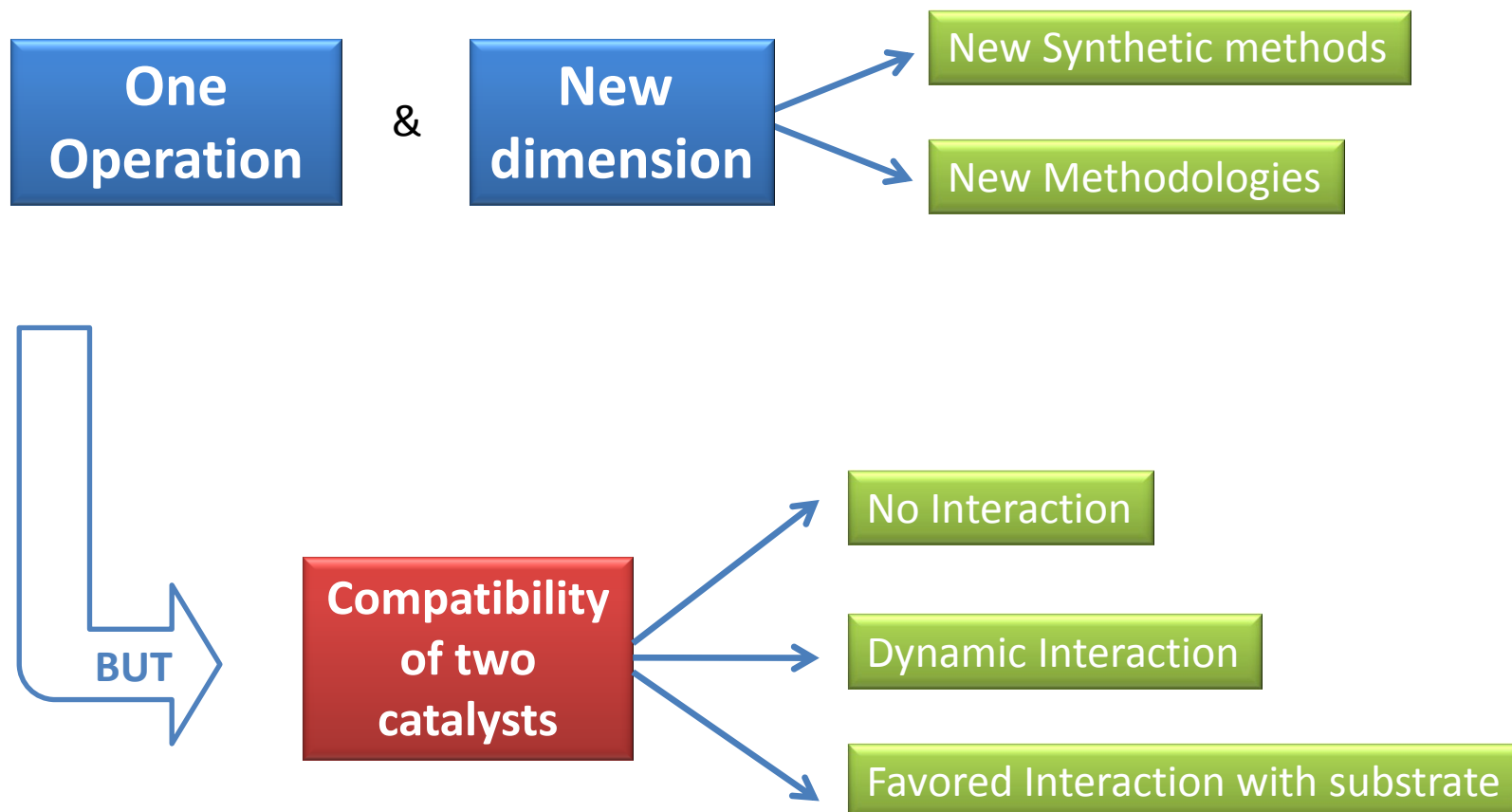


1836, Berzelius

Lower Activation Energy = Enhancement of the rate of a reaction - Unblock a reaction



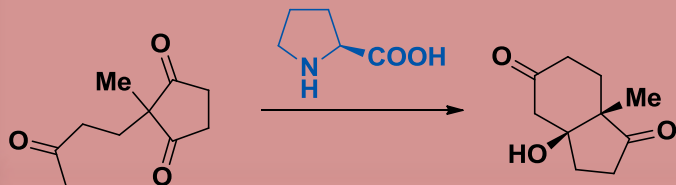
Two catalysts?



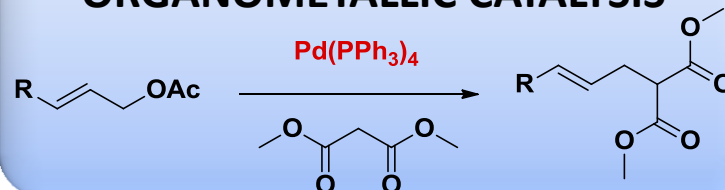
Cooperative catalysis



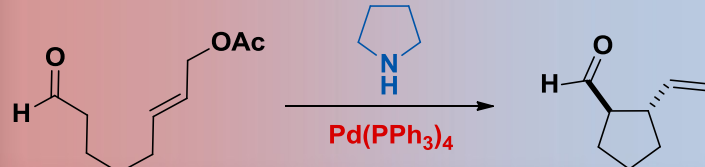
ORGANOCATALYSIS



ORGANOMETALLIC CATALYSIS



COOPERATIVE CATALYSIS



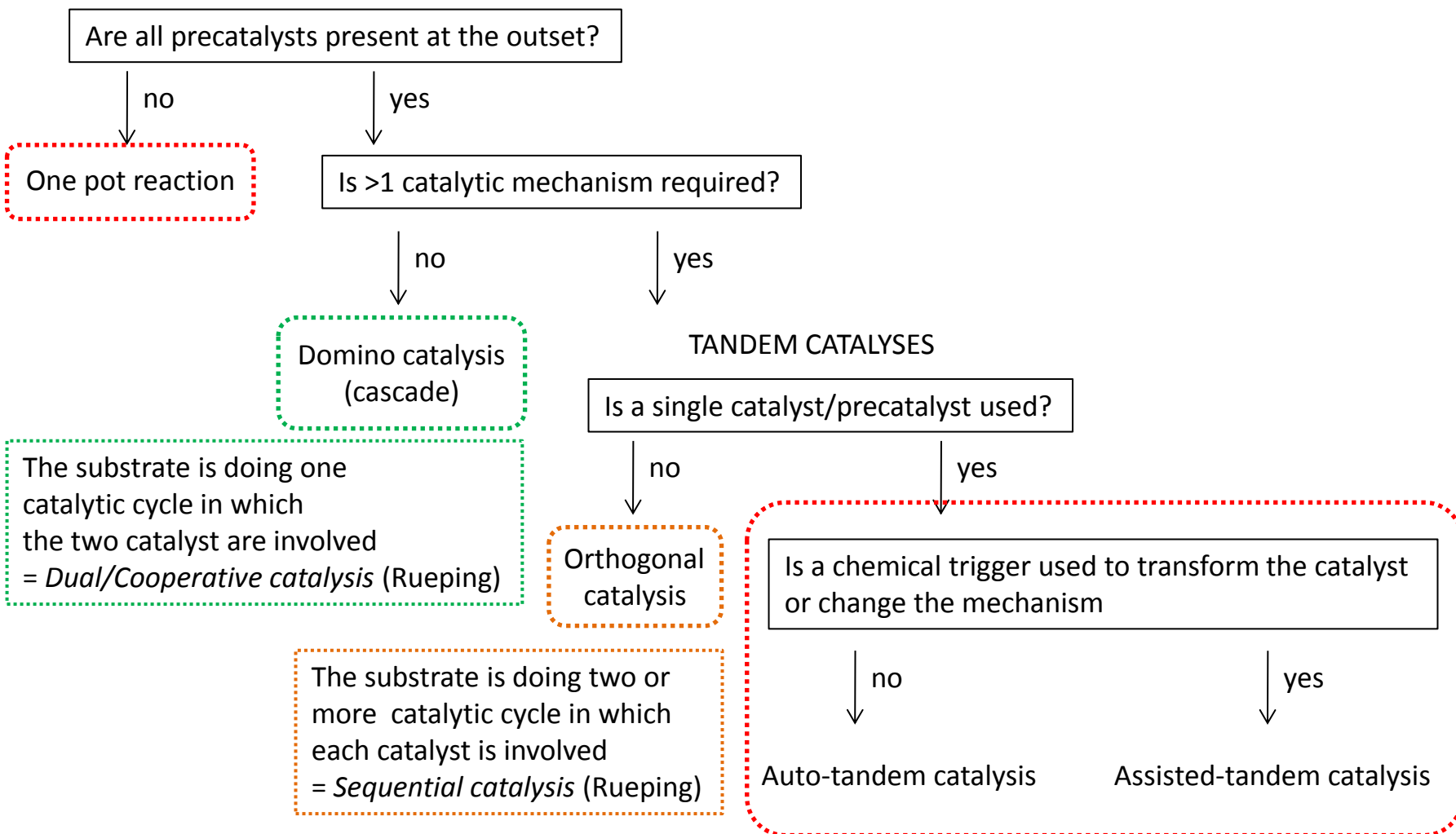
REVIEWS :

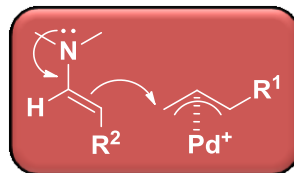
Chem. Soc. Rev., **2009**, 38, 2745-2755

Chem. Eur. J. **2010**, 16, 9350 – 9365

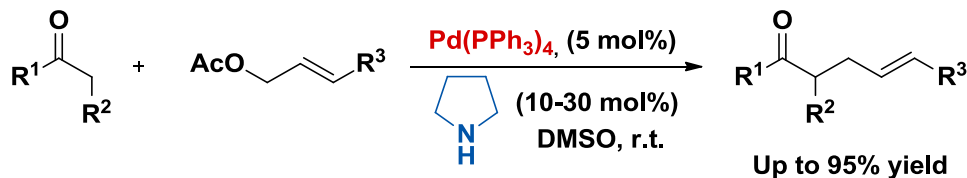
Eur. J. Org. Chem. **2010**, 2999–3025

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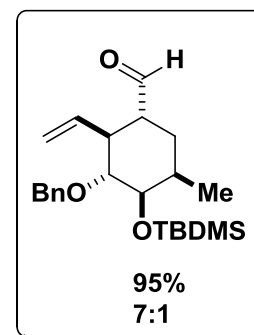
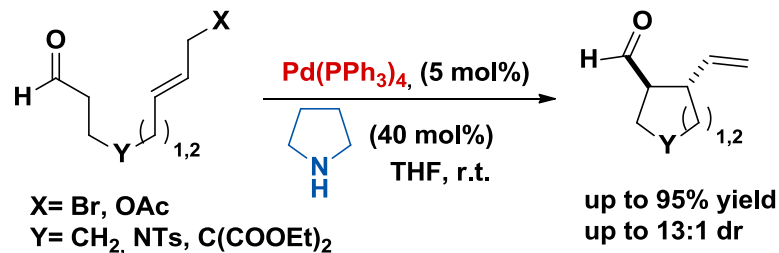




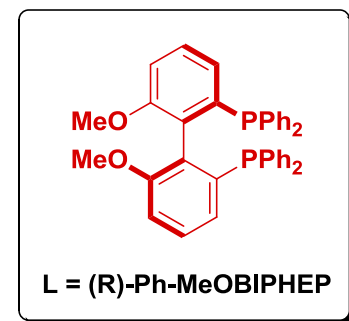
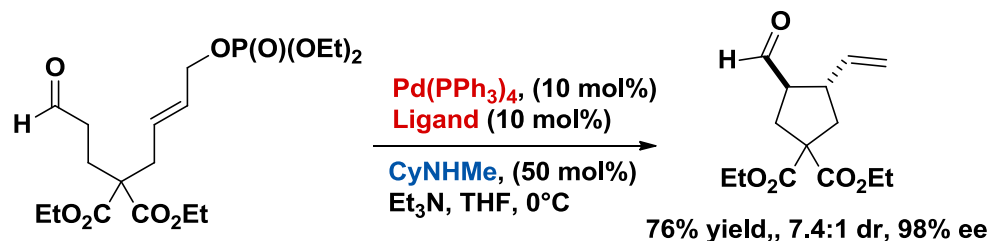
Cordova - 2006



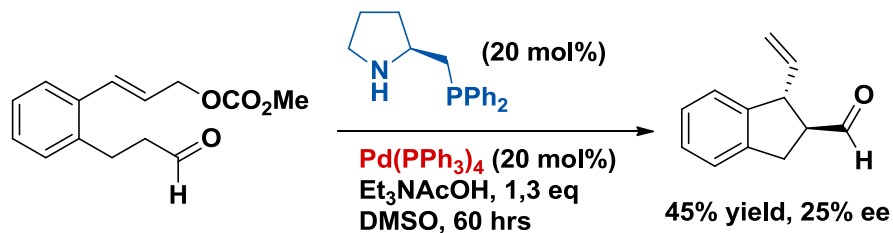
Saicic - 2007



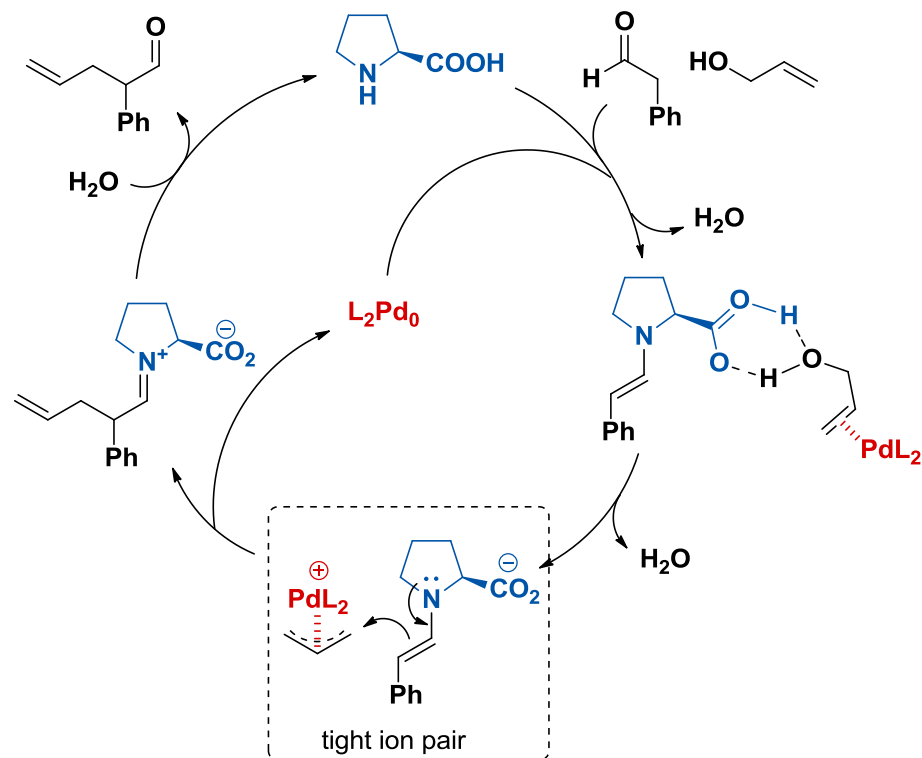
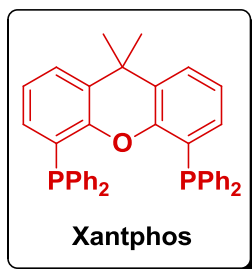
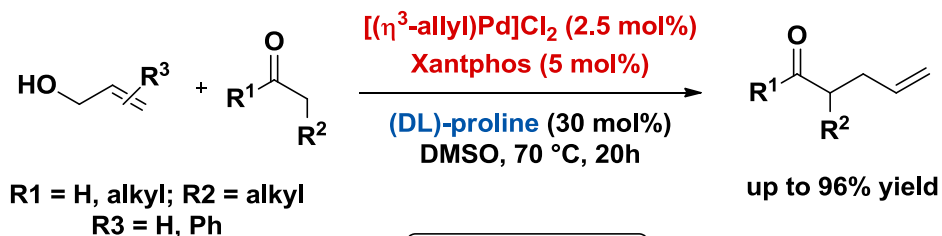
Saicic - 2009

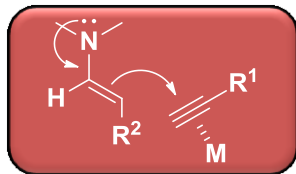


Tomioka – 2008

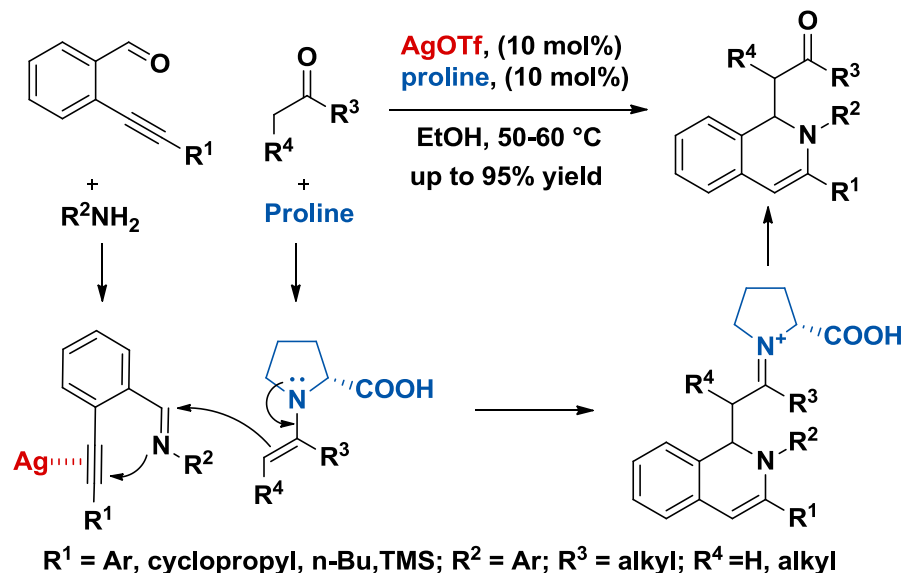


Breit – 2009

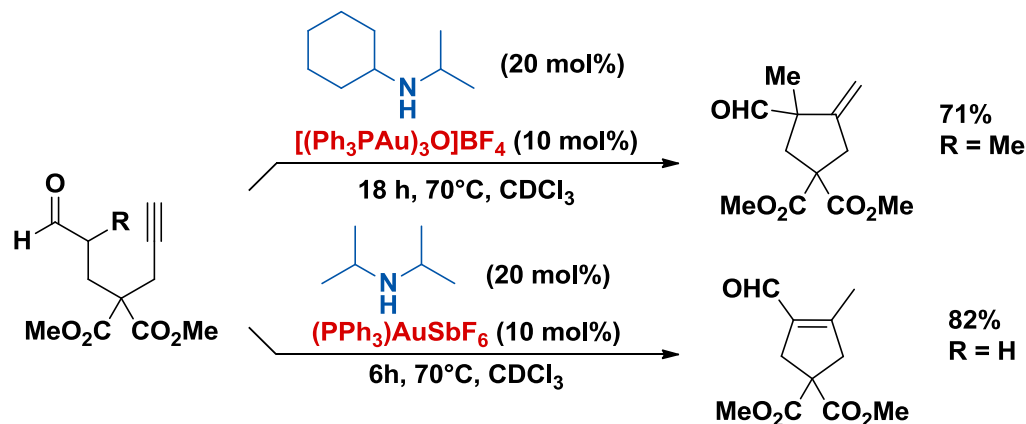




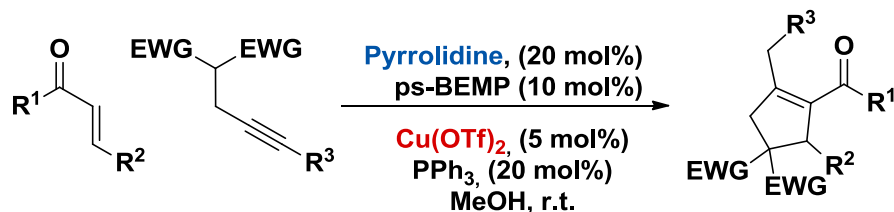
Wu - 2007



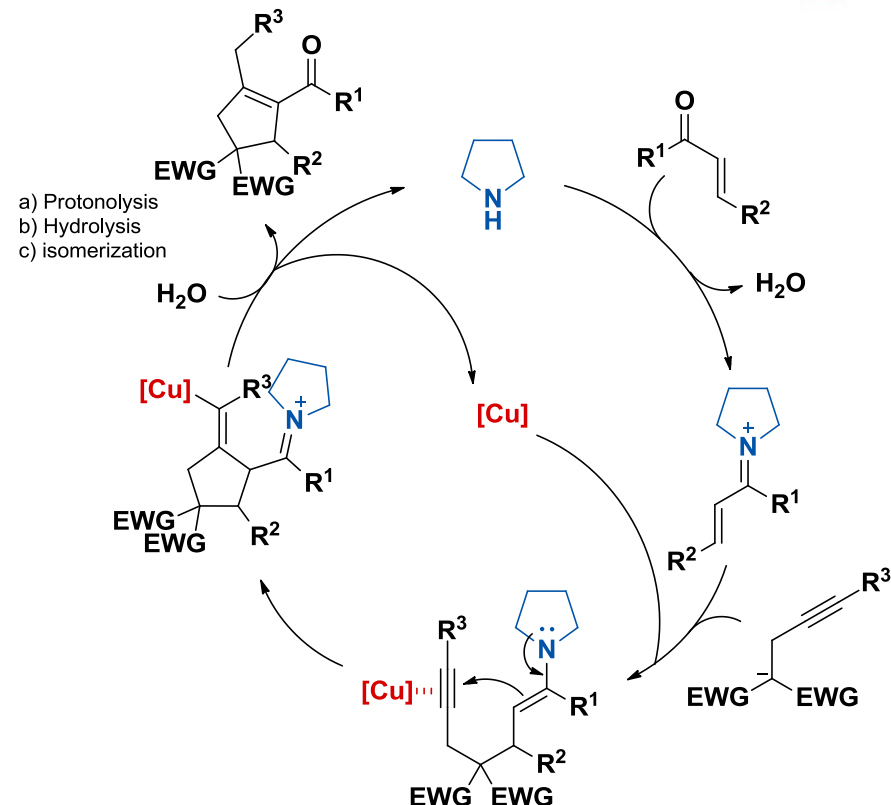
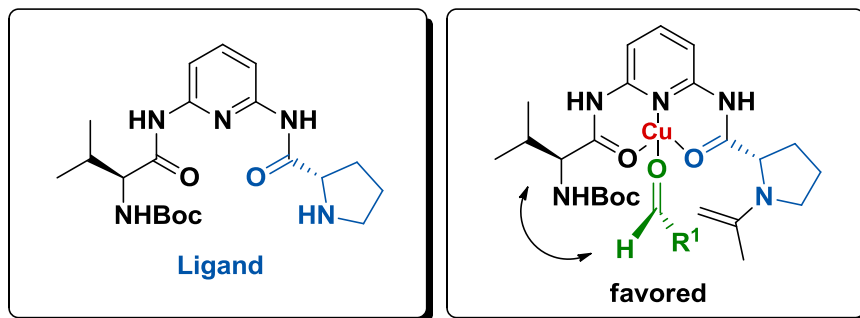
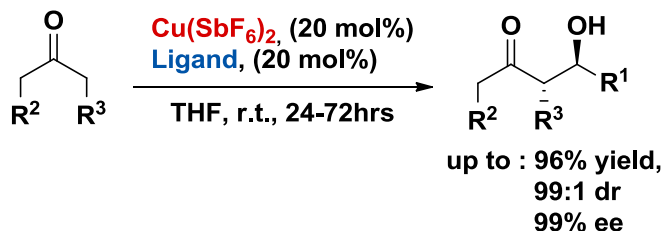
Kirsch - 2008



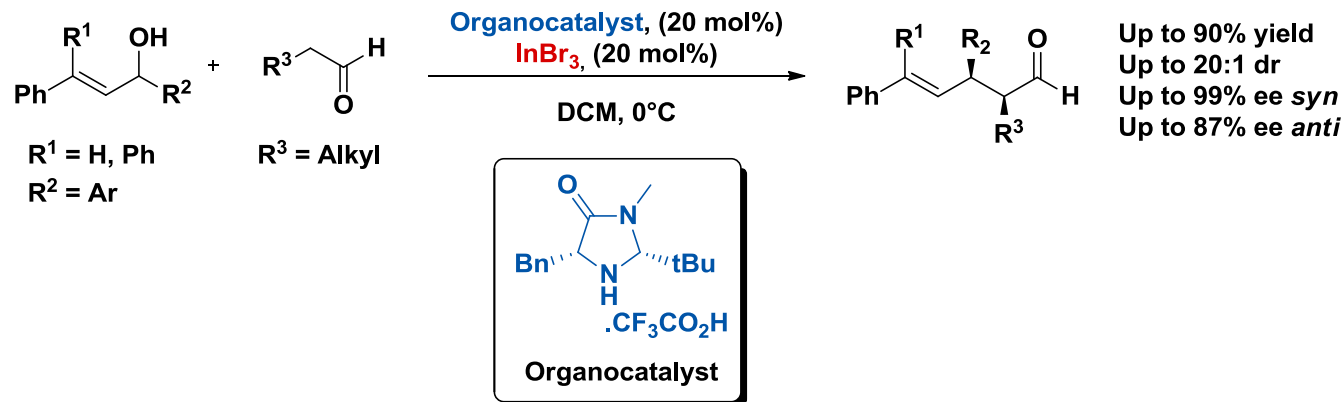
Dixon - 2008



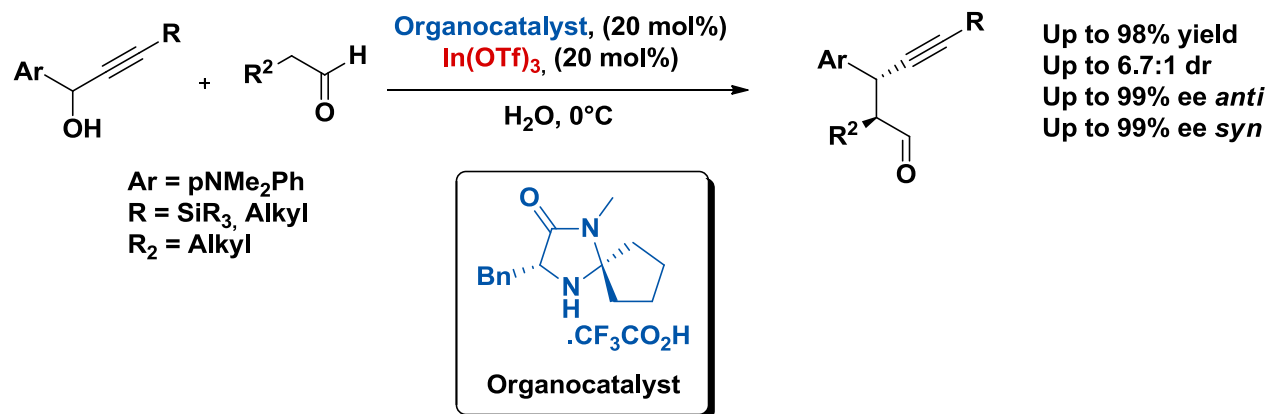
Whang - 2009



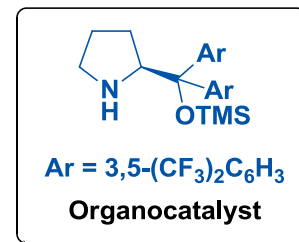
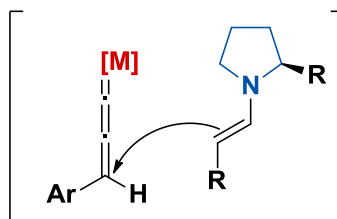
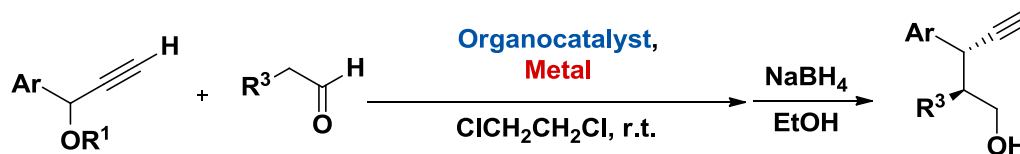
Cozzi - 2010



Cozzi - 2011



Nishibayashi – 2010/2011

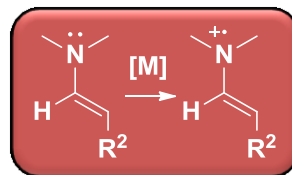


$\text{R}_1 = \text{H}$, $[\text{M}] = [\{\text{Cp}^*\text{RuCl}(\text{SMe})\}_2]$, (5 mol%)
Organocatalyst, (5 mol%)

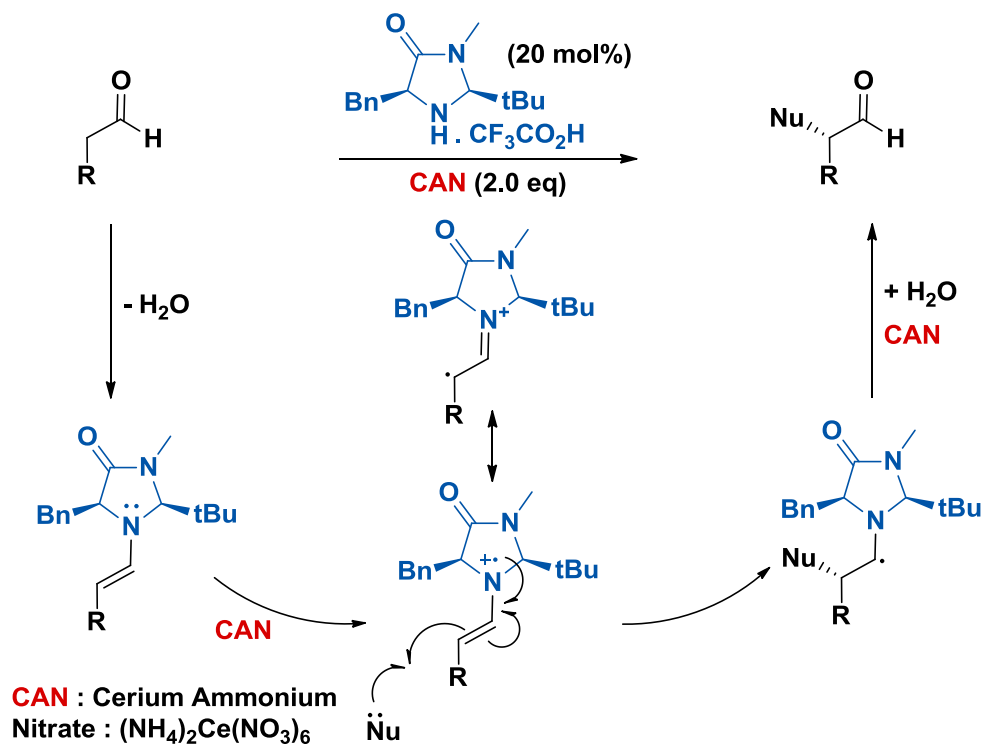
Up to 93% yield
 Up to 3.3:1 dr
 Up to 99% ee *syn*
 Up to 95% ee *anti*

$\text{R}_1 = \text{COR}^2$, $[\text{M}] = \text{CuOTf} \cdot 0.5\text{C}_6\text{H}_6$, *rac*-BINAP, (5 mol%)
Organocatalyst, (5 mol%)

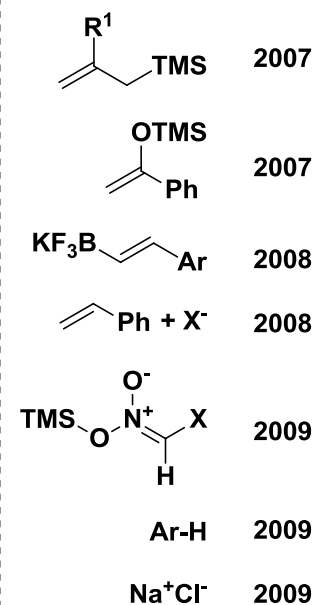
Up to 64% yield
 Up to 3.5:1 dr
 Up to 99% ee *syn*
 Up to 98% ee *anti*



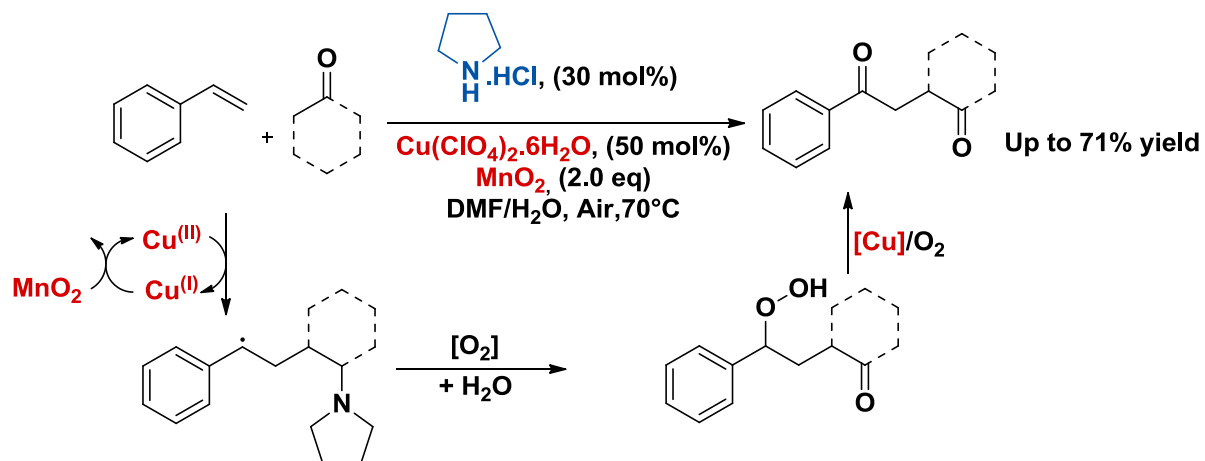
MacMillan – SOMO catalysis



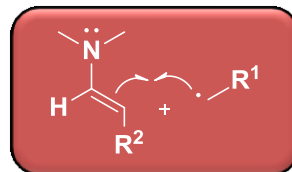
Nucleophiles :



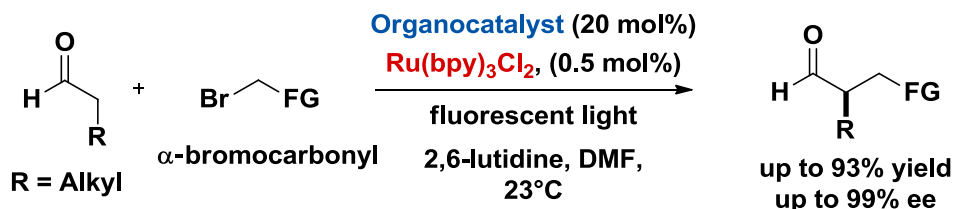
Huang - 2010



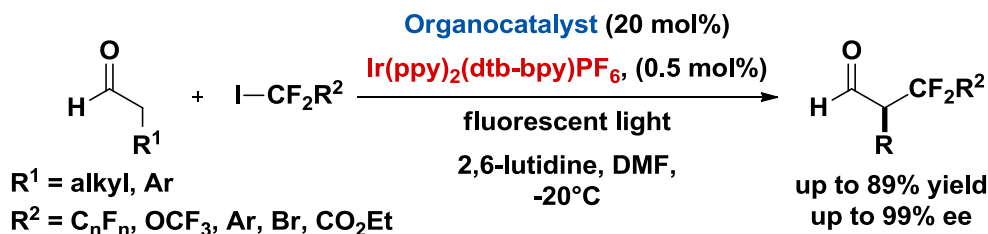
MacMillan – Photoredox catalysis



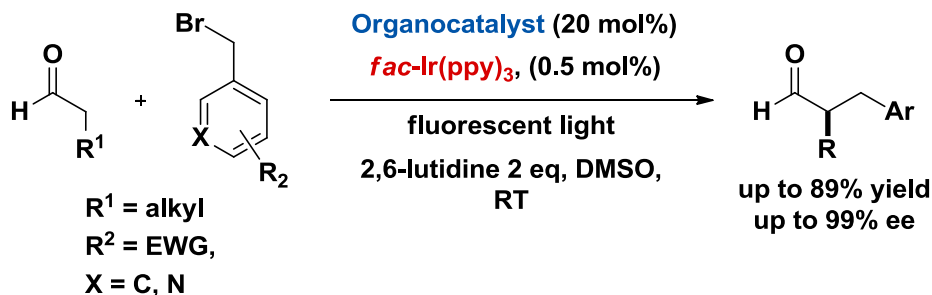
2008



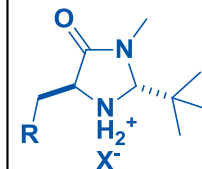
2009



2010

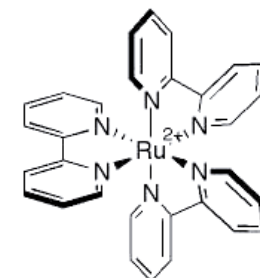
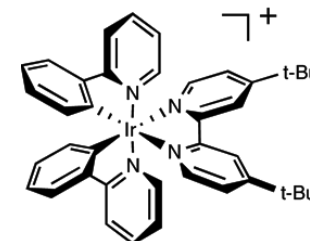


Organocatalyst

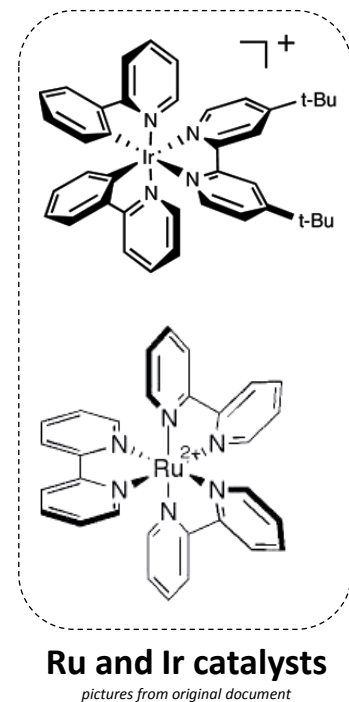
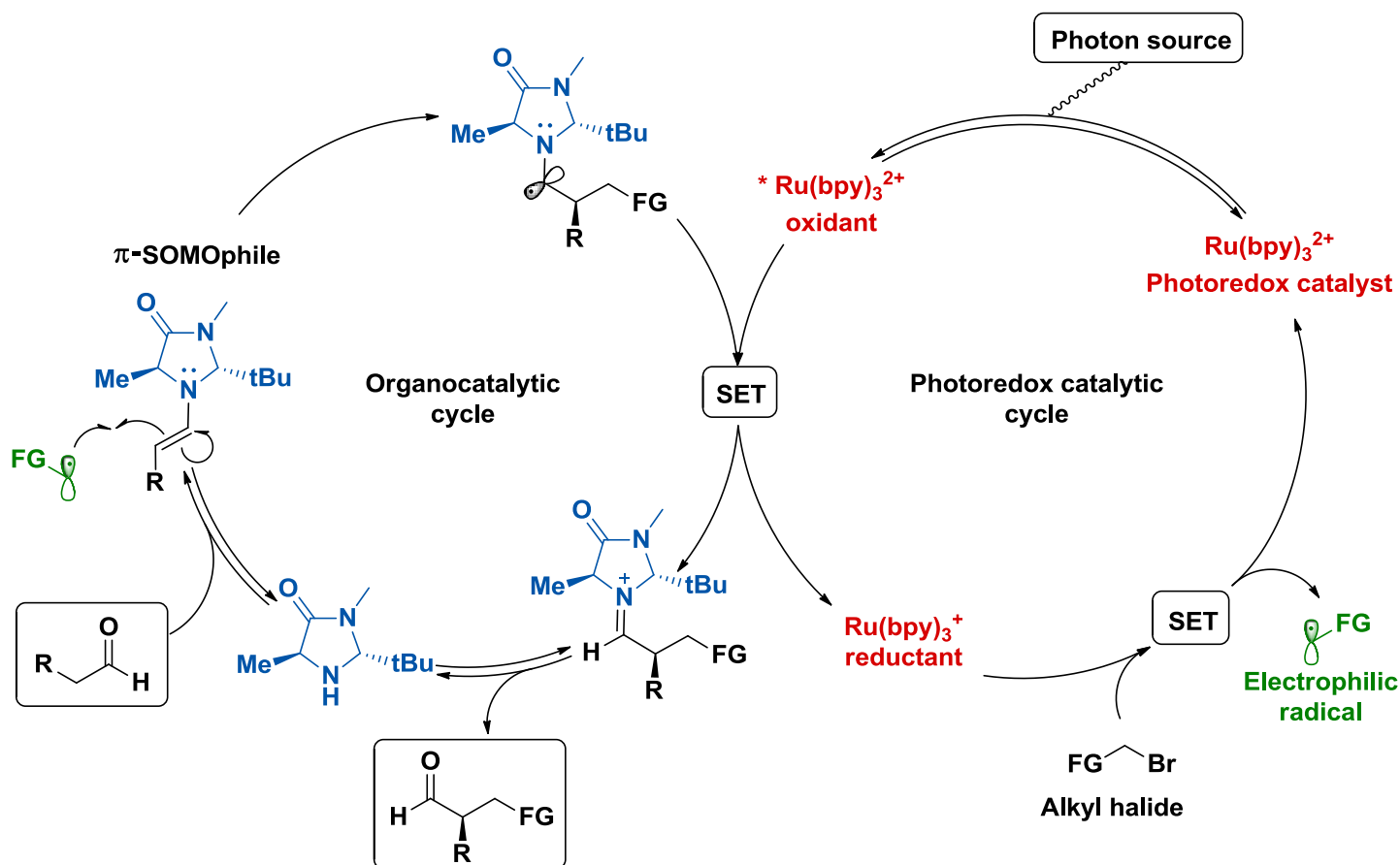


Photocatalysts

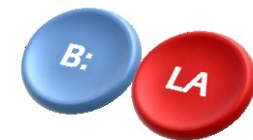
pictures from original document



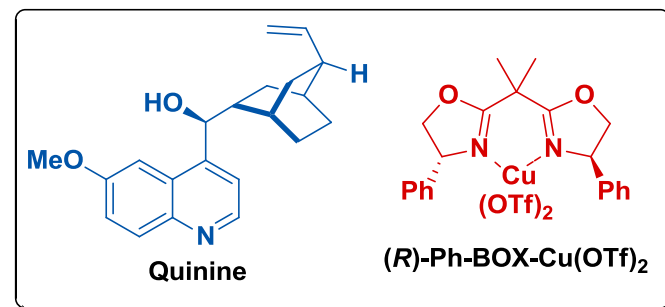
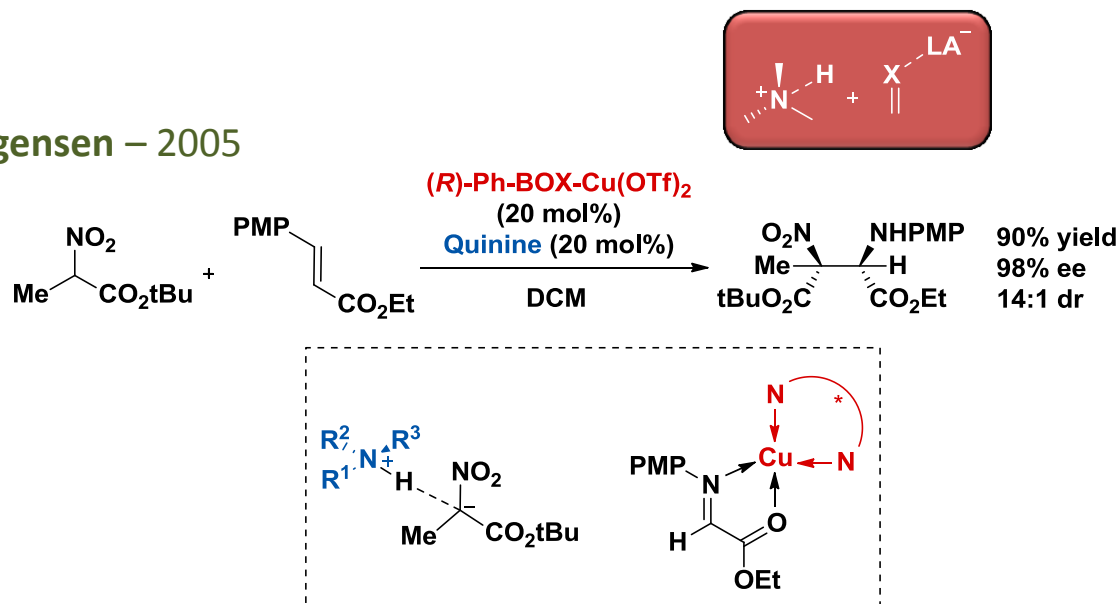
MacMillan – Photoredox catalysis



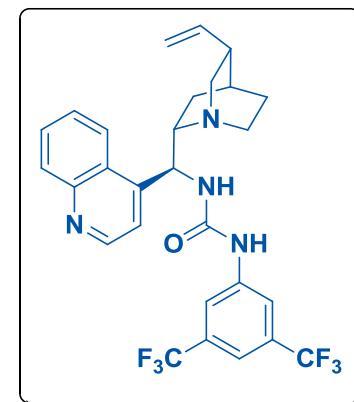
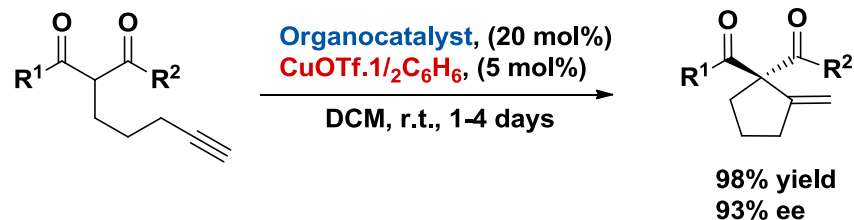
Brønsted base – Lewis acid



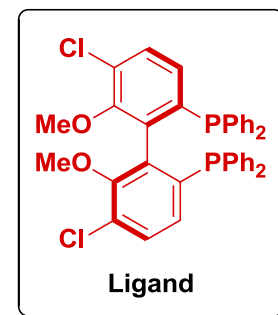
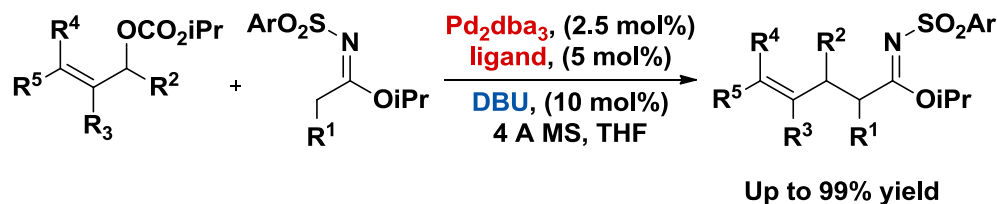
Jørgensen – 2005



Dixon – 2009



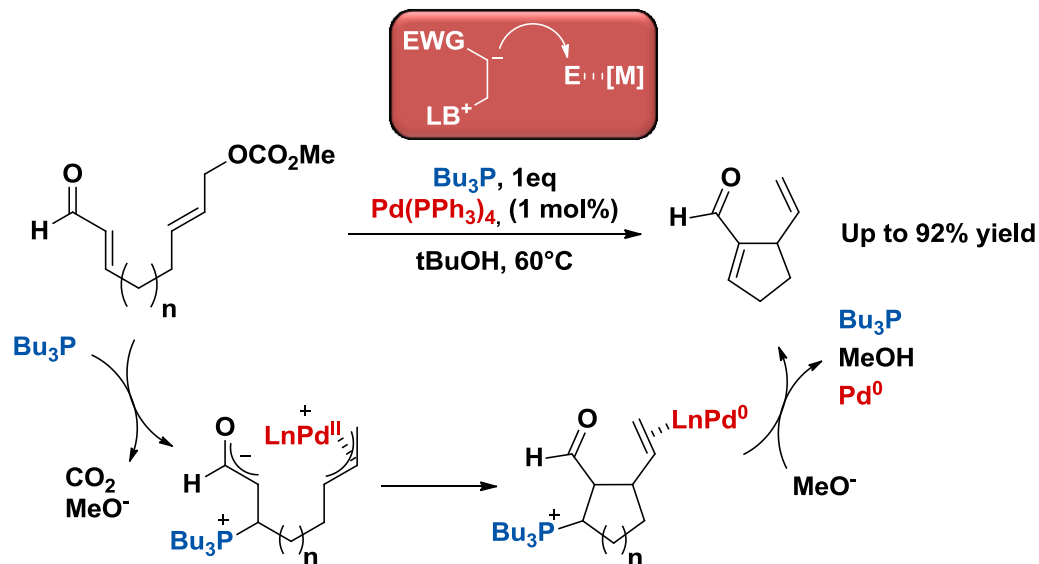
Kobayashi – 2008



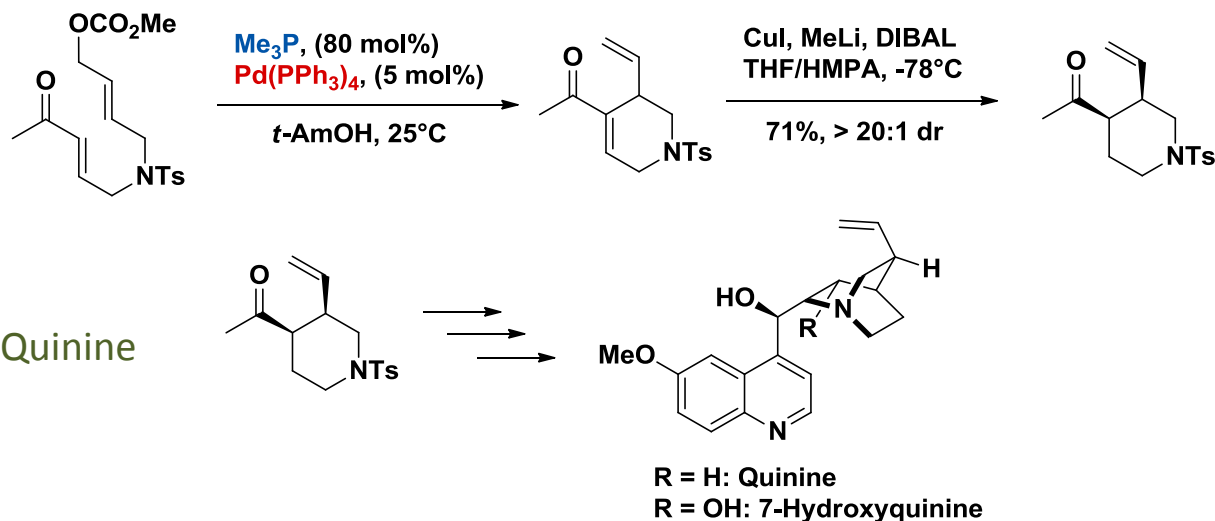
Lewis base – Transition metal



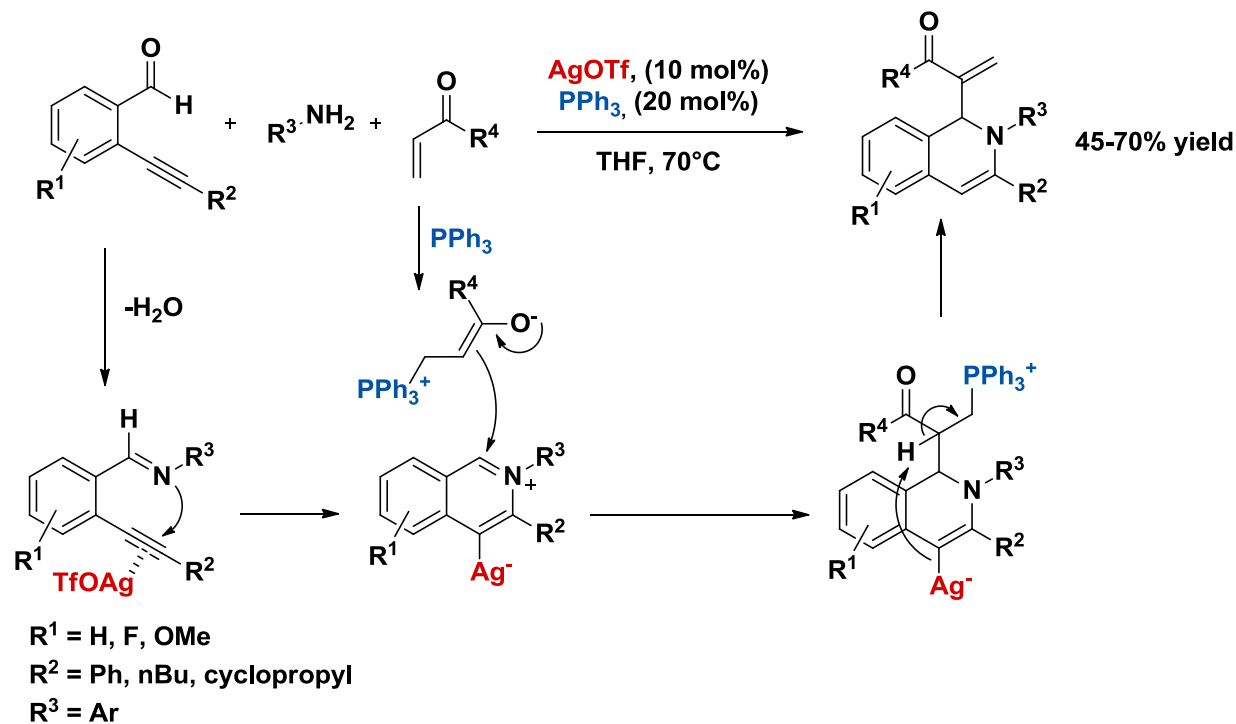
Krische – 2003



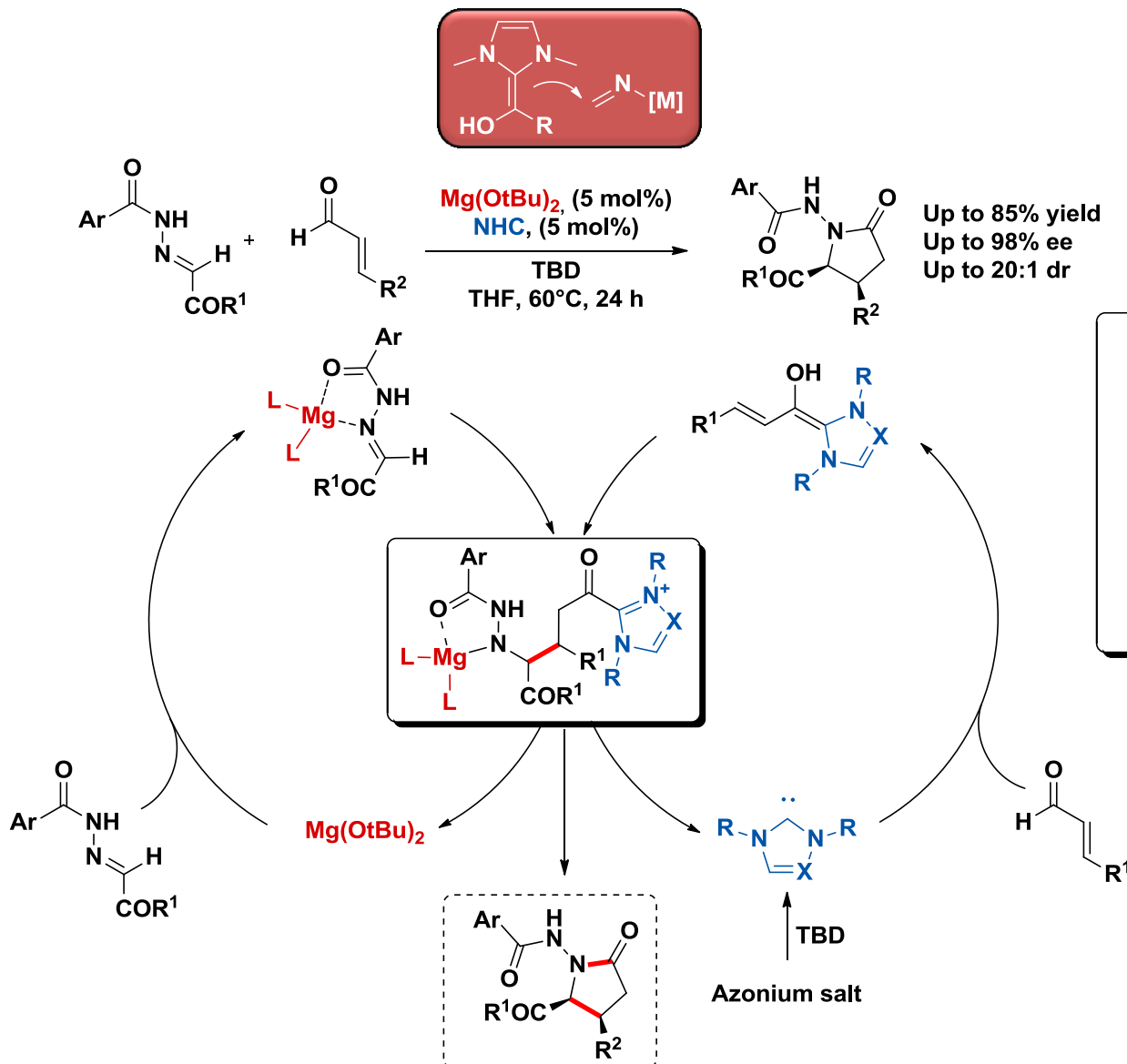
Krische – 2008
Total synthesis of Quinine



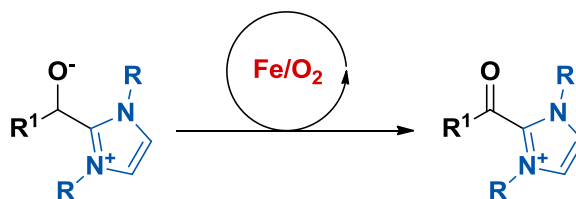
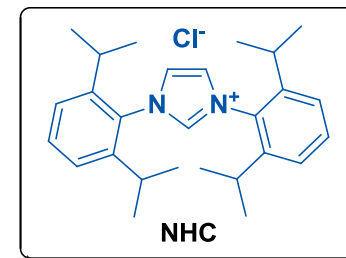
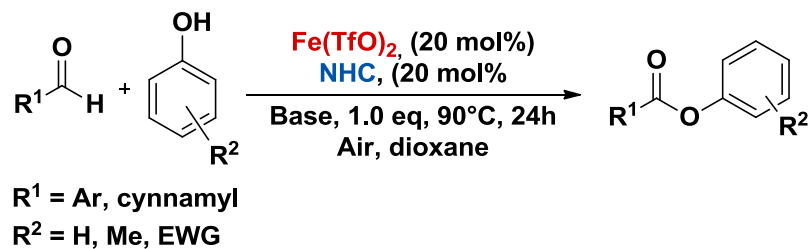
Wu – 2009

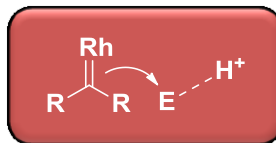


Scheidt – 2010

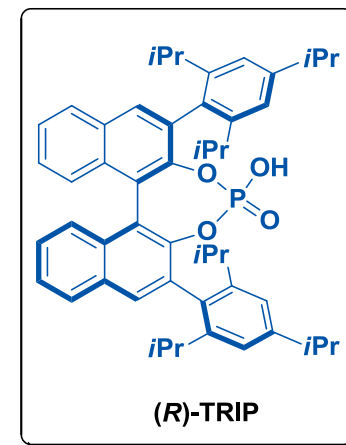
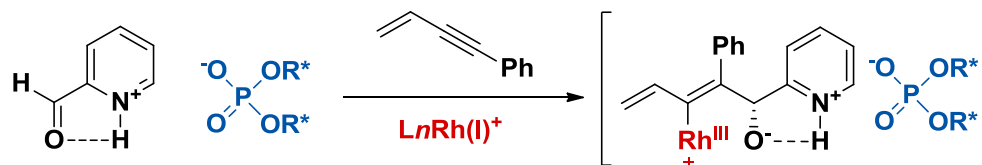
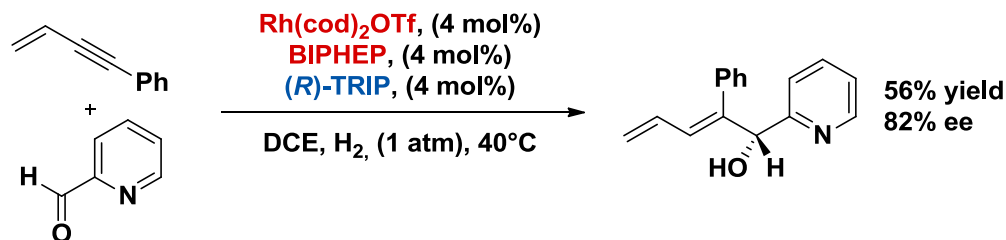
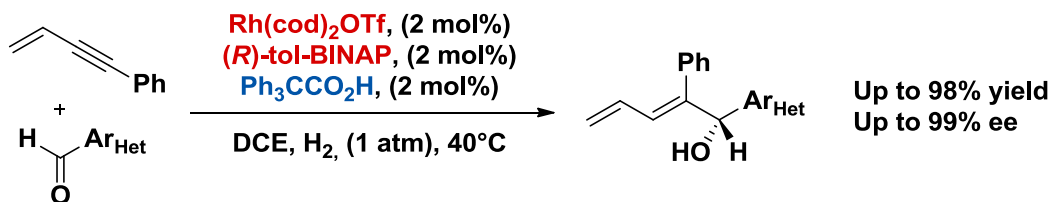


Gois – 2011

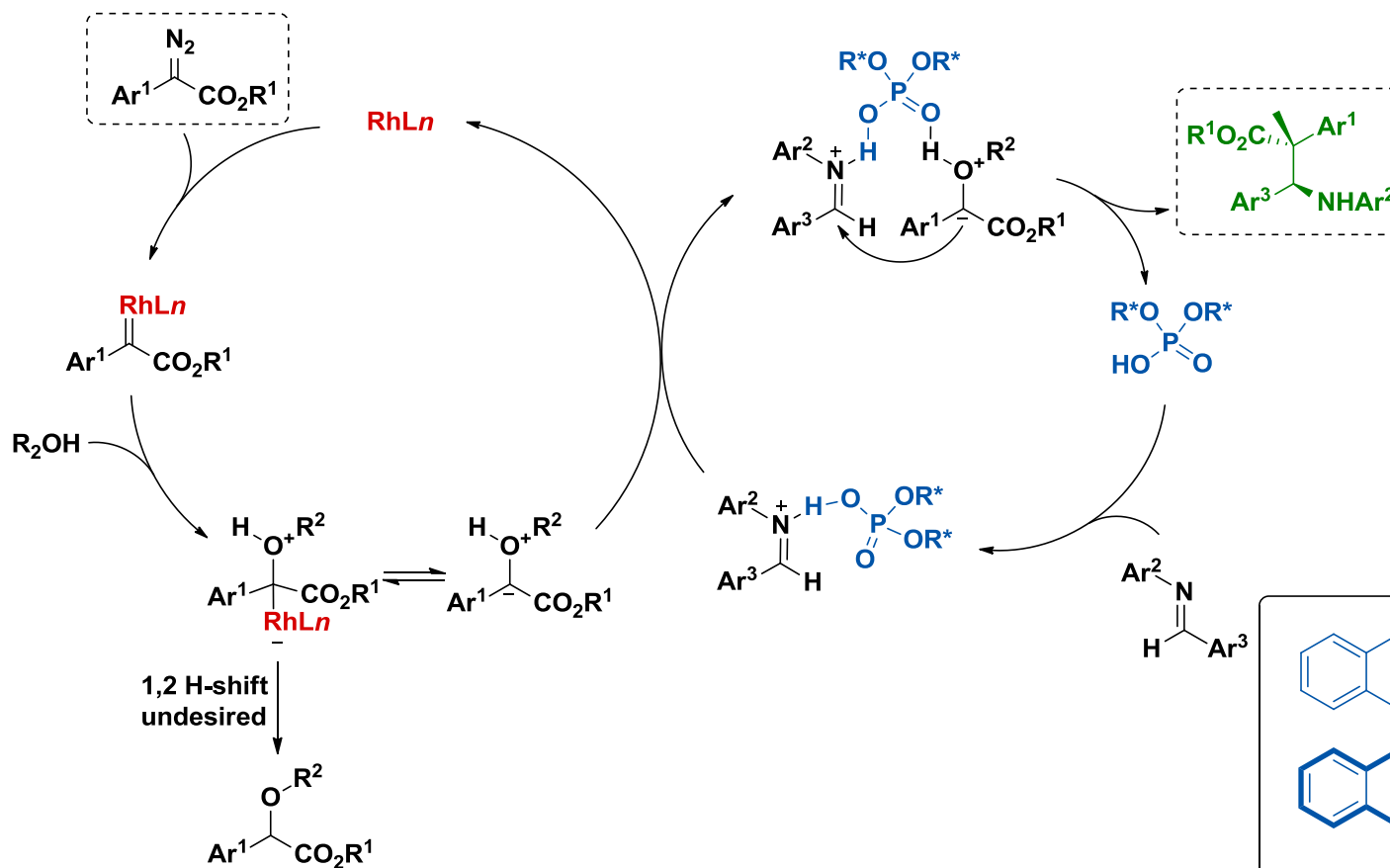
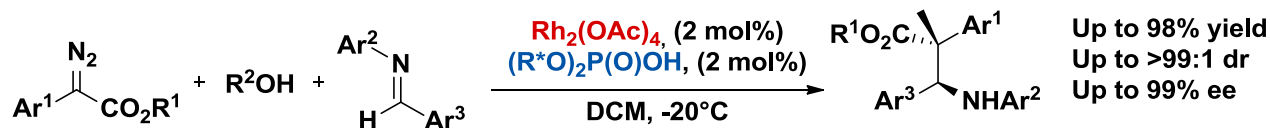




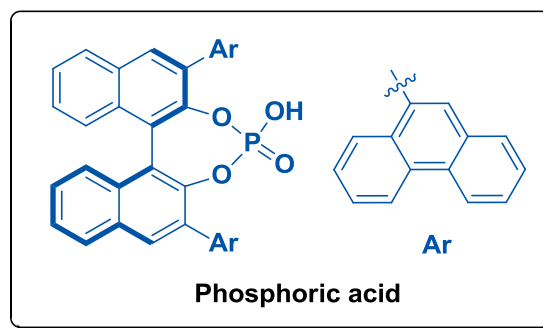
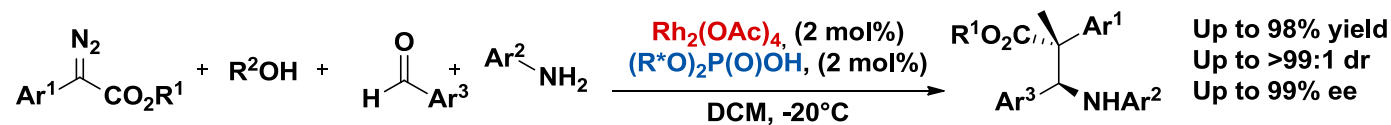
Krische – 2006



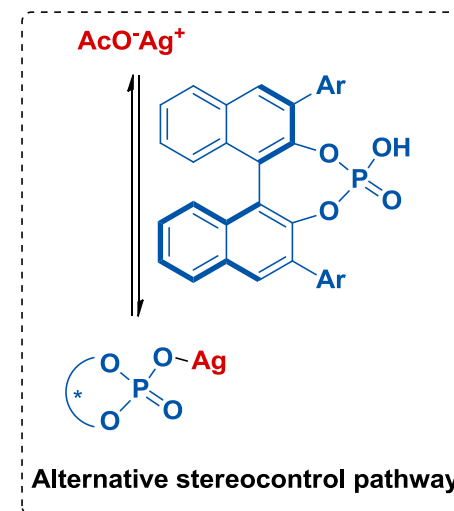
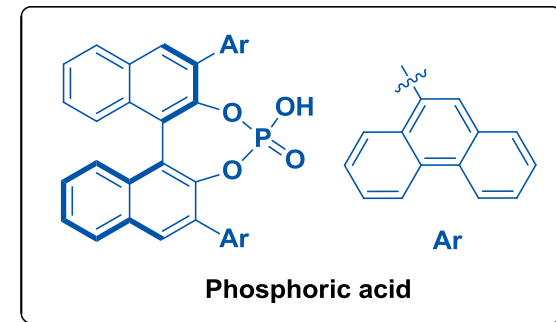
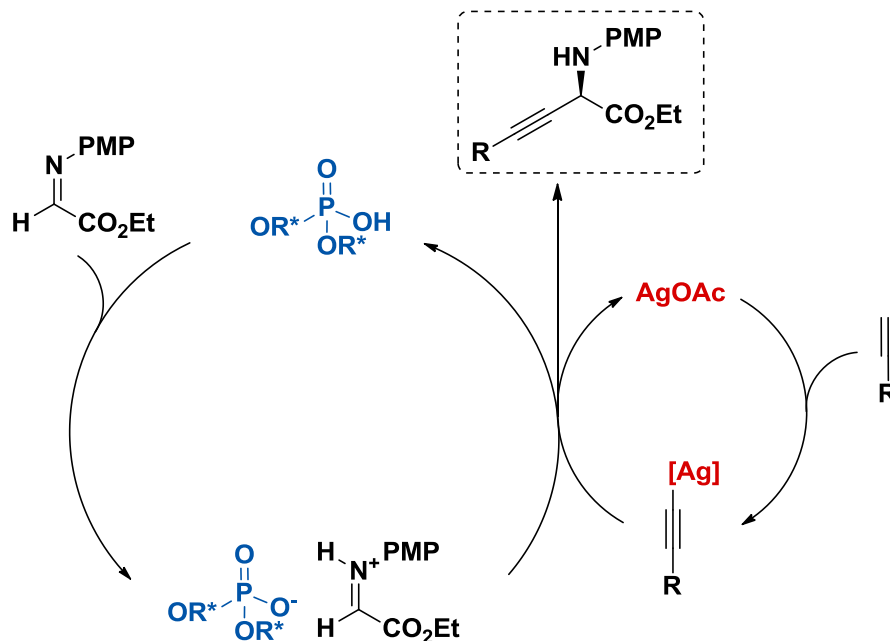
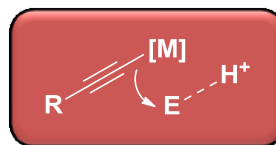
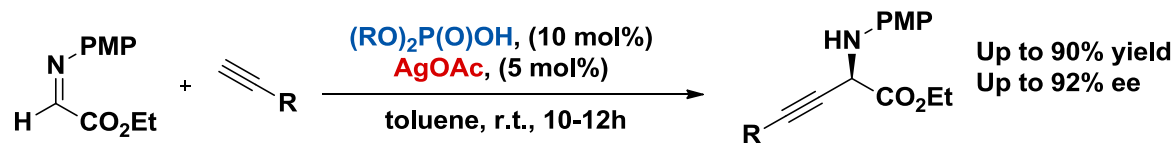
Gong, Hu – 2008



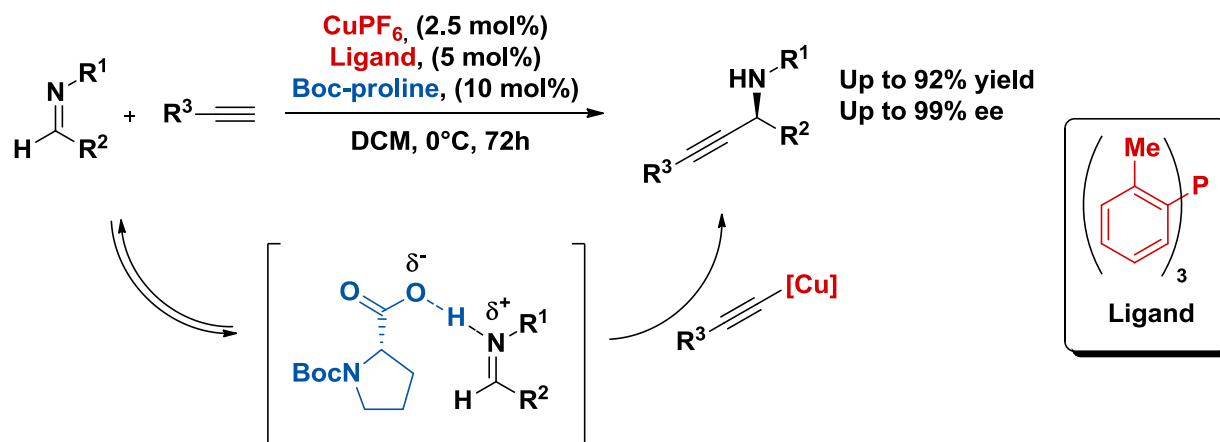
Hu – 2008



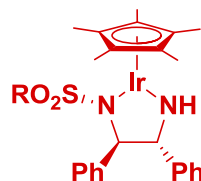
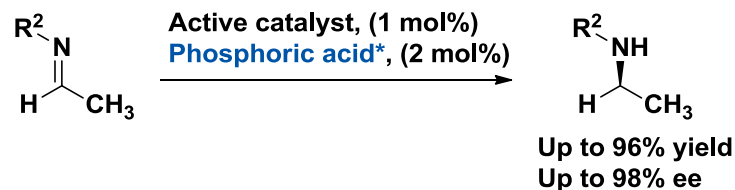
Rueping – 2007



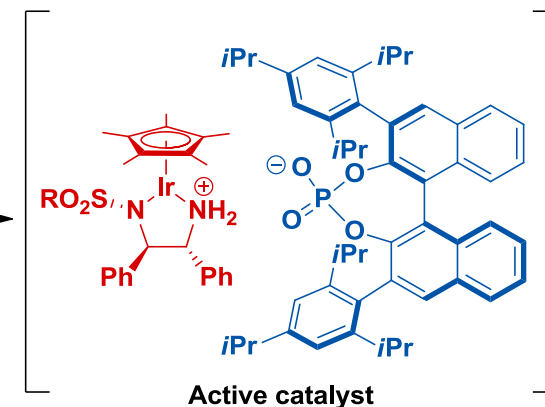
Arndsten – 2009



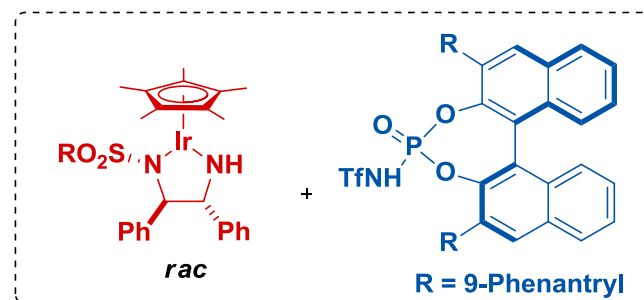
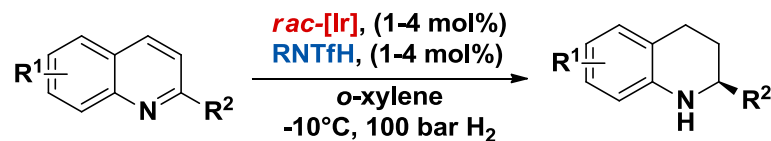
Xiao – 2008



Phosphoric acid*



Rueping – 2011



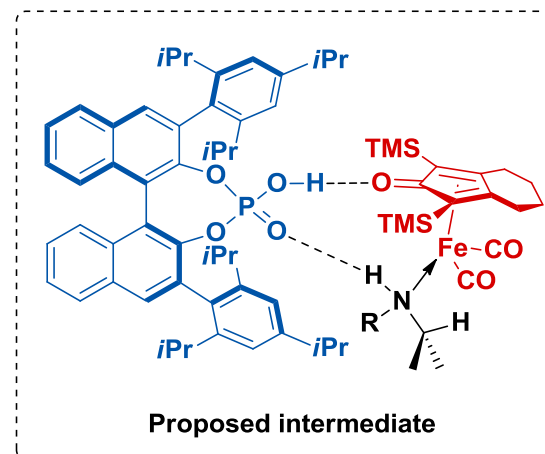
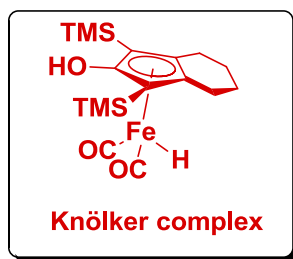
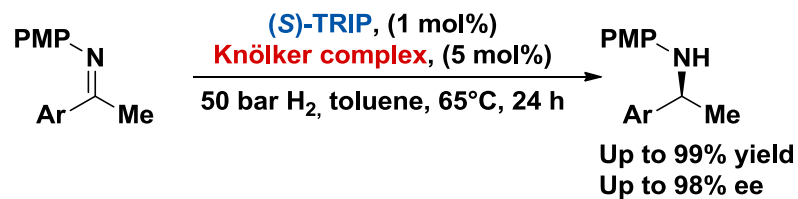
(*R,R*)IrH⁺/RNTf⁻

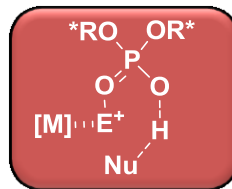
(*S,S*)IrH⁺/RNTf⁻

High Reactivity
High Selectivity

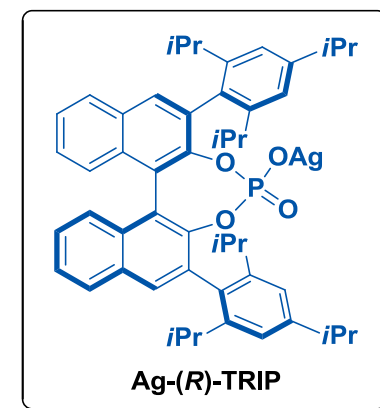
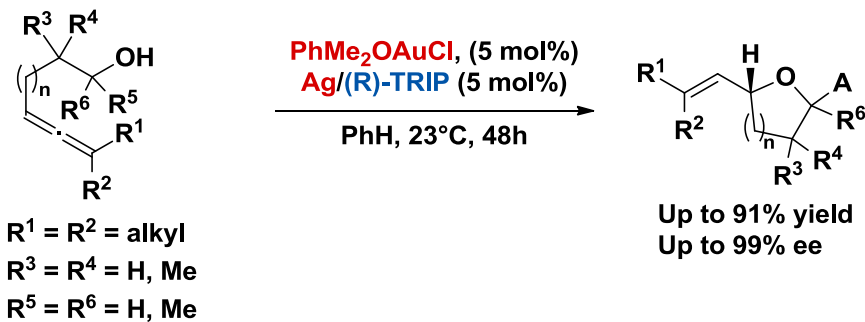
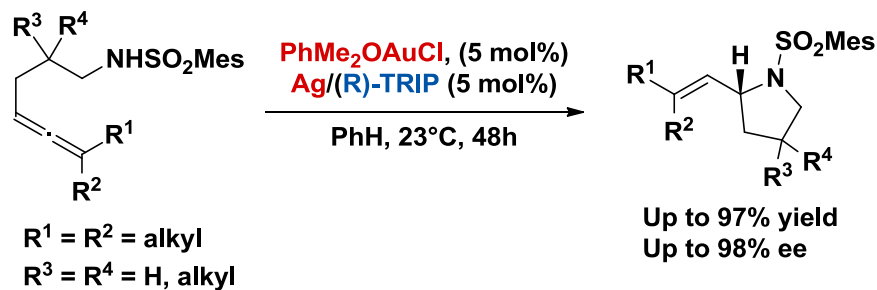
Low Reactivity
Low Selectivity

Beller – 2011

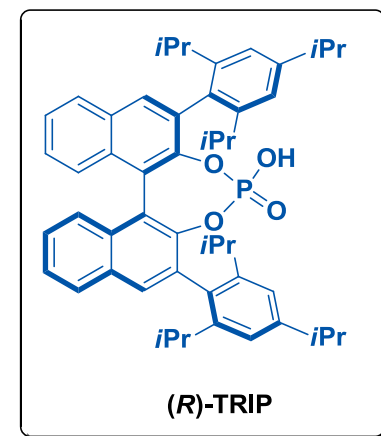
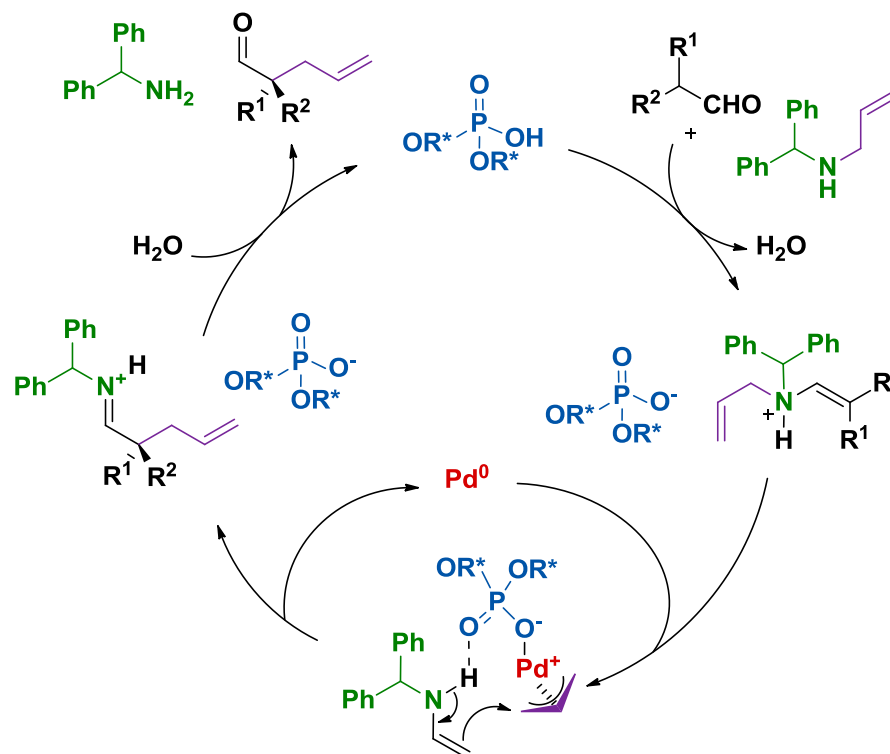
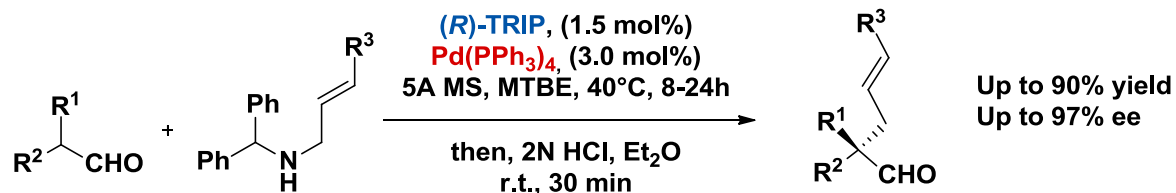




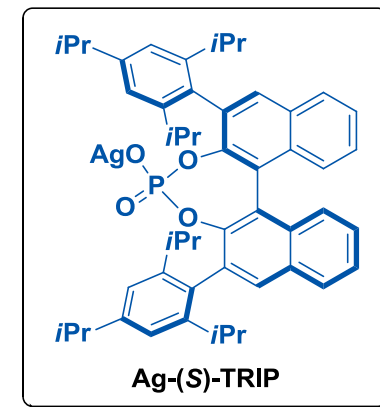
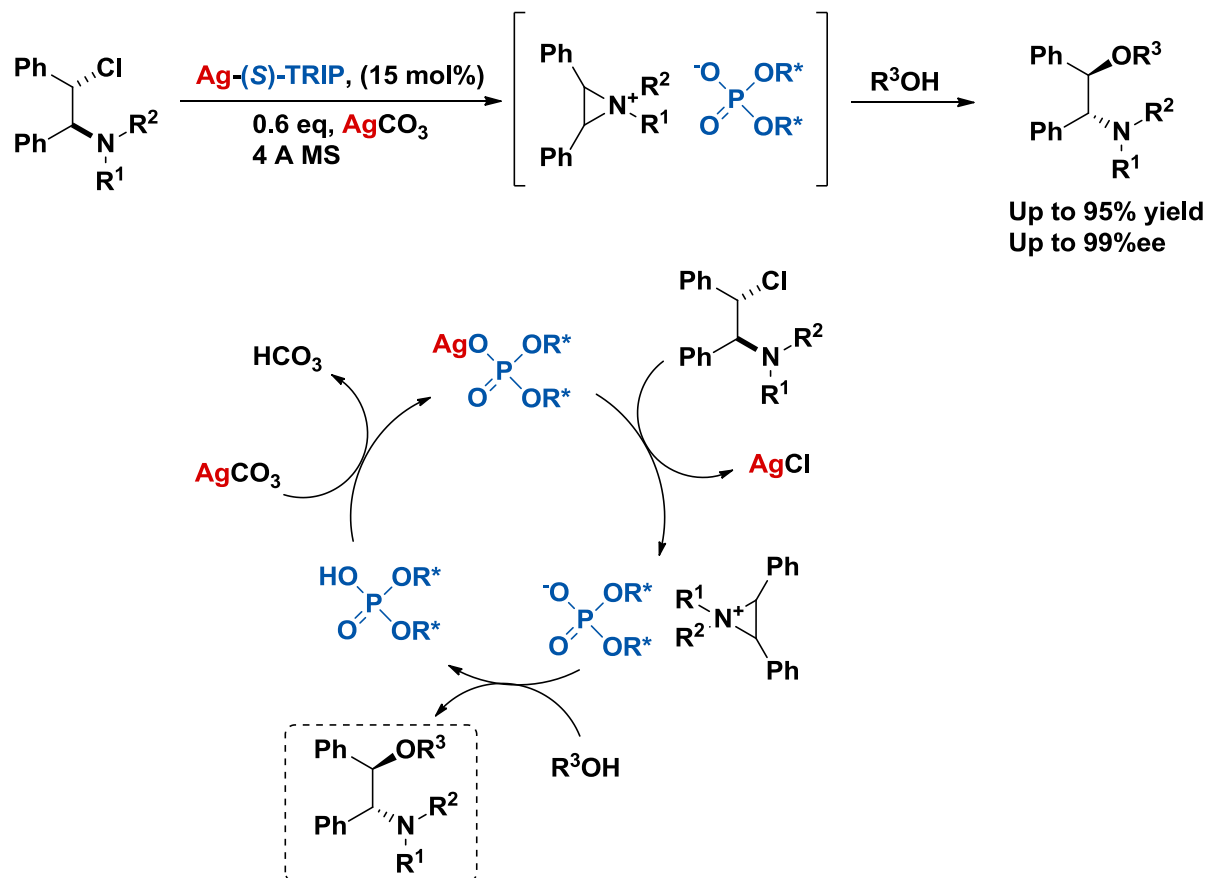
Toste – 2007



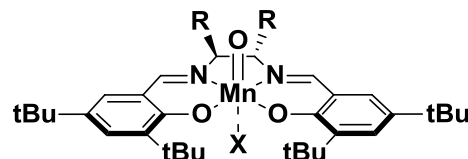
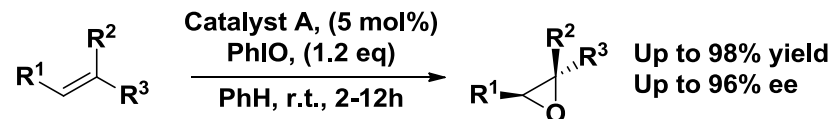
List – 2007



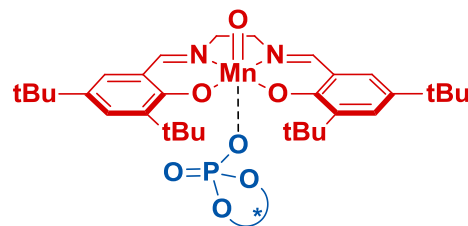
Toste – 2008



List – 2010



Jacobsen catalyst :
Chiral ligand, achiral counteranion



Catalyst A
Achiral ligand, chiral counteranion

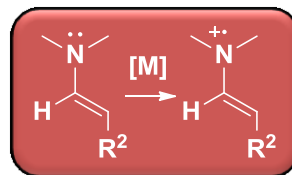
Sc, Inanaga, *fluorination*
Yb, Inanaga, *Michael addition*
Li, Ishiara, *cyanosilation*
Fe, Huang, *Friedel-Craft*
Mg, Luo, *Friedel-Craft*
Zn, Charette, *Cyclopropanation*
Cu, Shi, *diamination*
Na, Feng, *cyanosilation*
Ca, Ishiara, *Mannich*

- Attractive concept
- Simplifying catalyst evaluation
- Reactive intermediates present in low concentration
- Lot of combinations that have to be discovered
- Mechanistic studies

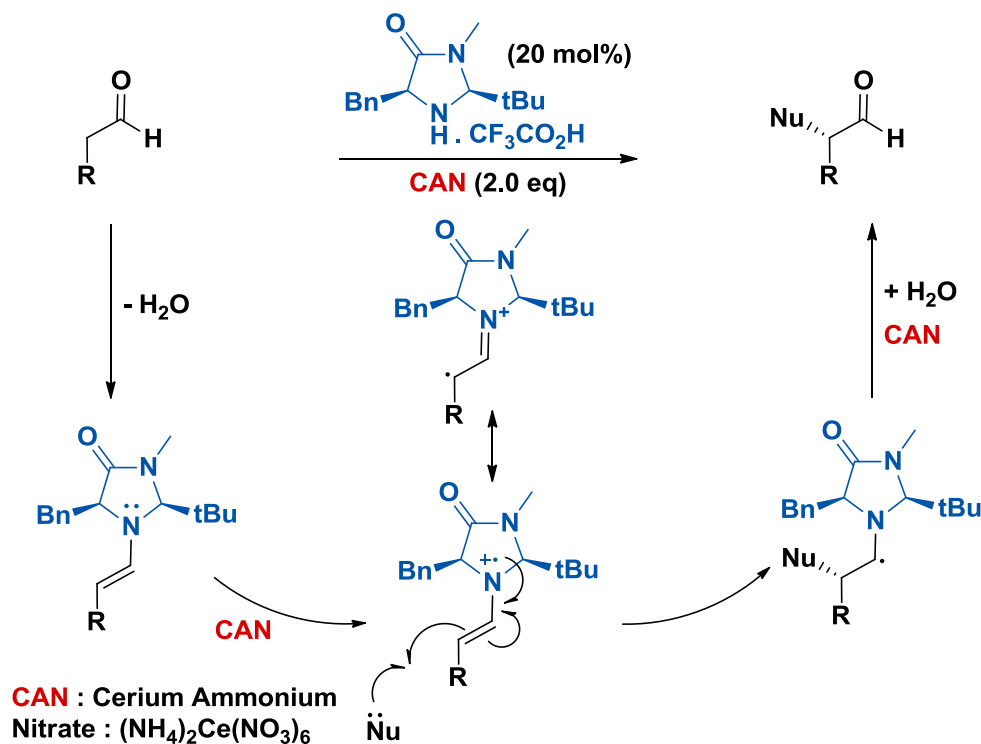
Thank you for your attention

Questions

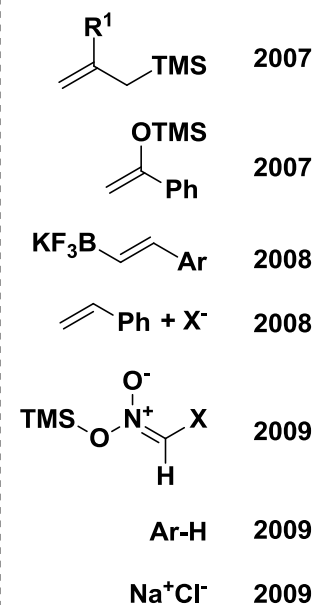
- 1: What is the difference of reactivity between the MacMillan SOMO and Photoredox-catalysis?
- In the case of a cationic-gold complex, why the chiral-counteranion strategy is particularly interesting in order to induce chirality?



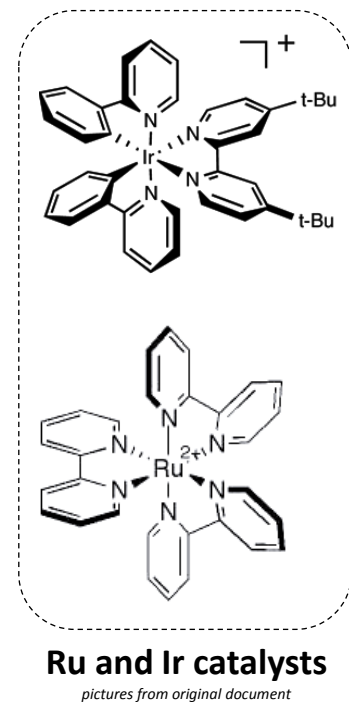
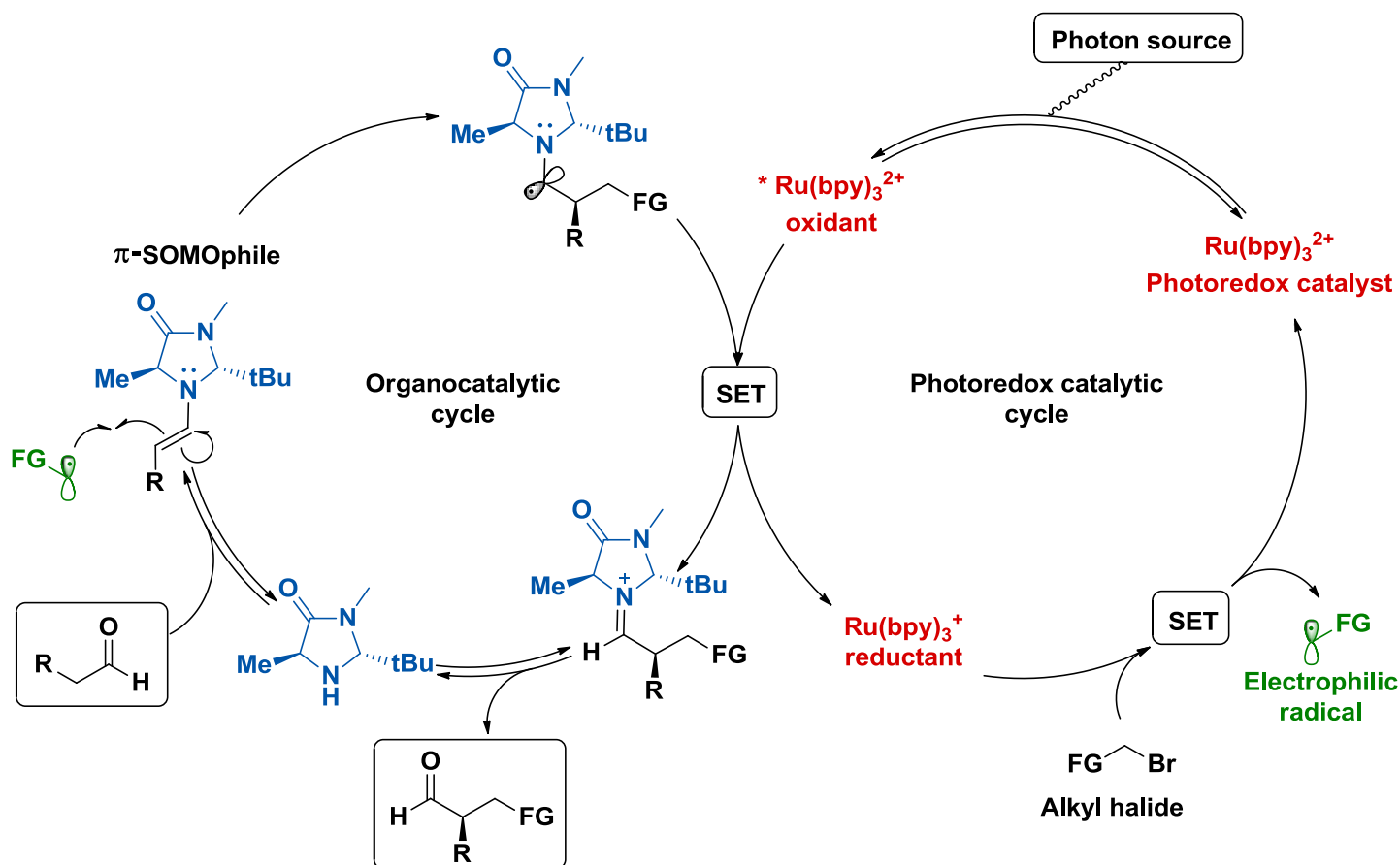
MacMillan – SOMO catalysis



Nucleophiles :

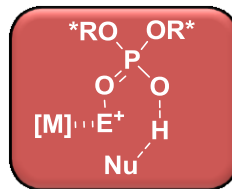


MacMillan – Photoredox catalysis

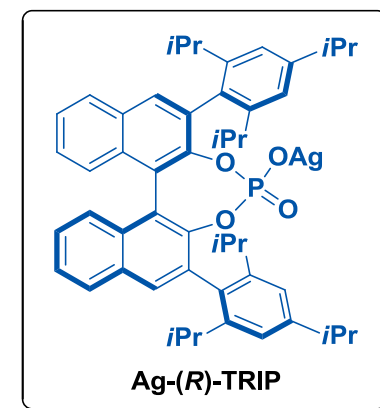
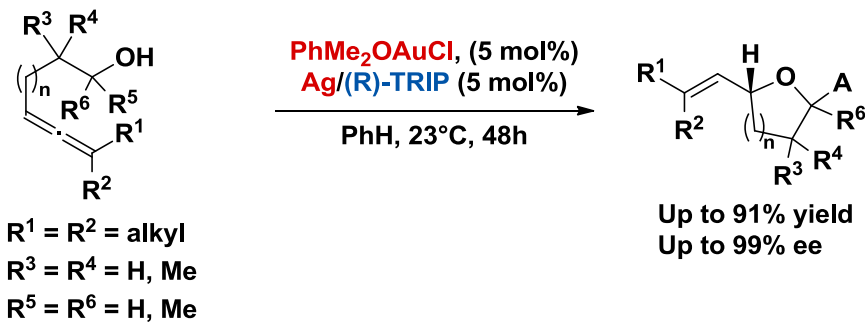
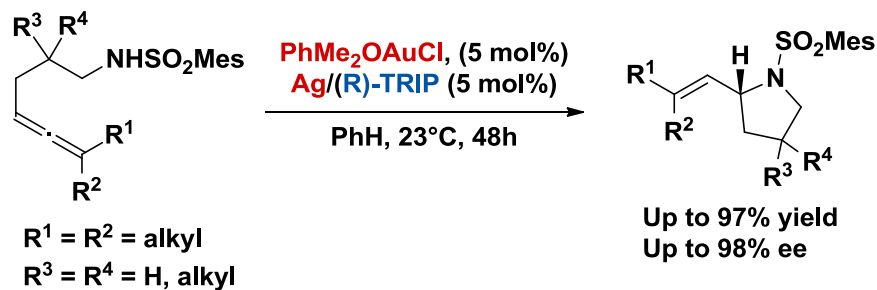


Questions

- What is the difference of reactivity between the MacMillan SOMO and Photoredox-catalysis?
- In the case of a cationic-gold complex, why the chiral-counteranion strategy is particularly interesting in order to induce chirality?



Toste – 2007



ENLIGHTENMENT, RADICAL PATHWAY TOWARDS COMPLEX STRUCTURES

Jean-Baptiste Gualtierotti

***FRONTIERS IN CHEMICAL SYNTHESIS:
TOWARDS SUSTAINABLE CHEMISTRY***

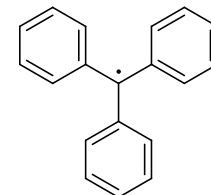
SESSION III: ORGANOCATALYSTS AND RADICALS

LAYOUT

- **Historical Background**
- **Methods for the formation of complex structures through total synthesis**
 - Photo-cycloadditions
 - Photo-rearrangements
 - Norrish 1 and 2
 - Photo-extrusion
 - Photo-oxidation
 - Photo-protecting groups
- **Summary and questions**

PRIMARY RADICALS

- **Gomberg (U. of Michigan 1900): first who recognized he had stumbled the evidence of a free radical**



- **1911 enough evidence for the community to accept their existence**

- **Giacomo Ciamician, by some called the father of photochemistry, proposed the concept of solar energy as green source to replace societies dependency on coal (1912)**

- **1929: Paneth and Hofeditz produced the methyl free radical, CH₃**

- **In 1933, Morris Kharasch and his student, Frank Mayo, invoked a free-radical mechanism and discovered "the peroxide effect"**

- **Evolved towards plastic, understanding of many processes (ie oxidative stress) and so on**

- **1970's and onwards: truly used in synthesis i.e Barton reaction in steroid synthesis**

*On the arid lands there will spring up industrial colonies without smoke and without smokestacks;
forests of glass tubes will extend over the plains and glass buildings will rise everywhere;
inside of these will take place the photochemical processes that hitherto have been the guarded secret of the plants,
but that will have been mastered by human industry which will know how to make them bear even more abundant fruit than nature,
for nature is not in a hurry and mankind is.*

Giacomo Ciamician *science* **36**, 385, **1912**

A SINGULAR ENTITY

Advantageous over ionic counterparts

- More FG tolerant
- Lesser pH dependence
- Potential cascade reactions
- Can access congested «areas»

In addition light based chemistry

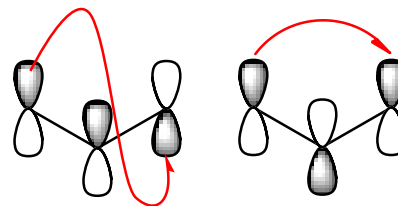
$$\text{Atom economy} = \frac{Mw \text{ product}}{\sum Mw \text{ reactants}}$$

$Mw \text{ light} \ll \text{Standard reagents}$

$$\text{E factor} = \frac{m \text{ overall waste}}{m \text{ product}}$$

Light waste?

Photochemical reactions lead to a reversal of terminal symmetry relationships and reversal of stereospecificity
Woodward-Hoffmann rules



Thermal [1,3] antarafacial
sigmatropic shift

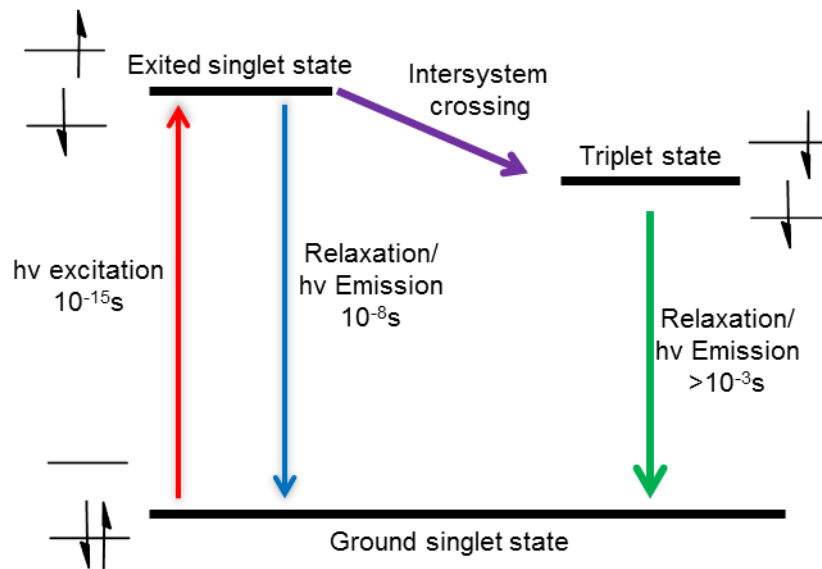
Photo [1,3] suprafacial
sigmatropic shift

PHOTO-EXCITATION PATHWAYS

Simplified Jablonski diagram:

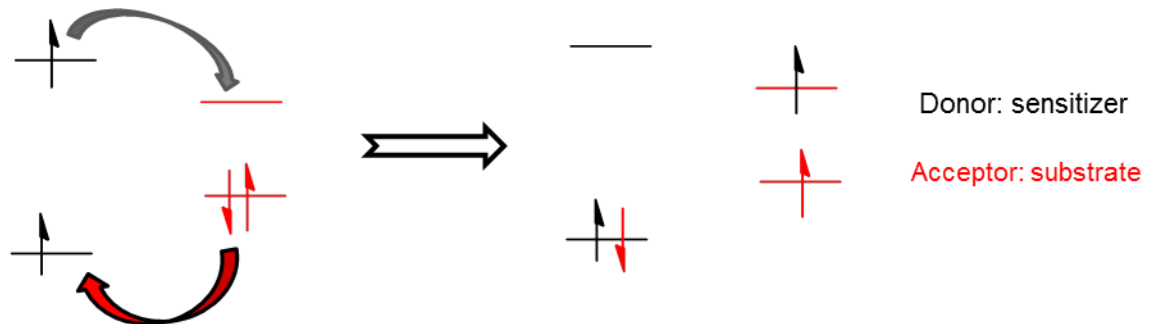
Quantum yield:

$$\Phi = \frac{\text{Nbre events}}{\text{Nbre of photons absorbed}}$$



Sensitization by energy transfer

Dexter or FRET mechanism

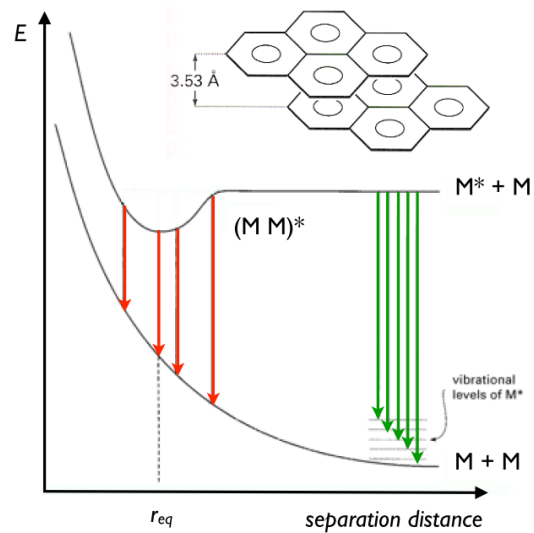


EXIPLEX

Complex formed by the stabilizing interactions between ground state molecule and excited state molecule

$M + M^*$: eximer

$M + N^*$: exiplex



TOWARDS COMPLEX STRUCTURES **THROUGH RADICALS**

Questions

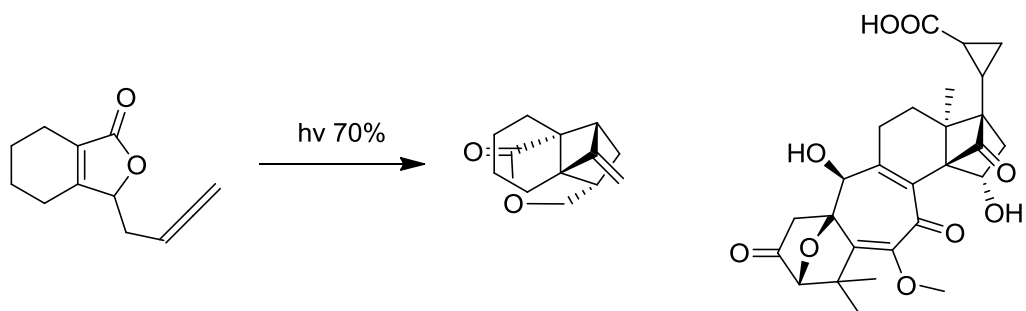
How to control the formation of an intermediate singlet or triplet state?

What reaction media are available for the following reactions?

2+2 CYCLOADDITIONS

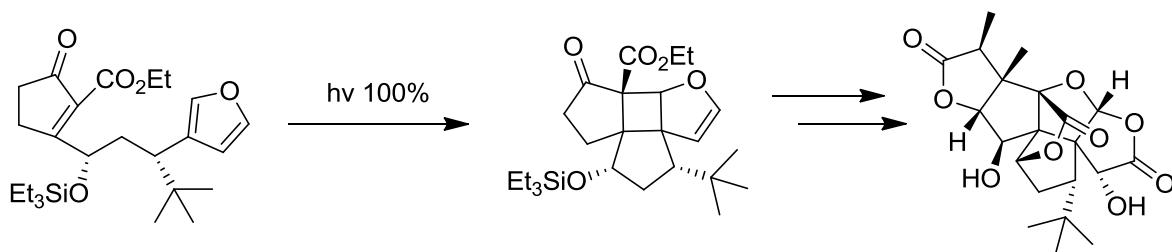
Most common photochemical reaction, based on excitation to the reactive long lived $\pi\pi^*$ triplet (biradical) state

Photosensitisation oft needed for ISC



Solanoclepin A

Buu Hue, B. T.; Dijkink, J.; Kuiper, S.; van Schaik, S.; van Maarseveen, J. H.; Hiemstra, H *Eur. J. Org. Chem.* **2006**, 127-137.

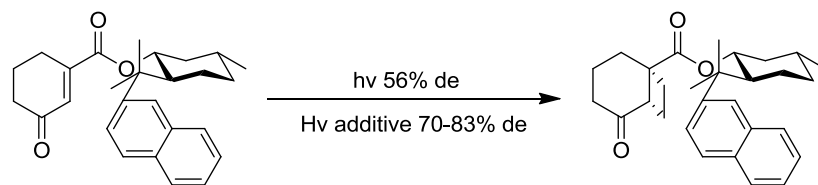


Ginkgolide B 1

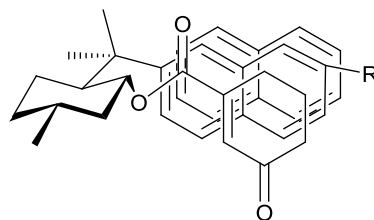
Crimmins, Michael T.; Pace, Jennifer M.; Nantermet, Philippe G.; Kim-Meade, Agnes S.; Thomas, James B.; Watterson, Scott H.; Wagman, Allan S. *J. Am. Chem. Soc.* **2000**, 122, 8453-8463

2+2 CYCLOADDITIONS

Additives to enhance diastereoselectivity



Major isomer



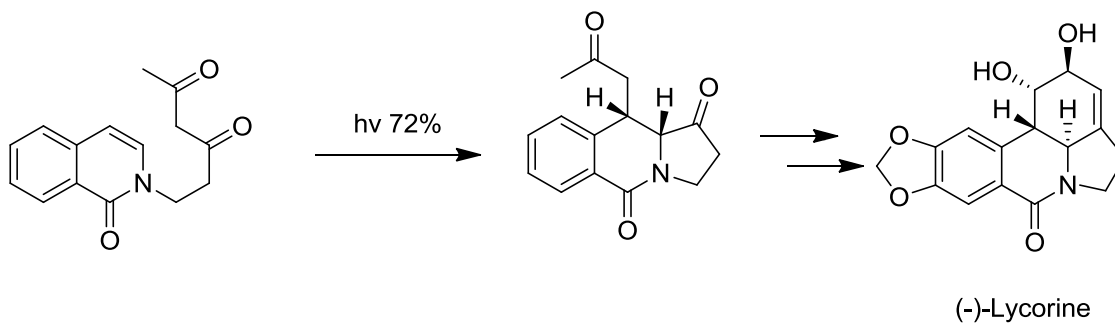
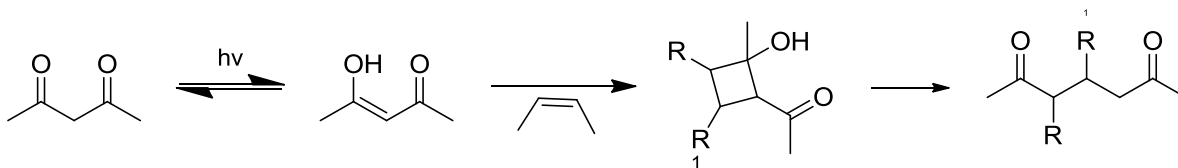
exiplex intermediate

Tsutsumi, K.; Nakano, H.; Furutani, A.; Endou, K.; Merpuge, A.; Shintani, T.; Morimoto, T.; Kakiuchi, K., *J. Org. Chem.* **2004**, 69 (3), 785-789.

2+2 CYCLOADDITIONS

De Mayo variant

Enol followed by retro-aldol

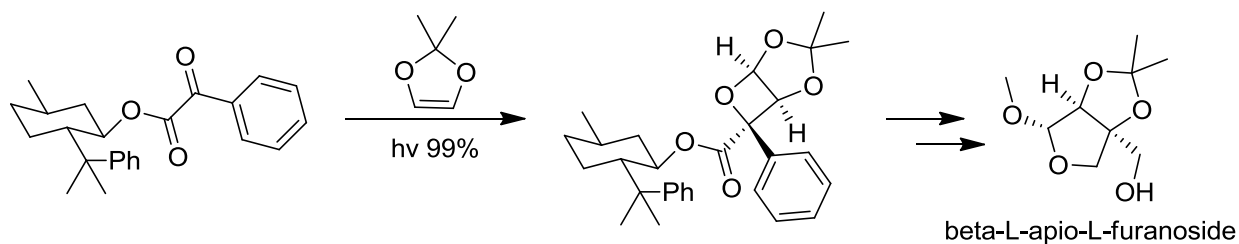


David E. Minter and, Christopher D. Winslow *J.Org.Chem* **2004** 69 (5), 1603-1606

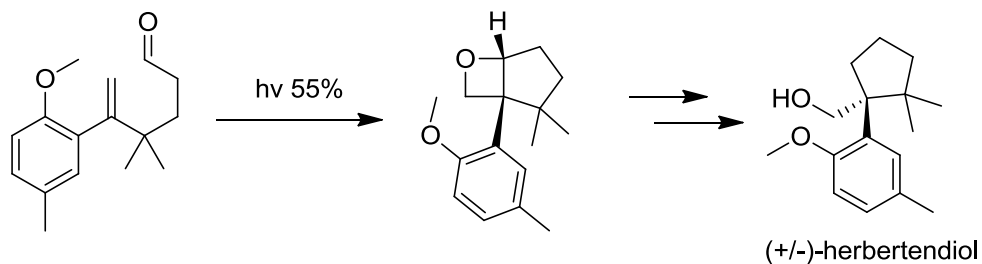
2+2 CYCLOADDITIONS

Paternó-Büchi variant

Hetero 2+2 cycloaddition, passing through triplet $n\pi^*$ or $\pi\pi^*$ carbonyl biradical



Nehrigs, A.; Scharf, H.-D.; Runsink, J., *Angew Chem* **1985**, 97 (10), 882-883.

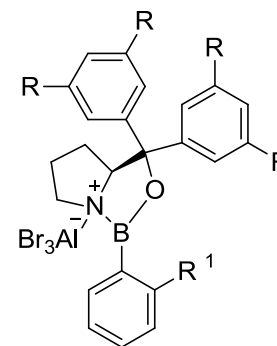
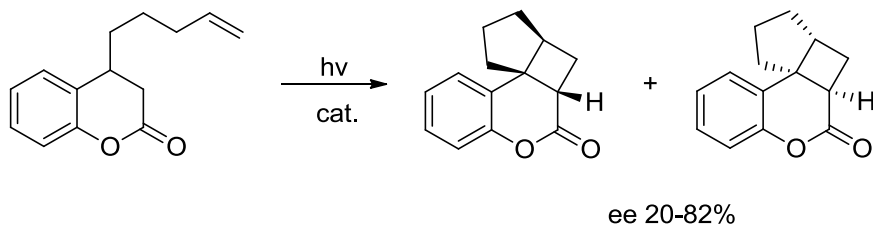


Boxall, Richard J.; Ferris, Leigh; Grainger, Richard S. *Synlett* **2004** 2379-2381

2+2 CYCLOADDITIONS

Paternó-Büchi variant

Efforts to reach stereo-control have been made

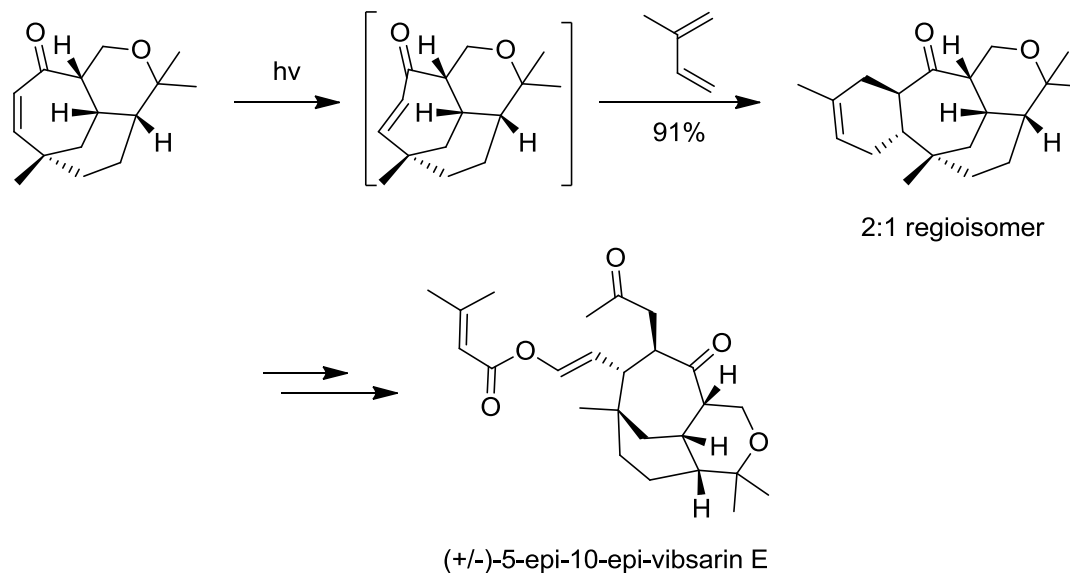


Guo, H.; Herdtweck, E.; Bach, T., *Angew. Chem. Int. Ed.* **2010**, 49 (42), 7782-7785.

4+2 CYCLOADDITIONS

Rarer: photoexcitation dealigns orbitals

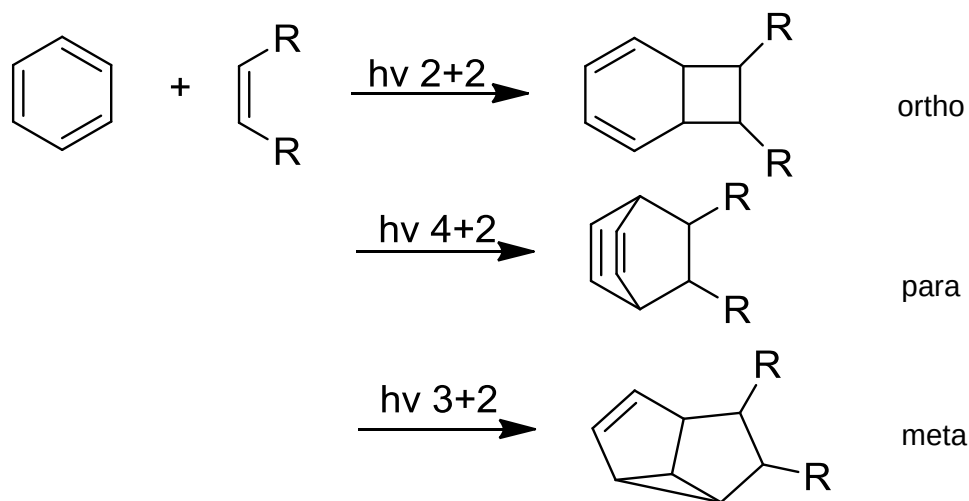
Some examples exist: based on photoswitching rather than photoactivation



Davies, H. M. L.; Loe, Ø.; Stafford, D. G., *Org Let* **2005**, 7 (25), 5561-5563.

PHOTOCYCLOADDITIONS

Additions on aromatic cores leading to the loss of aromaticity



Intra/inter selectivity:

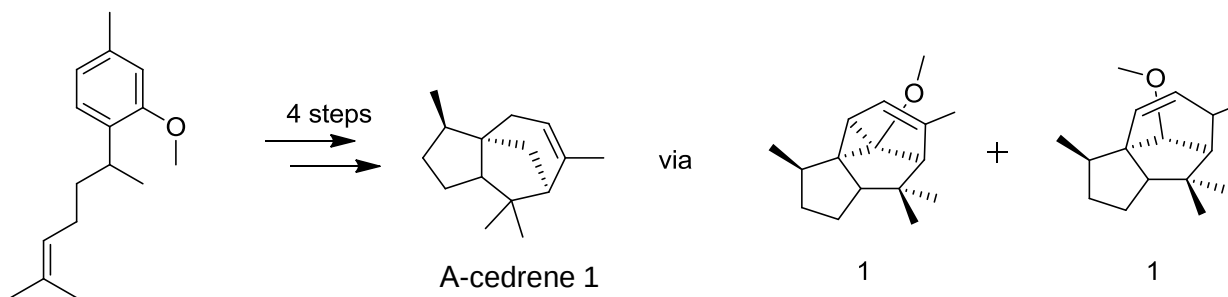
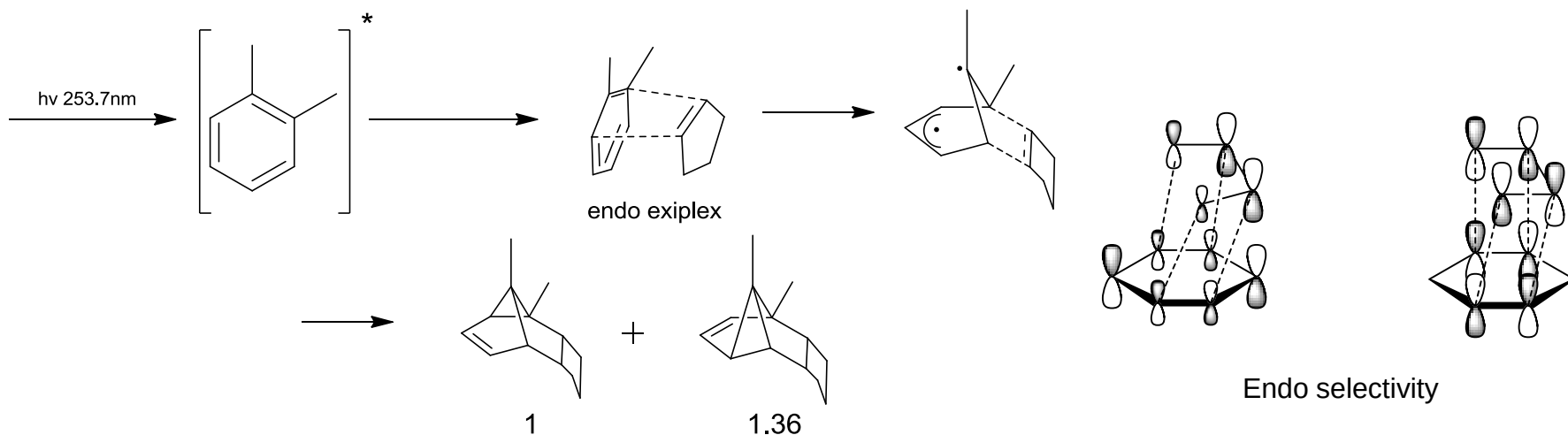
Regioselective issues: Controllable by tethering and substituent optimisation

Stereoselective issues: endo preferred

Facial selectivity: Structure dependent

PHOTOCYCLOADDITIONS

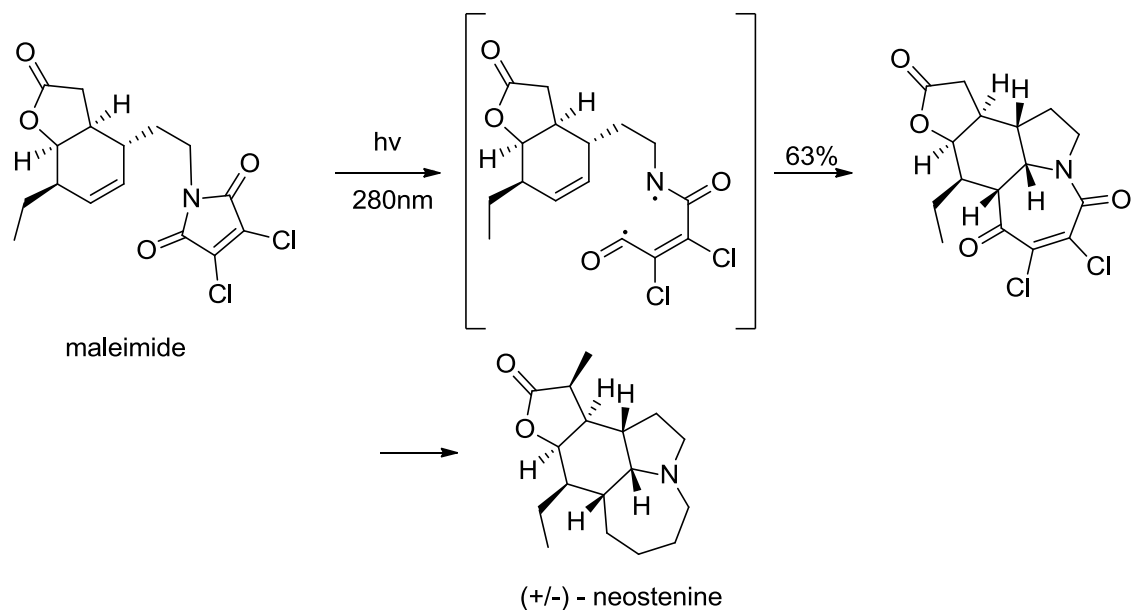
Meta-photocycloaddition



Howbert J.J. Wender P.A., *J. Am. Chem. Soc.*, **103**, 688-690

Deicated review: Chappell, D.; Russell, A. T., *Organic & Biomolecular Chemistry* **2006**, 4 (24), 4409-4430.

5+2 PHOTOCYCLOADDITIONS

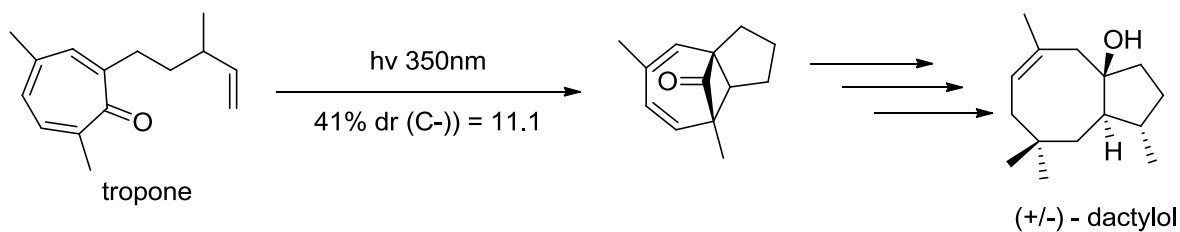


Unusual intramolecular reaction, protecting group free

Lainchbury, M. D.; Medley, M. I.; Taylor, P. M.; Hirst, P.; Dohle, W.; Booker-Milburn, K. I.,
J. Org. Chem. **2008**, 73 (17), 6497-6505.

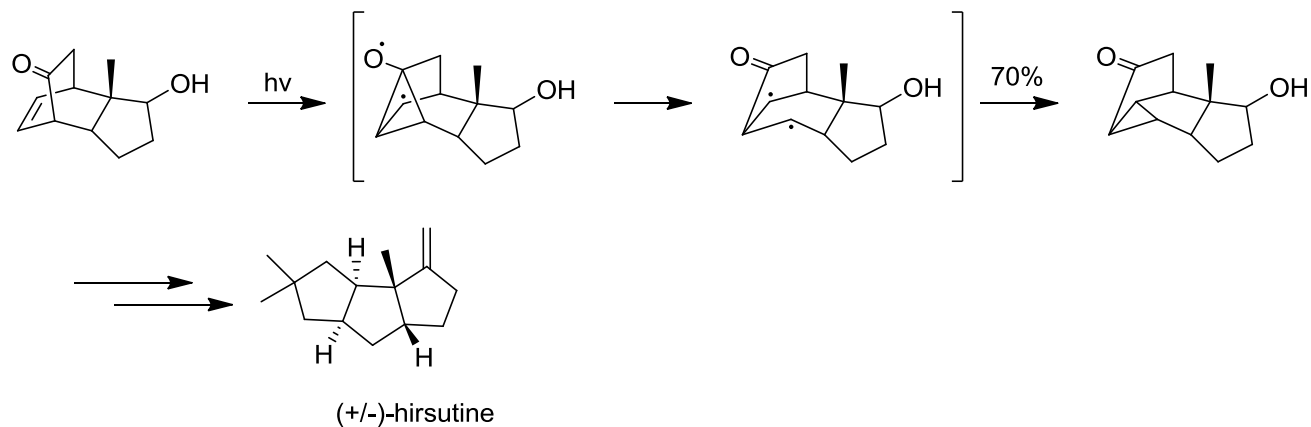
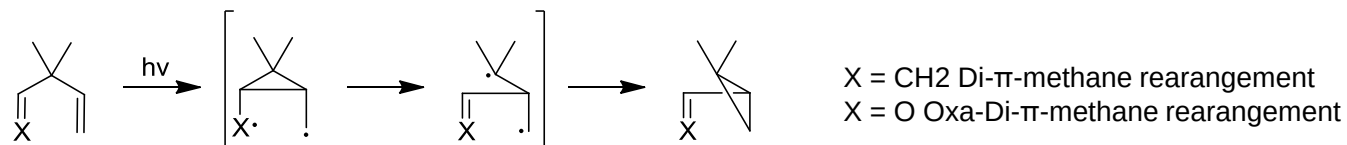
6+2 PHOTOCYCLOADDITIONS

6 + 2 also exists, although rare in synthesis



Feldman, K. S.; Wu, M. J.; Rotella, D. P., *J.Am.Chem.Soc* **1990**, 112 (23), 8490-8496.

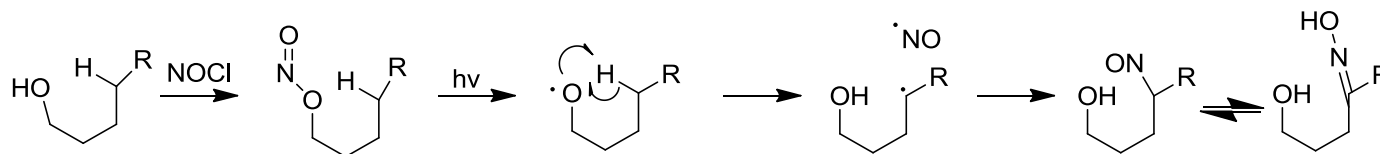
PHOTO-REARRANGEMENTS



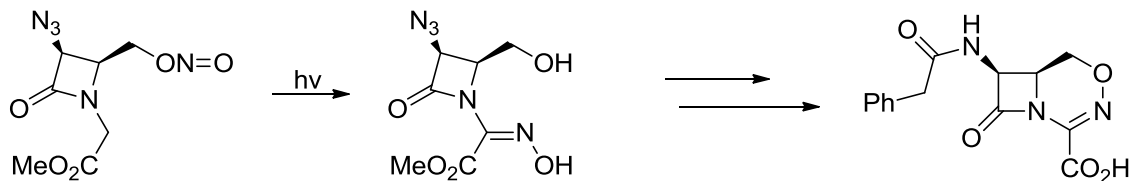
Singh, V.; Sahu, P. K.; Mobin, S. M., *Tetrahedron* **2004**, 60 (44), 9925-9930

PHOTO-REARRANGEMENTS

Barton Nitrite ester reaction



5 hydrogen abstraction



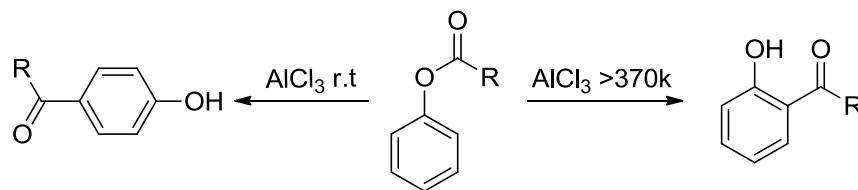
1-Dethia-3-aza-1-carba-2-oxacephem

Hakimelahi G.H., Li P., Moosavi-Movahedi A., Chamani J., Khodarahmi G. A., Ly T. W., Valiyev F.,
Leong M. K., Hakimelahi S., Shia K. Chao I. *Org. Biomol. Chem.*, 2003, **1**, 2461-2467

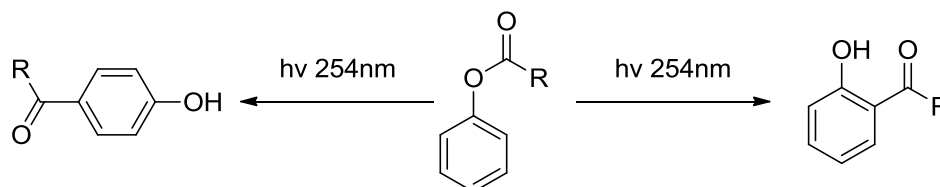
Similar to the Hofmann-Löffler-Freytag Reaction, amine instead of alcohol

PHOTO-FRIES REARRANGEMENT

Strong lewis acid

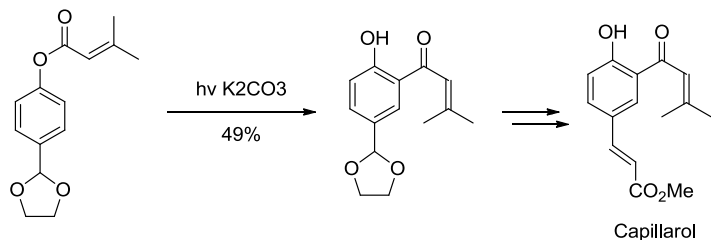


Mild alternative



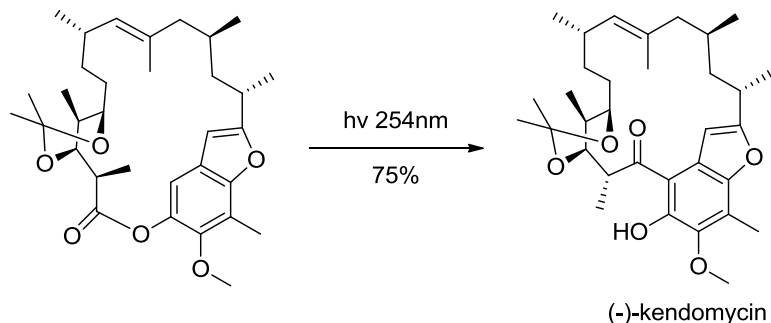
Through homolitical cleavage
of the ester bond

Regioselectivity dependant
on substituted positions



«standard» reaction did not work

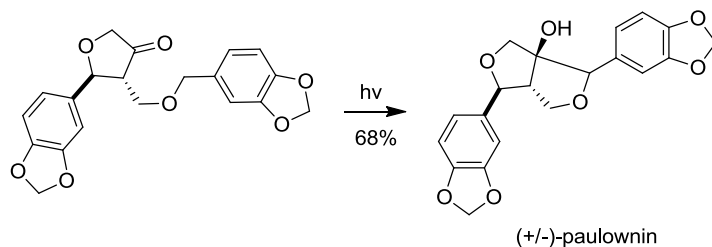
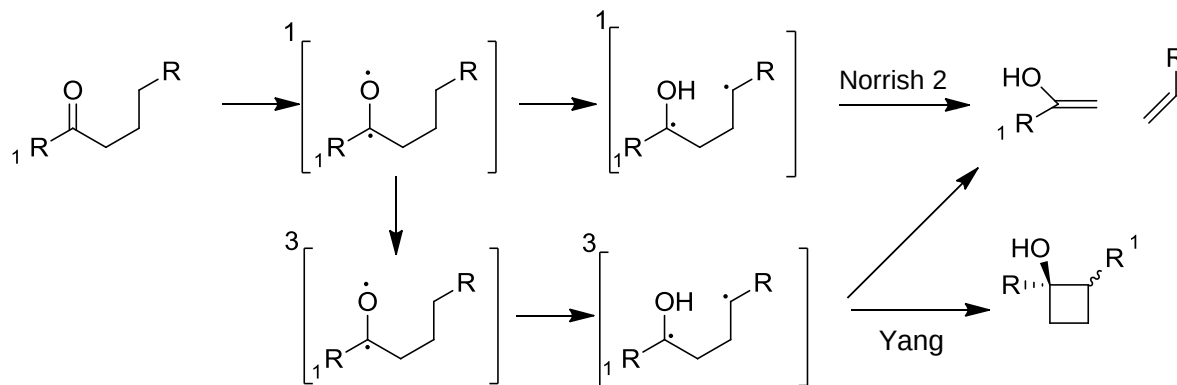
Okada K., Suzuki R., Yokota T. *Biosci. Biotechnol, Biochem.* **1999**, 63, 257-260



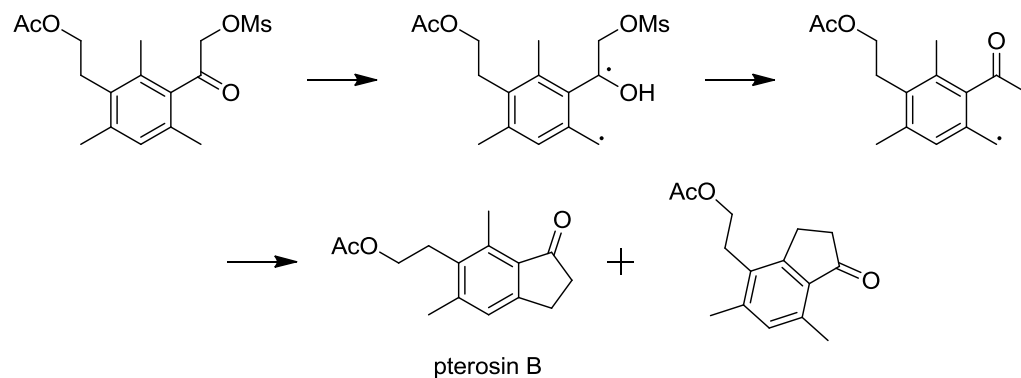
Standard Fries in general requires unencumbered arenes

Magauer, T.; Martin, H. J.; Mulzer, J., *Angew Chem. Int. Ed.* **2009**, 48 (33), 6032-6036.

NORRISH-YANG REACTION

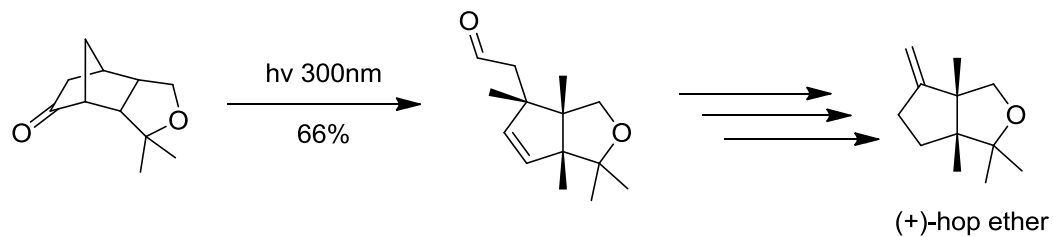


Kraus, G. A.; Chen, L., *J. Am. Chem. Soc.* **1990**, *112* (9), 3464-3466.

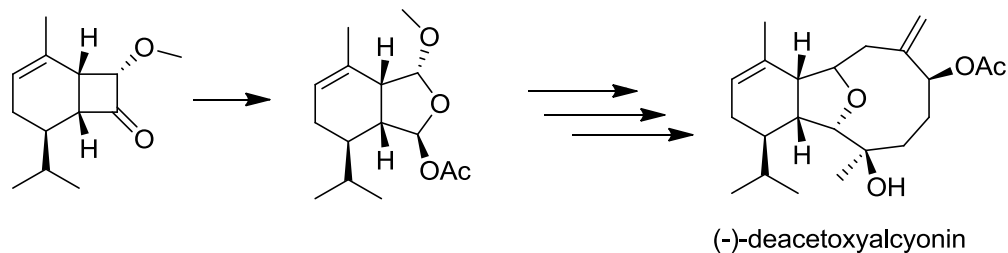


Wessig, P; Teubner, J *Synlett* **2006**, 1543-1546

NORRISH CLEAVAGE

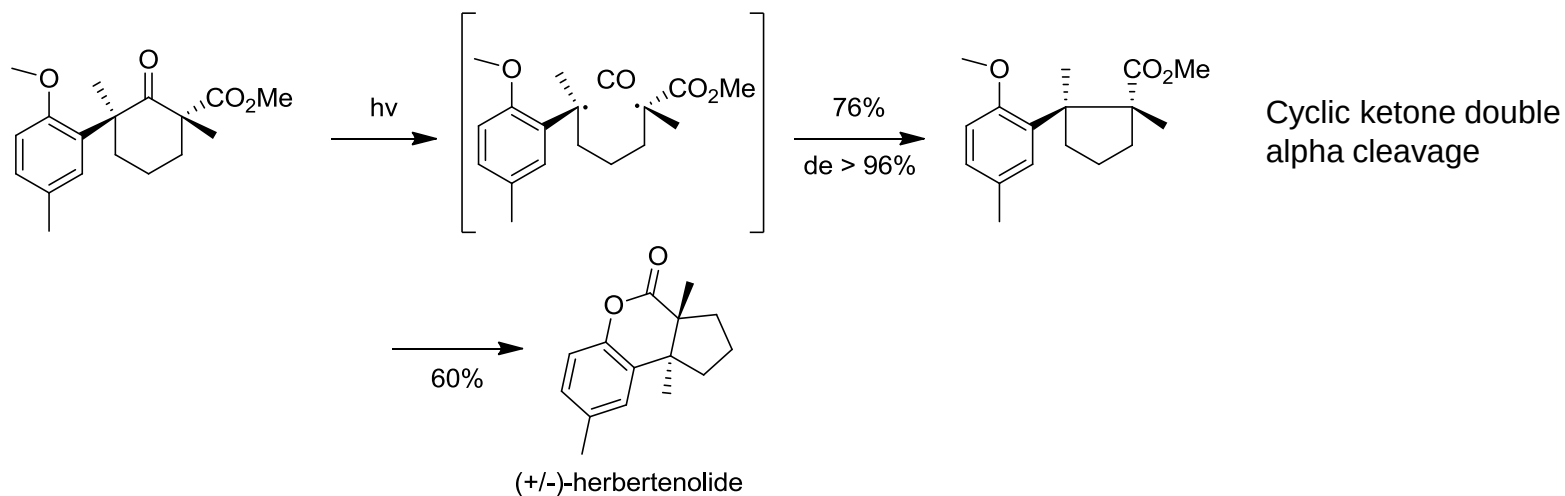


C.-H Lin, Y.-L. Su, H.-M. Tai, *Heterocycles* **2006**, 68, 771-777



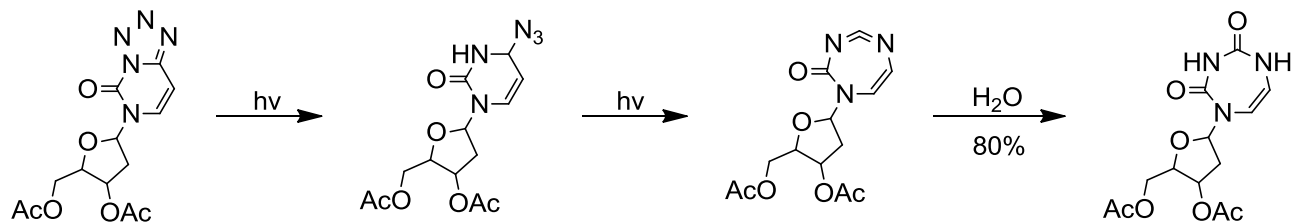
Molander, G. A.; St. Jean, D. J.; Haas, J., *J. Am. Chem. Soc* **2004**, 126 (6), 1642-1643.

PHOTOEXTRUSION



Veerman, M.; Resendiz, M. J. E.; Garcia-Garibay, M. A., *Org Lett* **2006**, 8 (12), 2615-2617.

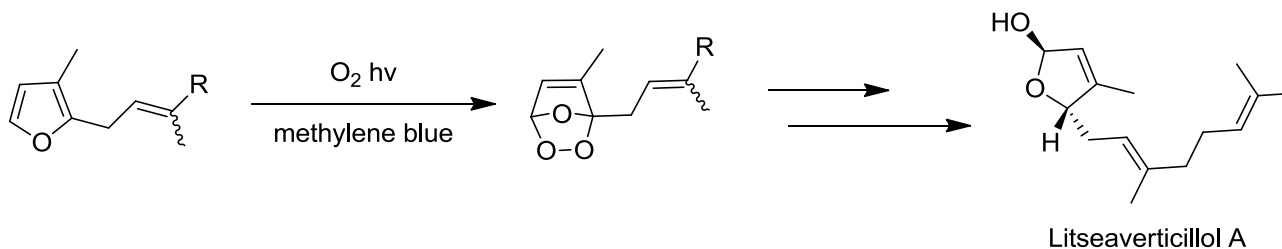
Tetrazo-option also known



PHOTOOXYDATION

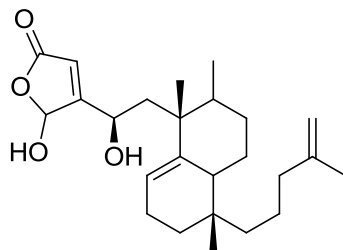
Singlet oxygen is far more reactive than ground state triplet oxygen

Easy oxidation, sunlight is sufficient.

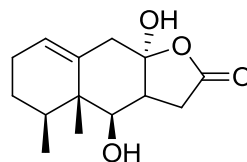


Vassilikogiannakis, G.; Margaros, I.; Montagnon, T.; Stratakis, M., *Chem.–Eur. J.* **2005**, *11* (20), 5899-5907.

Photo-oxydation of furans gave several natural products



Cladocorane A



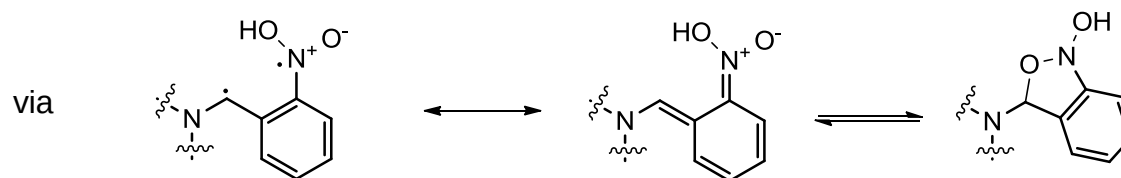
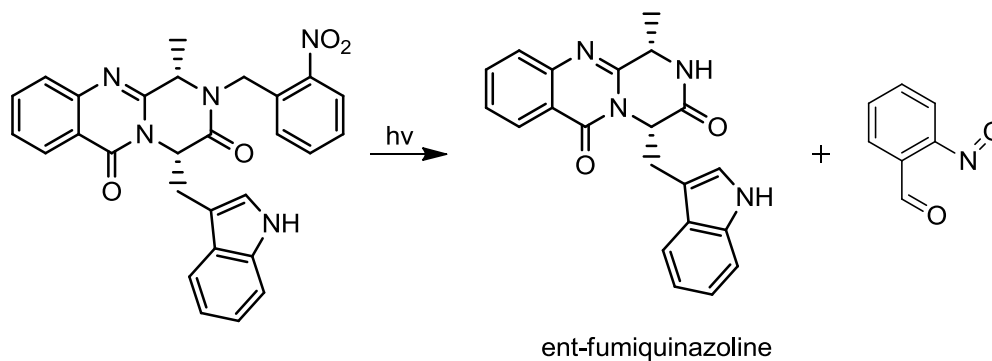
(+/-)-Toluccanolide C

Miyaoka, H.; Yamanishi, M.; Kajiwara, Y.; Yamada, Y., *J Org Chem* **2003**, *68* (9), 3476-3479.

Mace, L. H.; Shanmugham, M. S.; White, J. D.; Drew, M. G. B., *Org. Biomol. Chem.* **2006**, *4* (6), 1020-1031.

PROTECTING GROUPS

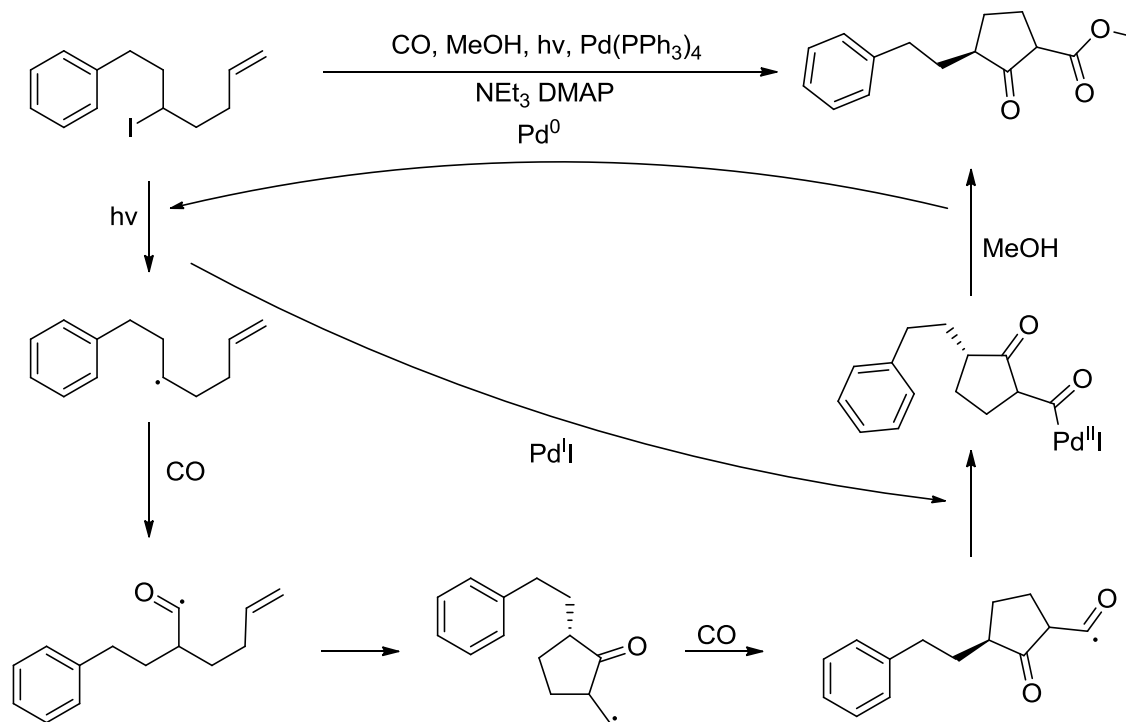
Cleavage by light avoids reagents and is rapid and clean



Snider, B. B.; Busuyek, M. V., *Tetrahedron* **2001**, 57 (16), 3301-3307.

PHOTOCHEMICAL MCR

Light as an auxiliary for radical chemistry



Ryu, I.; Kreimerman, S.; Araki, F.; Nishitani, S.; Oderaotoshi, Y.; Minakata, S.; Komatsu, M., *J. Am. Chem. Soc.* **2002**, 124 (15), 3812-3813.

Recent similar work by Corey R. J. Stephenson on light photoredox catalysis

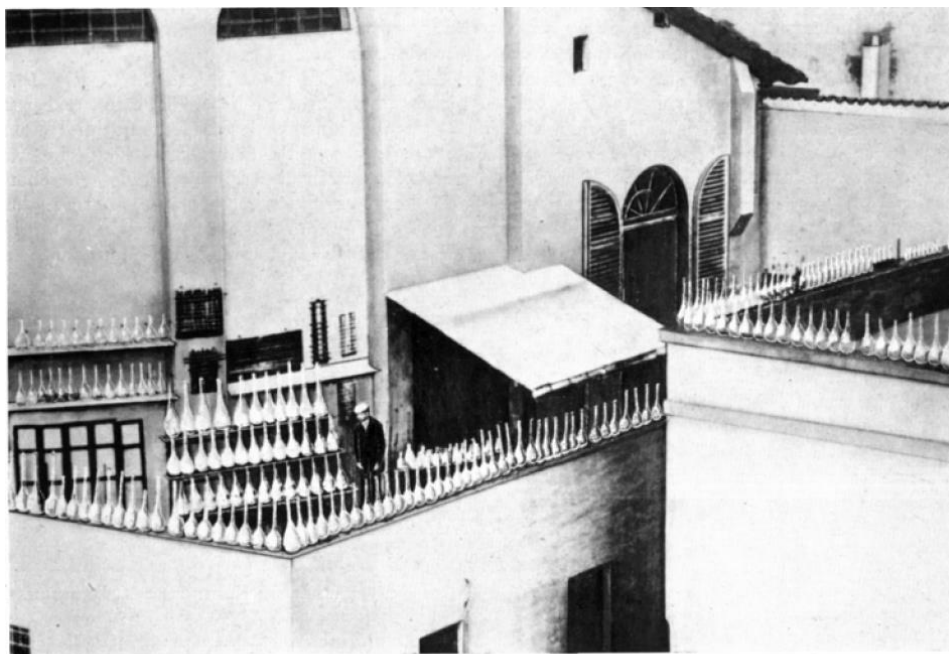
SUMMARY

Many structures are accessible through photo-induced radical reactions

Oft in greener conditions, even at times in crystal phase

Sensitizers, additives and carefully chosen substituents can induce selectivity on several levels

Questions?



CIAMICIAN passant eu revue les centaines de flacons exposés au soleil sur le toit de son laboratoire.

Enantioselective Reactions Catalyzed by Iron Complexes

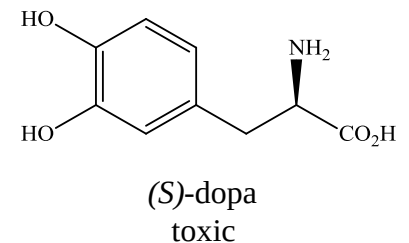
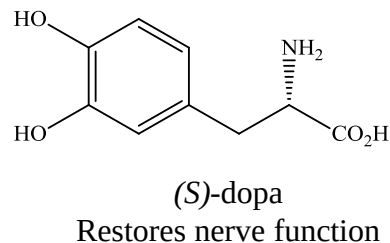
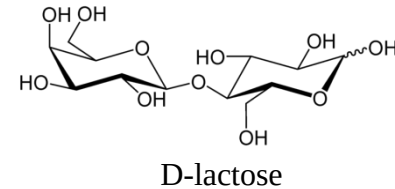
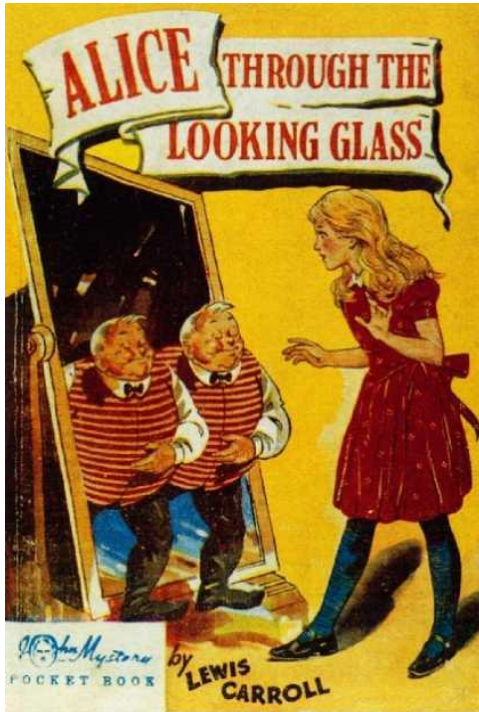
Pablo Pérez



ÉCOLE POLYTECHNIQUE
FÉDÉRALE DE LAUSANNE

General Introduction

“How would you like to live in Looking-glass House Kitty? I wonder if they’d give you milk in there? Perhaps looking glass milk isn’t good to drink..” Lewis Carroll, *Through the looking-glass and what Alice found there*, Macmillan, 1872



General Introduction

Chiral Components

Sales of enantiomeric intermediates and single-enantiomer drugs are up

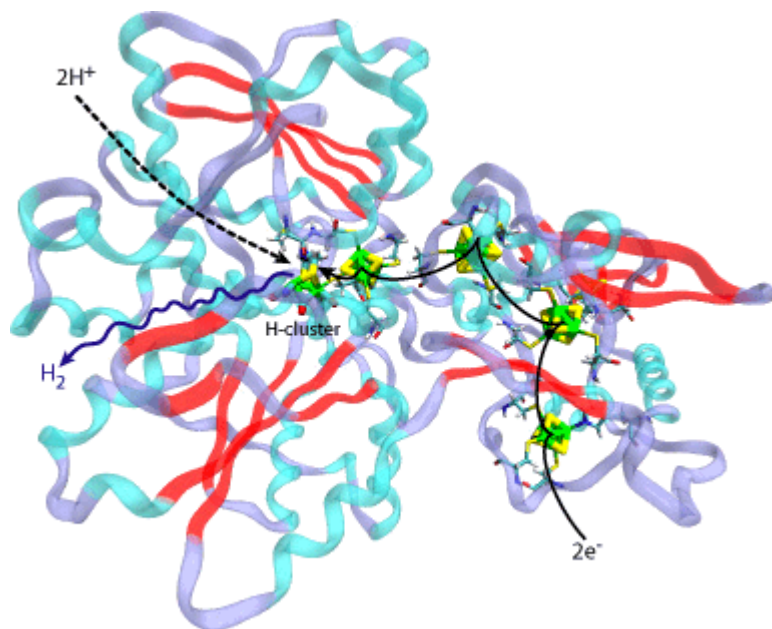
	ENANTIOMERIC INTERMEDIATES			BULK ENANTIOMERIC DRUGS		
\$ MILLIONS	1999	2000	2005	1999	2000	2005
Anti-inflammatory/analgesics	\$150	\$156	\$168	\$200	\$223	\$241
Antiviral	794	830	1,643	983	1,180	2,054
Cancer	892	1,073	1,297	1,783	2,146	2,593
Cardiovascular	1,133	2,281	3,269	1,889	3,802	5,449
Central nervous system	1,038	1,142	1,821	1,483	1,632	2,602
Dermatology	82	85	106	164	170	212
Gastrointestinal	251	331	649	413	567	1,082
Ophthalmic	238	284	401	340	405	573
Respiratory	576	656	914	1,151	1,511	2,287
Other	140	170	356	315	426	891
TOTAL	\$5,294	\$7,008	\$10,624	\$8,721	\$12,062	\$17,984

S. C. Stinson *Chem. Eng. News*. **2001**, 79(40), 79

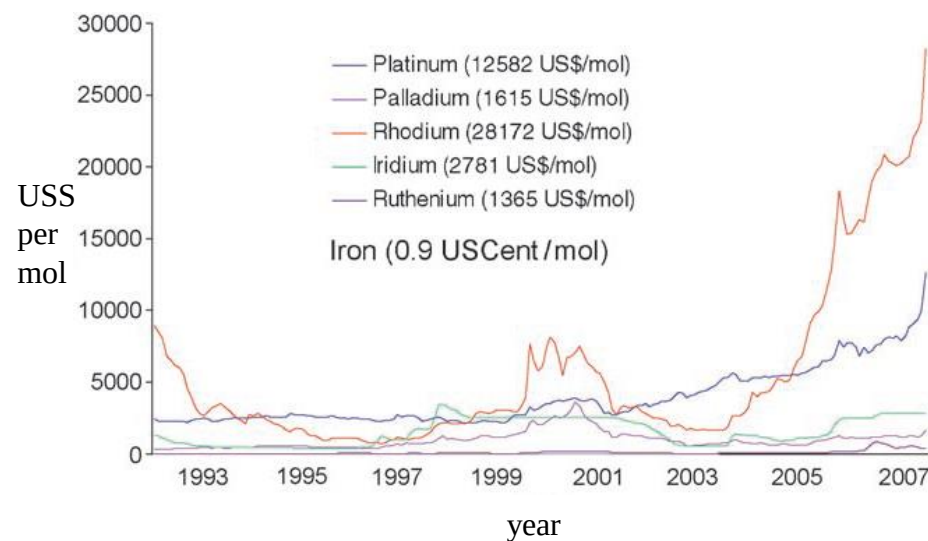
General Introduction

Why to do catalysis with Iron?

Biological iron-based catalysts



Market prices of transition metals



M. Beller *et al.* *Angew. Chem. Int. Ed.* **2008**, 47, 3317

Large use of iron as catalyst in organic chemistry

- Addition Reactions.
- Substitution Reactions.
- Cycloadditions.
- Hydrogenations.
- Isomerizations and Rearrangements
- Polymerizations
- Other (Sulfide oxidations, CO insertions, Baeyer Villiger Reactions, etc)

C. Bolm Chem. Rev. **2004**, 104, 6217

- Carbon – heteroatom bond forming processes.
- Heteroatom – heteroatom bond forming processes.

C. Bolm Chem. Soc. Rev. **2008**, 37, 1108

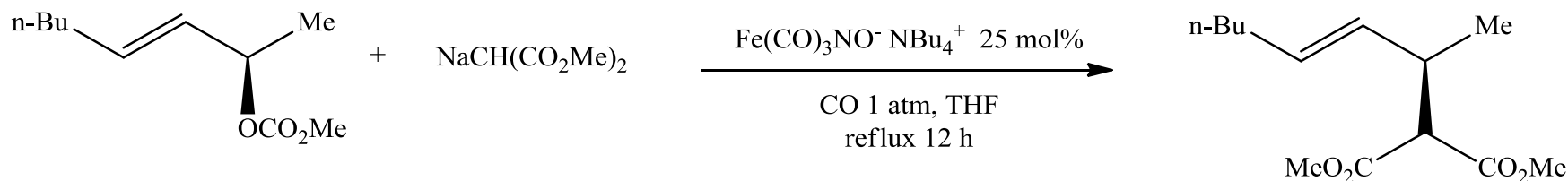
Goal of the talk: Try to give a summary of principal enantiomeric synthesis applications of iron catalysis.

Organization

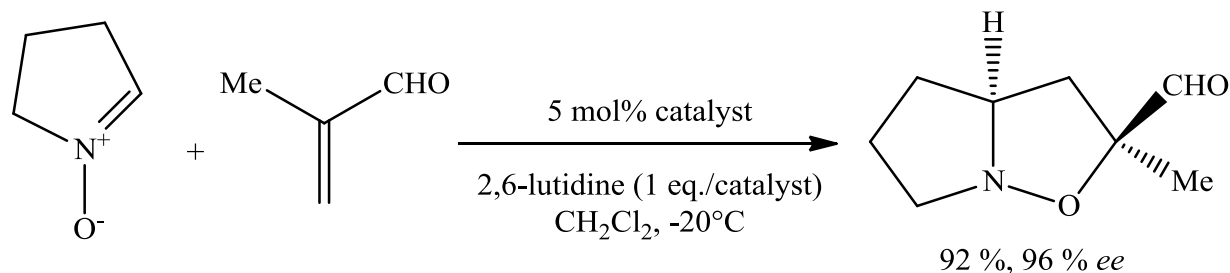
- Addition Reaction: *Asymmetric Michael Reaction.*
Asymmetric Olefin cis-Dihydroxylation
Asymmetric Epoxidation
- Substitution Reactions: *Stereoselective Allylic Alkylation.*
- Cycloadditions: *Asymmetric Diels – Alder Reaction,*
Asymmetric 1,3-Dipolar Cycloaddition
- Hydrogenations: *Asymmetric Hydrogenation of Ketones.*
Asymmetric Transfer Hydrogenation of Imines.
- Other: *Asymmetric Sulfide Oxidation,*
Enantioselective O-H bond insertions,
Selective Aliphatic C-H Oxidation Reaction,
Enantioriched Cross-coupling of 2-Naphtols.

Questions

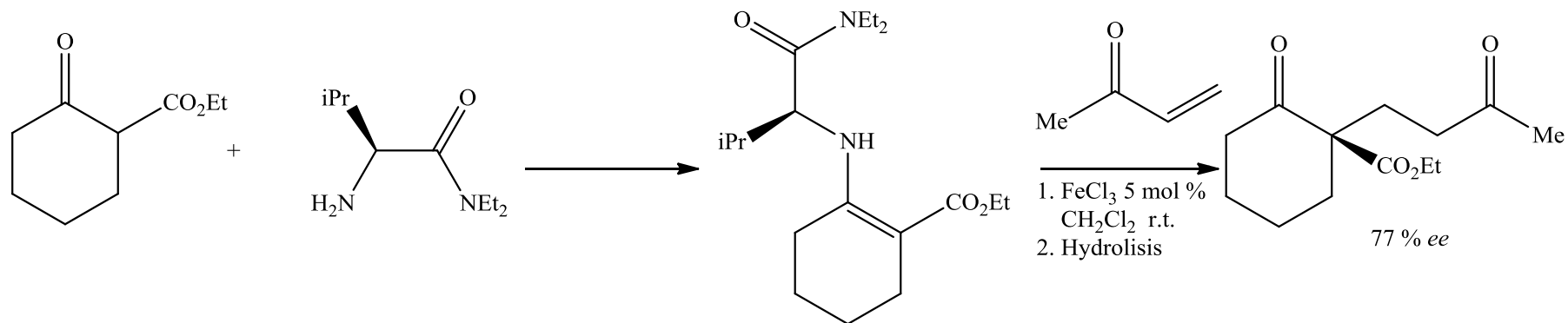
1. For the following reaction, during the catalytic cycle, the alkene double bond is sigma or pi coordinated to the iron?



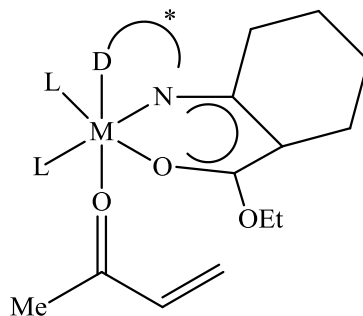
2. For the following reaction analyzing the structure of the product, the nitron approach preferentially the C_{α} -*Si*-face or the C_{α} -*Re*-face of the enal?



Asymmetric Michael Reaction



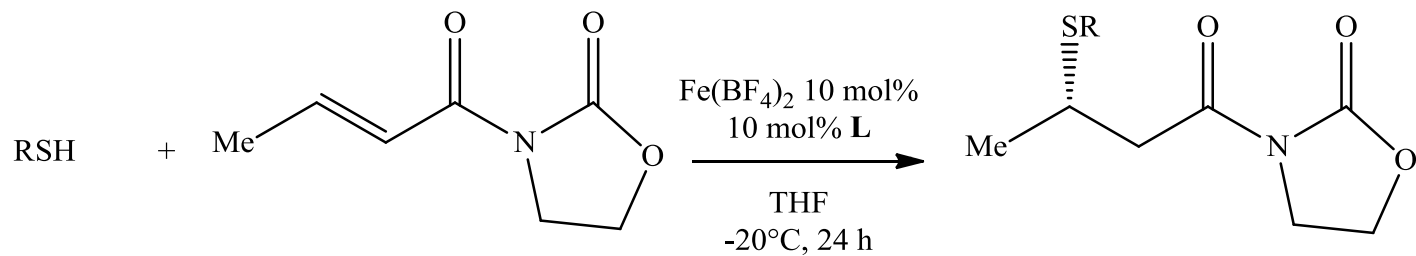
Intermediate Proposed:



J. Christoffers *et al.* *Angew. Chem. Int. Ed.* **2000**, 39, 2752

Asymmetric Michael Reaction

C-S bond formation



R: Ph

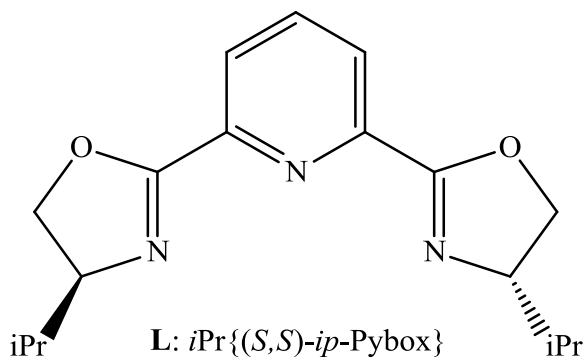
86 %, 90 % *ee*

R: *o*-Tolyl

92 %, 95 % *ee*

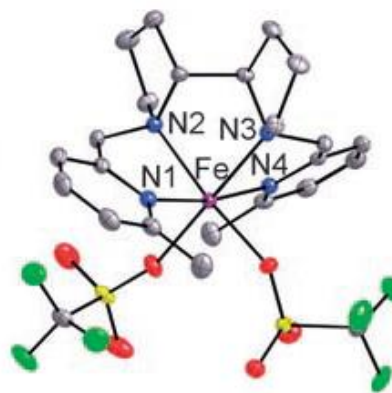
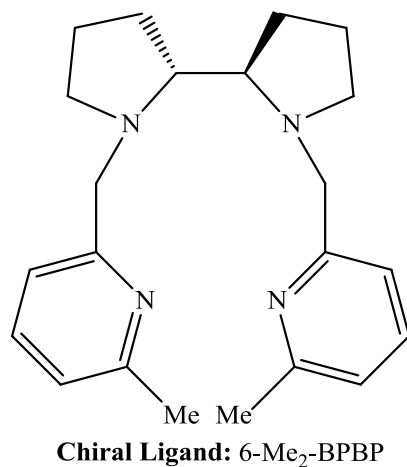
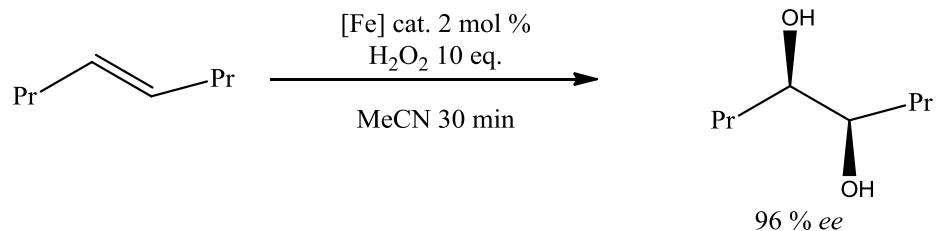
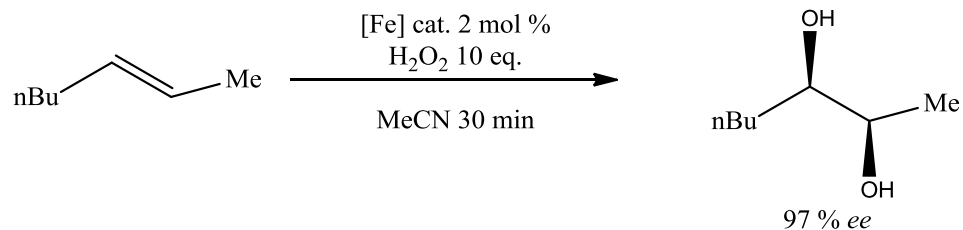
R: 2-Naphthyl

96 %, 89 % *ee*

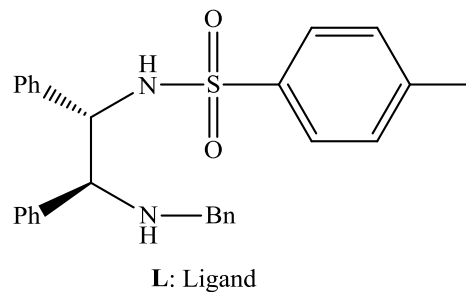
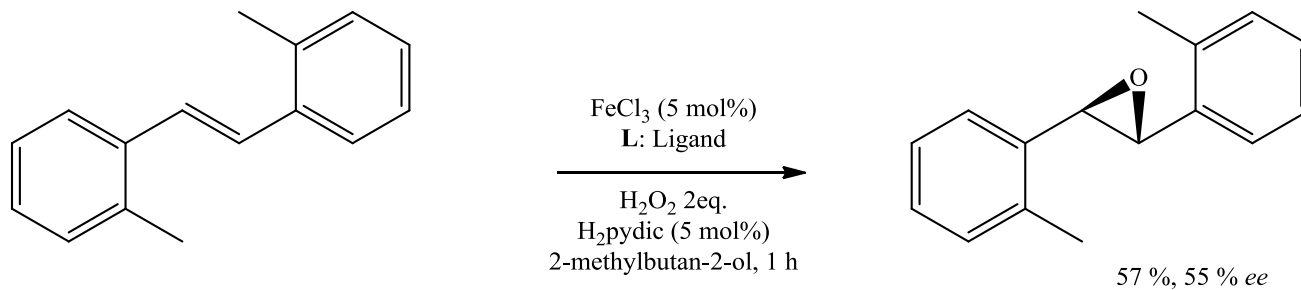
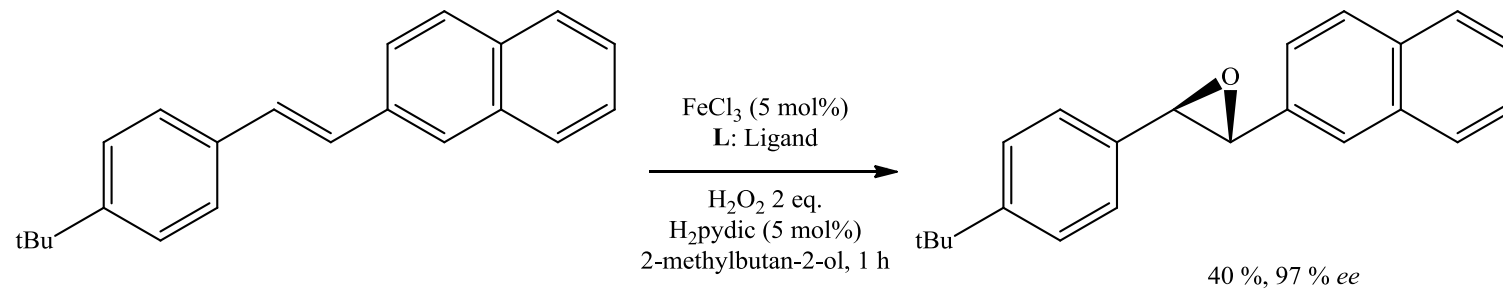


T. Itoh *et al.* *Tetrahedron Letters* **2007**, 48, 6480

Asymmetric Olefin *cis*-Dihydroxylation

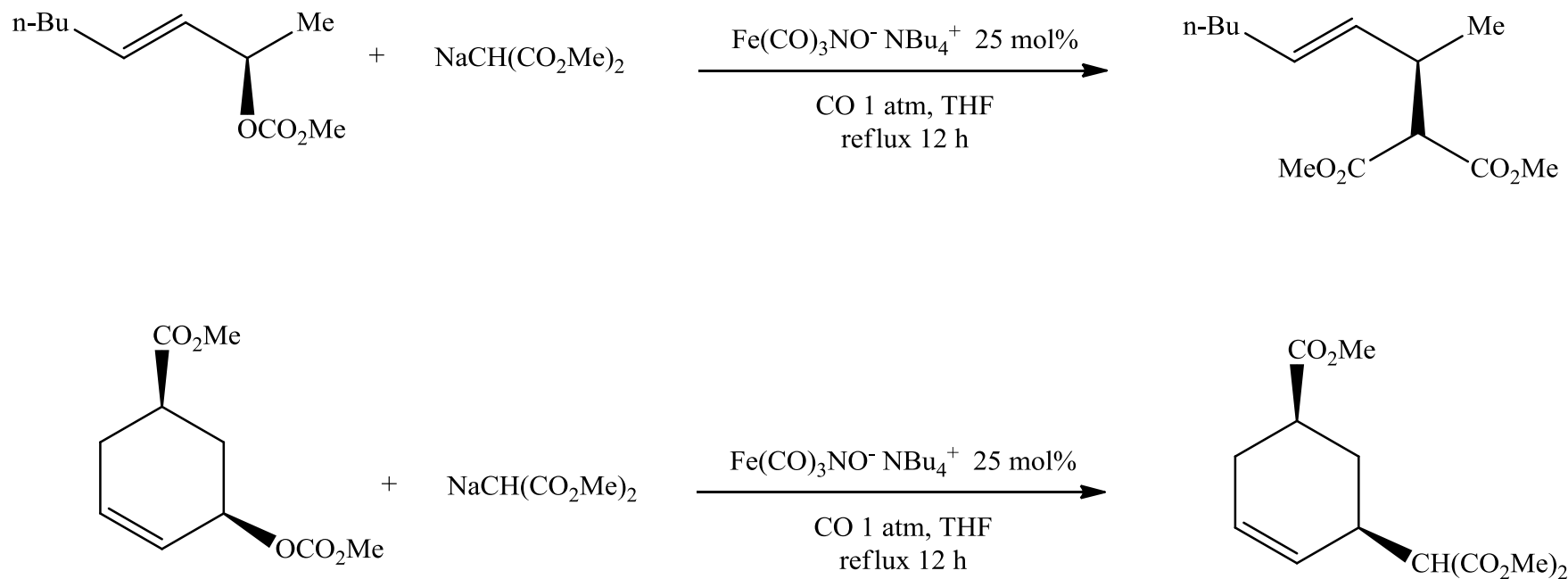


Asymmetric Epoxidation



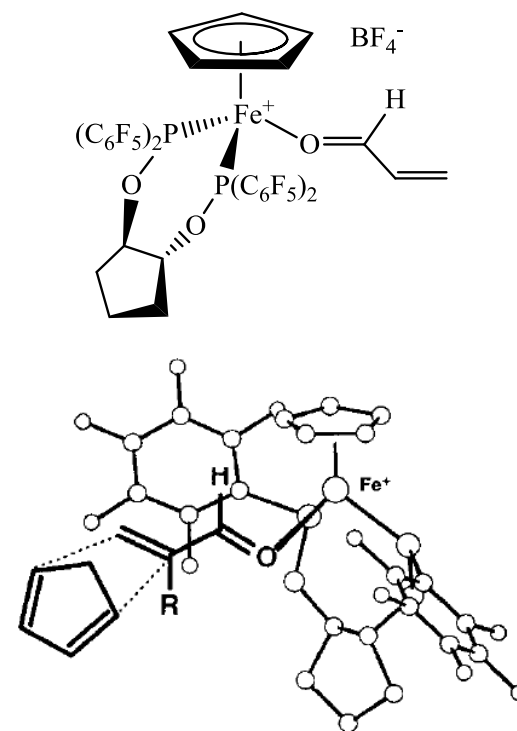
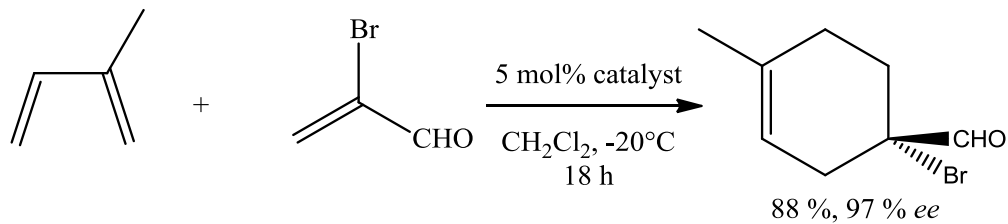
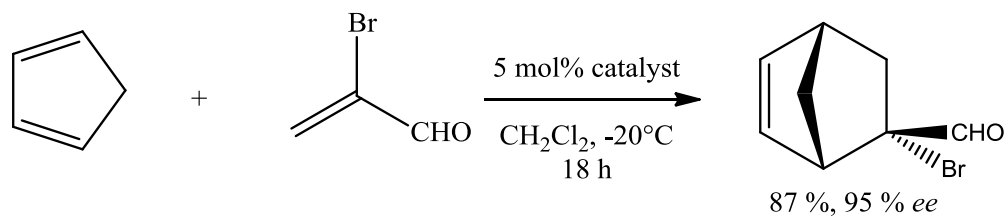
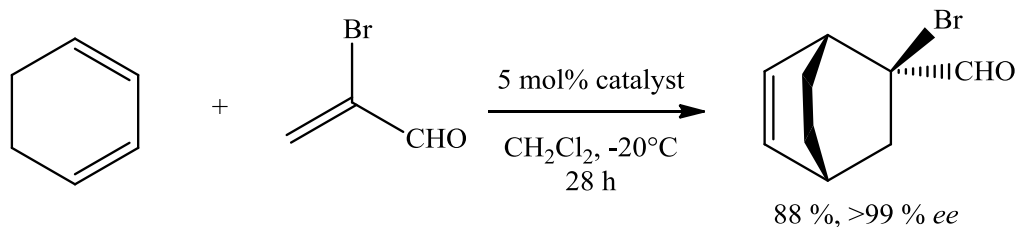
M. Beller *et al.* *Angew. Chem. Int. Ed.* **2007**, 46, 7293

Stereoselective Allylic Alkylation



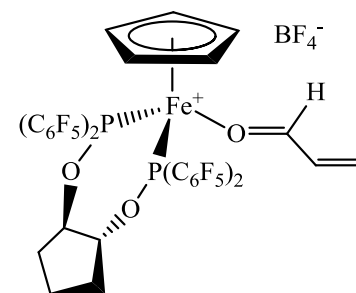
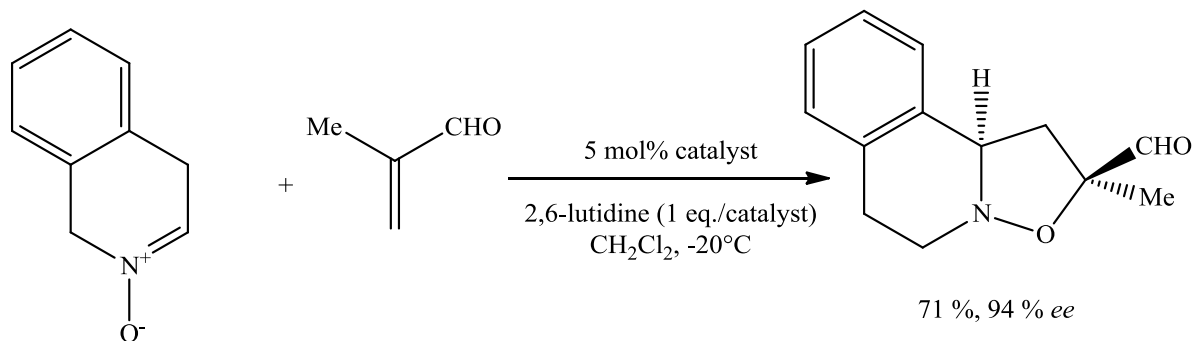
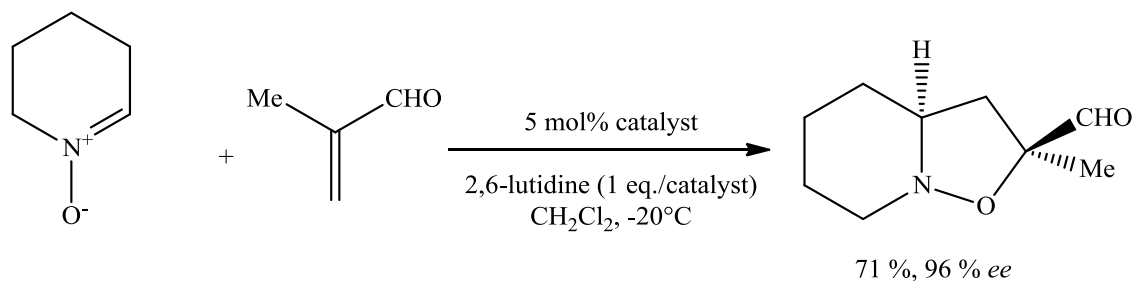
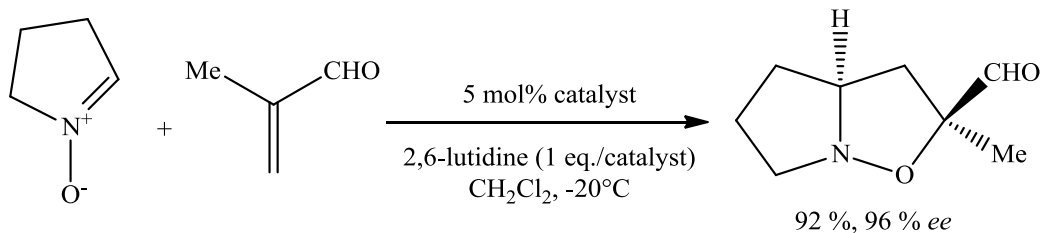
Y. Xu *et al.* *J. Org. Chem.* **1987**, 52, 974

Asymmetric Diels – Alder Reactions



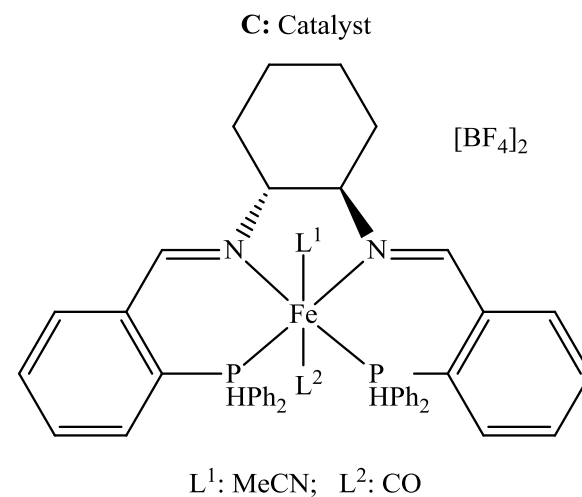
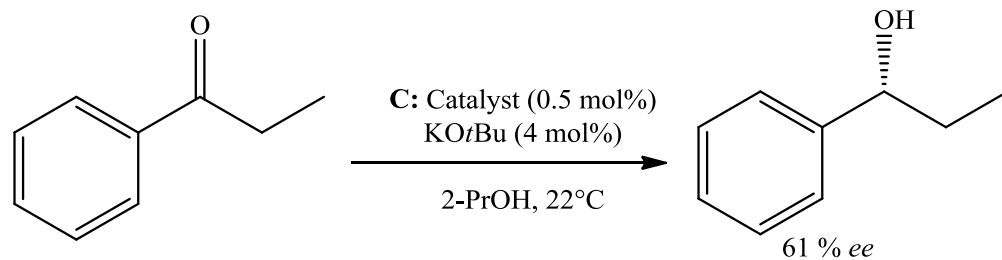
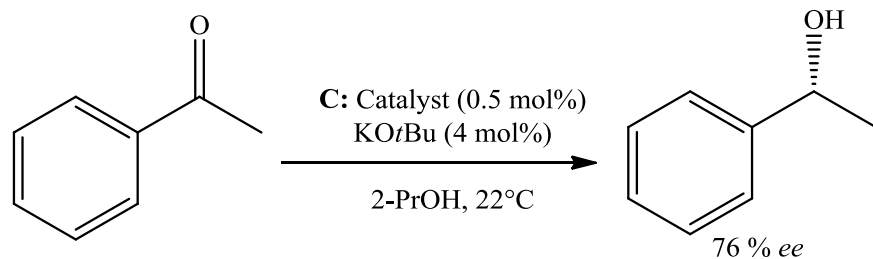
E. P. Kündig *et al.* *Angew. Chem. Int. Ed.* **1994**, 33, 1856

Asymmetric 1,3-Dipolar Cycloaddition



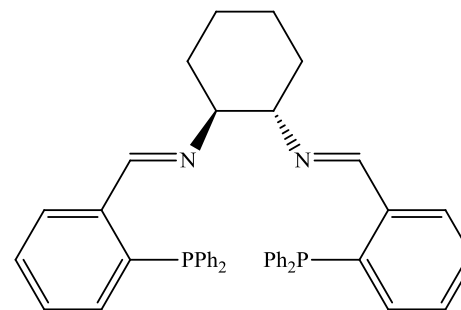
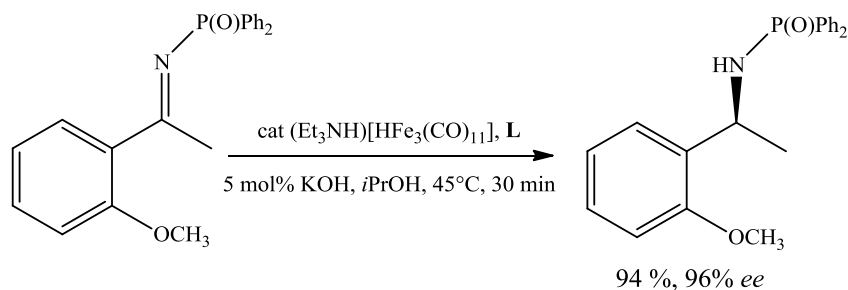
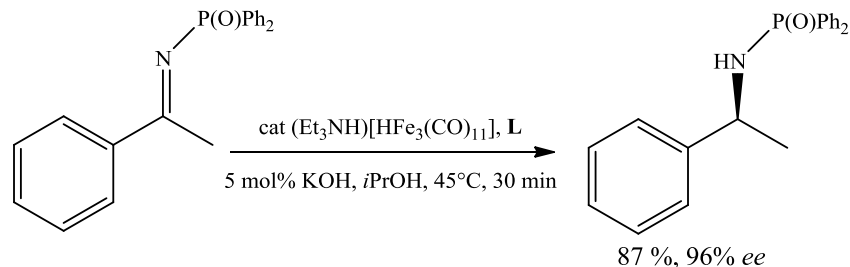
E. P. Kündig *et al.* *J. Am. Chem. Soc.* **2002**, 124, 4968

Asymmetric Transfer Hydrogenation of Ketones



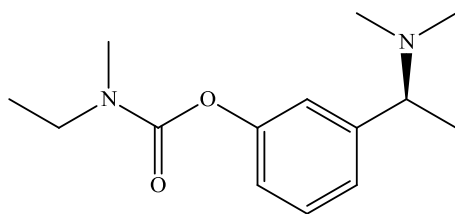
13 examples all ee around to 35%

Asymmetric Transfer Hydrogenation of Imines

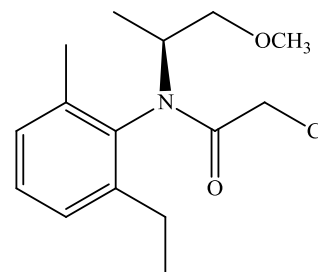


L: Ligand

Applications:



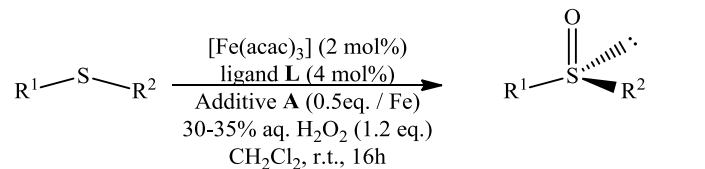
Rivastigmine



Metolachlor

17 examples all *ee* up to 90%

Asymmetric Sulfide Oxidation



R¹: Ph

R²: Me

63 %, 90 % *ee*

R¹: 4-BrC₆H₄

R²: Me

59 %, 94 % *ee*

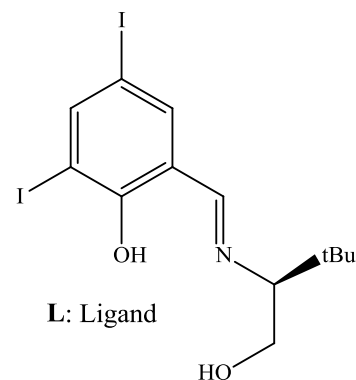
R¹: 2-naphthyl

R²: Me

67 %, 95 % *ee*

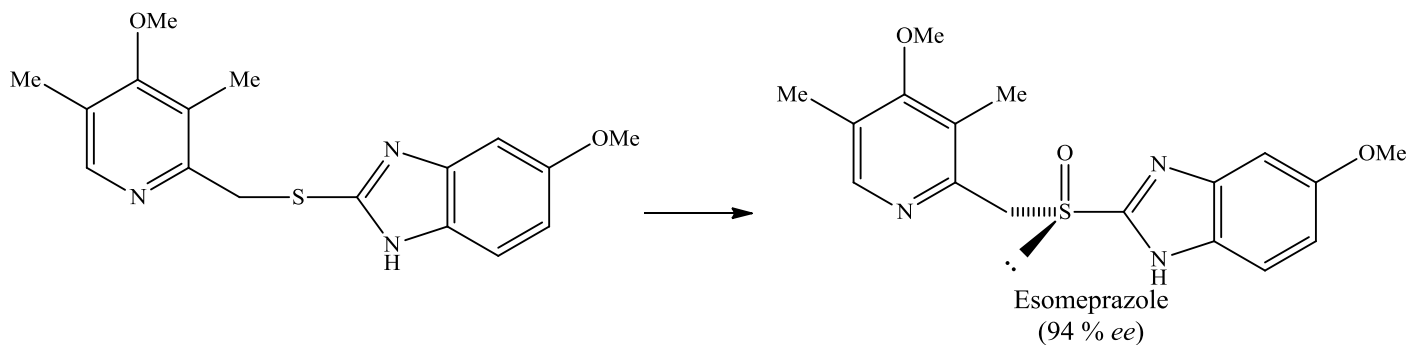


A: Additive



L: Ligand

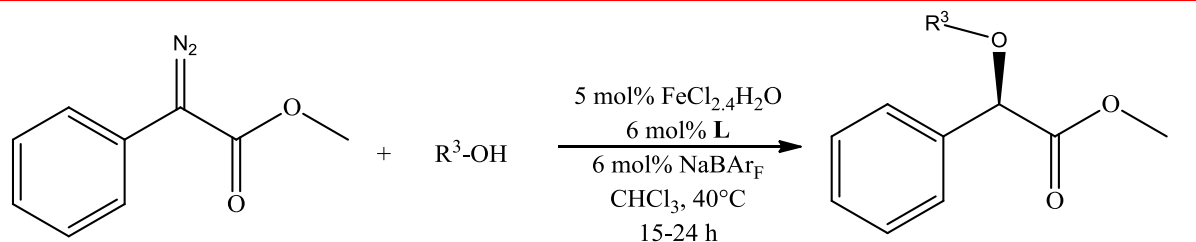
Useful reaction in pharmaceutical industry:



C. Bolm *et al.* *Chem. Eur. J.* **2005**, *11*, 1086

Enantioselective O-H bond insertions

Alcohol insertion

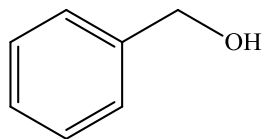


*n*BuOH

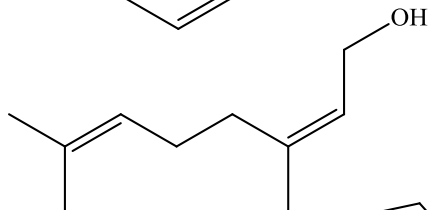
93 %, 98 % *ee*

MeOH

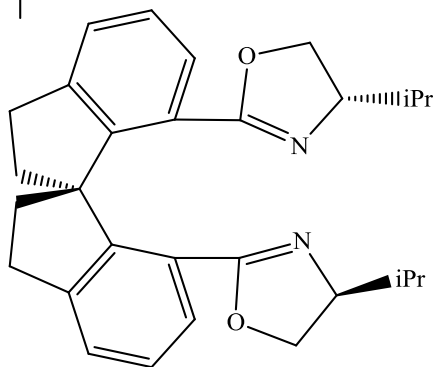
85 %, 96 % *ee* (69 % *ee*)



94 %, 98 % *ee* (77 % *ee*)



88 %, 95 % *ee*



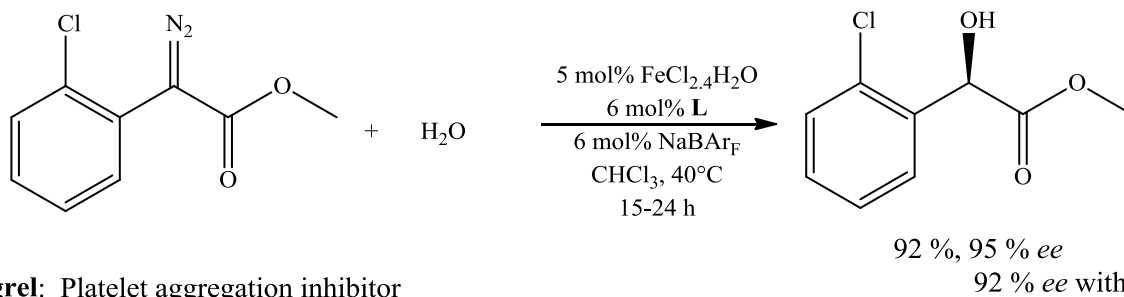
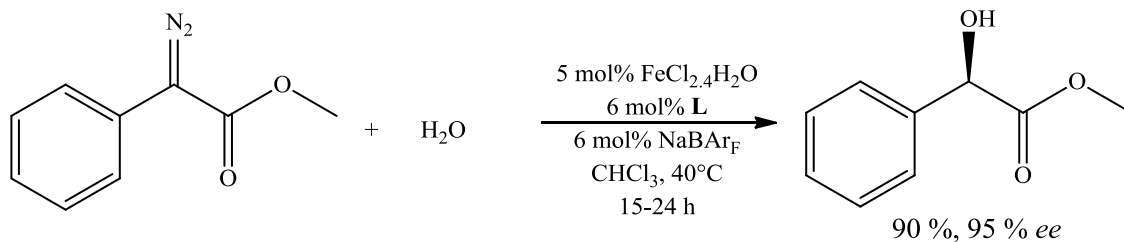
L: Spirobox

15 examples all *ee* up to 90%

Qi-Lin Zhou *et al.* *Nature Chemistry* **2010**, 2, 546

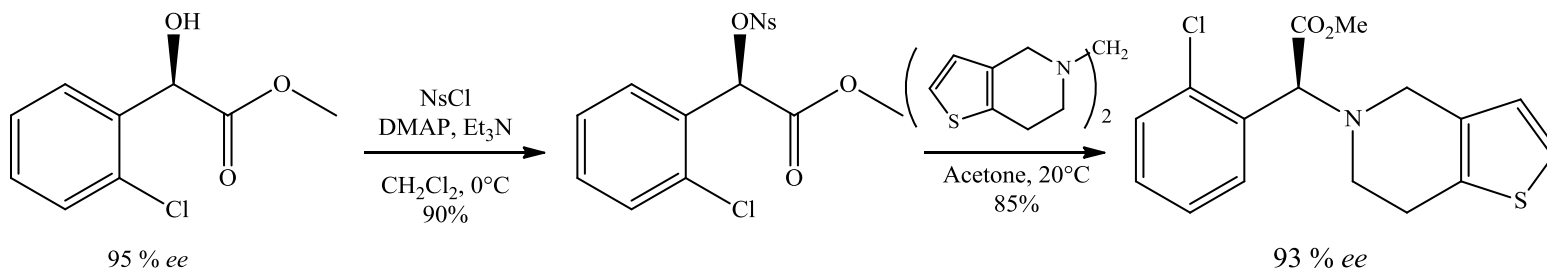
Enantioselective O-H bond insertions

Water insertion



Clopidogrel: Platelet aggregation inhibitor

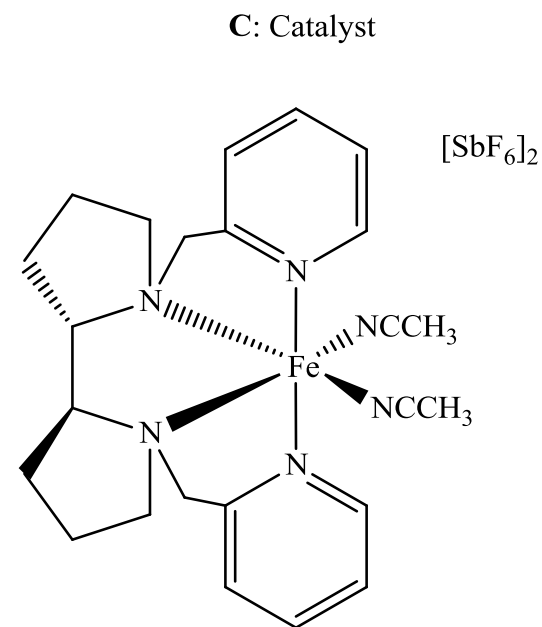
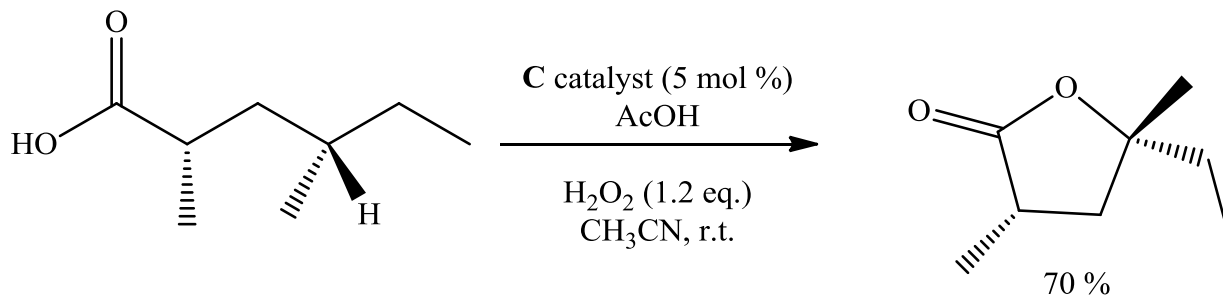
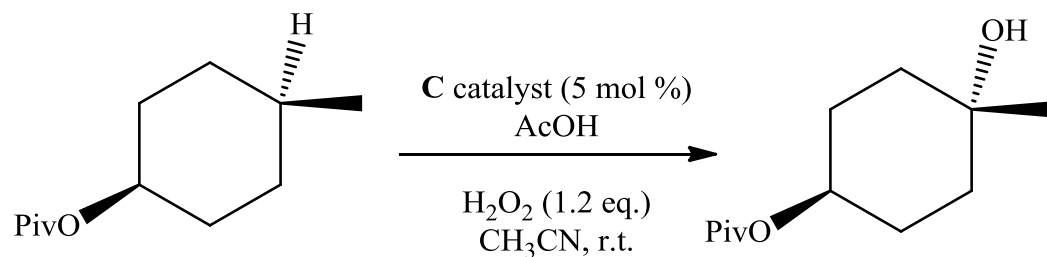
92% ee with 1 mol% of catalyst



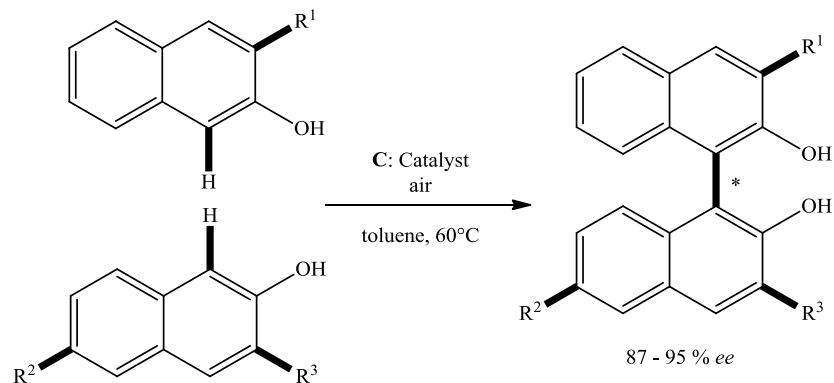
17 examples all ee up to 90%

Qi-Lin Zhou *et al.* *Nature Chemistry* **2010**, 2, 546

Selective Aliphatic C-H Oxidation Reaction



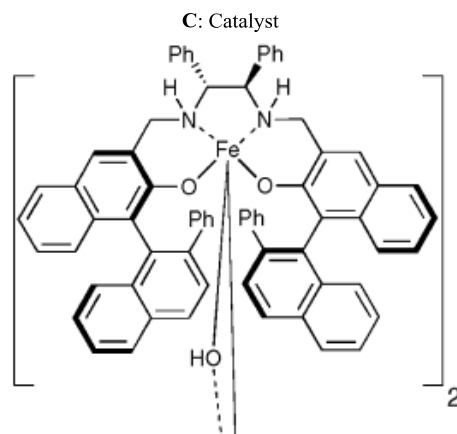
Enantioriched Cross-coupling of 2-Naphtols



R^1 : electron-donating group

R^2 : electron-withdrawing group of H

R^3 : H or electron-withdrawing (or less donating) group



T. Katsuki *et al.* *J. Am. Chem. Soc.* **2010**, 132, 13633

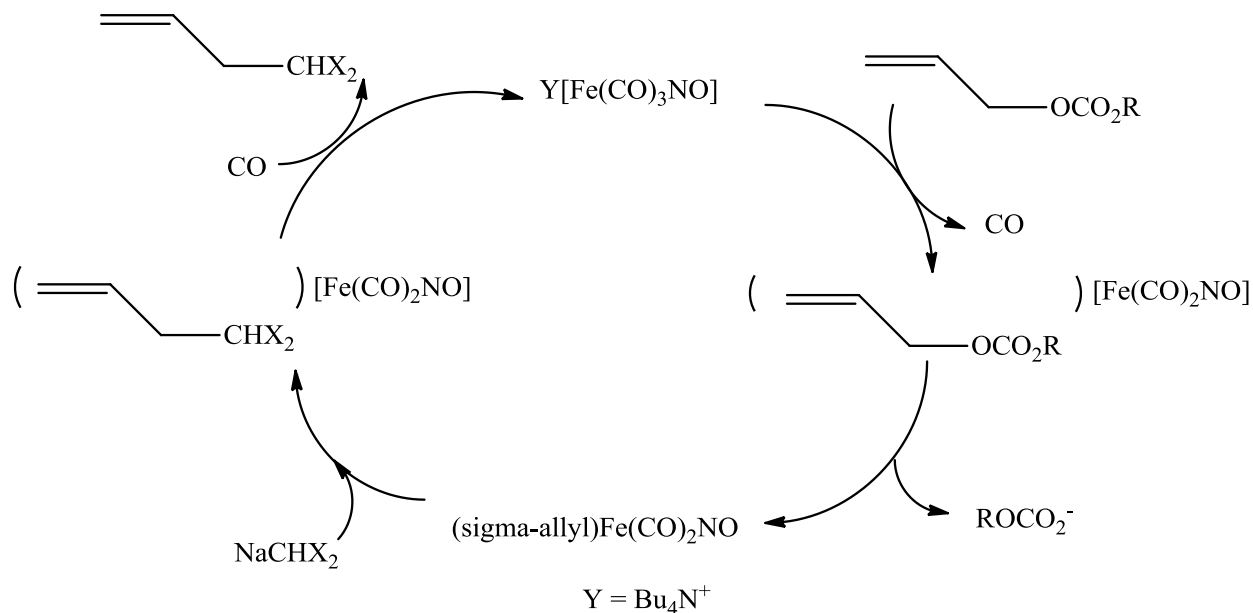
Conclusions

- Iron is a very interesting option for a catalyst center due to its ready availability, environmental friendliness and low market price.
- It has been shown that iron is useful for several kinds of enantiomeric reactions showing generally a high enantioselectivity.
- It is hoped that the methodologies will be more developed to be applied in more “real synthesis”.
- The understanding of the catalysis mechanism is crucial for the improvement of catalyst activity and productivity.

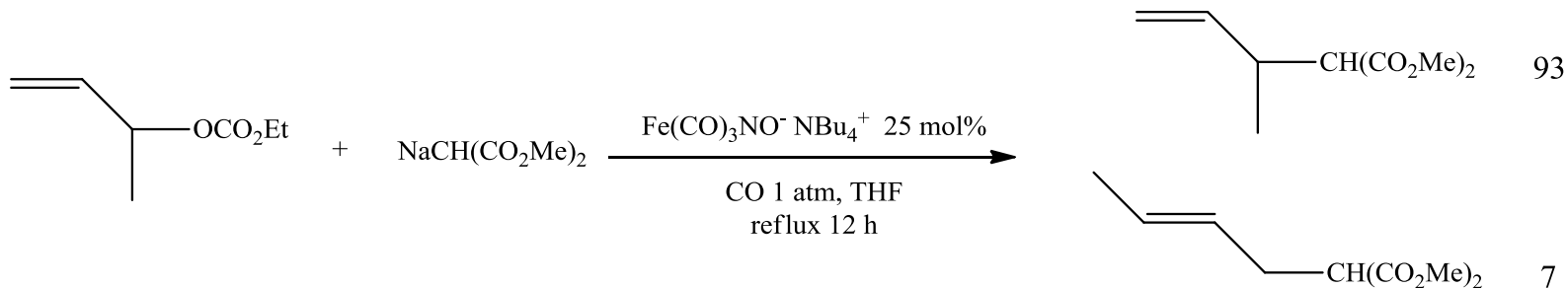
Stereoselective Allylic Alkylation

Question 1

Proposed mechanism:

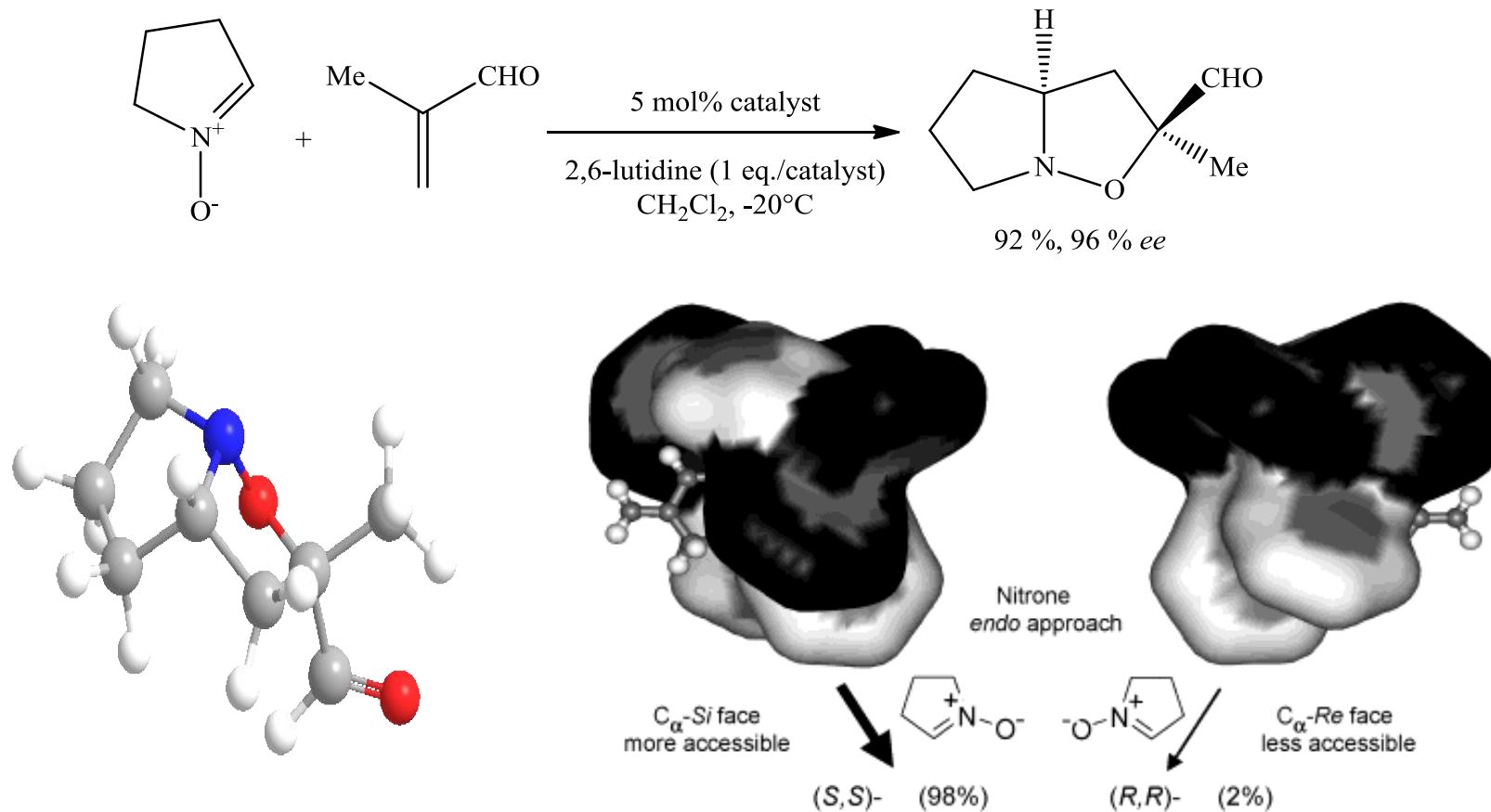


Pi to Sigma allyl coordination:



Asymmetric 1,3-Dipolar Cycloaddition

Question 2



E. P. Kündig *et al.* *J. Am. Chem. Soc.* **2002**, 124, 4968

The intramolecular Rh(I) catalyzed [5+2] carbocyclization of alkene-tethered VCPs is a highly diastereoselective reaction: the cis isomer is always the only one or the major one to be observed, for what concern the bridges-bond in the obtained bicyclic cycloadduct. How could this outcome be justified?

Sterical effects can explain the feasibility of the intermolecular Rh(I) catalyzed [5+2] carbocyclization with 1-alkyl substituted VCPs (favoring the preorganization). An alkoxy or siloxy group on the same position can also favor the reactivity in intermolecular systems, by lowering the energy of TS3 (transition state for the rate determining step). How could this effect be rationalized?

Rhodium(I)-Catalyzed Carbocyclization Reactions

A Straightforward Access to Medium-Size Rings

Stefano Nicolai

Introduction

- Cycloaddition vs Metal-Catalyzed Carbocyclizations

Synthesis of 7-Membered Rings: Rh(I) Catalyzed [5+2] Carbocyclisations

- Catalytic systems
- Regio/Stereoselectivity
- Applications in Total synthesis

Synthesis of 8-Membered Rings:

- Rh(I) Catalysed [4+2+2] Carbocyclisation Reactions
- Rh(I) Catalysed [6+2] Carbocyclisation Reactions

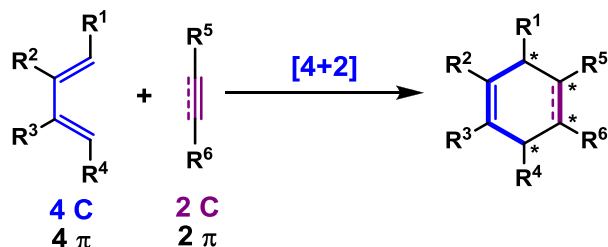
Conclusions

Cycloaddition Reactions: Effective Synthetic Tools towards Complexity

- Simultaneous formation of multiple σ -bonds
- Possible control on Regio- and Stereo Selectivity
- Complex cyclic systems accessible in a convergent way

Highly Step-Economical Reactions

Diels-Alder Cycloaddition: a Classical Model



Newly formed in one step:

- 2 σ -bonds
- Up to 4 stereocenters

HOMO – LUMO MATCHING required for reactivity

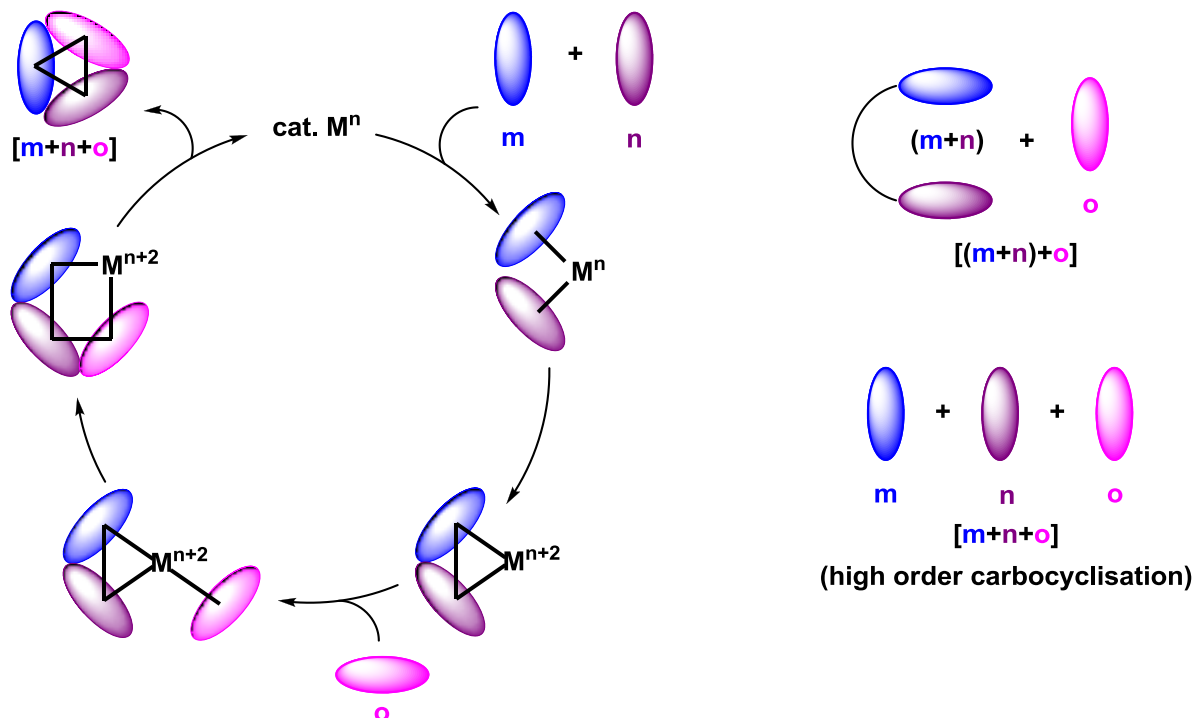
D.-A. Cycloaddition Limitation:

- Electronically dissimilar components required;
- Low Rate or no reactivity;
- Forcing conditions.

Transition Metal Catalyzed Carbocyclisation Reactions

Formal Cycloaddition processes:

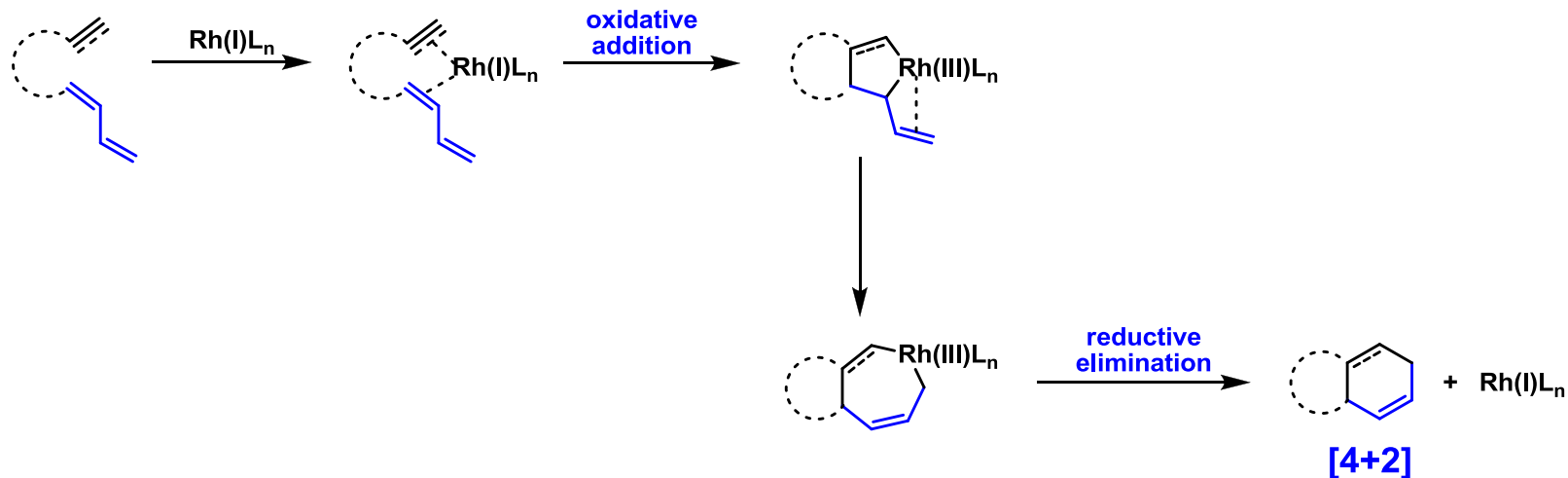
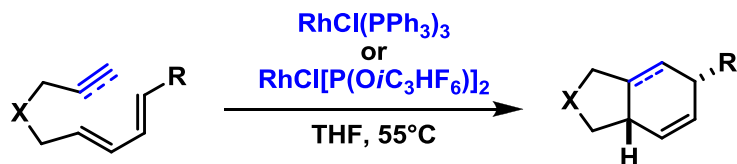
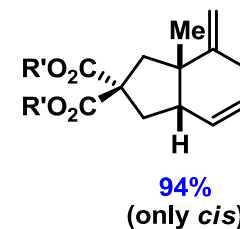
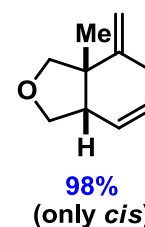
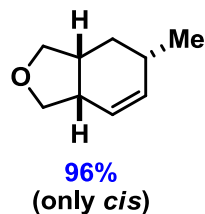
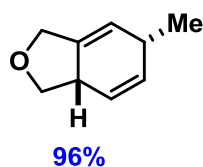
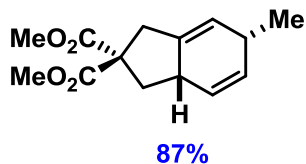
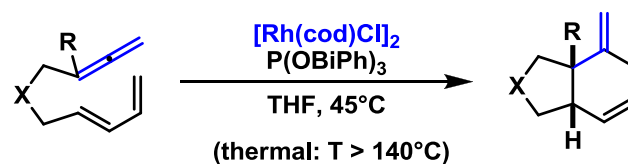
- Coordination/Activation of two unsaturated units **m**, **n**;
- Oxidative Cyclisation → Metallacycle formation.
- Interception of unsaturated unit **o**;
- Reductive elimination → Cycloadduct release.



Most commonly used metal: **Ni, Co, Ru, Rh, Pd**

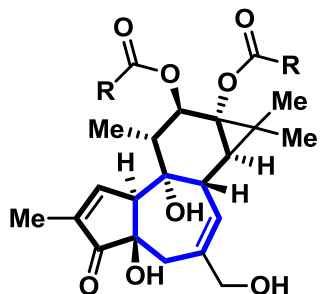
Rh: High versatility; high FG tolerance; compatible with mild conditions

Rhodium-Catalyzed [4+2] Carbocyclization: "Diels-Alder" with unactivated substrates

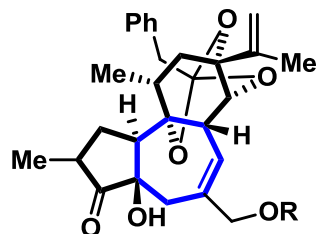
**Ene-, Yne-Diene [4+2] (Livinghouse, 1990)****Allene-Diene [4+2] (Wender, 1995)**

Medium Size Membered Rings: Targets for Cycloadditions?

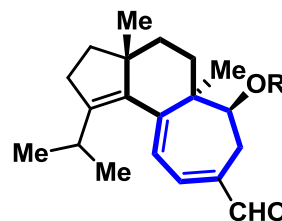
Cycloheptanoyds and **Cyclooctanoids**: widely present in bio- and pharmacologically active compounds



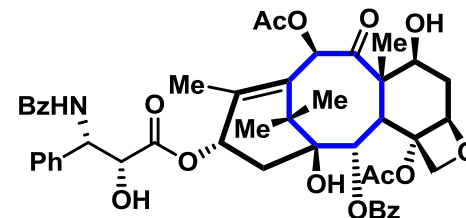
Phorbol Esters
(Tumor promoters)



Resiniferatoxin
(Lead for treating of chronic pain)



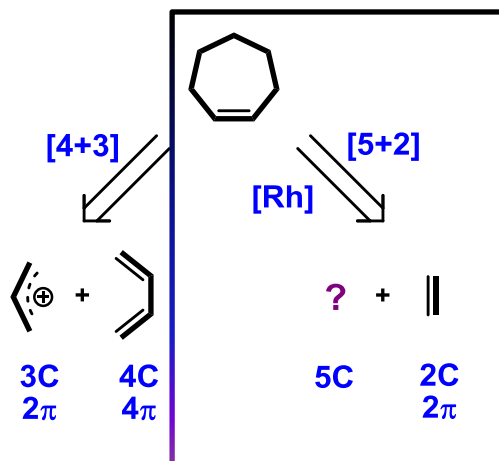
Allocyantines
(Nerve Growth Factor Promoters)



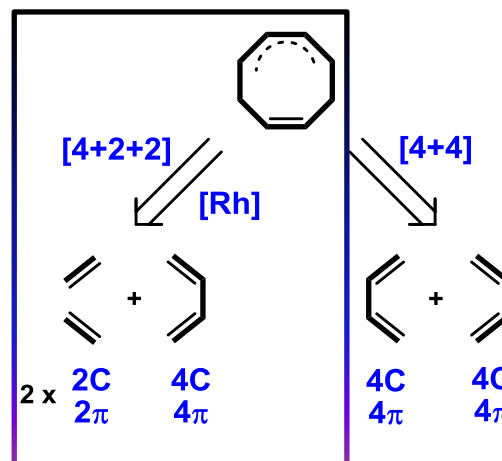
Taxol
(Antitumor agent)

7-Membered Rings Homologs of 6 membered rings

- *Allyl cations*
- *M-allyl complexes*

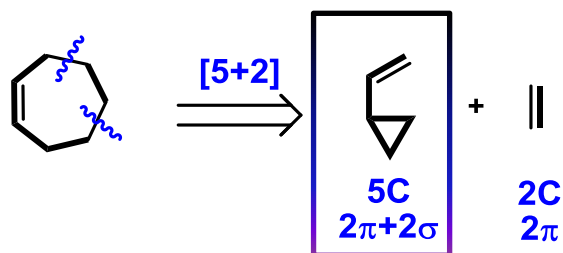


8-Membered Rings



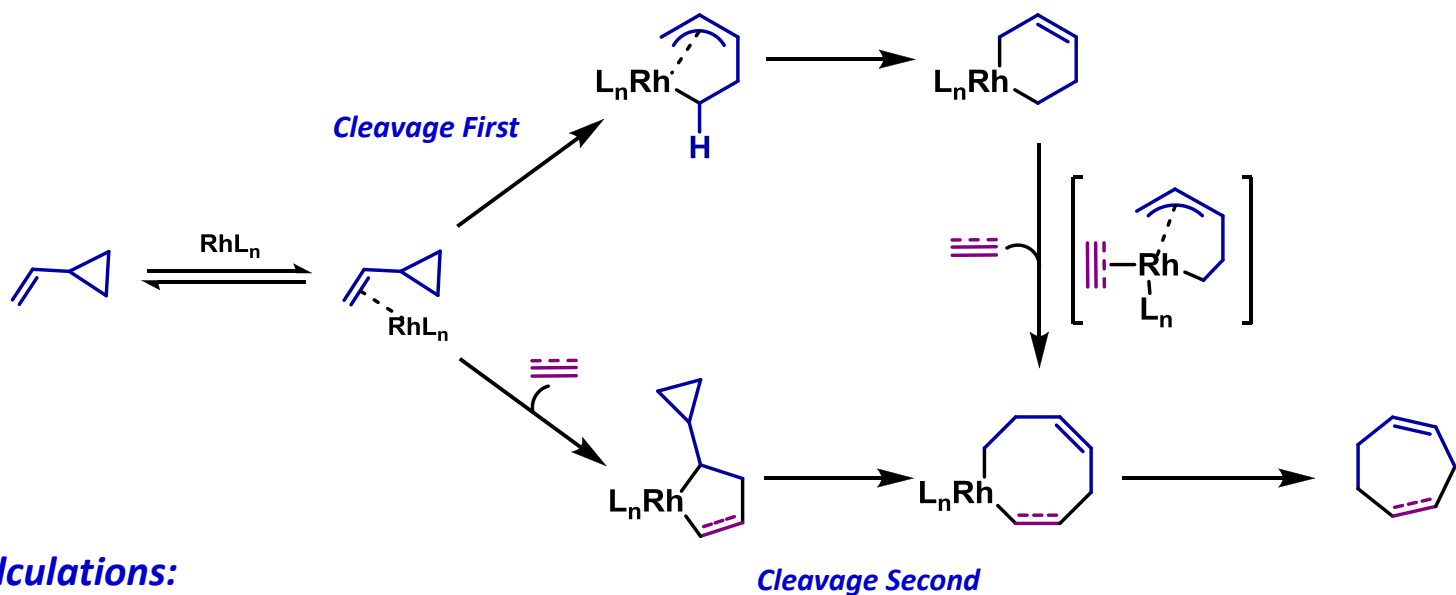
Ni-Catalysis

Aiming to [5+2] Carbocyclizations: Vinylcyclopropanes (VCPs)



VCPs: potential homologs of dienes:

- Kinetically stable (high $\Delta G^\ddagger$ for thermal isomerisation);
- C=C available for metal coordination;
- Strained Cyclopropane available for **cleavage upon activation**;
- Isomerization to dienes/cyclopentene is known to be promoted by $Rh(I)^*$



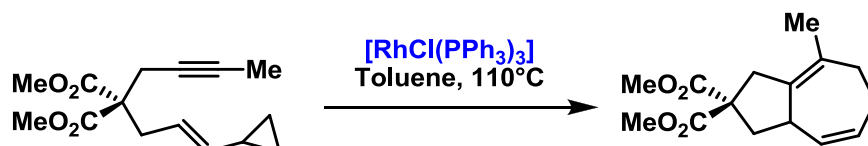
DFT calculations:

Intramolecular: Cleavage Second

Intermolecular: Cleavage First

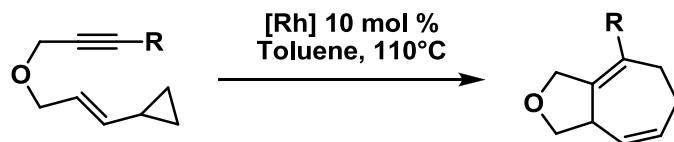
Intramolecular [5+2] Cycloadditions of VCPs

Discovery of the Reaction: Tethered Alkynes and Alkenes

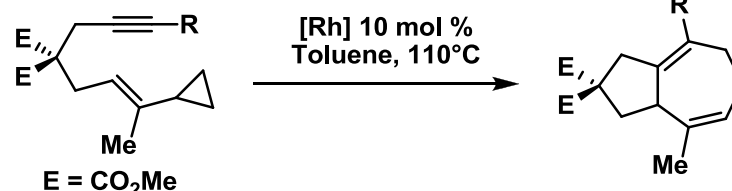


A: [Rh] **10 mol%**
→ **84%** (after 48h)

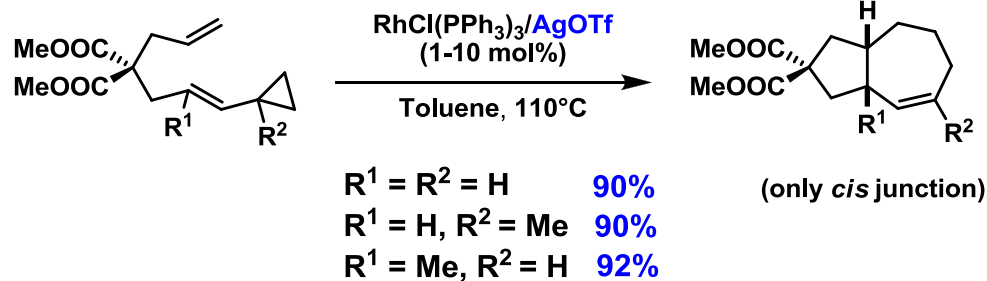
B: [Rh]/AgOTf **0.5 mol%**
→ **83%** (after **20 min**)



R = Me	(1.5 h)	88%
R = TMS	(3.5 h)	83%
R = CO ₂ Me	(1.25 h)	74%
R = Ph	(1.5 h)	80%

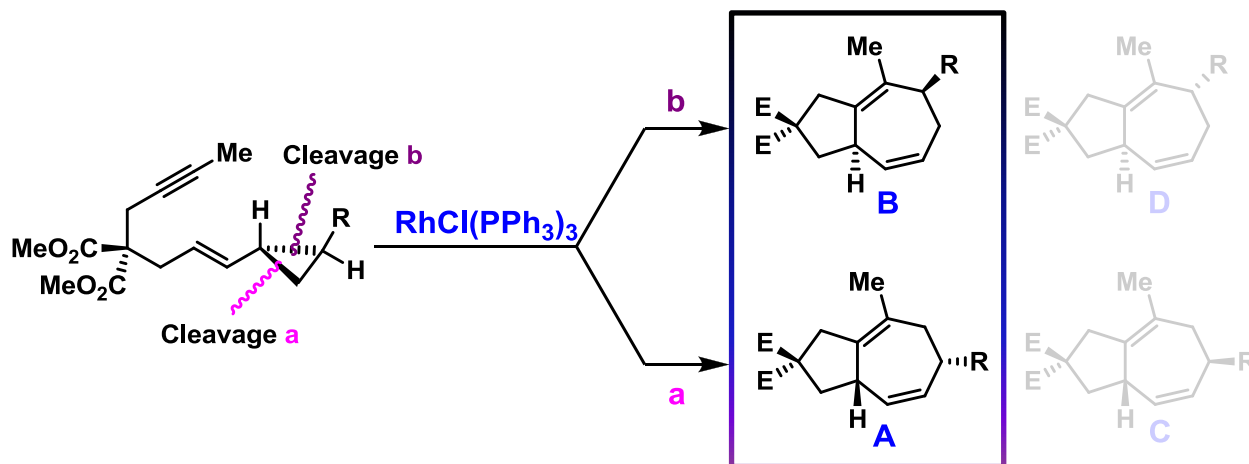


R = H	(48 h)	82%
R = Me	(48 h)	89%
R = CO ₂ Me	(16 h)	74%



R ¹ = R ² = H	90%	(only <i>cis</i> junction)
R ¹ = H, R ² = Me	90%	
R ¹ = Me, R ² = H	92%	

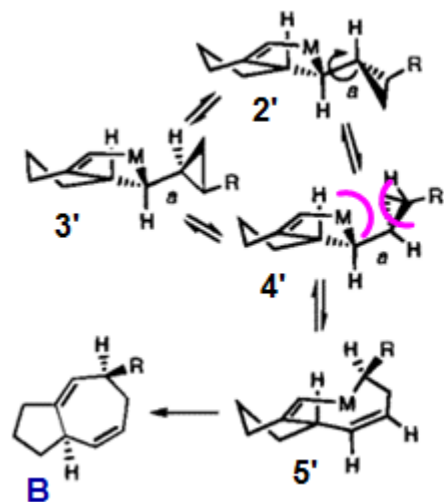
Regioselectivity and Diastereoselectivity in the Intramolecular [5+2] Cycloaddition



Stereochemistry of VCP translated to the cycloadduct (no C/D)

A usually favored over B (with bulky R: *d.r.* > 20:1)

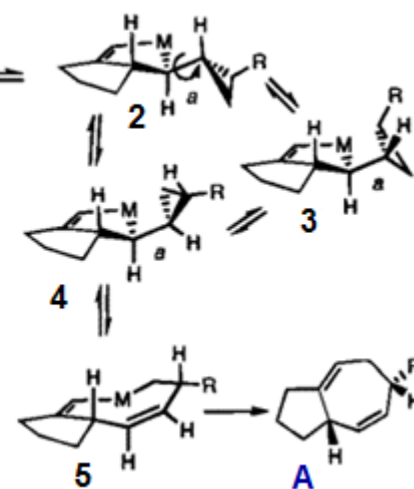
Cleavage b



**R remote from the alkene:
2 and 2' in equilibrium: Curtin-Hammett**

**Rate Determining Step:
4 → 5**

Cleavage a

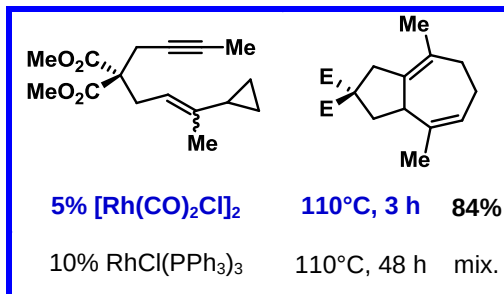
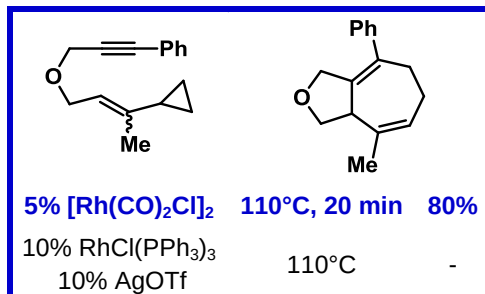
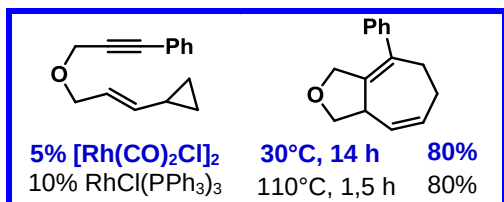
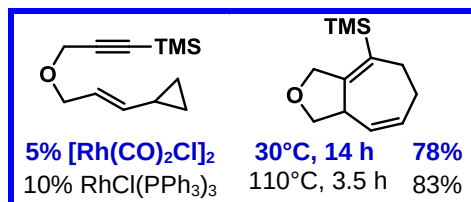


Improved Catalytic Systems: $[\text{Rh}(\text{CO})_2\text{Cl}]_2$ Wilkinson Catalyst $[\text{RhCl}(\text{PPh}_3)_3]$:

- High temperatures required;
- Not generally applicable
(No Reactivity or isomerisation depending on the ether and VCP)

Wender, 1998: $[\text{Rh}(\text{CO})_2\text{Cl}]_2$

- Less sterically encumbered;
- Requires milder conditions in related additions.

Improved reactivity with
« problematic substrates »

Milder conditions generally possible

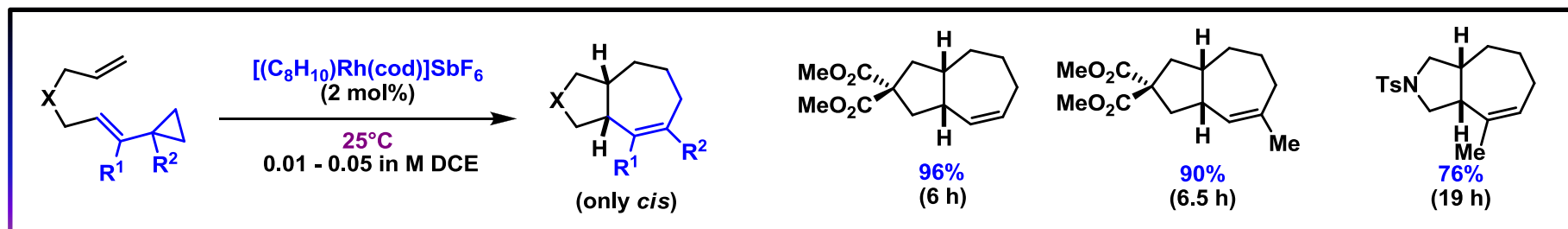
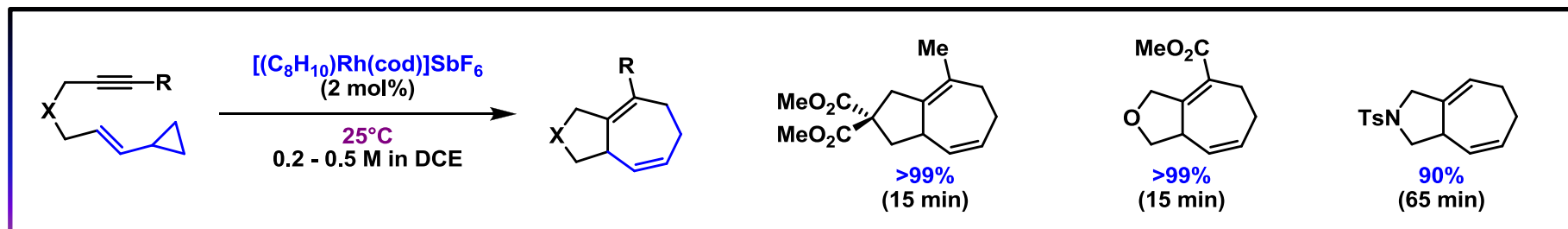
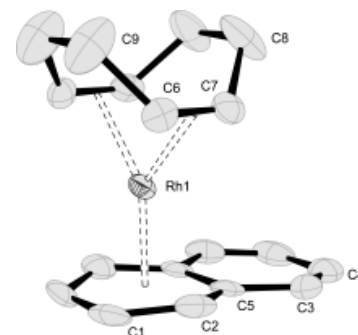
No reactivity observed with alkenes and terminal alkynes

Other Catalyst Systems: $[(C_{10}H_8)Rh(cod)]^+$ Chung, 1999: $[(C_{10}H_8)Rh(cod)]BF_4$

efficient catalyst for [4+2] cycloadditions of dienes and alkynes.

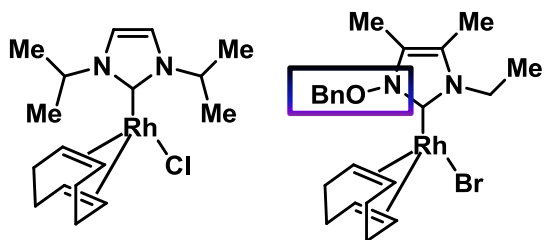
Wender, 2002: $[(C_{10}H_8)Rh(cod)]SbF_6$

- readily prepared from $[RhCl(cod)_2]$, $AgSbF_6$ and naphthalene;
- air stable at rt, catalytic activity is retained for long times;



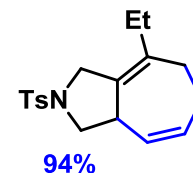
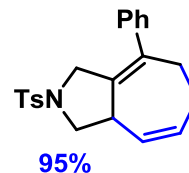
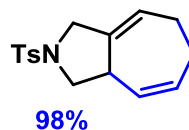
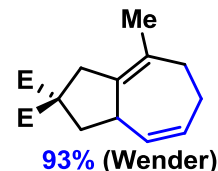
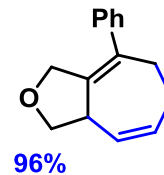
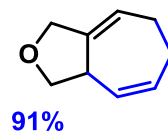
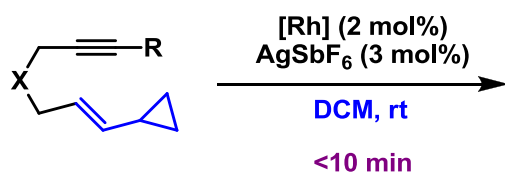
- More general catalytic system than Wilkinson catalyst and $[Rh(CO)_2Cl]_2$;
- Effective under mild conditions.

A Further Improvement: Rhodium-NHCs

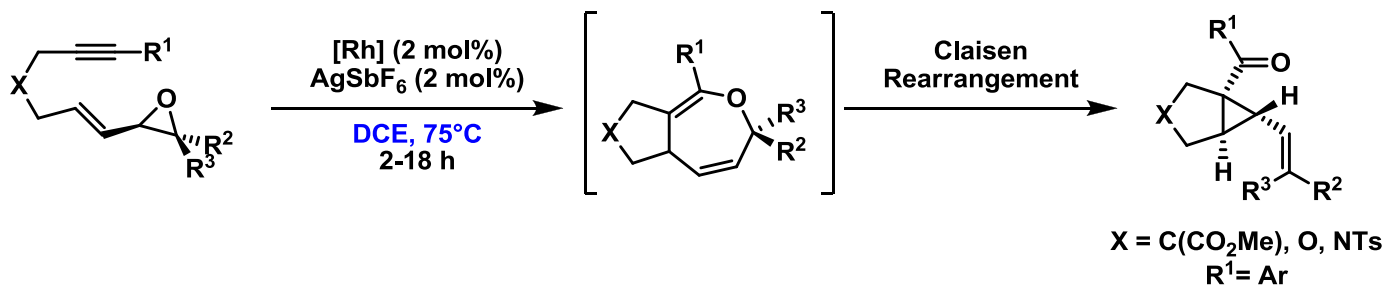


Chung (2006): first use of Rh-NHC for [4+2] and [5+2]

Wender (2007): tuning of NHC σ -donor properties by *heteroatom on N*.



Vinylic Oxiranes: Synthesis of [3.1.0] Hexanes by Hetero-[5+2] Cycloaddition/Claisen Rearrangement

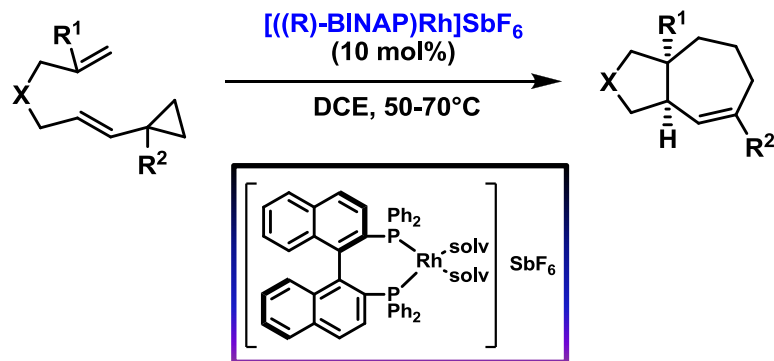


Zhang et al. *J. Am. Chem. Soc.* **2011**, 133, 7304.

47-94%

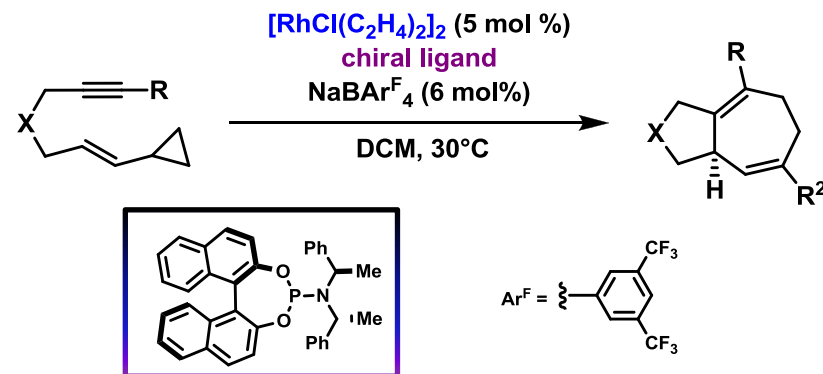
Asymmetric Rh-Catalyzed [5+2] Cycloaddition of VCPs

Wender (2006): Alkene-VCPs

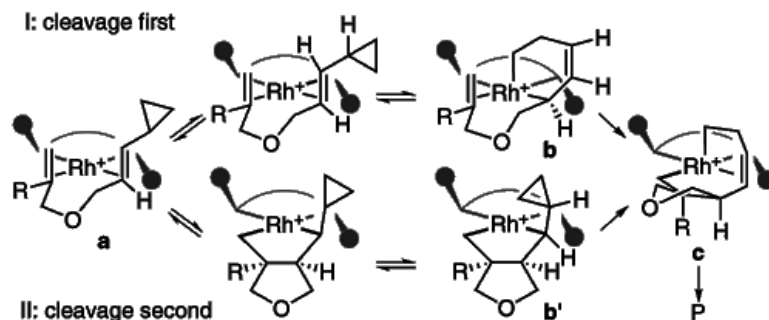


X	R ¹	R ²	Yield	ee
$\text{C}(\text{CO}_2\text{Me})_2$	H	H	73%	52%
	Me	H	72%	>95%
	CH_2OBn	H	80%	>99%
	H	Me	92%	95%
NTs	H	H	90%	96%

Hayashi (2009): Alkyne-VCPs

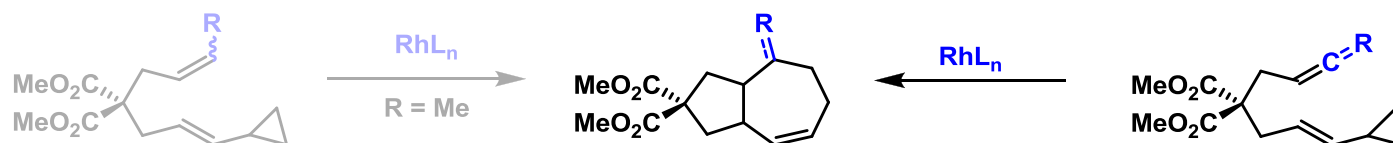
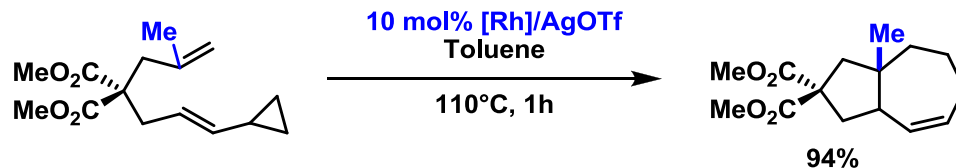


X	R	Yield	ee
$\text{C}(\text{CO}_2\text{Me})_2$	Ph	82%	83%
	Ph	88%	99%
	Me	87%	>99.5%
O	H	53%	92%
	$\text{CH}_2\text{CH}_2\text{Ph}$	90%	95%



Reaction with Tethered Allenes

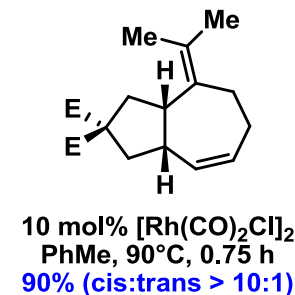
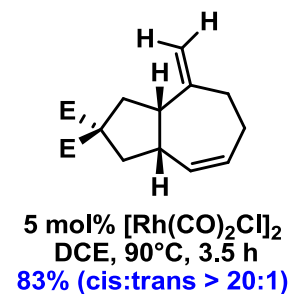
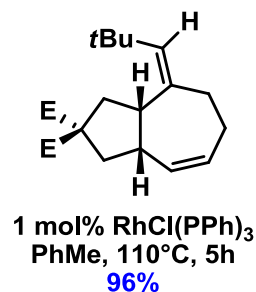
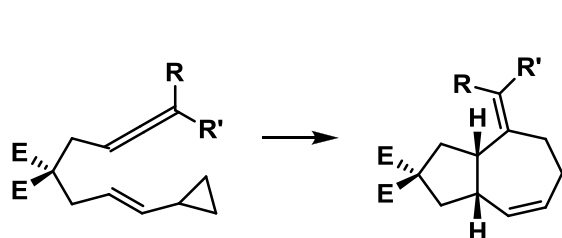
1,1-disubstituted alkenes:
good reactivity observed



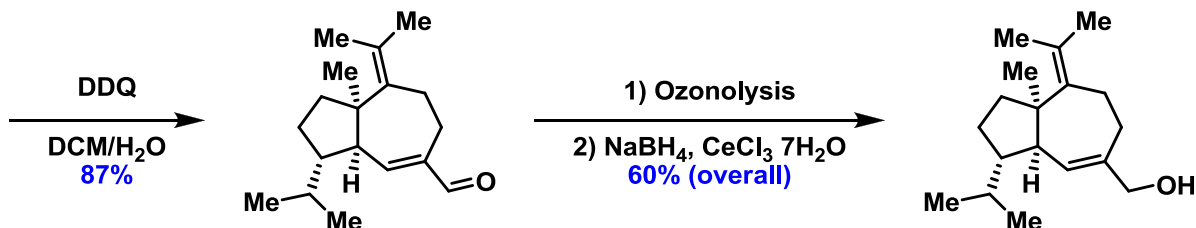
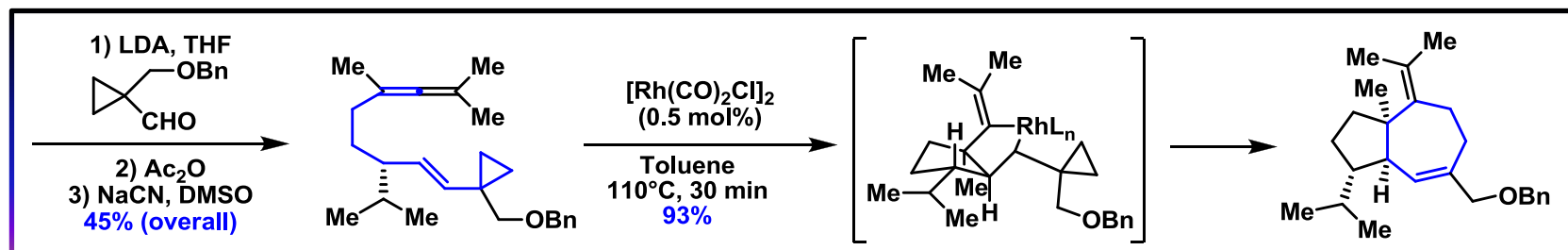
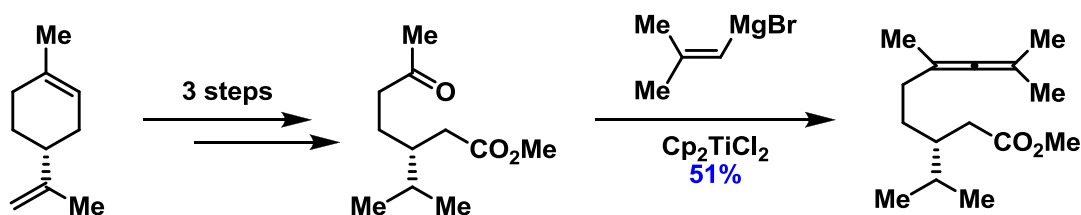
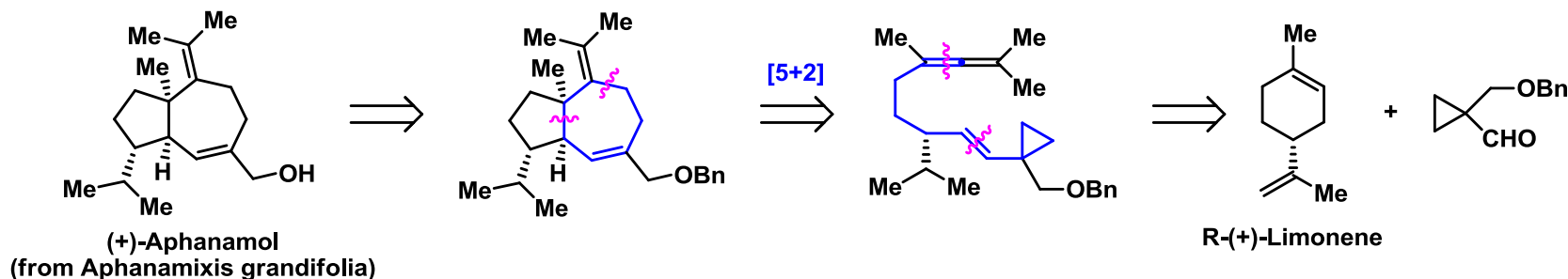
1,2-disubstituted alkenes:
low reactivity
(competitive β -hydride
elimination)

Natural Products

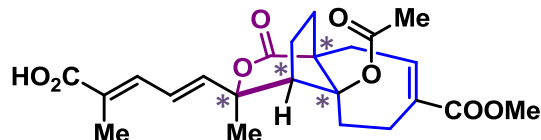
The (efficient) solution:
*Allenenes used as surrogates
of 1,2 disubstituted alkenes*



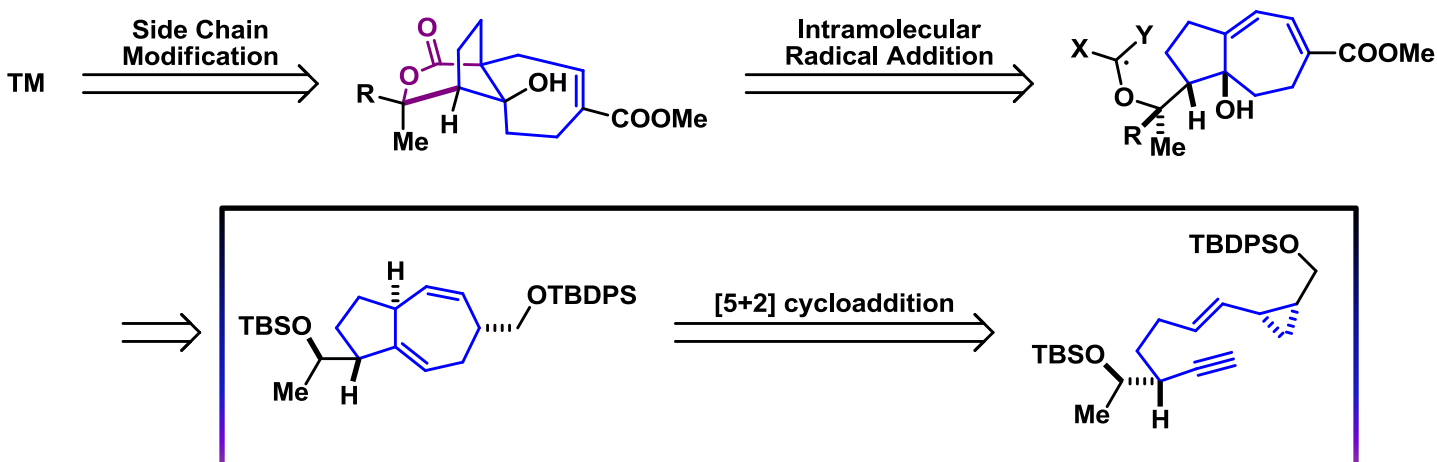
Total Synthesis of (+)-Aphanamol (Wender)



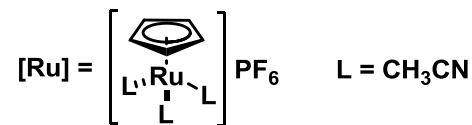
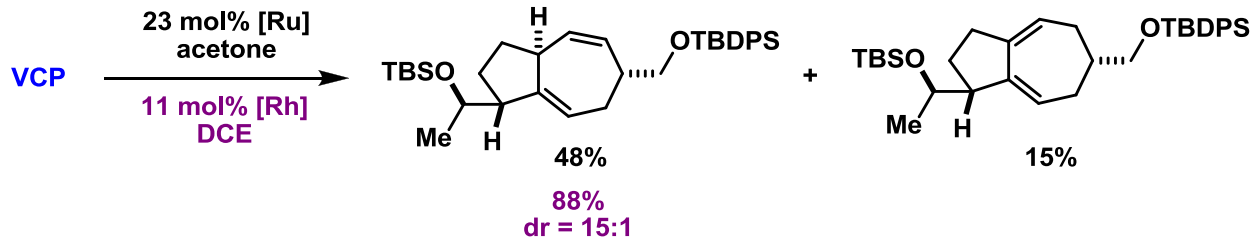
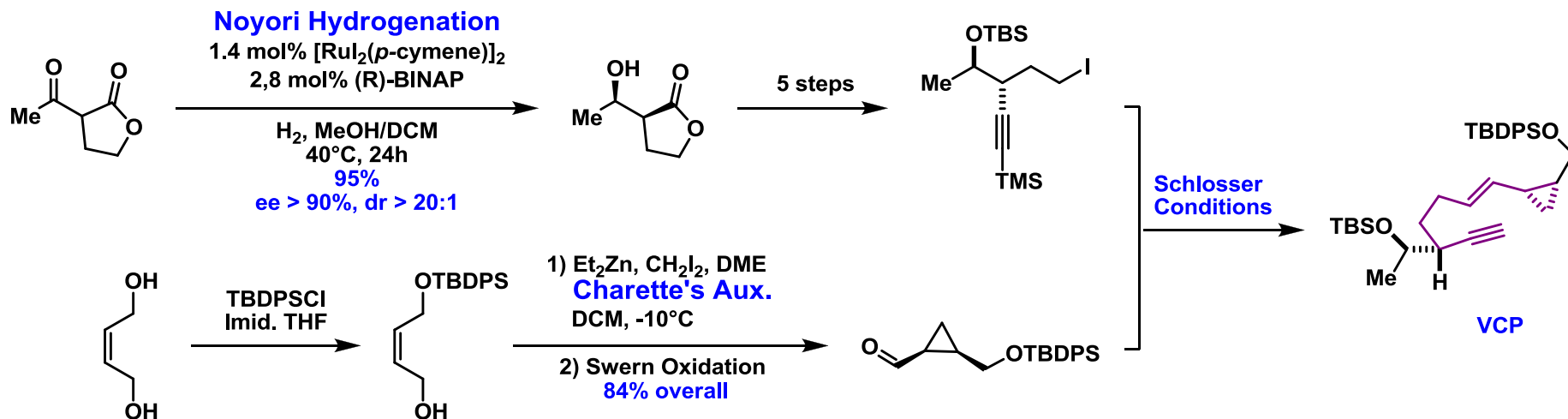
Total Synthesis of (-)-Pseudolaric Acid B (Waser, Trost)



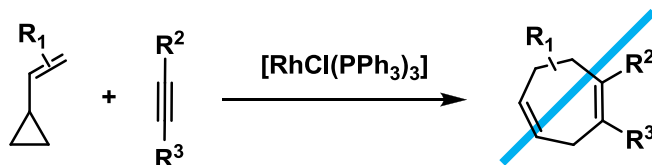
- Proved antifungal, antifertility and citotoxic activity; *potential application for cancer therapy*.
- Complex multifunctionalized triyclic core; four contiguous stereocenters (one quaternary).
- Fused [5 – 7] ring system (polyhydroazulene): Target for [5+2] cycloaddition.



(-)-Pseudolaric Acid B: [5+2] Cycloaddition



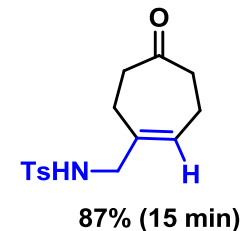
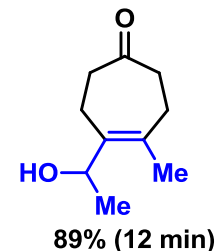
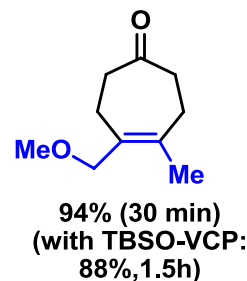
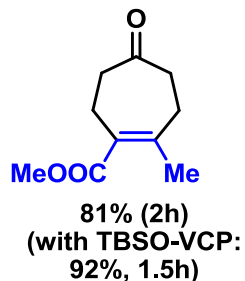
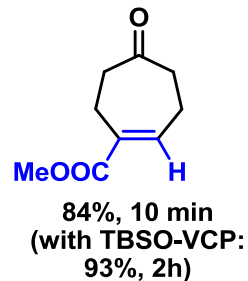
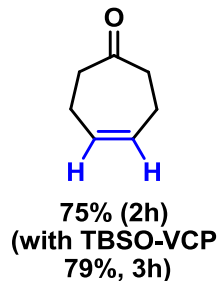
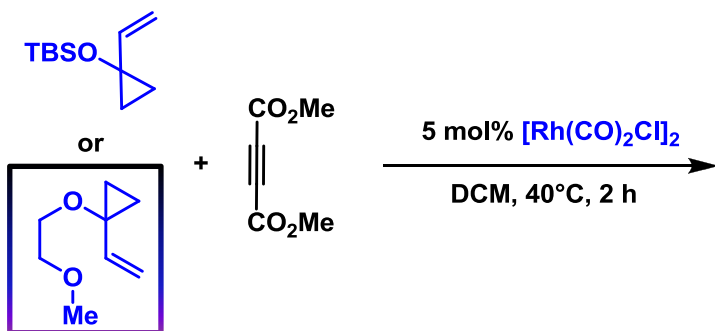
Intermolecular [5+2] cycloaddition of VCPs and alkynes



- No reactivity
- Isomerization of the VCP
- Trimerization of the alkyne

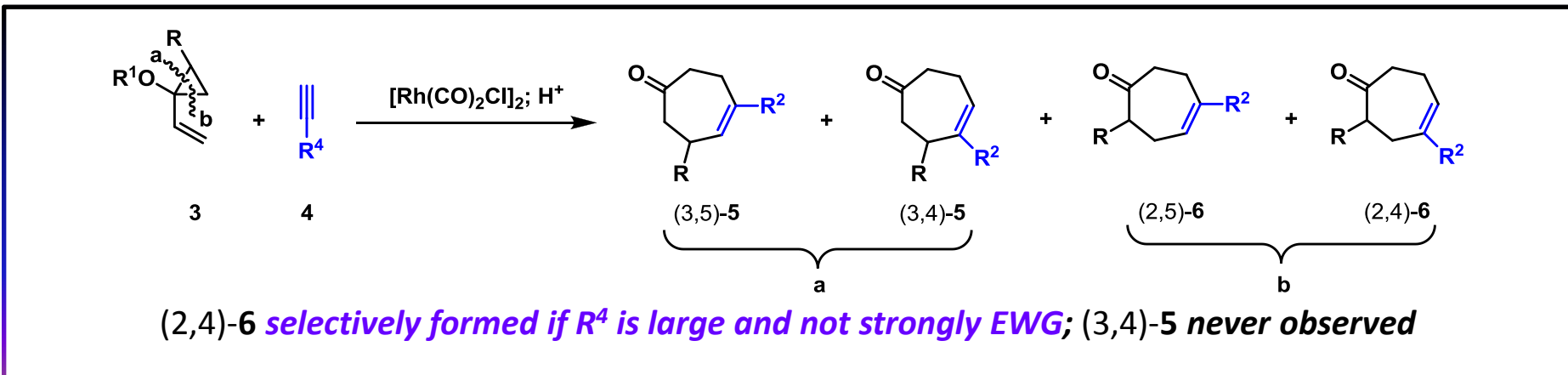
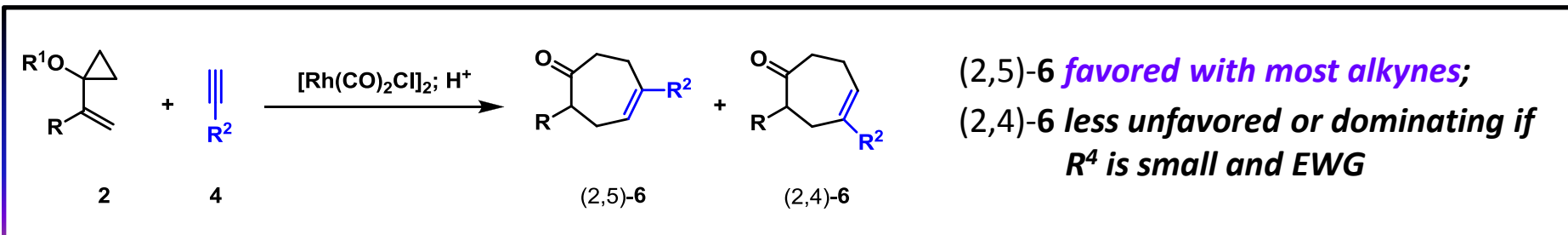
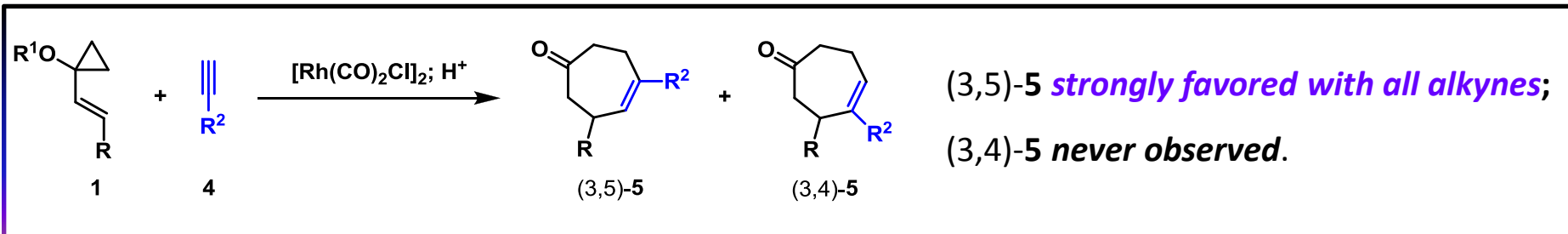
Previously observed:

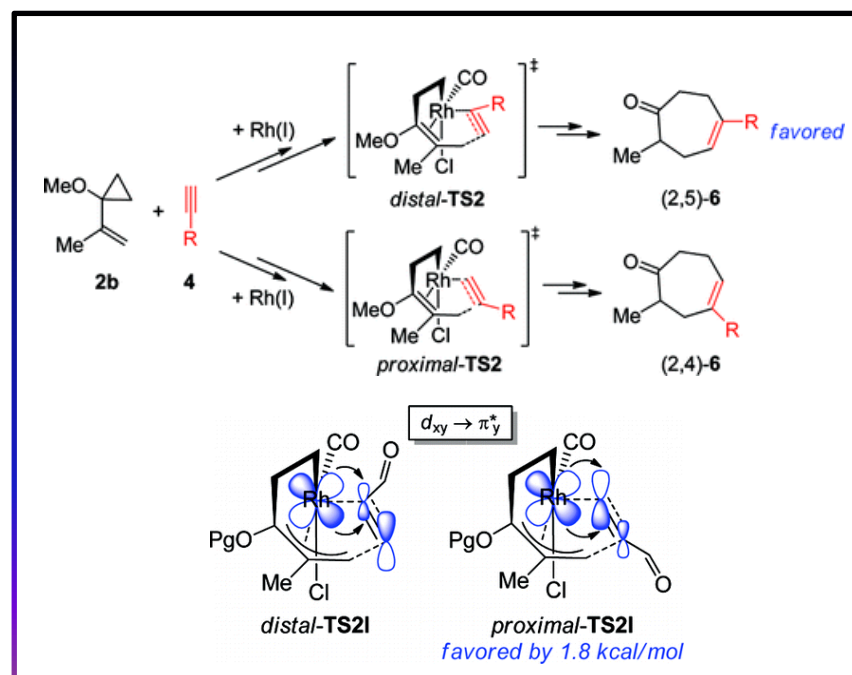
Increase of intramolecular reactivity with *O*-substituted VCPs;
 $[Rh(CO)_2Cl]_2$ effective with unreactive substrates.



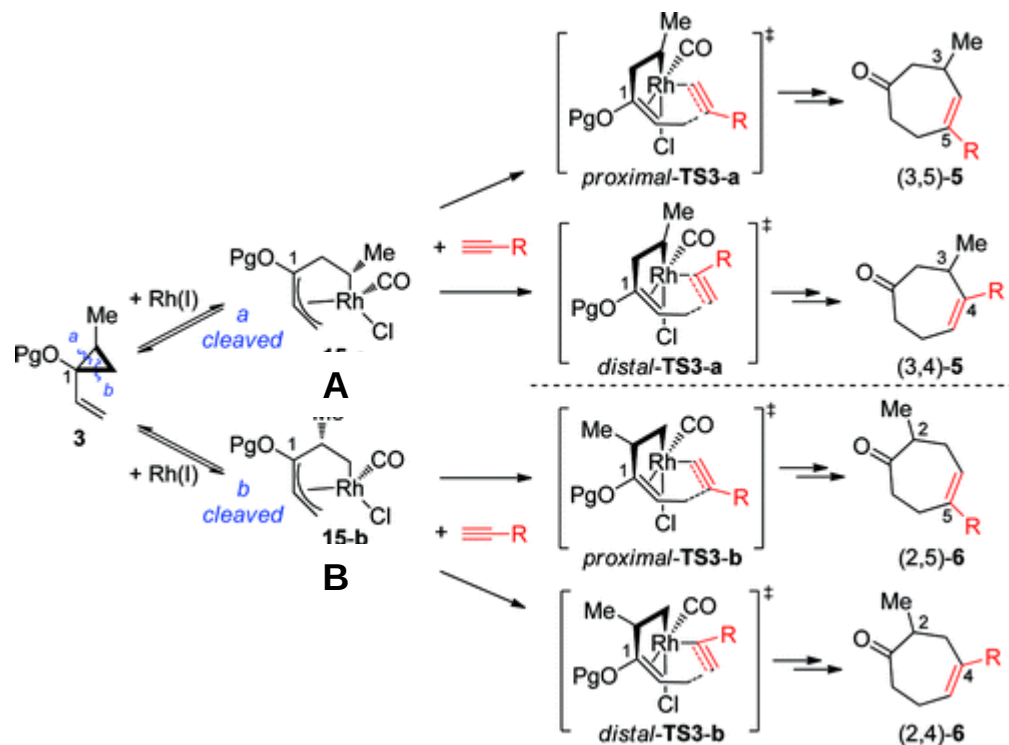
Intermolecular [5+2] Cycloadditions with Substituted VCPs: Regioselectivity

No tether present → two possible orientations for interception of monosubstituted alkynes.





Intermolecular [5+2] Cycloadditions with Substituted VCPs: Regioselectivity



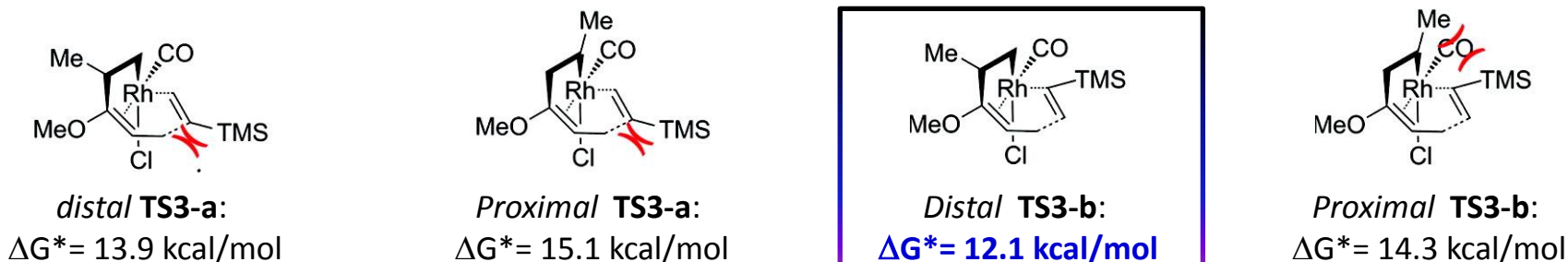
Low activation barriers for cleavage of both bonds *a* and *b* in VCP cyclopropyl:

Cleavage of Cyclopropyl bonds reversible
Metallacycles A and B in equilibrium



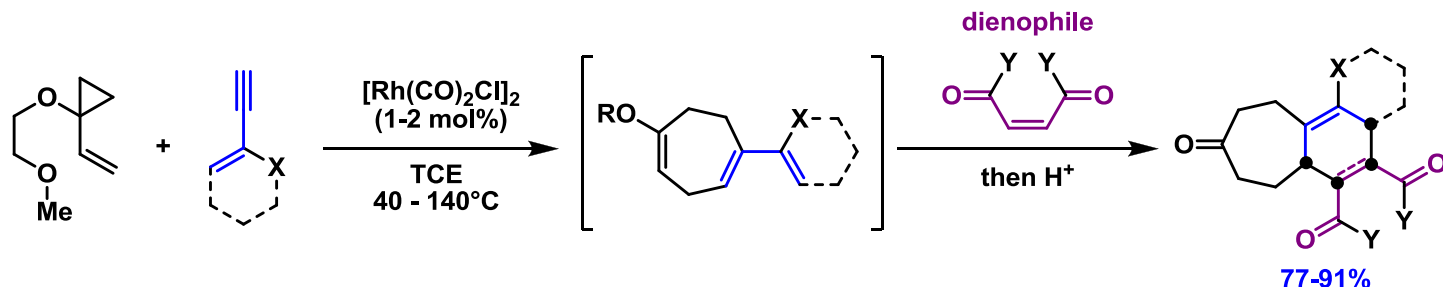
Curtin-Hammett conditions applied

Example: R on alkyne large and electron-donating (TMS): **Sterical Effect Dominating**



Aiming to Step-Economy: Intermolecular [5+2] Cycloadditions in Cascade Reactions

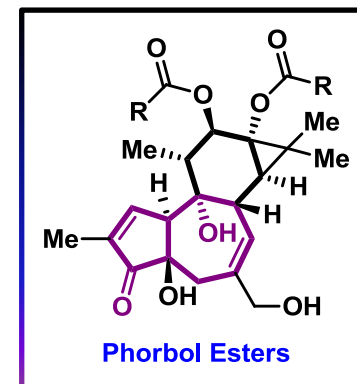
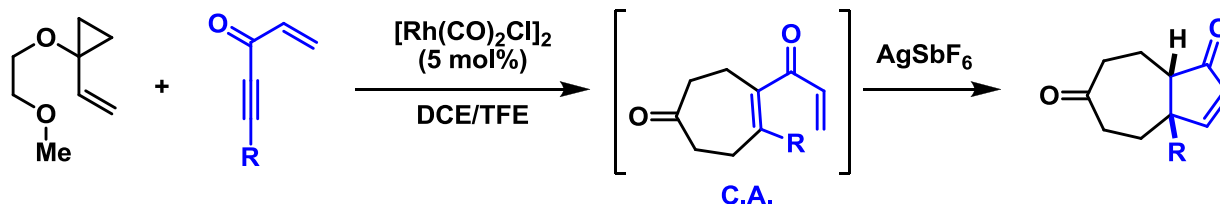
Serial [5+2]/[4+2] Cycloaddition Reactions



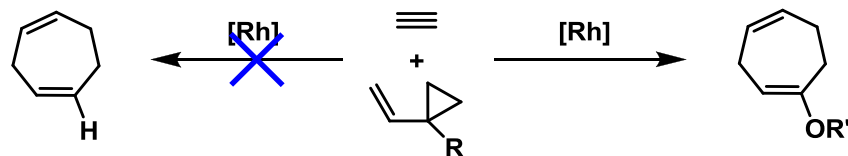
Complete Chemoselectivity
for the **alkyne** over the alkene

- Tricyclic system
- Up to four stereocenters

Serial [5+2] Cycloaddition/Nazarov Cyclisation

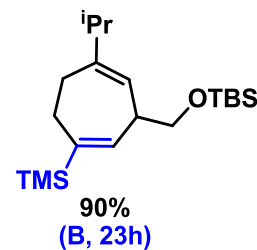
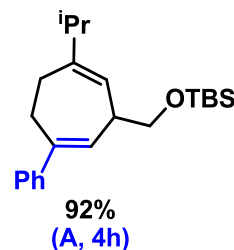
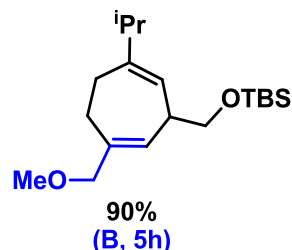
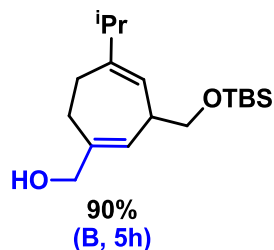
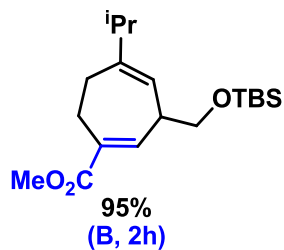
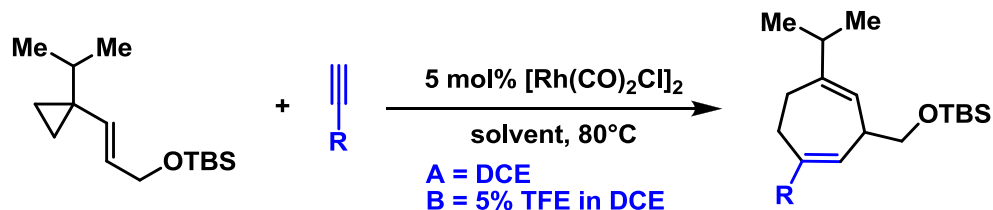


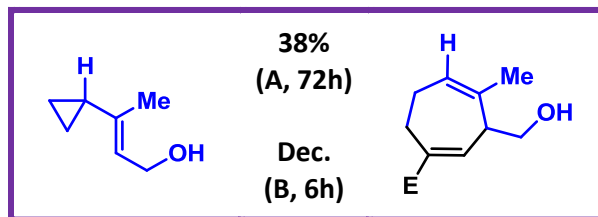
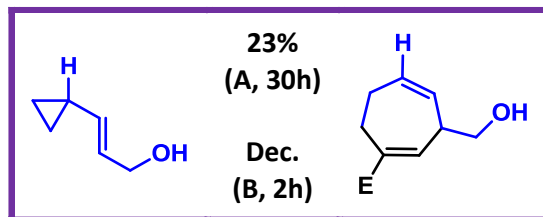
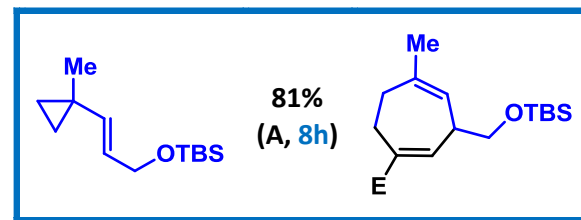
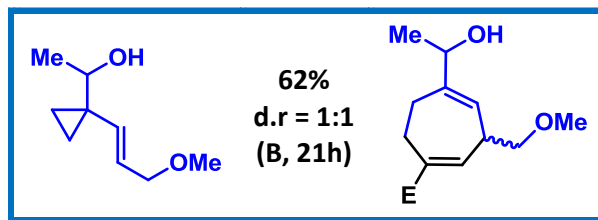
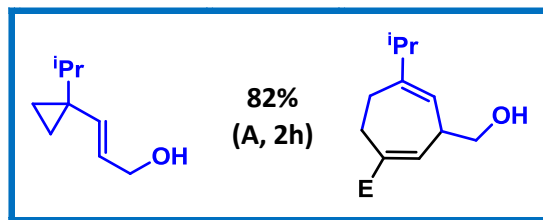
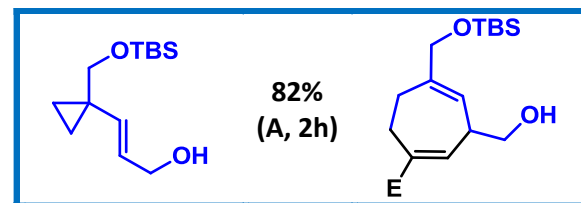
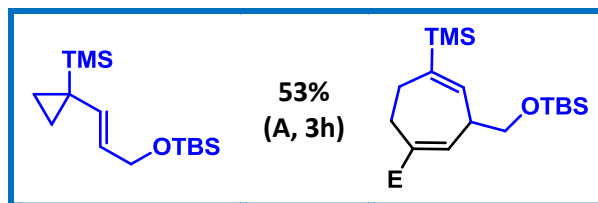
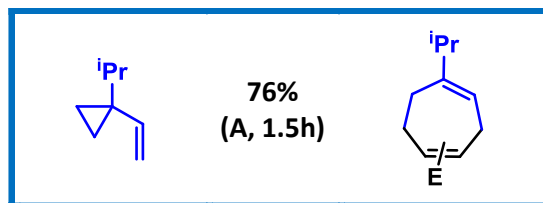
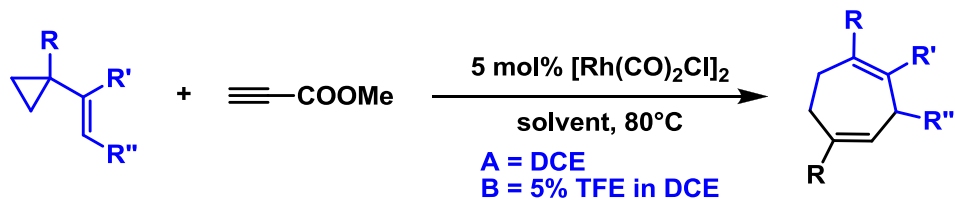
- Sequence (two-flask): $[\text{Rh}(\text{CO})_2\text{Cl}]_2 \rightarrow$ isolation of C.A. $\rightarrow \text{AgSbF}_6$
- Sequence (one-flask, two catalysts): $[\text{Rh}(\text{CO})_2\text{Cl}]_2 \rightarrow \text{AgSbF}_6$ (68-82% yields)
- Cascade (one flask, one catalyst): $[\text{Rh}(\text{CO})_2\text{Cl}]_2/\text{AgSbF}_6$ (56-95% yields)

[5+2] Cycloadditions with Unactivated, Alkyl-Substituted VCPs (I)

Heteroatom required to allow intermolecular [5+2]cycloaddition ?

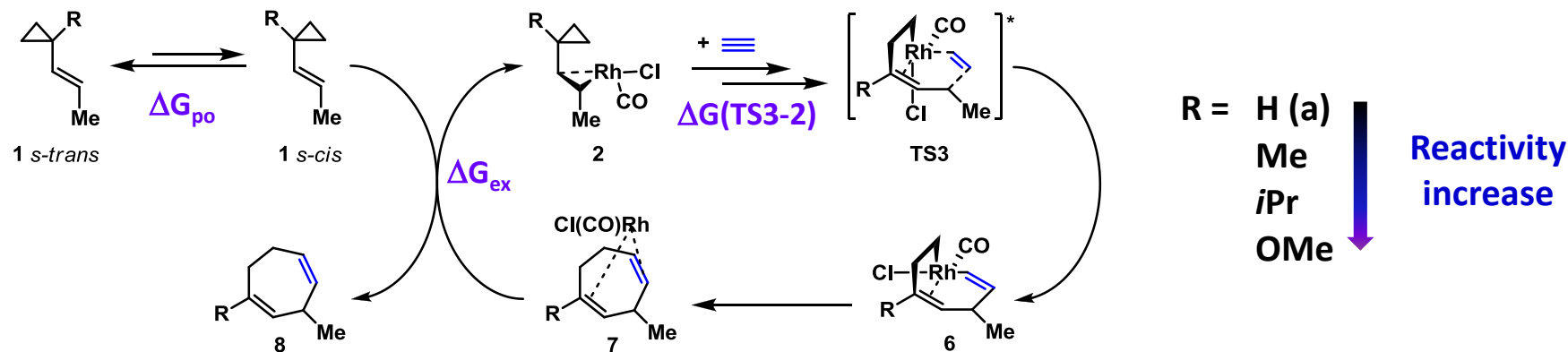
Reactivity also observed with alkyl geminally substituted VCPs!



[5+2] Cycloadditions with Unactivated, Alkyl-Substituted VCPs (II)

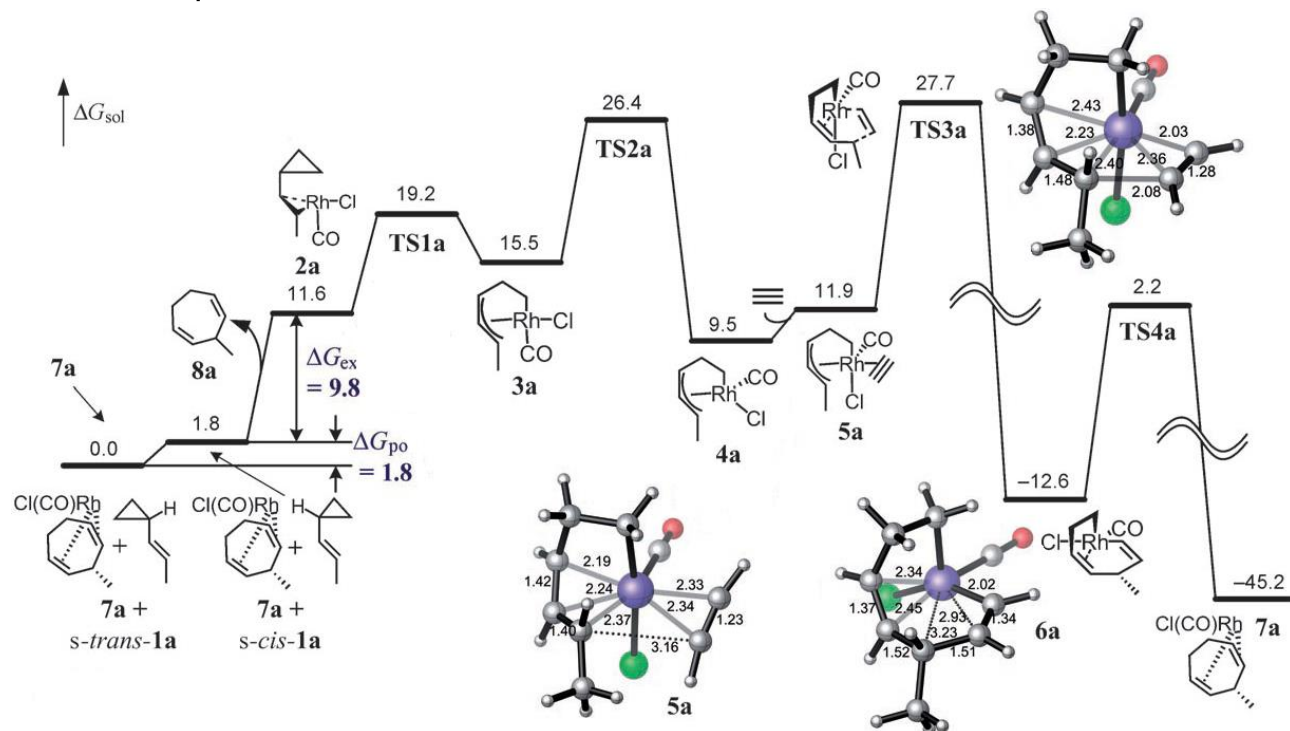
Low yields or decompositions
for unsubstituted cyclopropyls

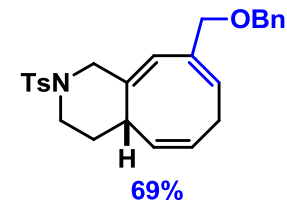
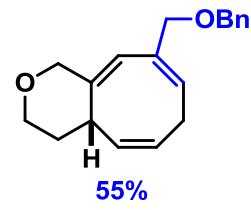
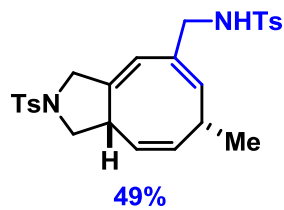
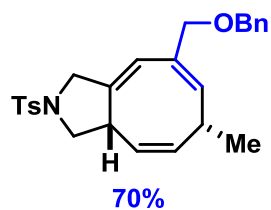
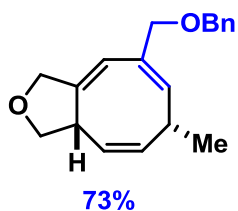
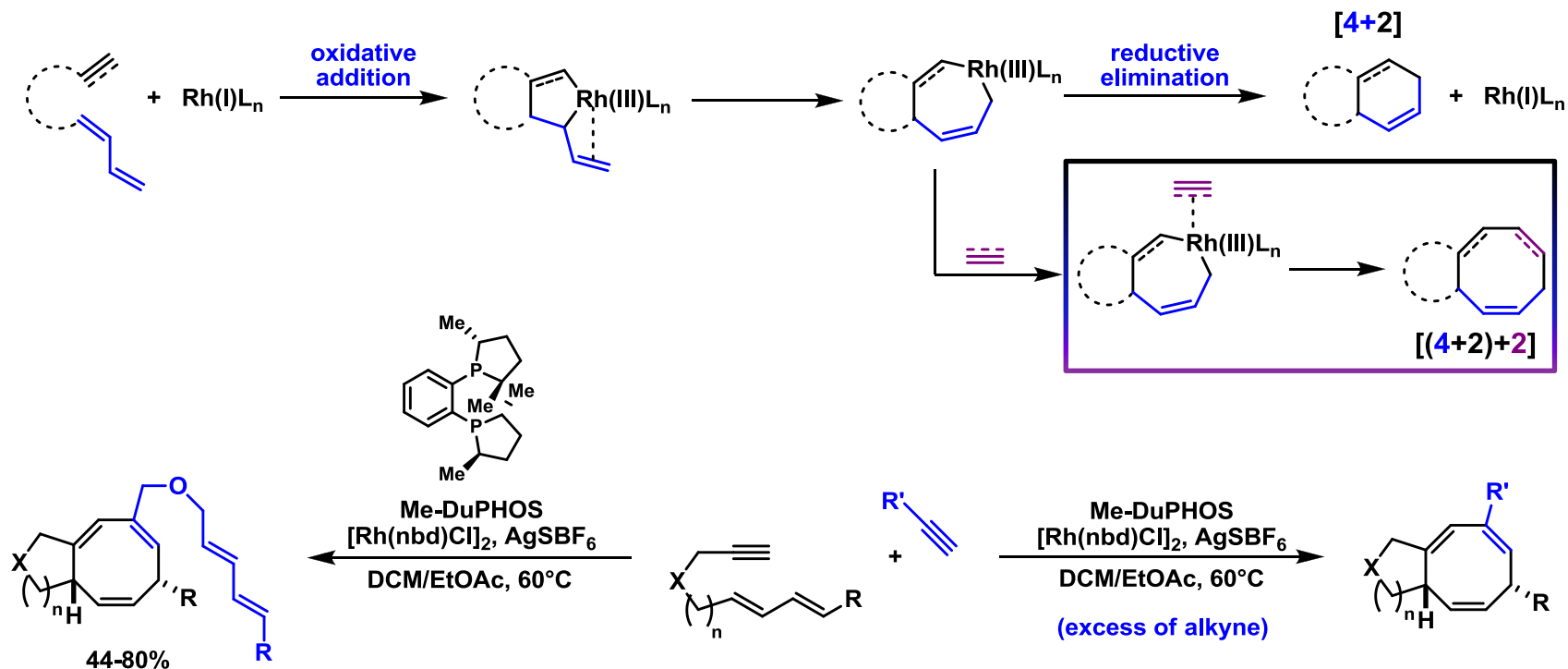
With monosubstituted alkynes: High (>10:1) to complete regioselectivity observed.

Intermolecular Reactivity: Effect of Substituents on VCP-C¹

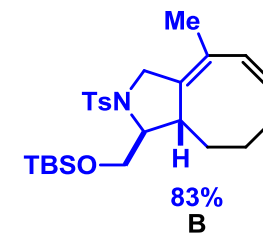
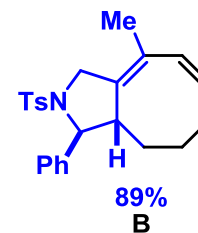
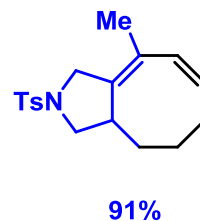
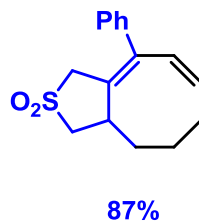
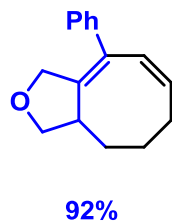
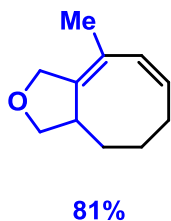
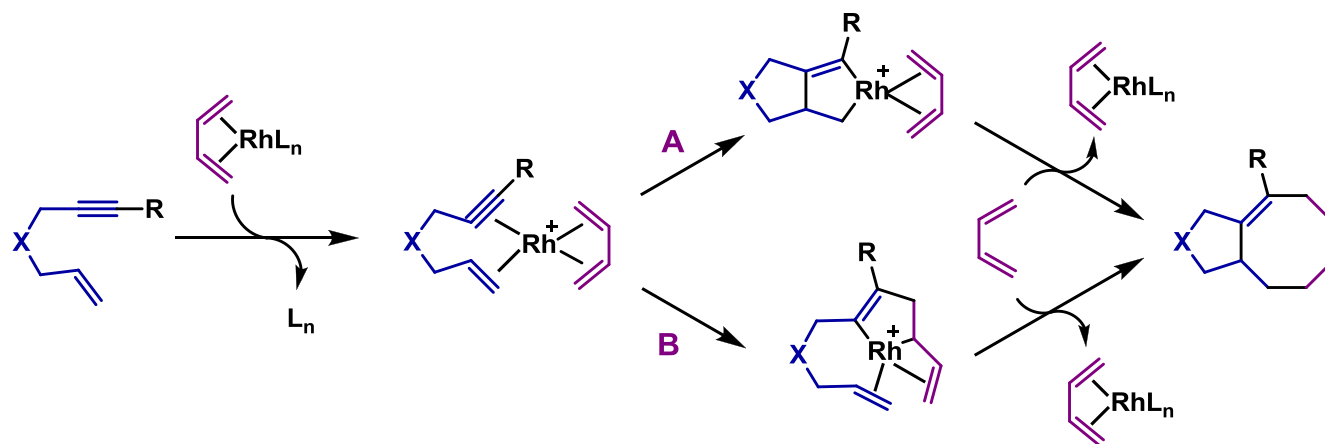
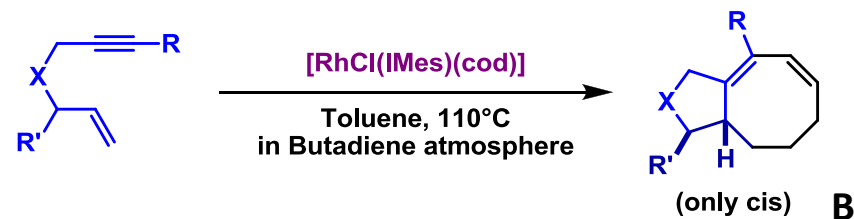
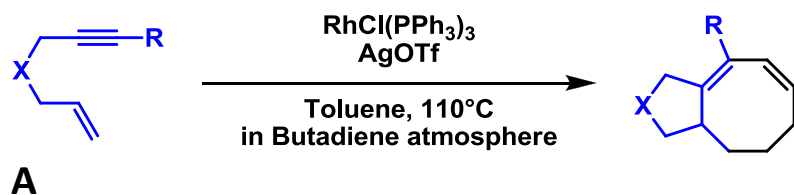
$$\Delta G^\ddagger = \Delta G_{po} + \Delta G(TS3-2) + \Delta G_{ex}$$

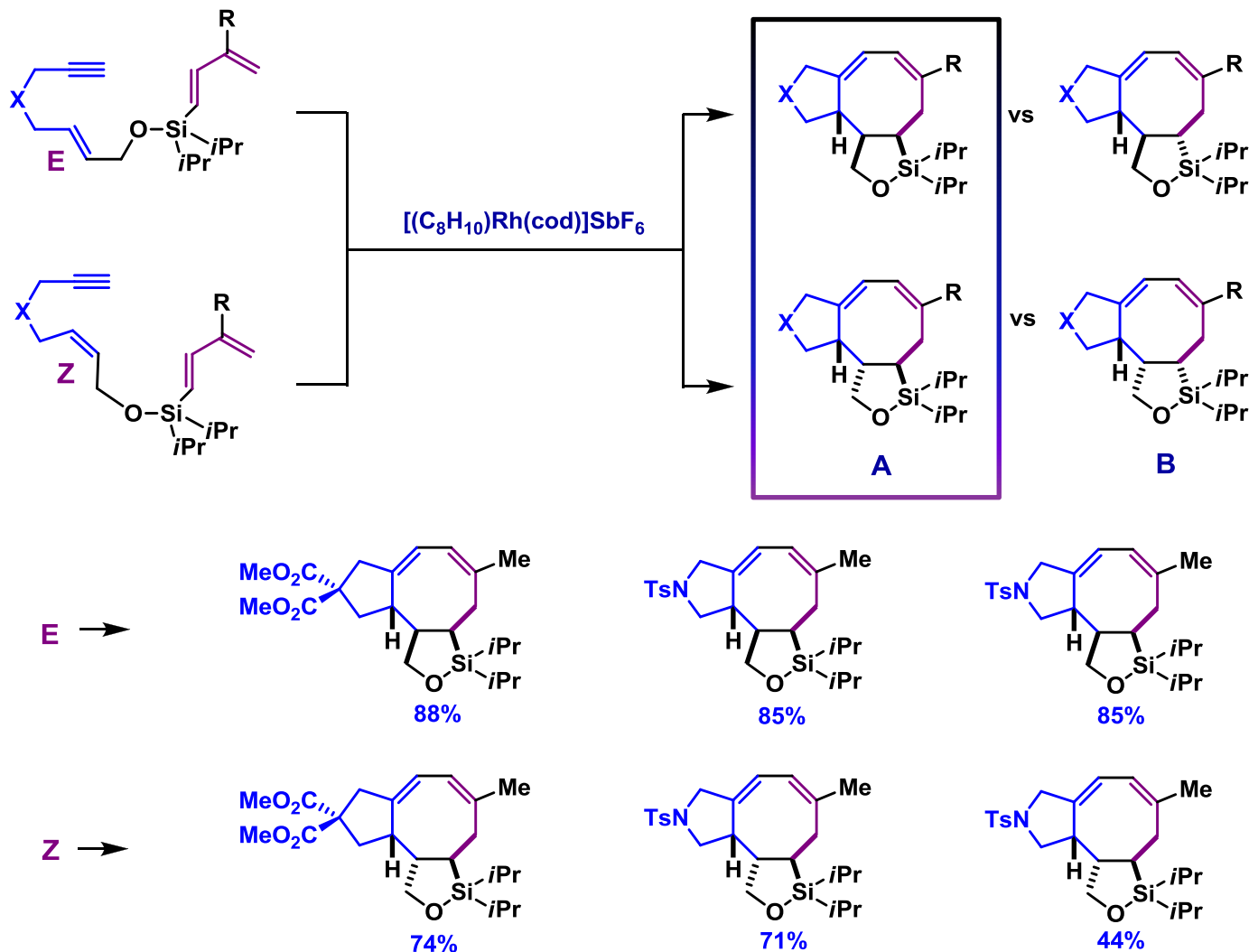
- Bulky R reduces ΔG_{po}
- R = OR' reduces $\Delta G(TS3-2)$
- ΔG_{ex} lowly influent



Rhodium-Catalyzed $[(4+2)+2]$ CycloadditionGilbertson: Alkyne Interception by $[4+2]$ 

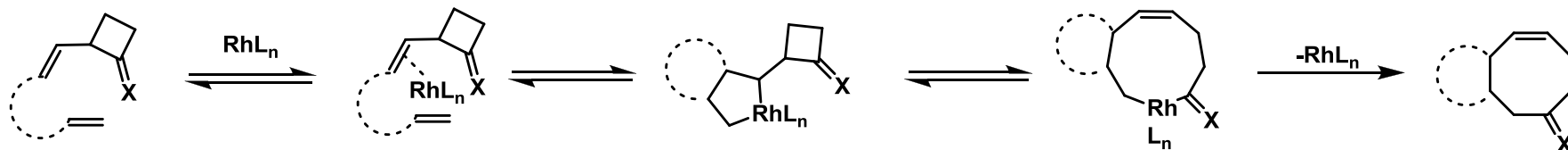
(mixture of regioisomers in both cases)

Rhodium-Catalyzed $[4+(2+2)]$ Cycloaddition**Evans:** Carbocyclization by interception of butadiene.

Rhodium-Catalyzed $[4+(2+2)]$ Cycloaddition**Evans:** Temporary Silicon-Tethered Rh(I) Catalyzed $[4+(2+2)]$ Carbocyclisation Reactions

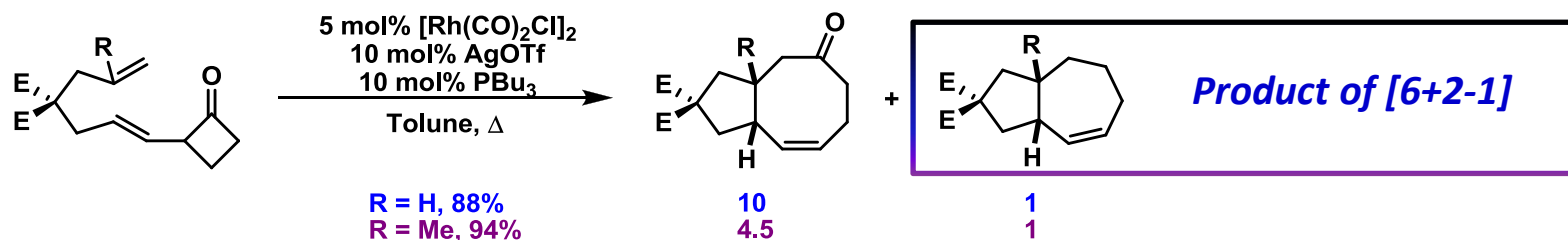
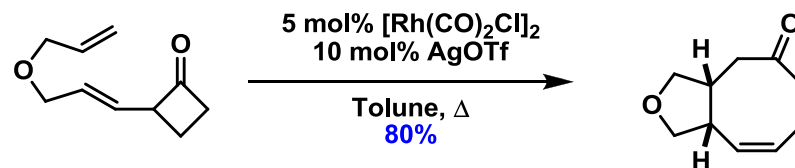
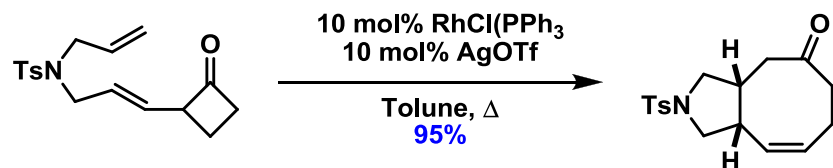
[6+2] Cycloadditions of Vinylcyclobutanones

Strain energies similar in simple Cyclopropane and Cyclobutane (27 kcal/mol vs 26 kcal/mol).

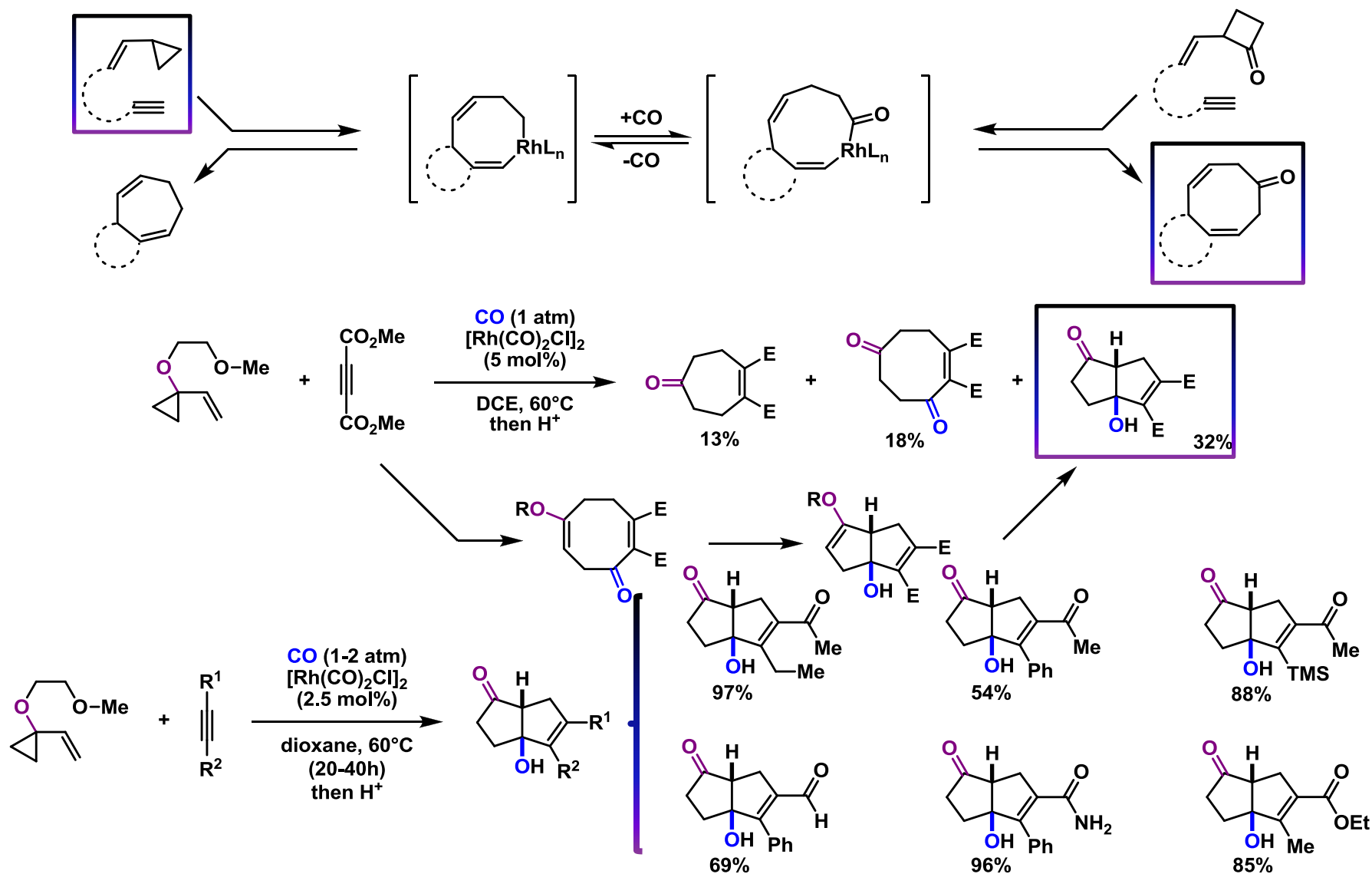


No reactivity observed with Vinylcyclobutanes

- VCPs reactivity tunable by substitution of the Cyclopropane subunit;
- Rh known to be able to efficiently insert Cyclobutenones (ring expansion) (Libeskind).*



Multicomponent [5+2+1] Cycloadditions of VCPs, alkynes and CO



Carbocyclization Reactions:

An effective alternative to « thermal » cycloaddition reaction with unactivated substrates.

Rh(I) Catalyzed [5+2] Cycloadditions:

- Easy access to 7-membered rings by reaction of VCPs with alkynes, alkenes, allenes;
- Intramolecular and Intermolecular versions optimized;
- Good FG tolerance;
- High Regio- and Diastereoselectivities;
- Key step in total syntheses.

Rh(I) Catalyzed Carbocyclization to generate 8-Membered Rings

Possible Future Developments:

- Further efforts towards Asymmetry?
- Hetero Cycloadditions;
- Applications to more complex systems and in total synthesis.