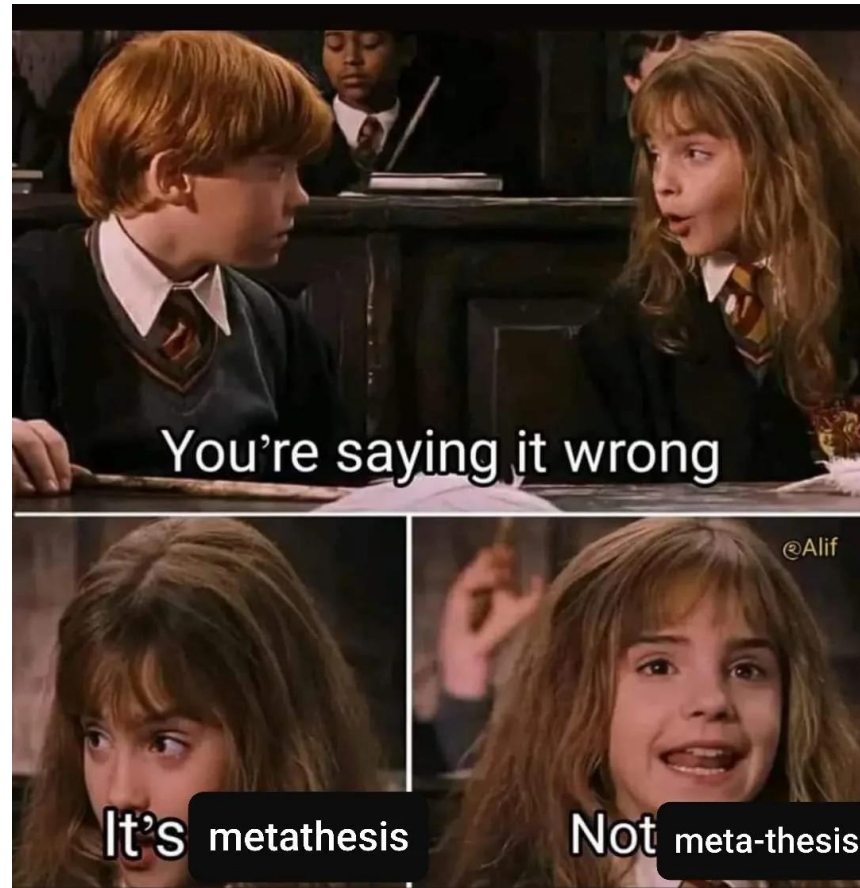
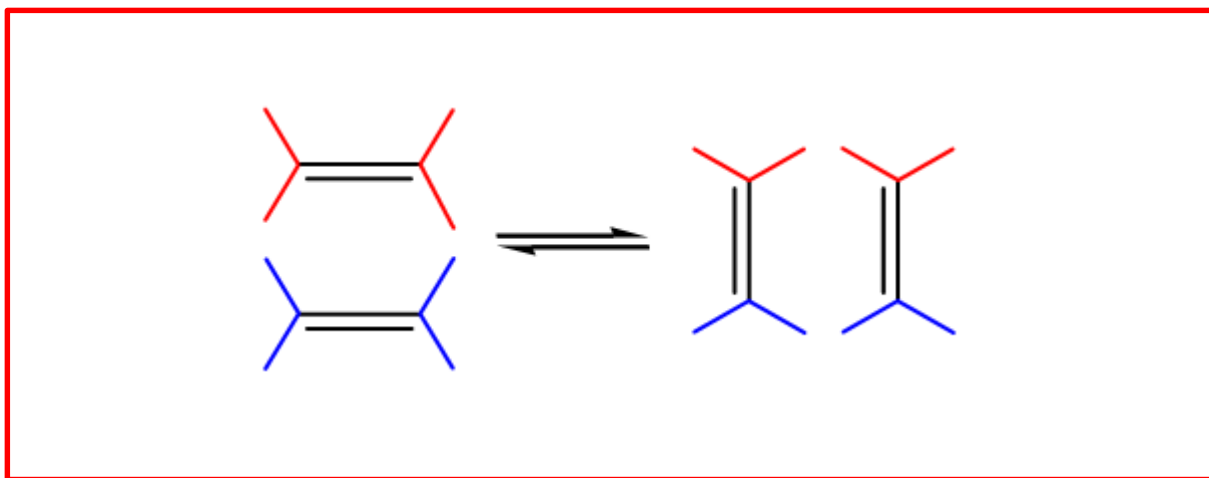


Olefin metathesis



Olefin metathesis



Olefin metathesis (or transalkylidenation) is an organic reaction that entails redistribution of alkylene fragments by the scission of carbon - carbon double bonds in alkenes.

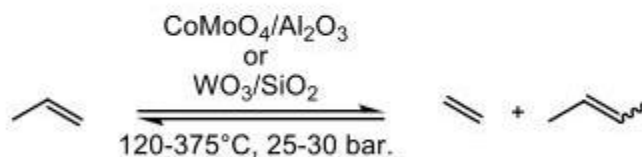
Table of contents

- History
- Electronic structures of catalysts
- Synthetic applications – ROMP and RCM
- Mechanism of a Typical Reaction
- Rational improvement of catalysts based on mechanistic understanding

I. History

Industry: The Origin of Metathesis

Phillips' Triolefin Process (1964)



- First plant in Montreal from 1966-1972

Mol, J. C. *J. Mol. Cat. A* **2004**, 213, 49.

Banks, R. L.; Bailey, G. C. *Ind. Eng. Chem., Prod. Res. Dev.* **1964**, 170.

Nobel Prize in Chemistry 2005



Yves Chauvin



Richard R. Schrock



Robert H. Grubbs

"for the development of the metathesis method in organic synthesis"

The contribution of Yves Chauvin



Yves Chauvin

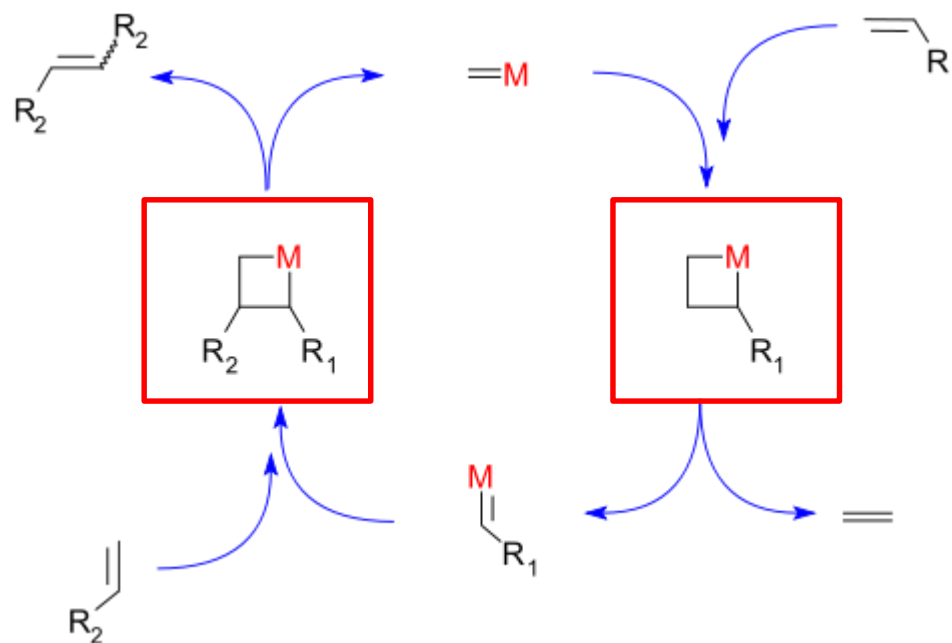
....the mechanism....

The contribution of Yves Chauvin



Yves Chauvin

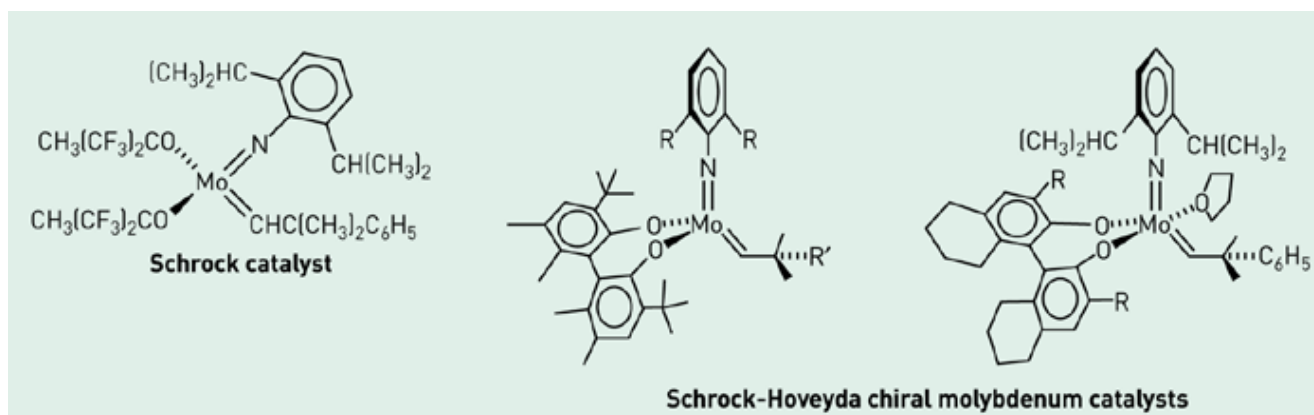
....the mechanism....



The contribution of Richard Schrock



Richard R. Schrock

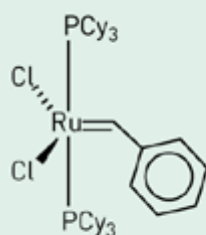


....Molybdenum metathesis catalysts (very active but air sensitive)....

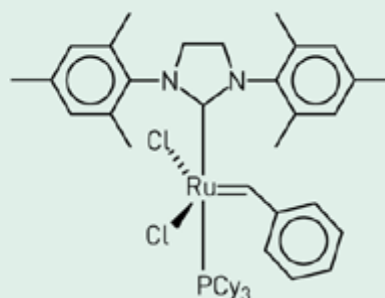
The contribution of Robert Grubbs



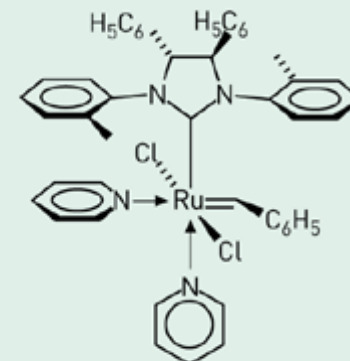
Robert H. Grubbs



Grubbs catalyst



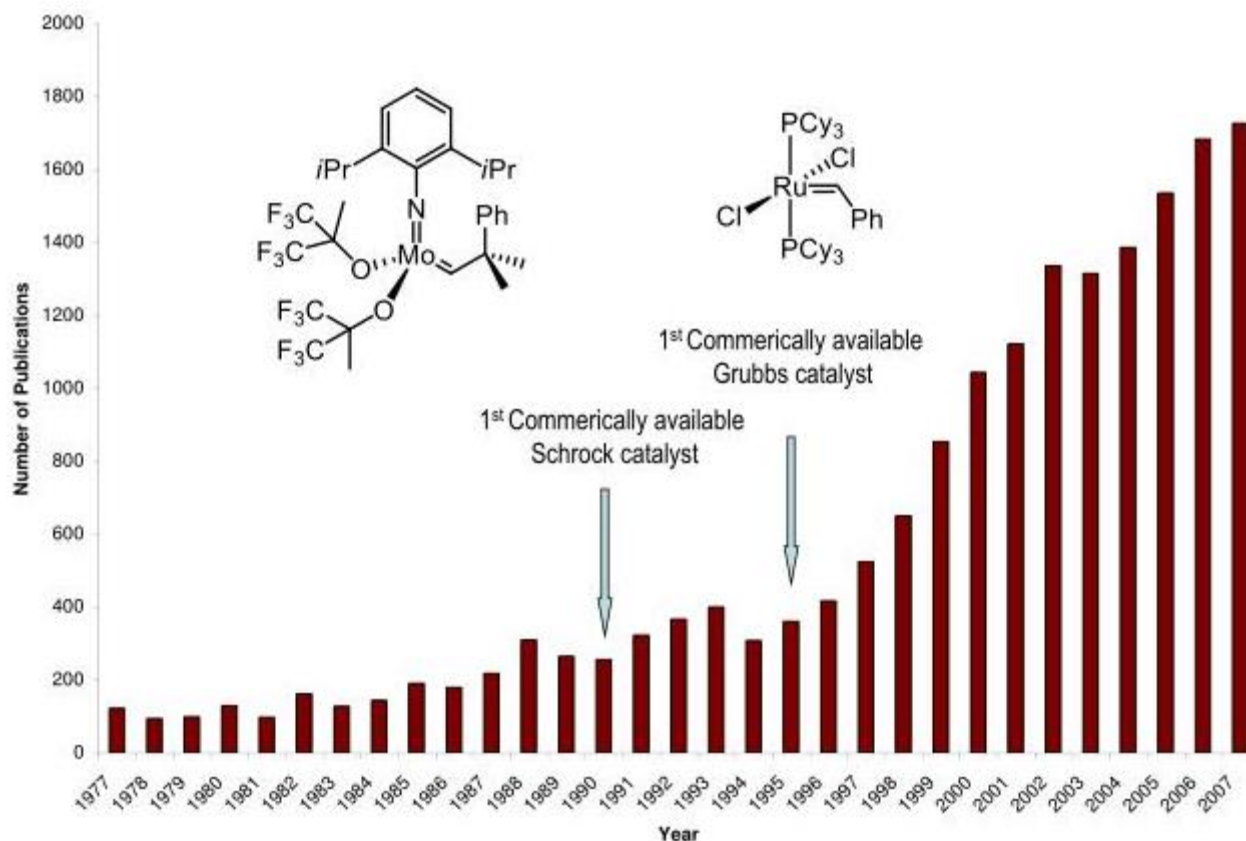
Grubbs second-generation catalyst



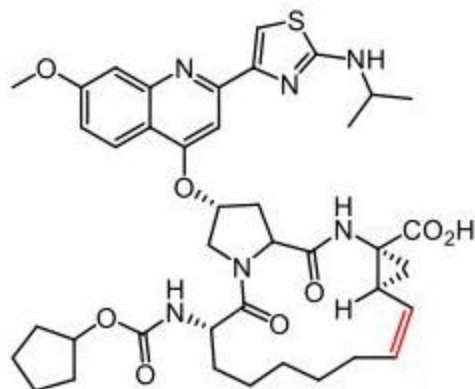
Grubbs chiral ruthenium catalyst

....Ruthenium metathesis catalysts (stable)....

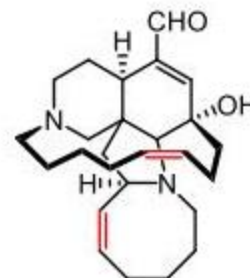
Metathesis Publications



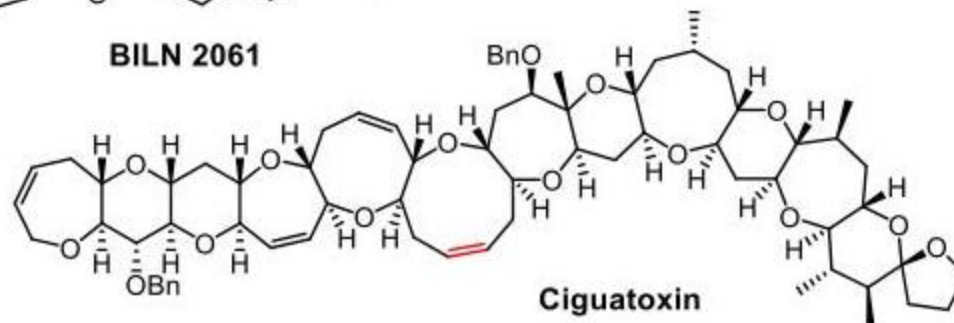
Metathesis in Synthesis



BILN 2061



Ircinal A



Ciguatoxin

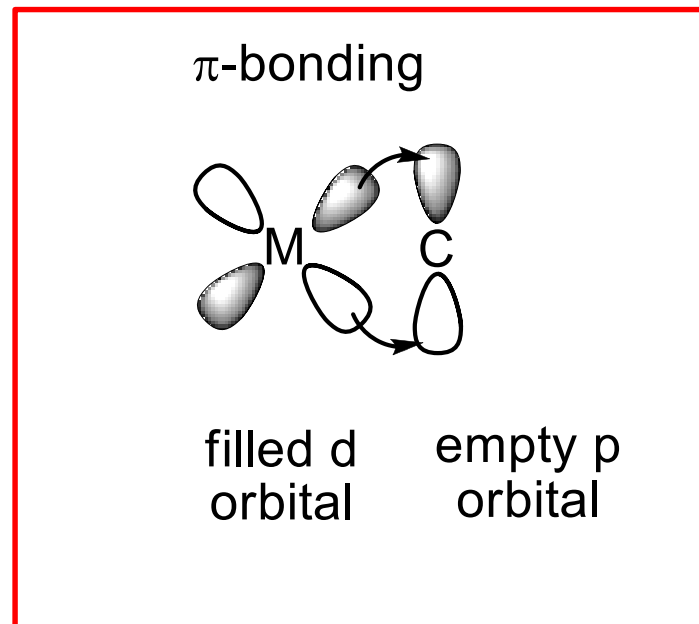
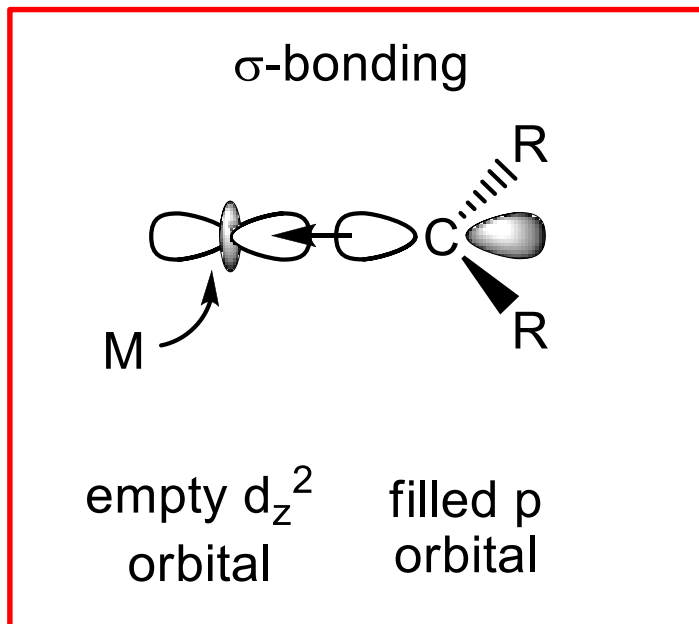
Hirama, M.; Oishi, T.; Uehara, H.; Inoue, M.; Maruyama, M.; Oguri, H.; Satake, M. *Science* **2001**, 294, 1904.
 Martin, S. F.; Humphrey, J. M.; Ali, A.; Hillier, M. C. *J. Am. Chem. Soc.* **1999**, 121, 866.
 Faucher, A.-M. *Org. Lett.* **2004**, 6, 2901.

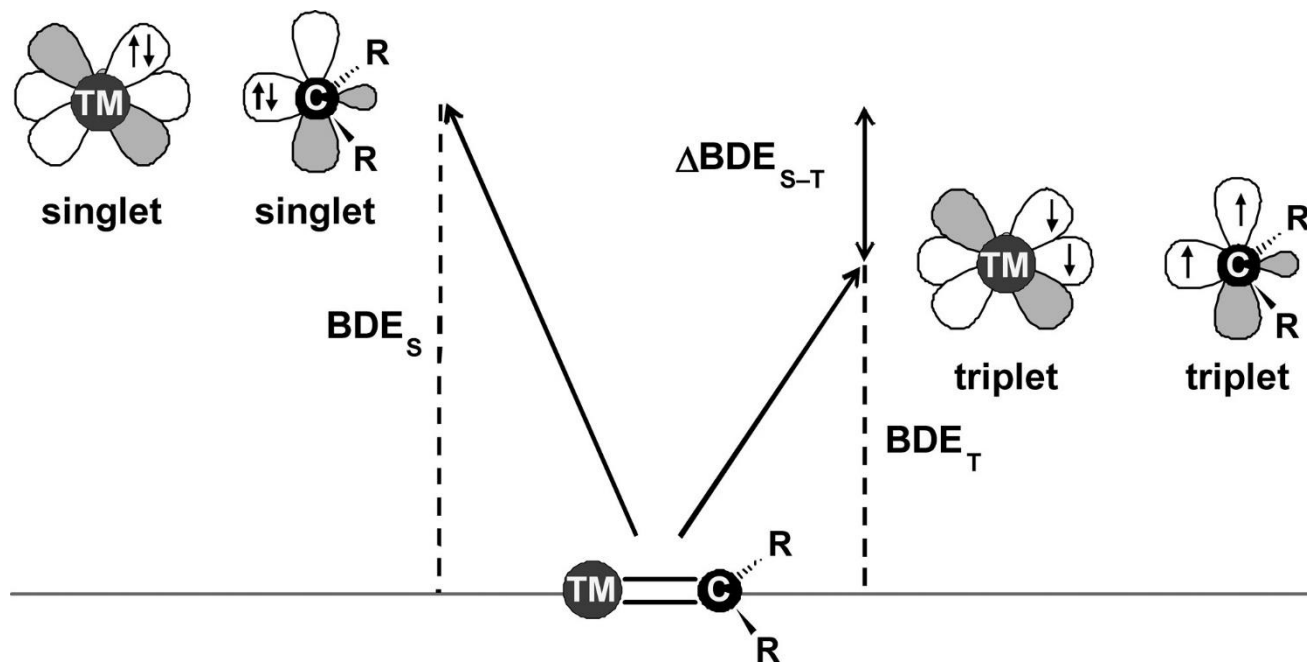
II. Electronic structure of catalysts

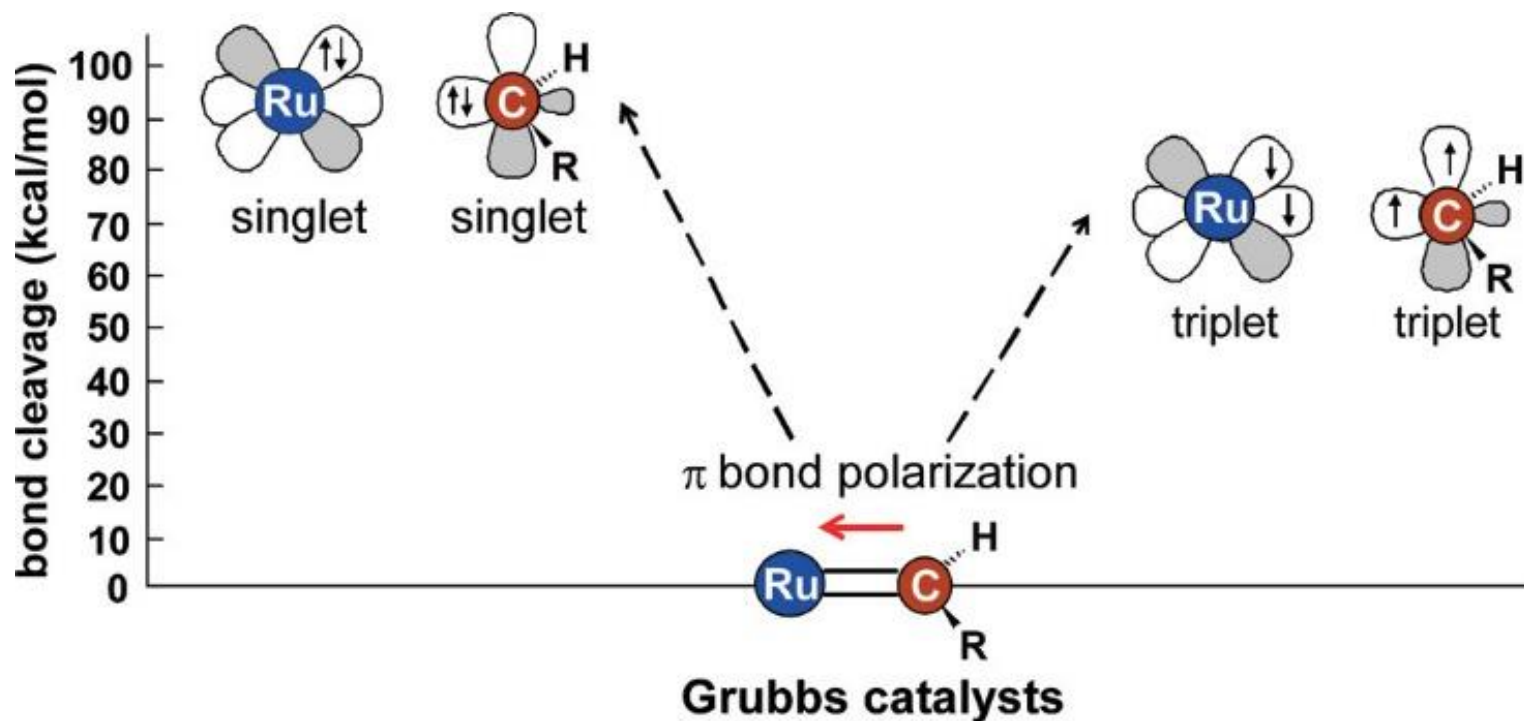
Carbenes

Both the Schrock and Grubbs catalysts contain metal-carbene ($M=C$) bonds.

Bonding in carbenes:



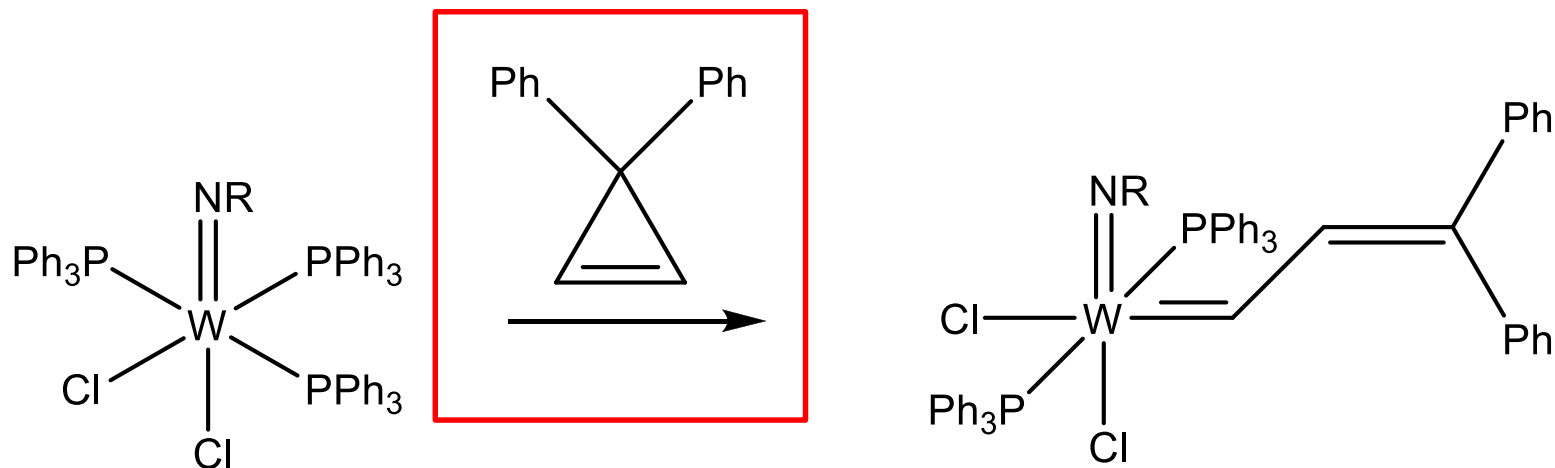




Here Ru is more π -electronegative than C, making C electrophilic
 If it is Mo, then Mo is less π -electronegative than C, making C nucleophilic

Synthesis of carbene complexes

3,3-diphenylcyclopropene is a useful reagent for the synthesis of metal-carbene complexes.

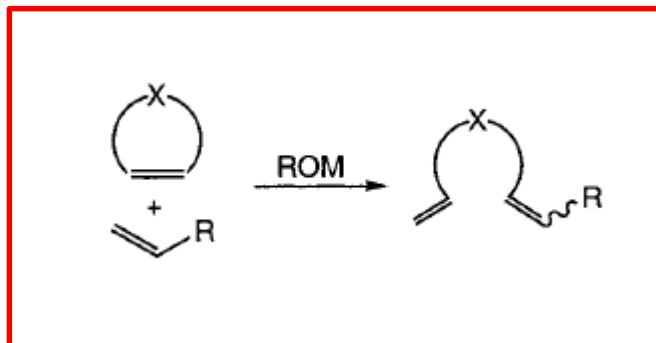


Exercise: Draw a mechanism for this reaction

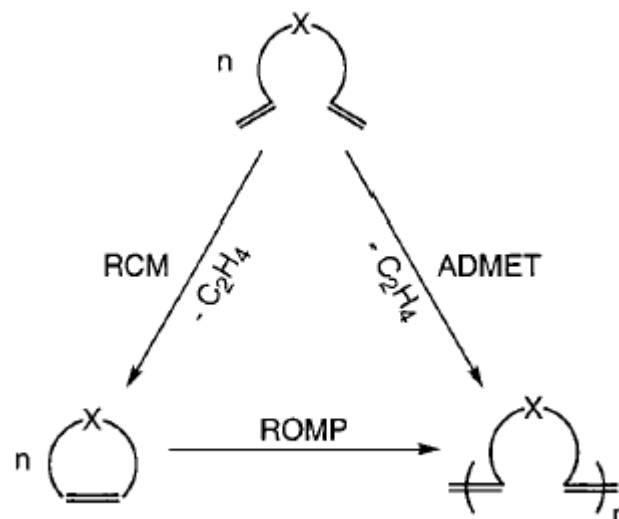
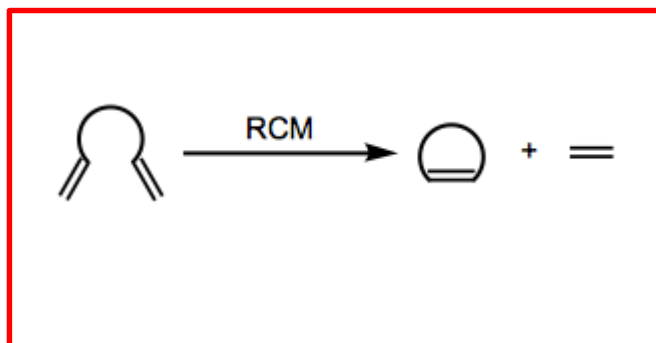
III. Synthetic applications – ROMP and RCM

A Versatile Method for Synthetic Chemistry

Ring opening metathesis



Ring closing metathesis

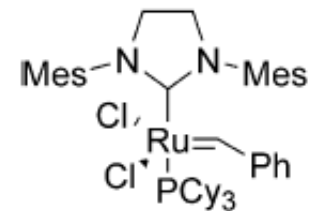
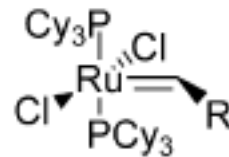
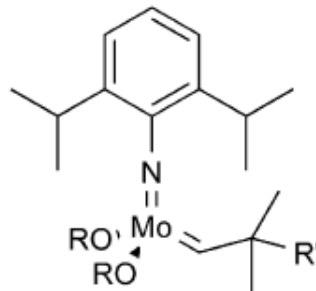
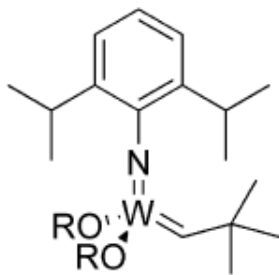


Also ring opening polymerisation methathesis (ROMP) and acyclic diene metathesis polymerisation (ADMET)

Ring Opening Metathesis Polymerization (ROMP)

- Mechanism:

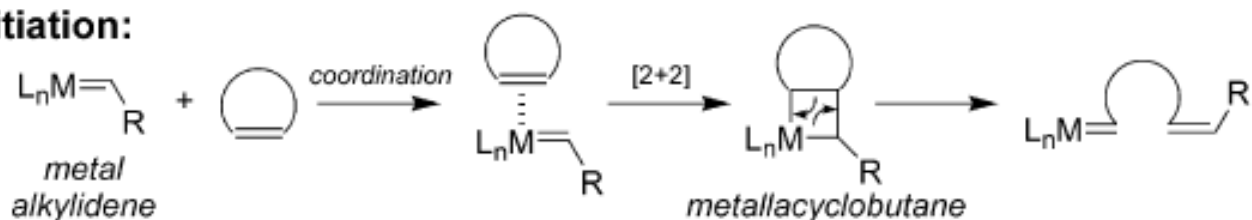
- Catalysts: titanium, tantalum, tungsten, molybdenum, ruthenium



Ring Opening Metathesis Polymerization (ROMP)

- Mechanism:

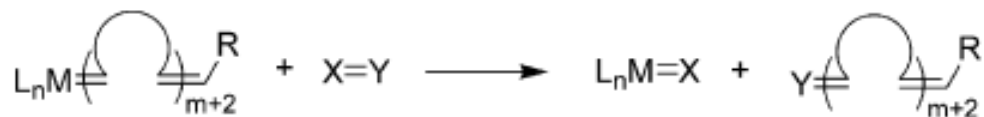
Initiation:



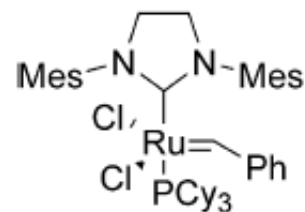
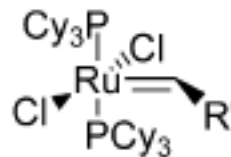
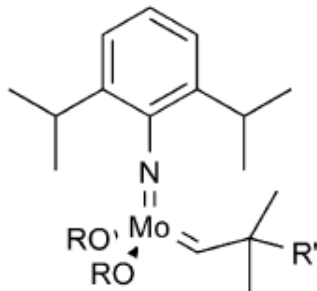
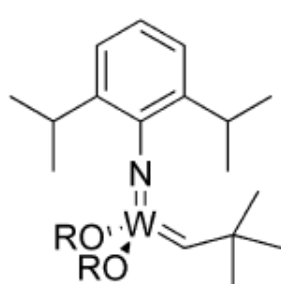
Propagation:



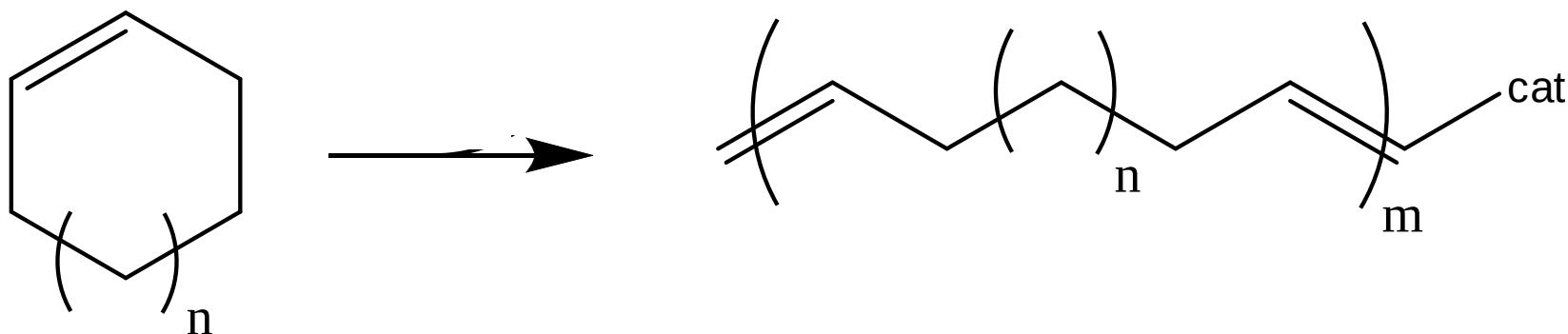
Termination:



- Catalysts: titanium, tantalum, tungsten, molybdenum, ruthenium



Ring Opening Metathesis Polymerisation



A cyclic material forms a polymer.

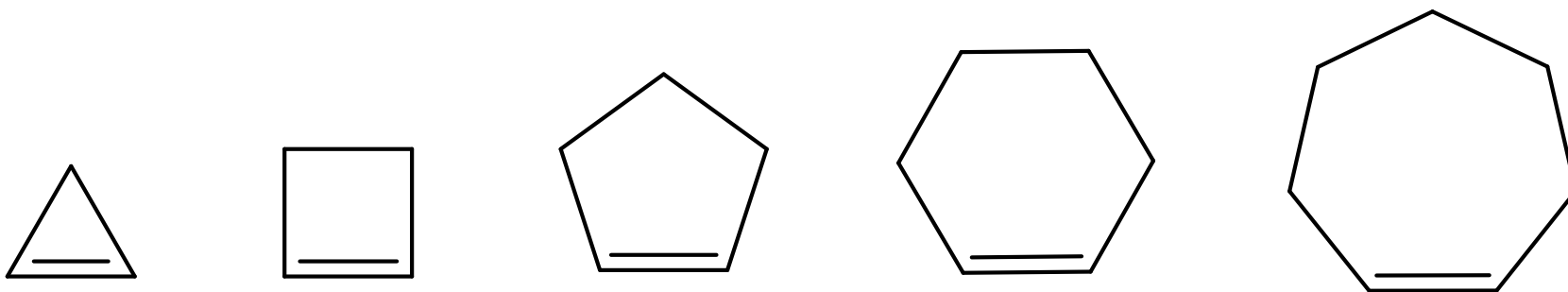
ROMP is classified as a living polymerization – that is it cannot be terminated, because there is always a double bond at the end of the polymer - unless it is purposely capped. Even if the catalyst is destroyed, if more catalyst and substrate is added, the polymerization continues.

Affords near-monodispersed polymers, i.e. polymers are all same size. 16

Ring Opening Metathesis Polymerisation

ROMP gives high yielding products with low polydispersity index ranges.

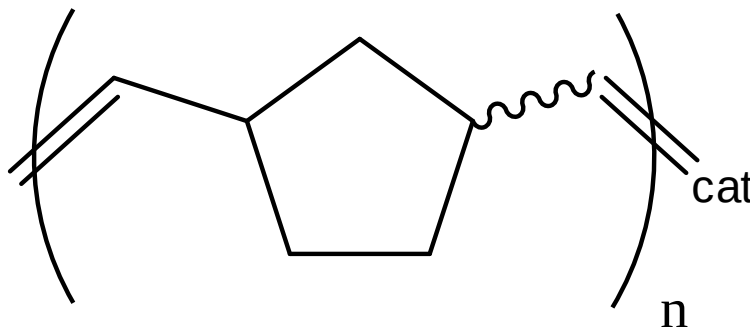
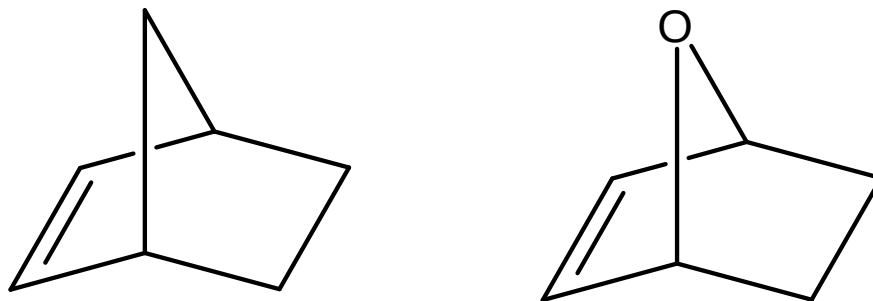
Polymerisation is very rapid due to the large driving force of the reaction from releasing ring strain, (the smaller ring, the higher strain, the more rapid the reaction), i.e. cycloheptene is very difficult to polymerize.



Decreasing activity

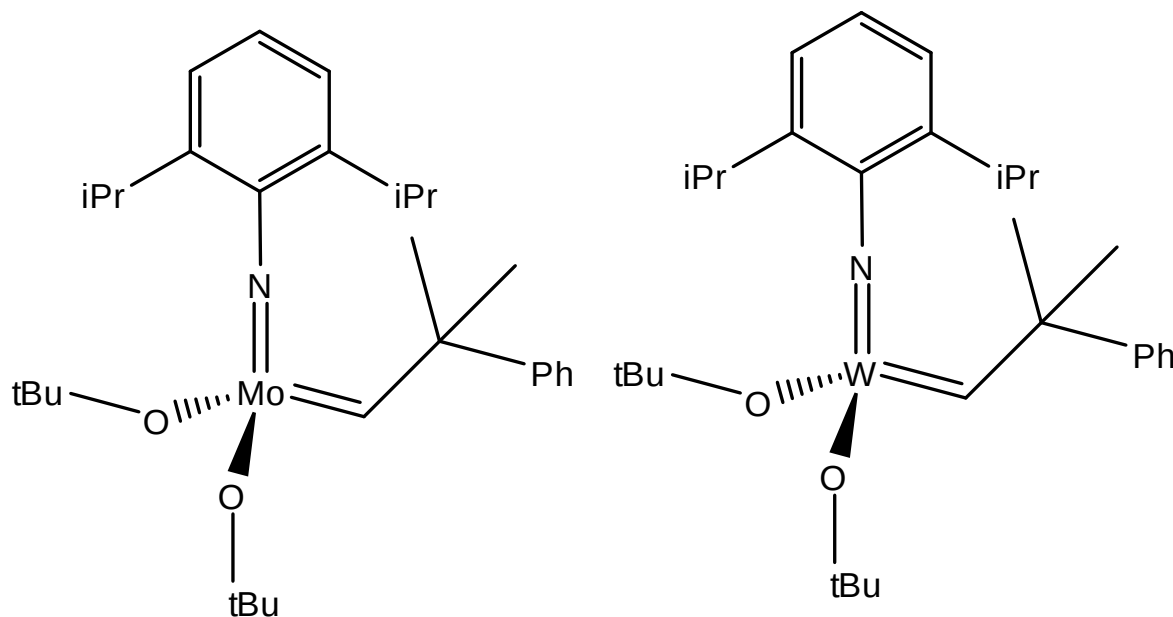
Ring Opening Metathesis Polymerisation

Bicyclic molecules with a lot of built-in ring strain such as norbornadiene and oxo-norbornadiene are transformed into useful polymers with ROMP.



Ring Opening Metathesis Polymerisation

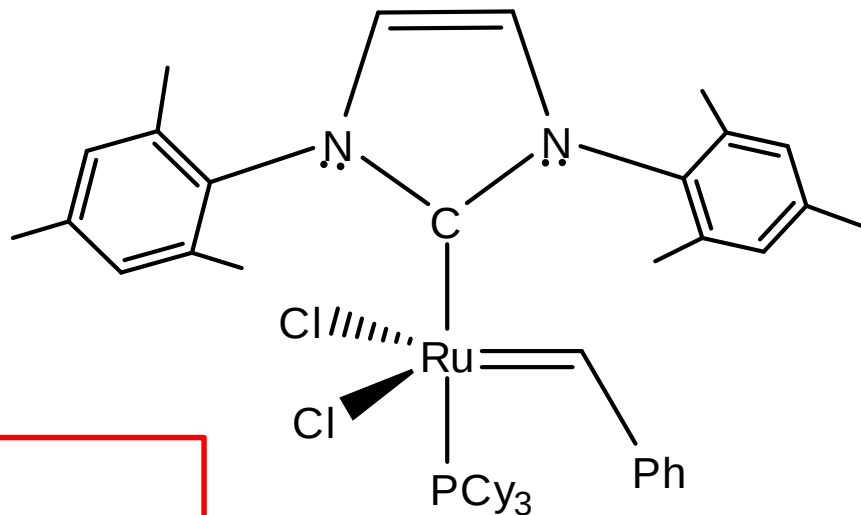
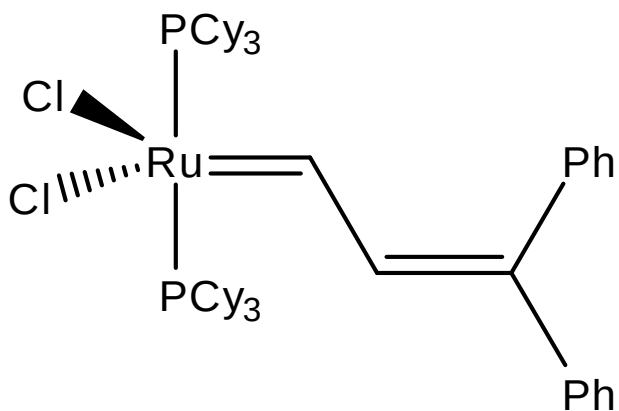
The W or Mo imido-complexes polymerize norbornadiene at low temperature. For example, the W-complex polymerizes norbornadiene in range of -20 C to -40 C, giving Mw of 400,000 with PDI ratio of 1.7.



With Mo-complexes, the polymerization of strained esters, imides and ketals are possible.

Ring Opening Metathesis Polymerisation

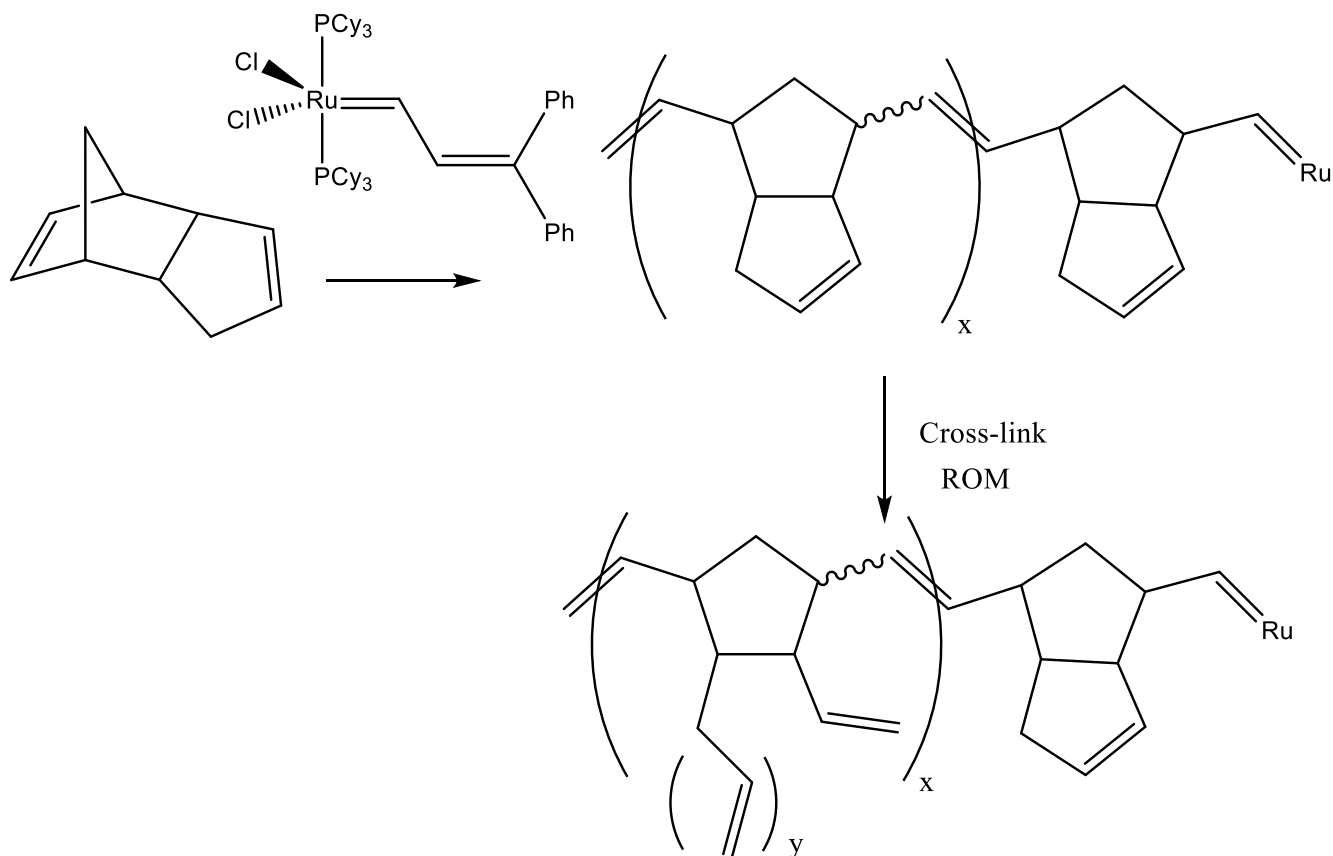
In comparison to Mo and W catalysts, Ru catalysts are much slower.



BUT THEY ARE MUCH MORE STABLE

Ring Opening Metathesis Polymerisation

Synthesis of crossed linked dicyclopentadiene.



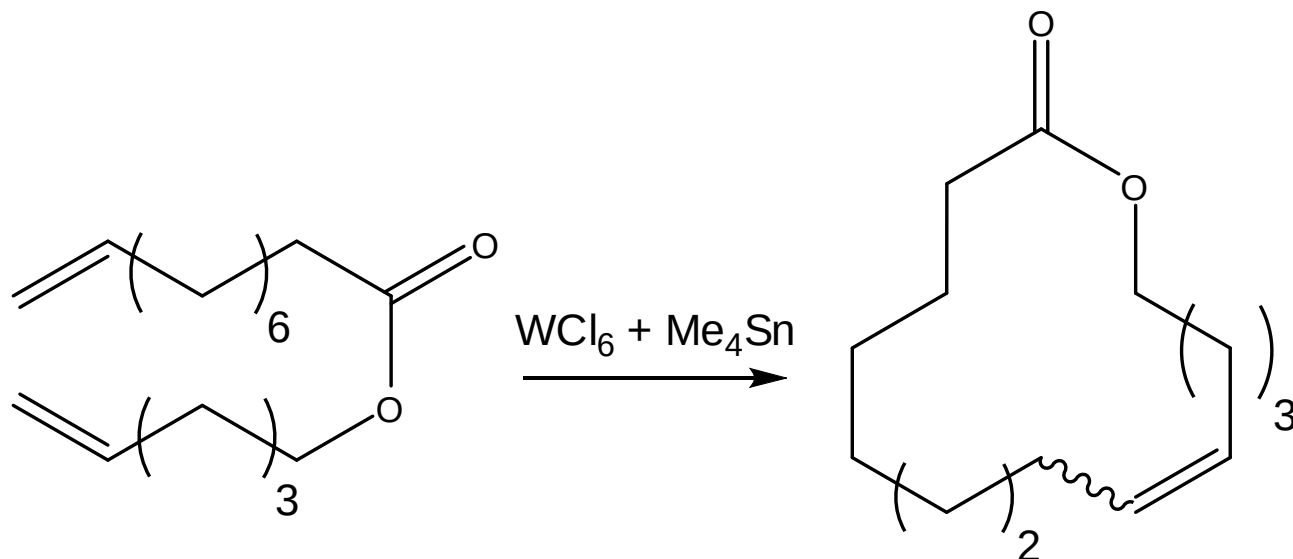
Ring Opening Metathesis Polymerisation

This additional cross-linking provides a “3rd dimension” polymeric networking. The result is a very strong thermo set material which can stop bullets!



Ring Closing Metathesis (RCM)

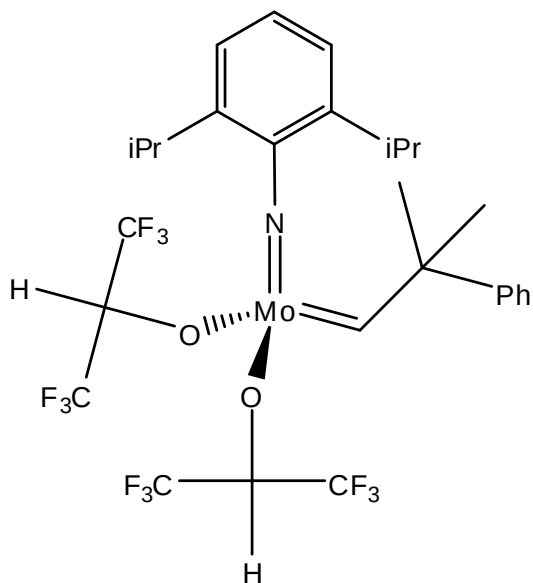
Early catalysts were based on heterogeneous mixtures of “un-defined” complexes.



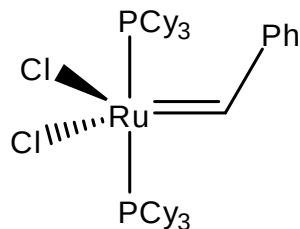
The cyclic ester is produced in 60% yield

Ring Closing Metathesis (RCM)

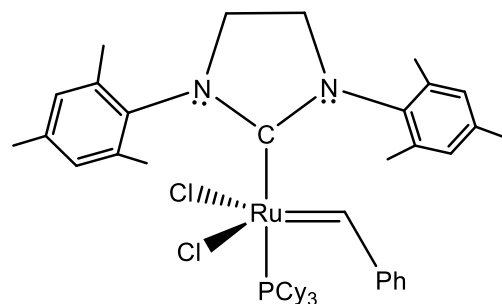
It is advantageous to use well-defined homogenous catalyses. The three most important ones are listed below:



Schrock



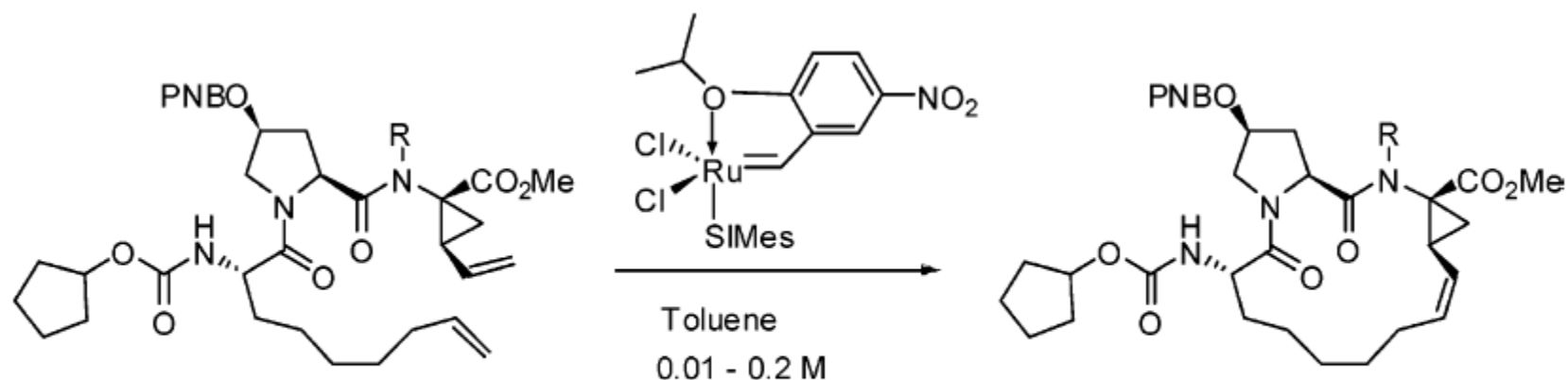
**Grubbs
(1st generation)**



**Grubbs
(2nd generation)**

RCM on an industrial scale

An Efficient Synthesis of HCV Protease Inhibitor BILN 2061:



PNB = p-nitrobenzoyl

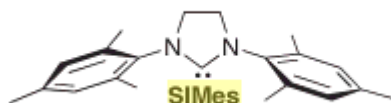
4a, R = H

4b, R = Boc

8a, 82% yield at 0.01 M

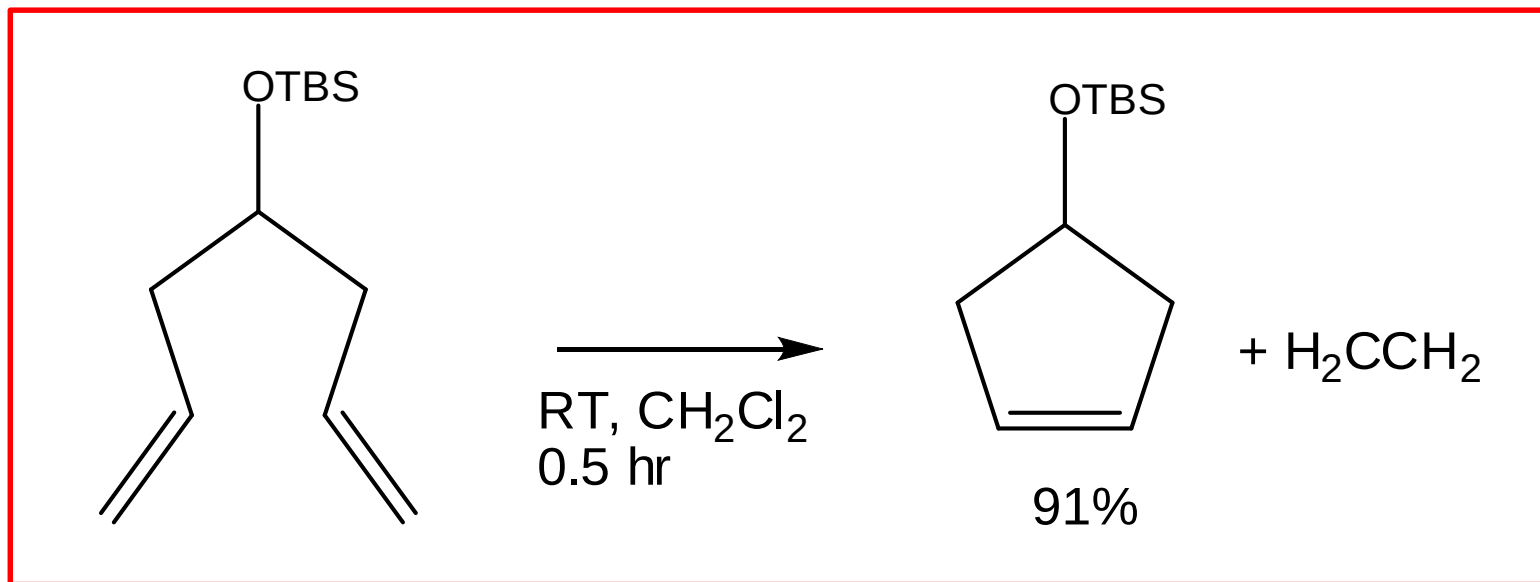
vs

8b, 93% yield at 0.2 M

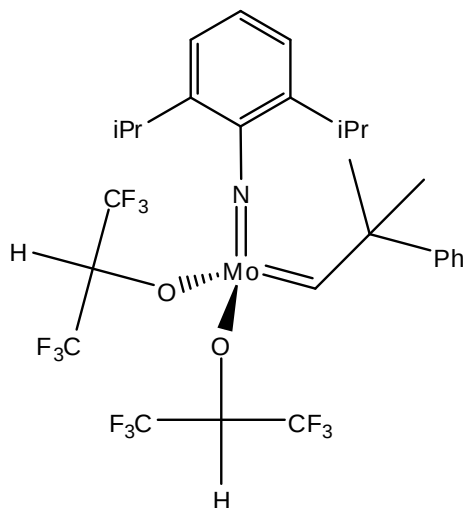


Ring Closing Metathesis (RCM)

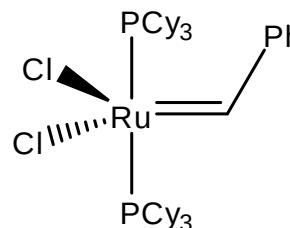
The standard RCM reaction involves two double bonds joining to form one double bond.



Comparison of Schrock and Grubbs I catalysts in RCM



Schrock Catalyst



Grubbs I Catalyst

Metals : Mo and W

+ High activity

- Sensitive to air and H₂O
- Intolerant to polar functionalities

Metal : Ru

+ Functional group tolerant

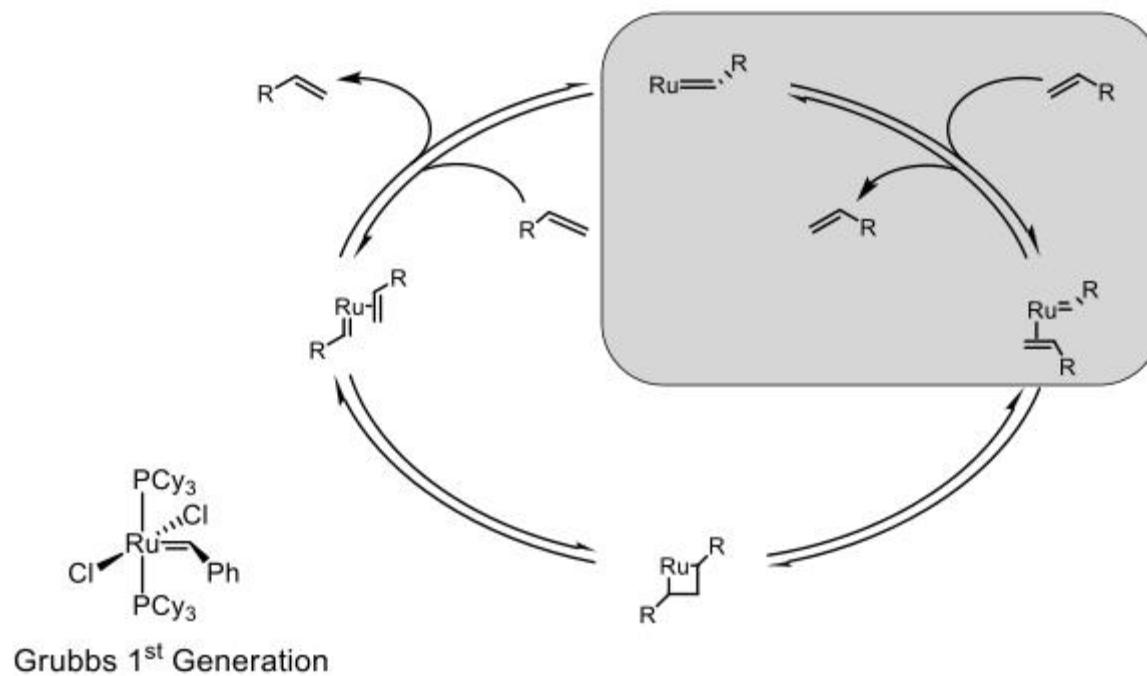
- Lower activity and longevity

IV. Mechanism of a Typical Reaction

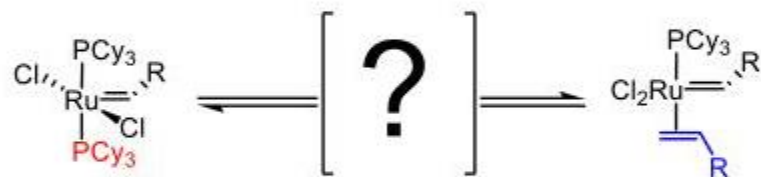
Relative Reactivity of Metals

Titanium	Tungsten	Molybdenum	Ruthenium	
Acids	Acids	Acids	Olefins	 Increasing Reactivity
Alcohols, Water	Alcohols, Water	Alcohols, Water	Acids	
Aldehydes	Aldehydes	Aldehydes	Alcohols, Water	
Ketones	Ketones	Olefins	Aldehydes	
Esters, Amides	Olefins	Ketones	Ketones	
Olefins	Esters, Amides	Esters, Amides	Esters, Amides	

The Catalytic Cycle



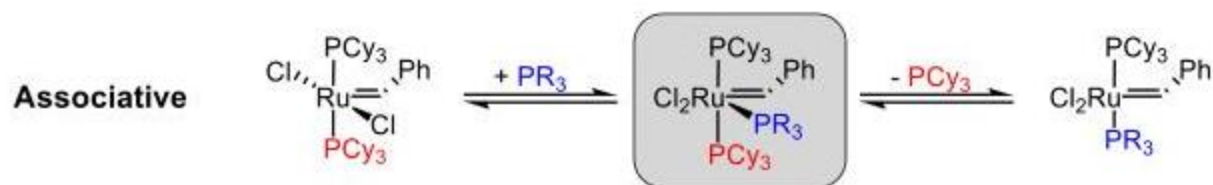
Mechanism of Olefin Coordination



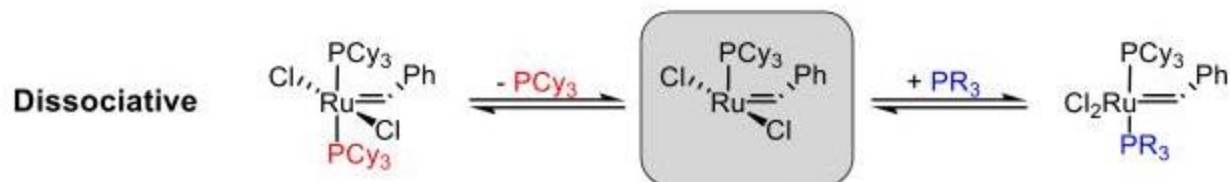
Dias, E. L.; Nguyen, S. T.; Grubbs, R. H. *J. Am. Chem. Soc.* **1997**, 119, 3887.

Phosphine Dissociation Experiments

How do we differentiate between the two pathways?



What do we expect

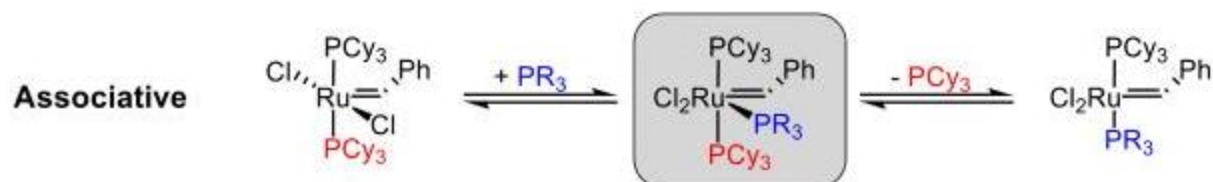


What do we expect

Sanford, M. S.; Ulman, M.; Grubbs, R. H. *J. Am. Chem. Soc.* **2001**, 123, 749.

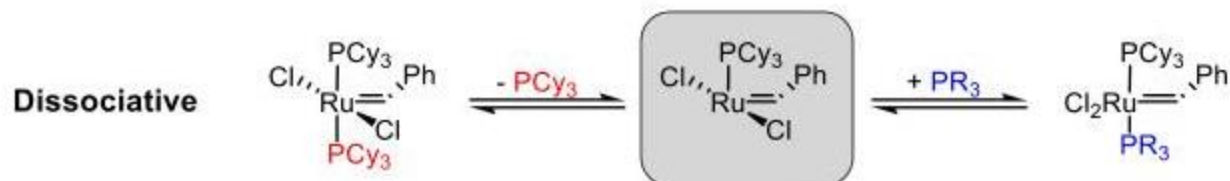
Phosphine Dissociation Experiments

How do we differentiate between the two pathways?



More ordered system, decrease in entropy: $\Delta S^\ddagger$ should be negative in sign

"S_N2 like": rate of dissociation is dependent on [PR₃]

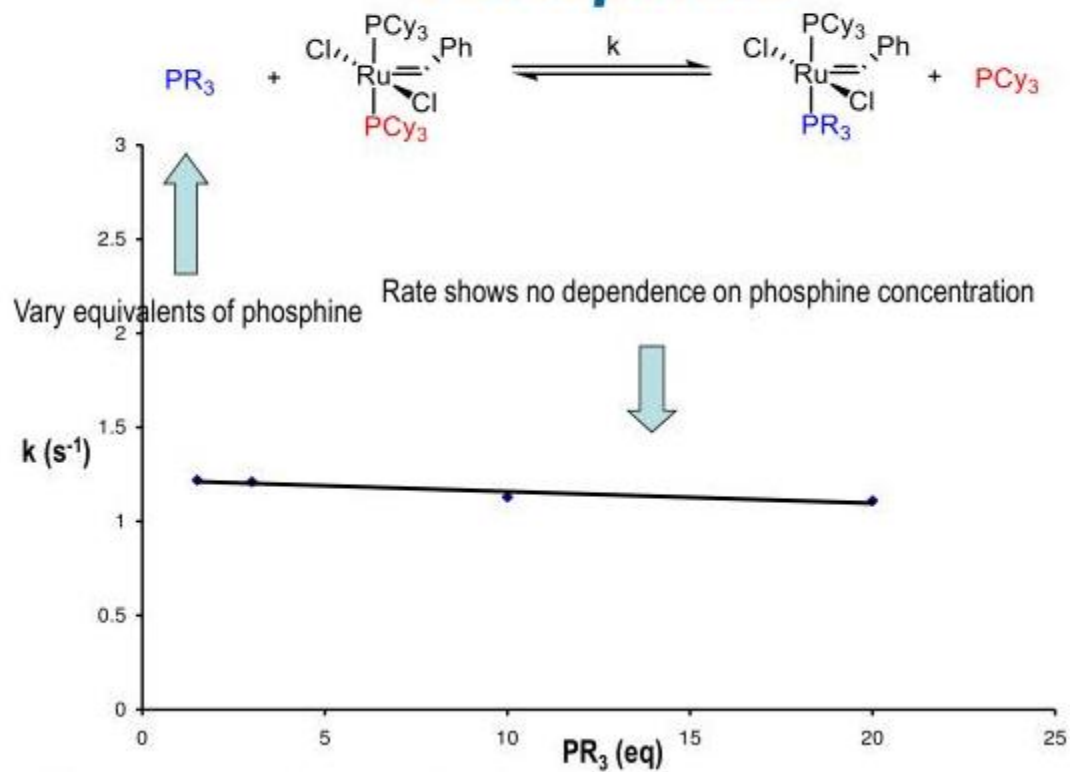


Less ordered system, increase in entropy: $\Delta S^\ddagger$ should be positive in sign

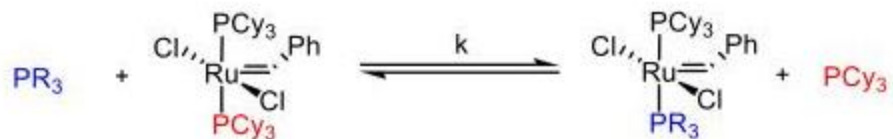
"S_N1 like": rate of dissociation is independent of [PR₃]

Sanford, M. S.; Ulman, M.; Grubbs, R. H. *J. Am. Chem. Soc.* **2001**, 123, 749.

Determining Dependence on Phosphine



Determining Entropy of Activation



Experimental data gives rate of reaction, k

How do we find ΔS from k ?

Use the Eyring equation

The Eyring Equation

$$k = \frac{k_{\text{B}}T}{h} e^{-\frac{\Delta G}{RT}}$$

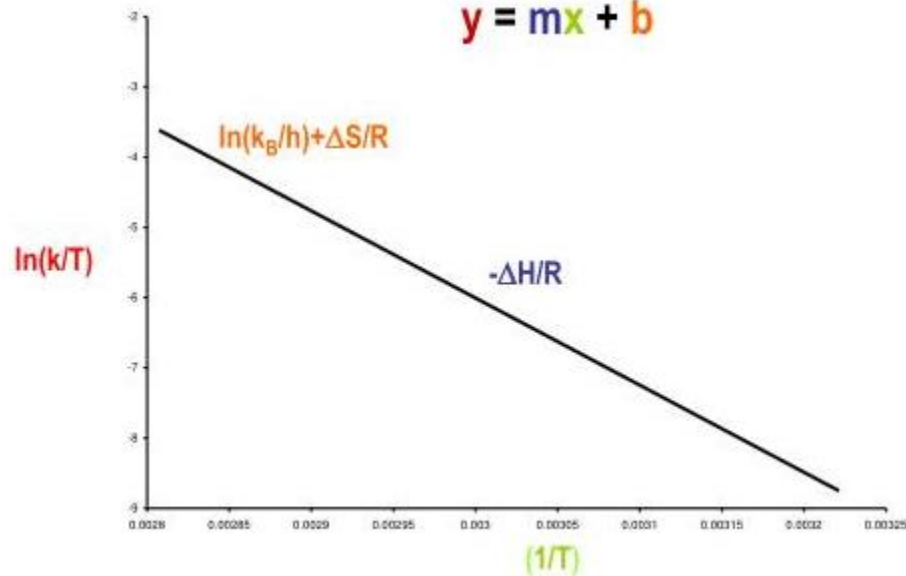
k = reaction rate constant
 k_{B} = Boltzmann's constant
 h = Planck's constant
 T = temperature
 ΔG = Gibbs energy of activation
 R = Gas constant

Atwood, J. D. *Inorganic and Organometallic Reaction Mechanisms*; VCH: New York, 1997, p 13.

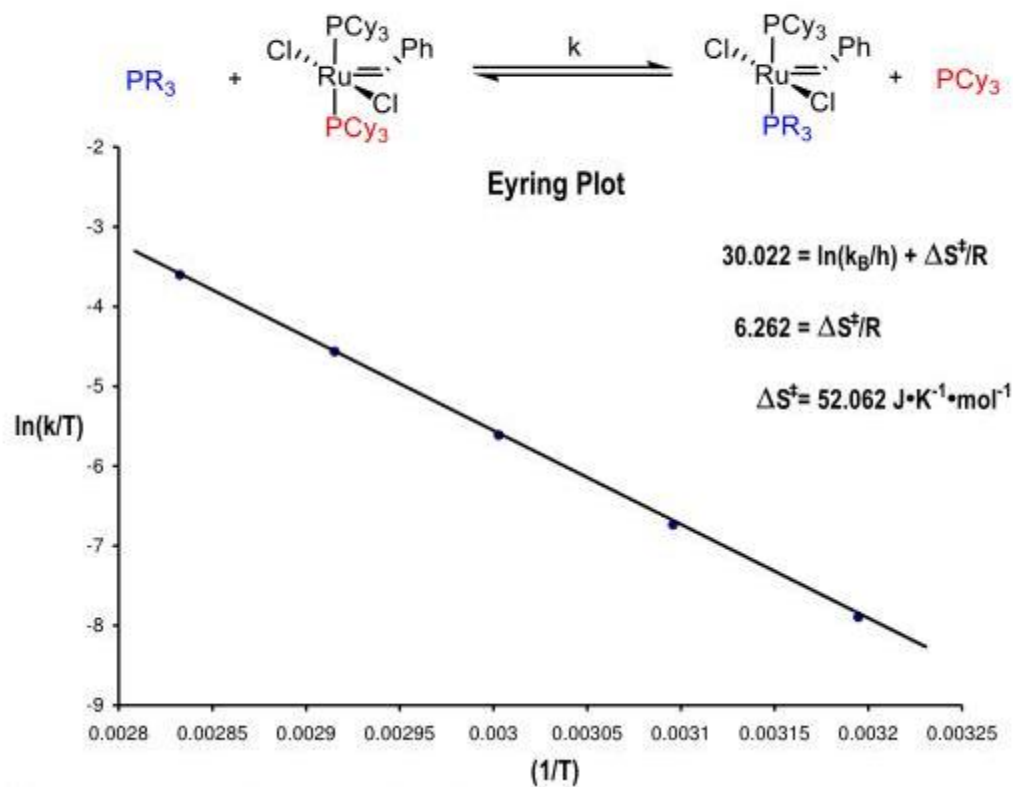
The Eyring Equation

$$\ln \frac{k}{T} = \frac{-\Delta H}{R} \cdot \frac{1}{T} + \ln \frac{k_B}{h} + \frac{\Delta S}{R}$$

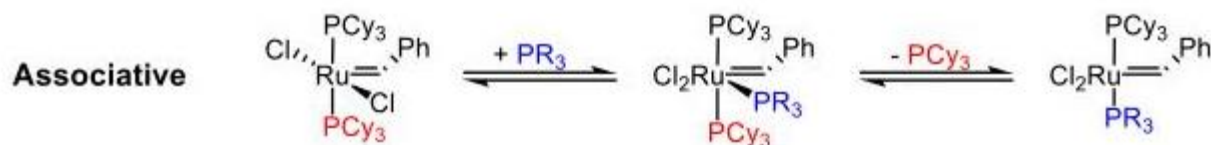
$$y = mx + b$$



Determining Entropy of Activation

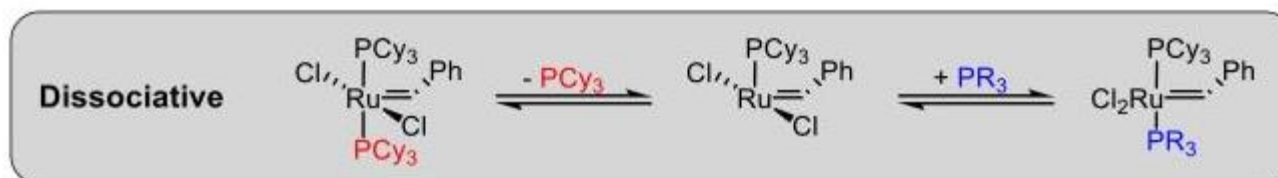


Determining Entropy of Activation



More ordered system, decrease in entropy: $\Delta S^\ddagger$ should be negative in sign

"S_N2 like": rate of dissociation is dependent on [PR₃]

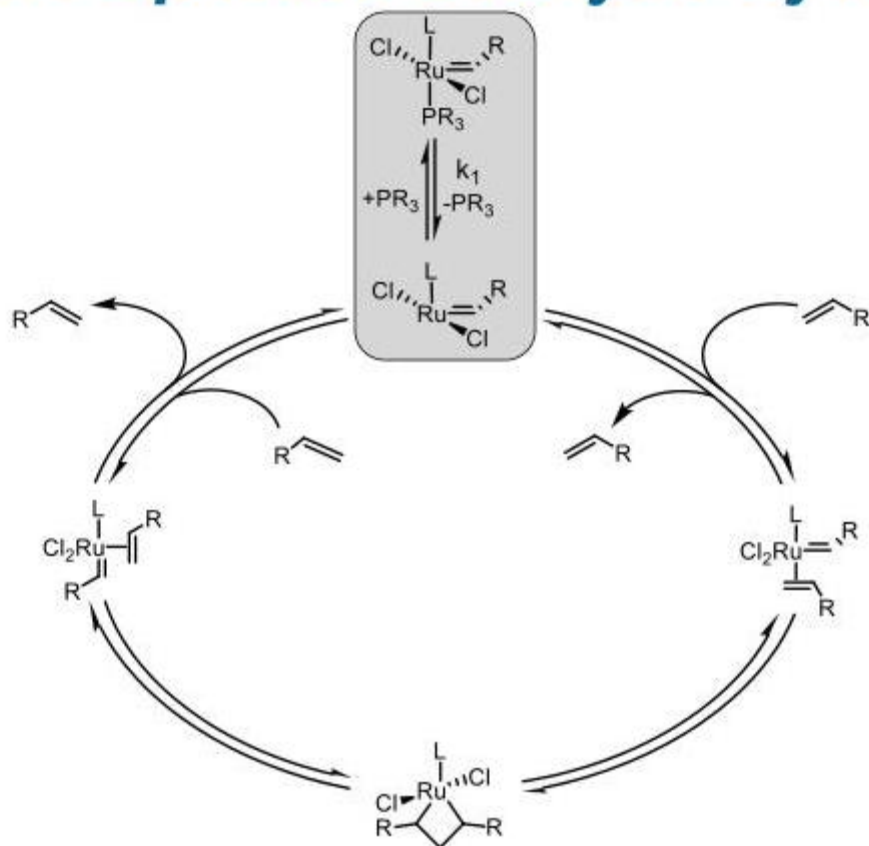


Less ordered system, increase in entropy: $\Delta S^\ddagger$ should be positive in sign

"S_N1 like": rate of dissociation is independent of [PR₃]

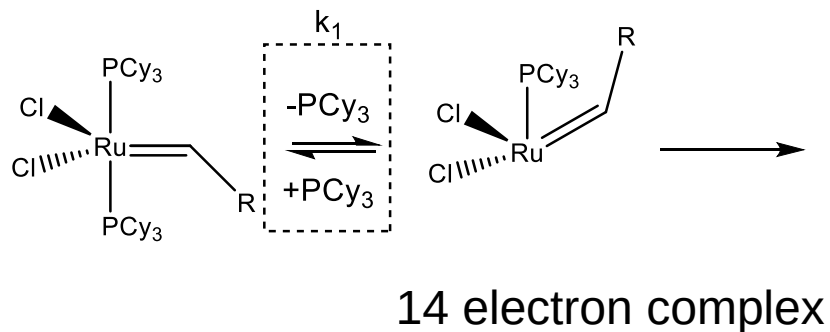
What other simple experiments you can propose to probe if it is dissociative?

The Updated Catalytic Cycle

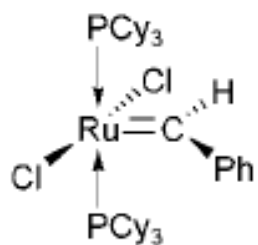


Ring Closing Metathesis (RCM)

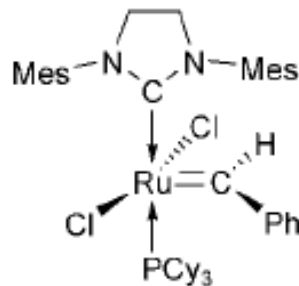
Dissociative mechanism



Rational catalyst improvements



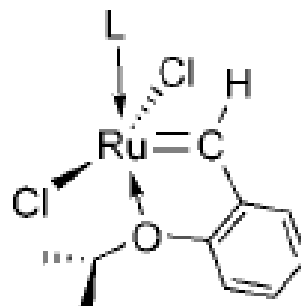
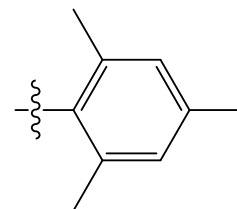
Grubbs catalyst
1st generation



Grubbs catalyst
2nd generation

The *N*-heterocyclic carbene
effect (see below)

Mes =

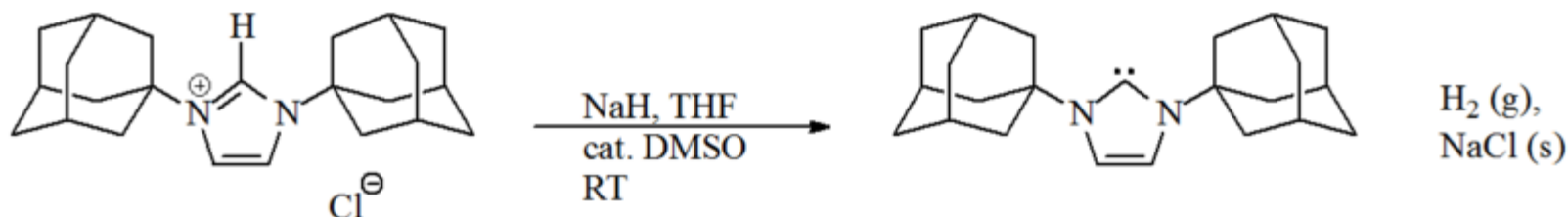


Grubbs-Hoveyda
catalyst

The chelating carbene
allows a very weak ligand to
be used which allows the
active 14 VE catalyst to be
easily generated.

N-Heterocycle carbenes

Grubbs used carbenes derived from imidazolium rings (originally developed by Arduengo).



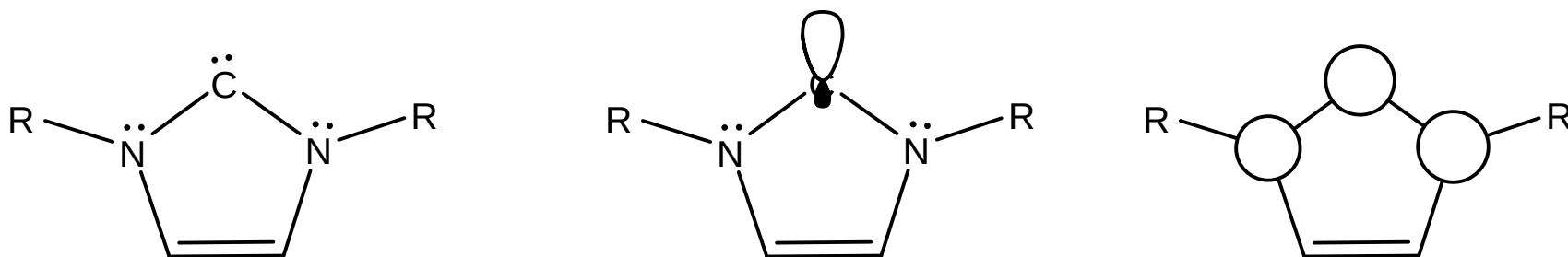
NHC is a persistent carbene, which is a carbene demonstrating particular stability despite also being a reactive intermediate.

The *instability* in these carbenes involves reactivity with substrates, or dimerisation.

Dimerisation is prevented by using bulky substituent groups.

***N*-Heterocycle carbenes**

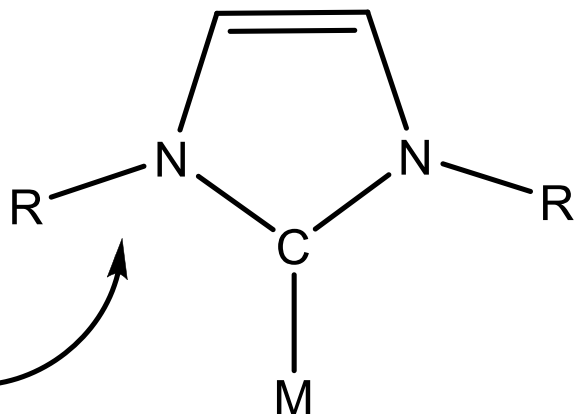
The C-H proton at the 2-position of the ring is very acidic and easily extracted to yield the carbene, in a singlet form:



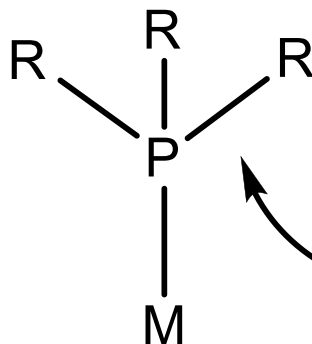
The lone pair resides in the hybrid $px+s$ orbital. The formal empty p_z orbital forms a π system, which forces the singlet configuration.

The lone pair is very basic because of this repulsion, hence they are highly reactive molecules.

N-Heterocycle carbenes versus phosphines

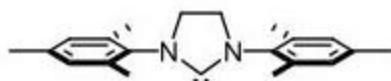


The substituents point towards the metal which could help transmit information to the metal (e.g. chirality).



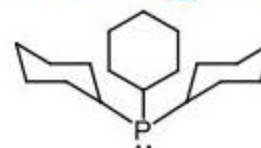
The substituents point away from the metal which reduces their influence at the metal.

N-Heterocyclic Carbene Ligands



NHC ligand

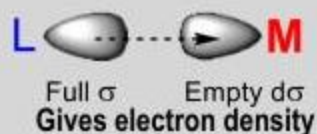
Very basic
Excellent σ -donor
Poor π -acceptor



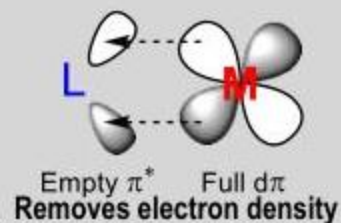
Phosphine ligand

Less basic
Good σ -donor
Poor π -acceptor

σ Bonding



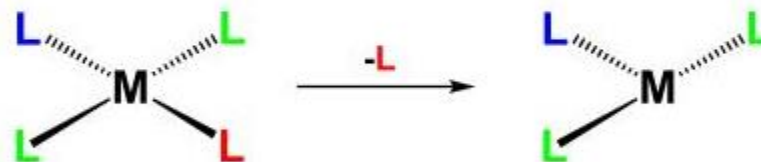
π Bonding



NHC ligation should result in metal with more electron-density

Diez-Gonzalez, S.; Nolan, S. P. *Coord. Chem. Rev.* **2006**, 251, 874.
Straub, B. F. *Adv. Synth. Catal.* **2007**, 349, 204.

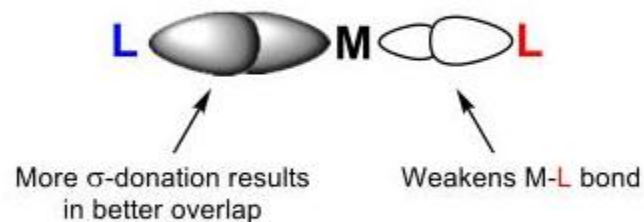
The Trans Effect and Ligand Dissociation



Change in electronics of **L** results in change rate of dissociation of **L**

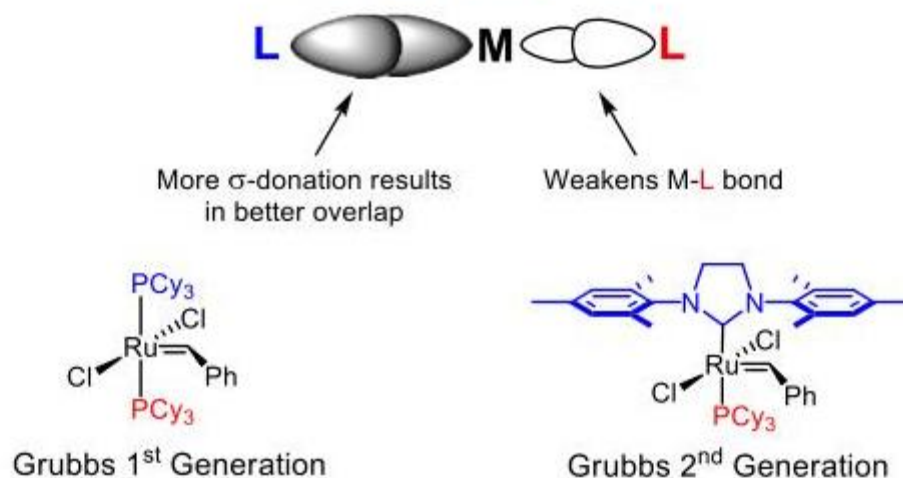
Change in sterics of **L** has effect on dissociation of **L**

Electronics of ligand **trans** to **L** affects rate of dissociation



Atwood, J. D. *Inorganic and Organometallic Reaction Mechanisms*; VCH: New York, 1997, p 51.

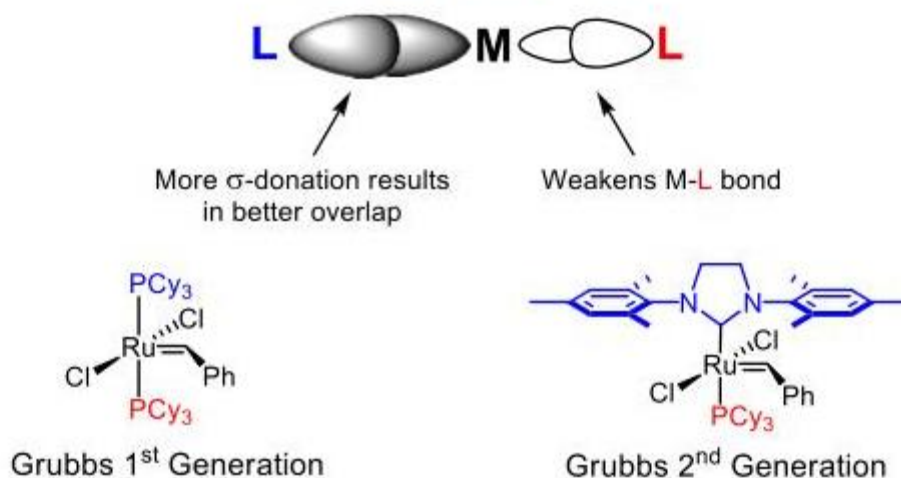
The Trans Effect and Ligand Dissociation



PCy₃ ligand should have a weaker bond in Grubbs 2

Which ligand favors phosphine dissociation?

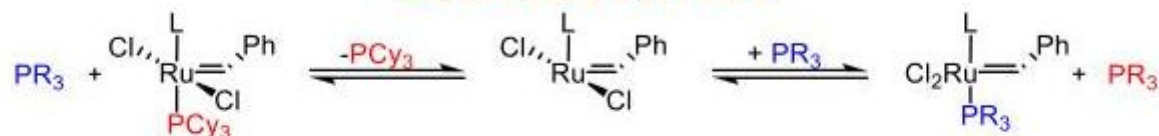
The Trans Effect and Ligand Dissociation

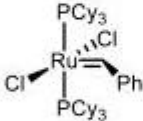
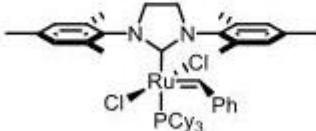


PCy₃ ligand should have a weaker bond in Grubbs 2

Phosphine dissociation should be faster with Grubbs 2

Catalyst Activation by Phosphine Dissociation



Catalyst	Expected Result
	Slow
	Fast

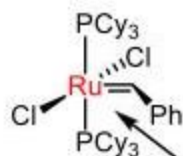
Difference of $\sim 10^2$ in
rate of phosphine dissociation
in favor of Grubbs 1

**Catalyst activity is NOT directly
proportional to phosphine dissociation**

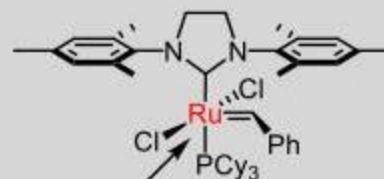
Why? What does it mean?

Ligand Effects on Catalytic Center

Grubbs 1st Generation

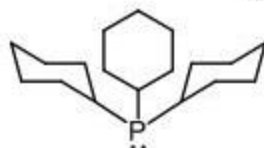


Grubbs 2nd Generation



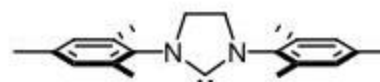
Should have more electron rich ruthenium

Maybe electronics of metal center
can also affect dissociation?



Phosphine ligand

Less basic
Good σ -donor
Poor π -acceptor



NHC ligand

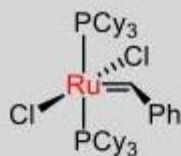
Very basic
Excellent σ -donor
Poor π -acceptor

Getty, K.; Delgado-Jaime, M. U.; Kennepohl, P. *J. Am. Chem. Soc.* **2007**, 129, 15774.

Ligand Effects on Catalytic Center

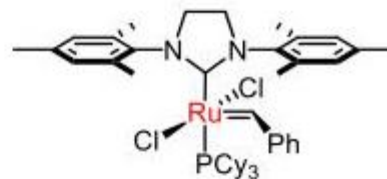
X-Ray Absorption Spectroscopy allows for determination of electronic state of ruthenium center

Grubbs 1st Generation



More electron rich

Grubbs 2nd Generation

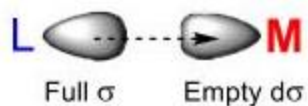


Why is Grubbs 2 electron-deficient?

Getty, K.; Delgado-Jaime, M. U.; Kennepohl, P. *J. Am. Chem. Soc.* **2007**, 129, 15774.

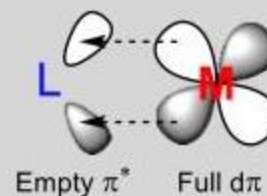
Ligand Effects on Catalytic Center

σ Bonding



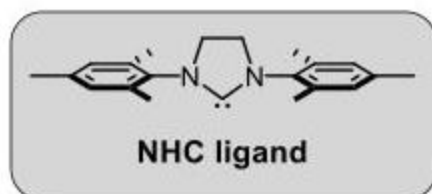
Gives electron density

π Bonding

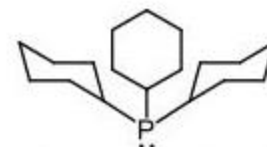


Removes electron density

DFT calculations show NHC can participate in π -bonding for this system



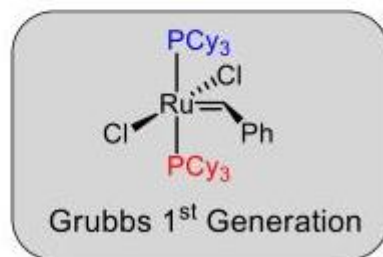
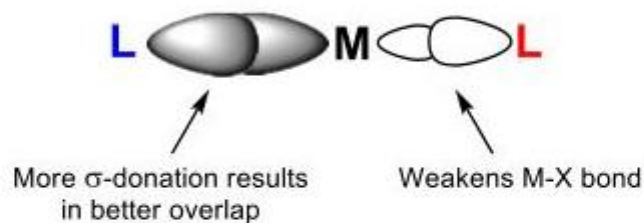
Less overall donation due to π -bonding



Getty, K.; Delgado-Jaime, M. U.; Kennepohl, P. *J. Am. Chem. Soc.* **2007**, 129, 15774.

51

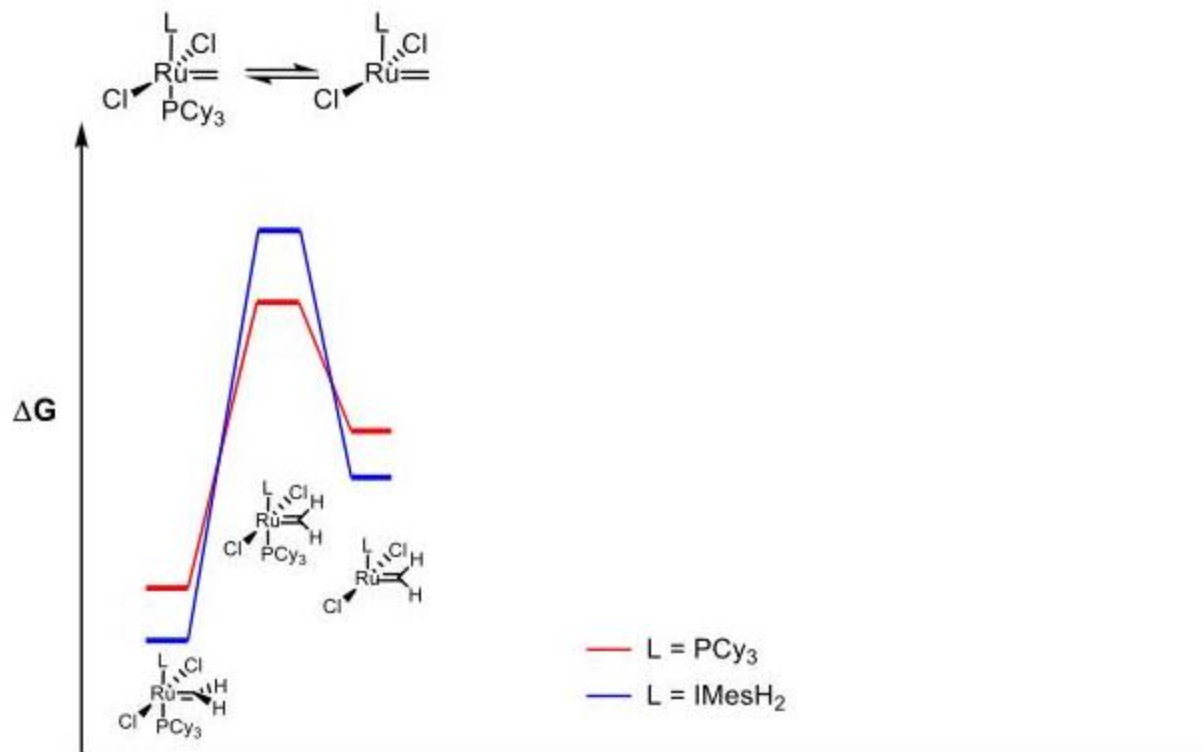
The Trans Effect and Ligand Dissociation



Should expect faster dissociation

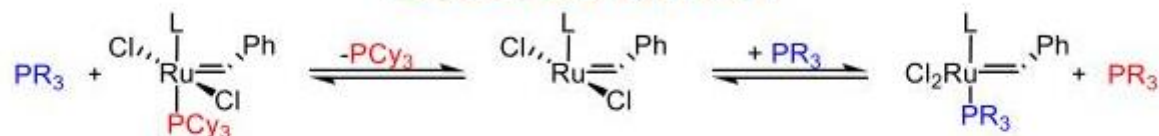


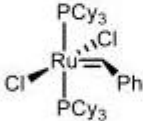
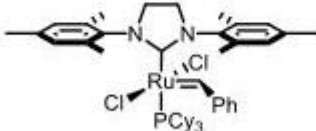
Reaction Pathway of Grubbs 1 and 2



Straub, B. F. *Angew. Chem. Int. Ed.* **2005**, 44, 5974.

Catalyst Activation by Phosphine Dissociation



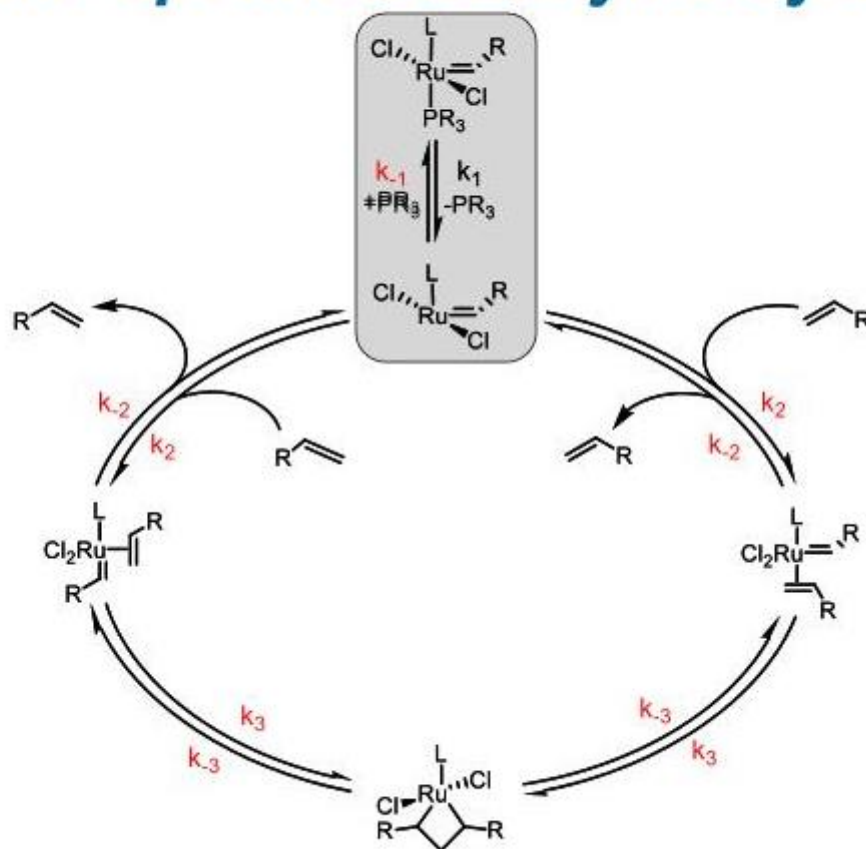
Catalyst	Expected Result
	Slow
	Fast

Difference of $\sim 10^2$ in
rate of phosphine dissociation
in favor of Grubbs 1

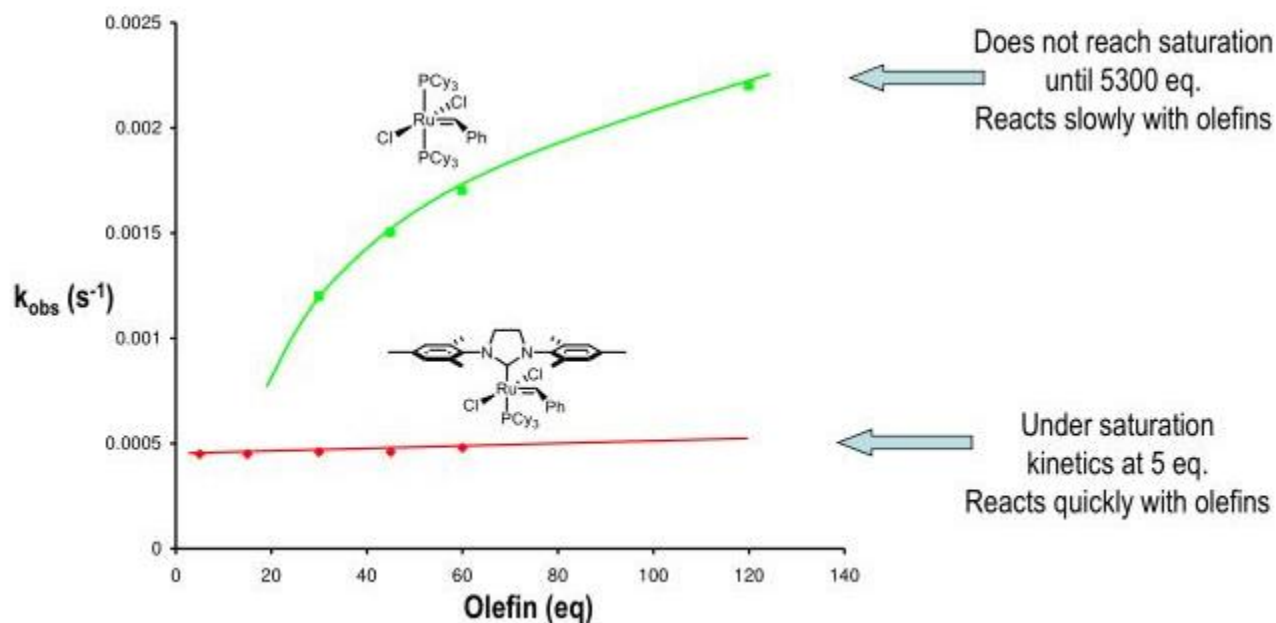
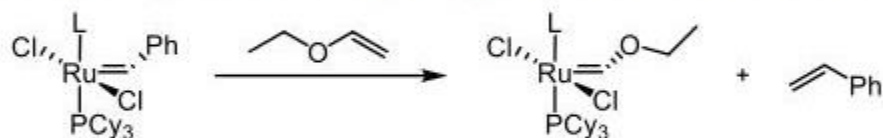
**Catalyst activity is NOT directly
proportional to phosphine dissociation**

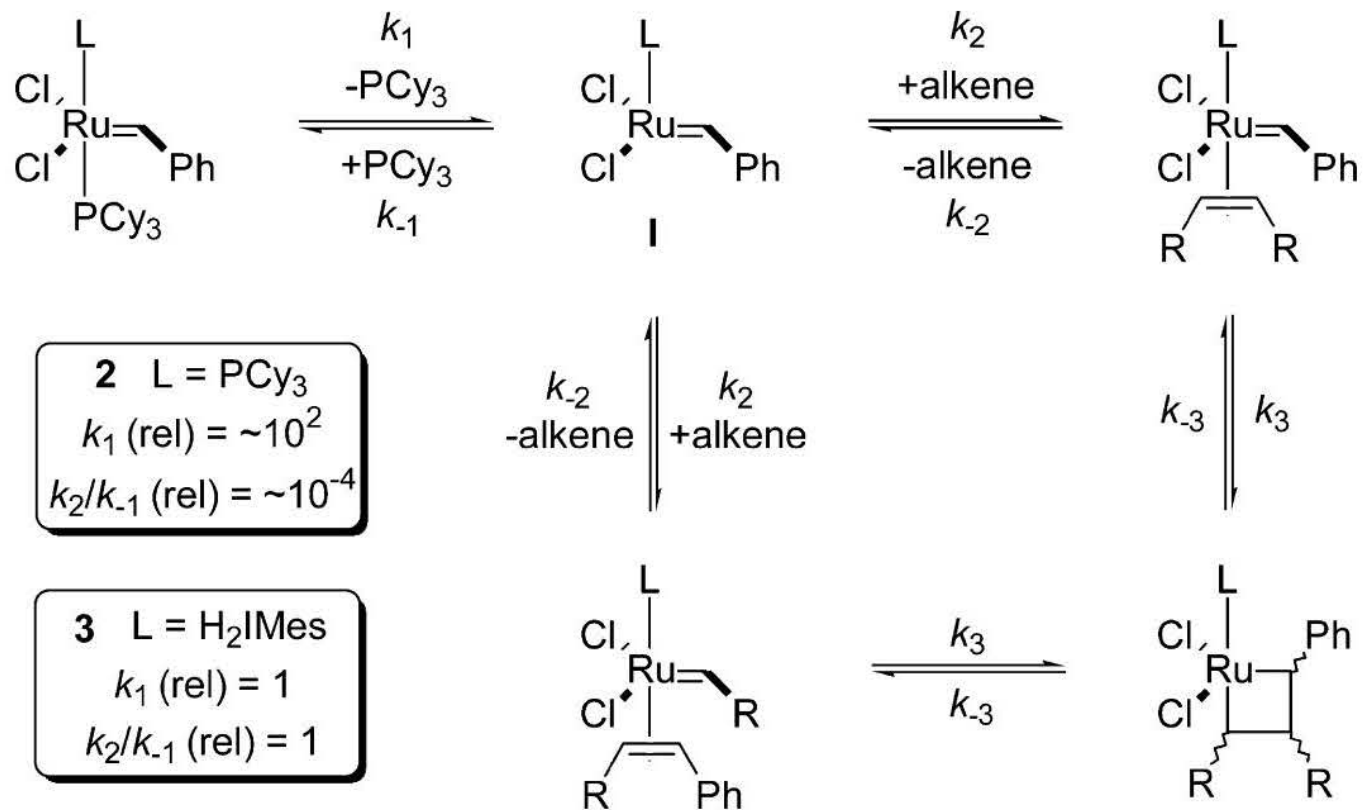
Grubbs 2 must be more reactive once in the catalytic cycle

The Updated Catalytic Cycle



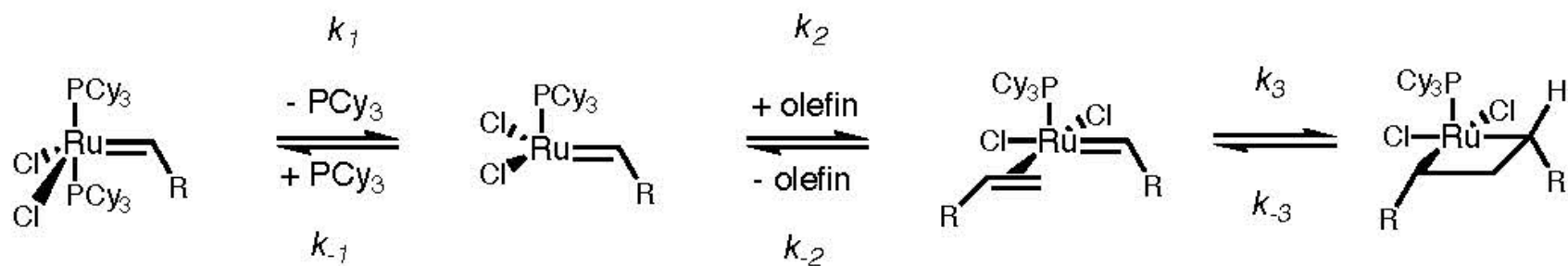
Catalyst Propagation Studies





Higher activity of Grubbs II over Grubbs I is due to a larger k_2/k_{-1} .
 Olefin: π donor; Phosphine: σ -donor;
 Grubbs II prefers to bind olefin over phosphine.

Origin of increased reactivity:



High activity of NHC complex is due to improved selectivity for binding π -acidic olefinic substrates in the presence of σ -donating free phosphine (decreasing k_1/k_2).

V. Rational improvements of catalysts based on mechanistic understanding

Depending on the application, it is advantageous to employ catalysts that initiate more or less rapidly. For example, when performing ring-opening olefin metathesis polymerizations (ROMP) of strained cyclic olefinic monomers, slower-initiating catalysts are often desirable because they allow for longer handling of the monomer/catalyst resin before the polymerization starts. Conversely, fast-initiating catalysts, able to promote metathesis at reduced temperatures, are useful in applications where low reaction temperatures are required to prevent catalyst decomposition and formation of undesired byproducts.

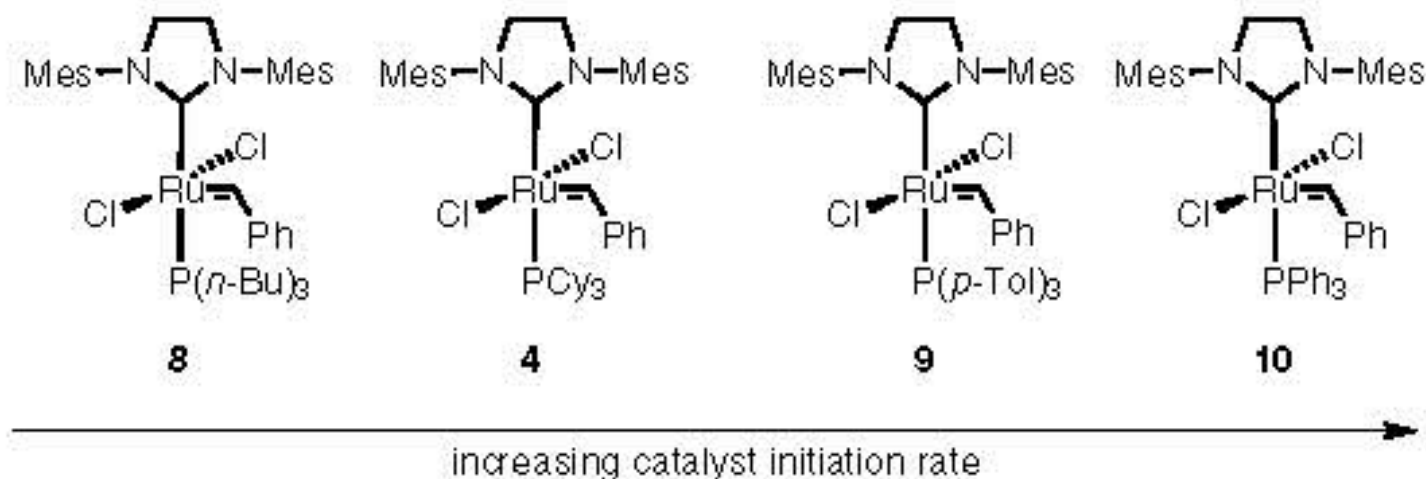


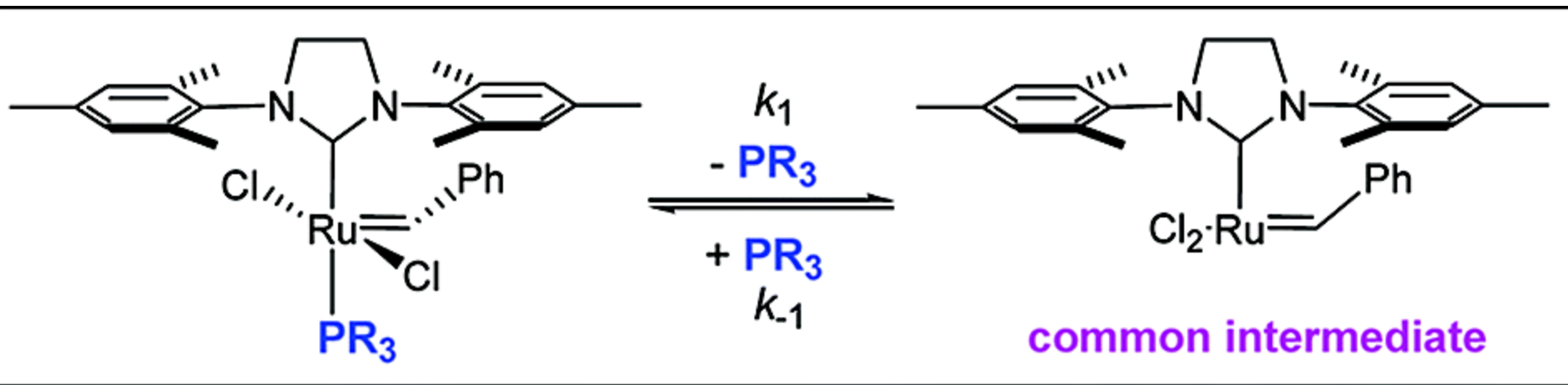
Figure 3. Effect of the Nature of the Phosphine Ligand on the Initiation Rate of the Second-Generation Catalyst.

Two reasons to explain; one more obvious and the other one more delicate

What is the obvious explanation for some of the trend?

1. A less electron donating phosphine is generally expected to be more labile;

Aryl phosphine is less electron donating (basic) than alkyl phosphine

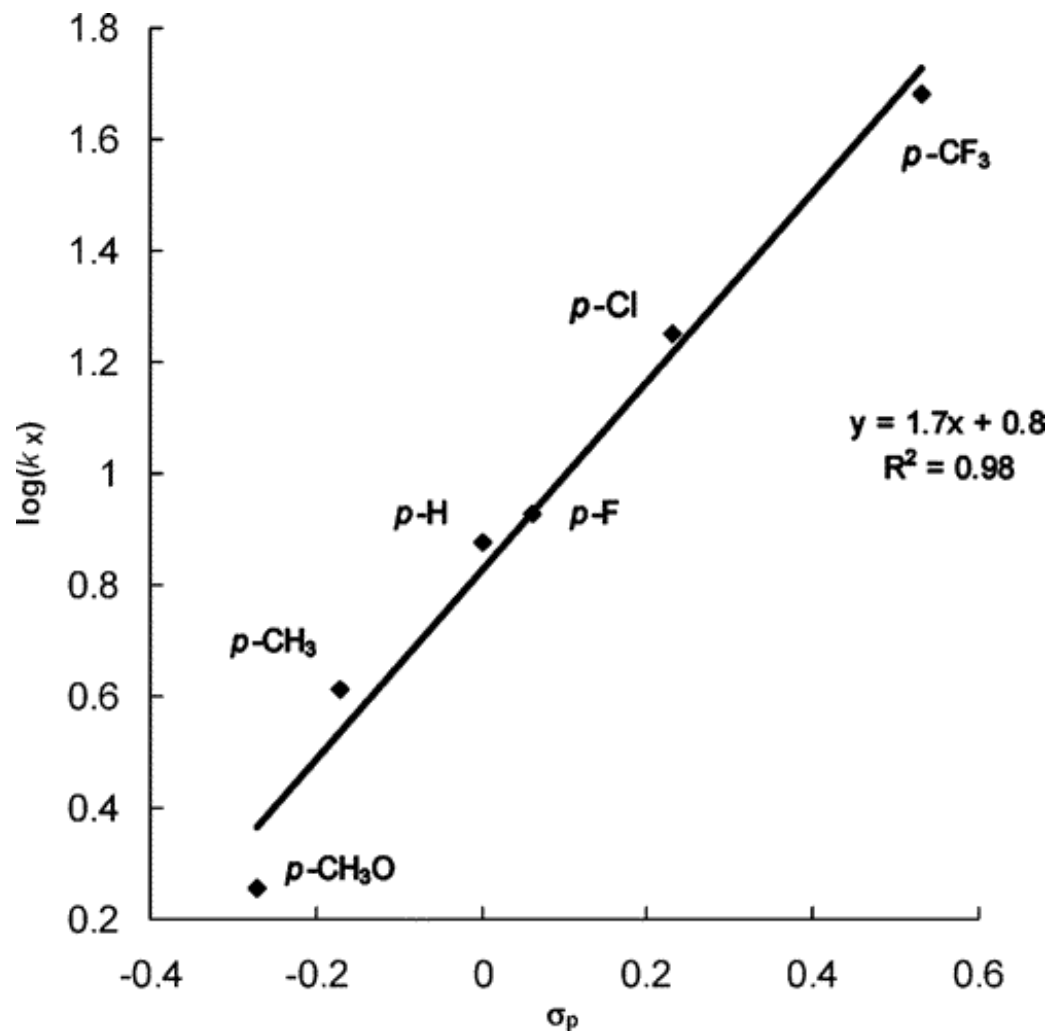


***Increasing rate of
initiation***



- P(*n*-Bu)₃
- PCy₃
- P(*p*-CH₃OC₆H₄)₃
- P(*p*-CH₃C₆H₄)₃
- P(C₆H₅)₃
- P(*p*-FC₆H₄)₃
- P(*p*-ClC₆H₄)₃
- P(*p*-CF₃C₆H₄)₃

Linear free energy correlation



σ_p = Hammett constant, related to electronic property.
The smaller the more electron rich

2. In some cases phosphine pK_a and k_1 (dissociation) not linear; For example, PBn_3 and PCy_3 initiate at similar rate, but conjugated acids have pK_a of 6 and 9.7, respectively.

Complexities of the steric and electronic changes from phosphine variation.

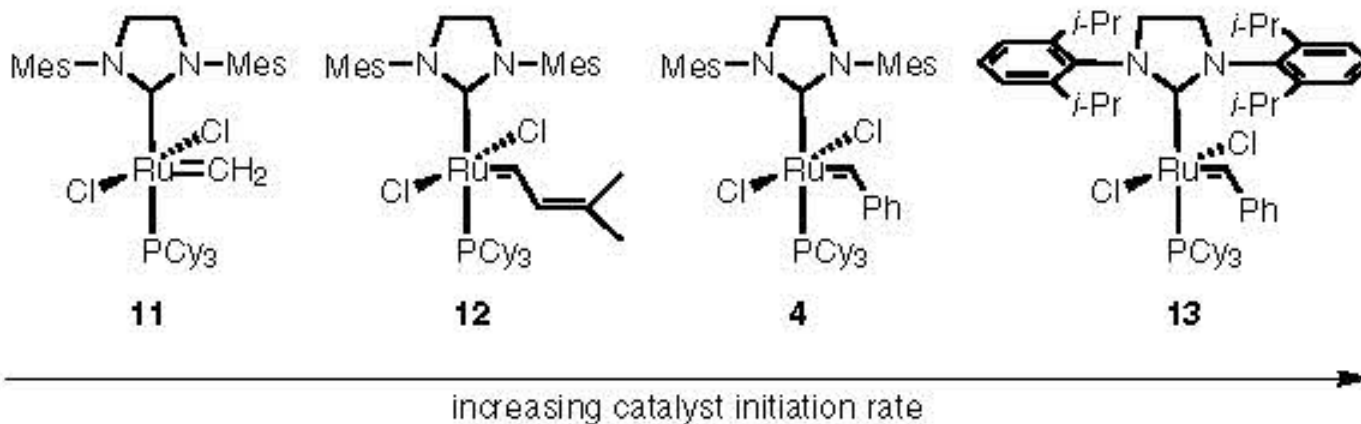
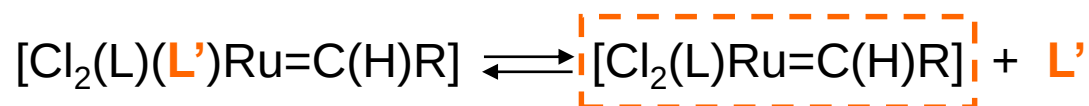


Figure 4. Influence of the Nature of the Alkylidene and NHC Ligands on the Initiation Rate of the Second-Generation Catalyst.

Sterically bulky and electron-donating groups (e.g., alkyl) on alkylidene lead to higher initiation rates because ...

New approach: use a stable 14 VE catalyst



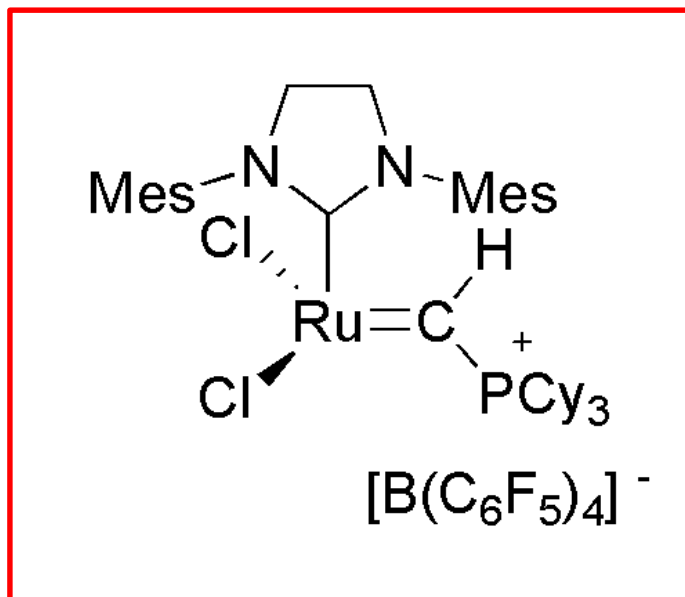
↑
New Catalyst Precursor

Direct access to the active species

No free L' >>> no interference

The catalyst

4-coordinate, 14 e⁻ phosphonium alkylidene



The bulky substituent on the carbene stabilizes the vacant coordination site.

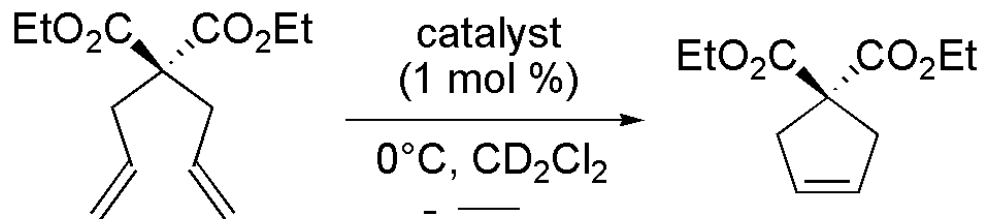
Distorted trigonal pyramid

Stable to oxygen and moisture in solution

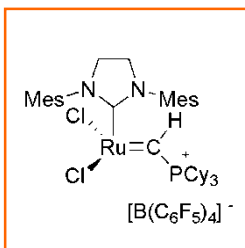
No evidence for a C-H agostic interaction

Piers et al.

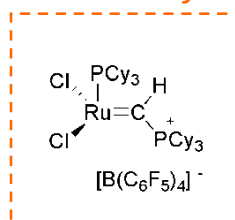
RCM test reaction



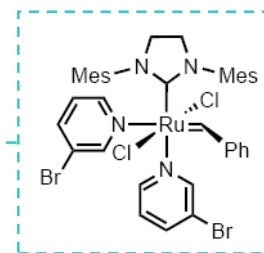
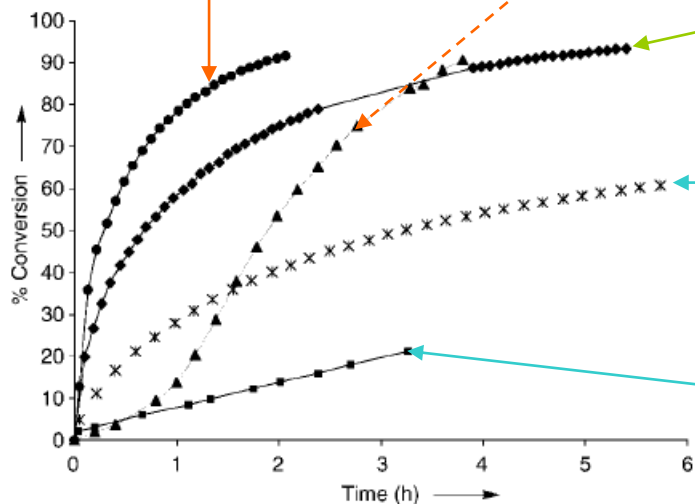
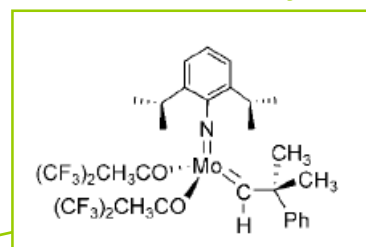
Best new catalyst



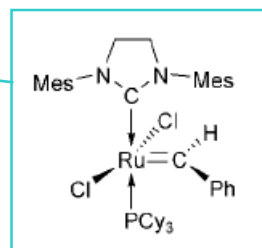
New catalyst



Schrock Catalyst

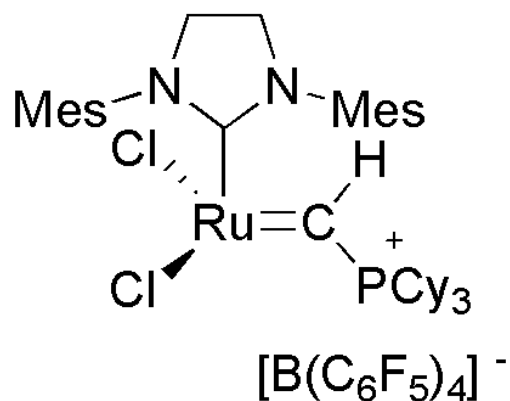


Fast-initiating Grubbs



Grubbs 2nd generation

Why is the catalyst so active ?



No phosphine dissociation step

No free phosphine

All Ru present is active

Initiation : olefin-binding event