

## Post-Hartree-Fock Methods

Methods use a Hartree-Fock calculation as starting point and try to improve the HF results by taking account of **electron correlation**:

- Configuration Interaction (CI)
- Many Body Perturbation Theory (Møller-Plesset (MPn))

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## Magnitude of Correlation Contributions

Example: **Methane CH<sub>4</sub>** (6-311G\* Basis set)

|                   | Total Energy           |                   |
|-------------------|------------------------|-------------------|
| Hartree-Fock      | -40.202409 au          |                   |
| exact             | -40.372946 au          |                   |
| E <sub>corr</sub> | -0.170537 au<br>(0.4%) | → -107.0 kcal/mol |

Typical estimate of electron correlation energies:

~ 100kJ/mol for a localized electron pair

General: < 1% of total energy

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## Many-Body Perturbation Theory (MBPT)

### Idea:

For the case electron correlation effects are relatively small, the Hartree-Fock solutions  $\Phi_i^{HF}$  and  $E_i^{HF}$  are already close approximations to the exact solutions  $\Psi_i^{exact}$  and  $E_i^{exact}$ .

=> correlation effects can be considered as perturbation to the HF solution and treated via perturbation theory

Given:

$$\hat{H}^{(0)}\Phi_i^{(0)} = E_i^{(0)}\Phi_i^{(0)}$$

$\hat{H}^{(0)}$  zeroth order Hamiltonian with  
 $\Phi_i^{(0)}$  eigenfunctions and  
 $E_i^{(0)}$  eigenvalues

→ complete set of orthonormal (eigen)functions  $i = 0, 1, 2, 3, \dots, \infty$

Exact Hamiltonian  $\hat{H}$  can be partitioned into:

$$\hat{H} = \hat{H}^{(0)} + \lambda\hat{H}' = \hat{H}^{(0)} + \lambda V$$

with  $V \ll \hat{H}^{(0)}$

and  $0 \leq \lambda \leq 1$

for  $\lambda = 0$   $\hat{H} = \hat{H}^{(0)}$  for  $\lambda = 1$   $\hat{H} = \hat{H}$

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## Rayleigh-Schrödinger Perturbation Theory

Let's consider the non-degenerate ground state of a time-independent system:

$$\hat{H} = \hat{H}^{(0)} + \lambda\hat{H}'$$

→  $\lambda$  can be varied smoothly from the unperturbed ( $\lambda = 0$ ) to the fully perturbed ( $\lambda = 1$ ) case

Schrödinger Equation for the perturbed system:

$$\hat{H}\Psi(\lambda) = E(\lambda)\Psi(\lambda)$$

Special notation for  $\lambda = 0$  and  $i = 0$ :

$$\begin{aligned}\hat{H}^{(0)} &= H_0 \\ \Psi_0(\lambda = 0) &= \Psi_0^{(0)} = \Phi_0 \\ E_0(\lambda = 0) &= E_0^{(0)} = E_0\end{aligned}$$

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## Expansion of $\Psi$ and $E$ in powers of $\lambda$

$$E_i = \lambda^0 E_i^{(0)} + \lambda^1 E_i^{(1)} + \lambda^2 E_i^{(2)} + \dots$$

$$|\Psi_i\rangle = \lambda^0 |\Psi_i^{(0)}\rangle + \lambda^1 |\Psi_i^{(1)}\rangle + \lambda^2 |\Psi_i^{(2)}\rangle + \dots$$

$$H |\Psi_i\rangle = E_i |\Psi_i\rangle$$

Series does not necessarily converge!

- Indices (0),(1),(2)..(n): refer to the unperturbed system (0th order correction), the 1st order correction, 2nd order correction...nth order correction, respectively → MP2, MP3, MP4 etc...
- Will concentrate on improving ground state wavefunction and energy  $i = 0$   
⇒ will leave out index  $i$

### Normalization condition: intermediate normalization

overlap of perturbed wfc with unperturbed wfc chosen to be 1!

$$\langle \Psi | \Phi \rangle = 1$$

$$\langle \Psi^{(0)} + \lambda^1 \Psi^{(1)} + \lambda^2 \Psi^{(2)} \dots | \Phi \rangle = 1$$

$$\langle \Psi^{(0)} | \Phi \rangle + \lambda^1 \langle \Psi^{(1)} | \Phi \rangle + \lambda^2 \langle \Psi^{(2)} | \Phi \rangle + \dots = 1$$

$\Rightarrow \langle \Psi^{(i \neq 0)} | \Phi \rangle = 0$   
 All corrections are orthogonal  
 To unperturbed solution

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## n-th order Perturbation Equations

$$(\mathcal{H}_0 + \lambda \mathcal{H}')(\lambda^0 \Psi^{(0)} + \lambda^1 \Psi^{(1)} + \lambda^2 \Psi^{(2)} + \dots) =$$

$$(\lambda^0 E^{(0)} + \lambda^1 E^{(1)} + \lambda^2 E^{(2)} + \dots)(\lambda^0 \Psi^{(0)} + \lambda^1 \Psi^{(1)} + \lambda^2 \Psi^{(2)} + \dots)$$

Collect terms with same power in  $\lambda$ :

$$\lambda^0 : \quad \mathcal{H}_0 \Psi^{(0)} = E^{(0)} \Psi^{(0)}$$

$$\lambda^1 : \quad \mathcal{H}_0 \Psi^{(1)} + \mathcal{H}' \Psi^{(0)} = E^{(0)} \Psi^{(1)} + E^{(1)} \Psi^{(0)}$$

$$\lambda^2 : \quad \mathcal{H}_0 \Psi^{(2)} + \mathcal{H}' \Psi^{(1)} = E^{(0)} \Psi^{(2)} + E^{(1)} \Psi^{(1)} + E^{(2)} \Psi^{(0)}$$

$$\dots$$

$$\lambda^n : \quad \mathcal{H}_0 \Psi^{(n)} + \mathcal{H}' \Psi^{(n-1)} = \sum_{j=0}^n E^{(j)} \Psi^{(n-j)}$$

$\Psi^{(n)}, E^{(n)}$ : n-th order correction to the wavefunction and to the energy

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## Rayleigh-Schrödinger Perturbation Formulae

1<sup>st</sup> order perturbation:

$$\left(\hat{H}_0 - E^{(0)}\right)\Psi^{(1)} + \left(\hat{H}' - E^{(1)}\right)\Psi^{(0)} = 0$$

For improving ground-state solution  $\Phi_0, E_0$ :

$$\left(\hat{H}_0 - E_0\right)\Psi^{(1)} + \left(\hat{H}' - E^{(1)}\right)\Phi_0 = 0$$

Contains 2 unknowns

General solution: expand wavefunction correction in complete set of unperturbed wavefunctions:

$$\Psi^{(1)} = \sum_{i=0}^{\infty} c_i \Phi_i$$

If we introduce this Ansatz for the wavefunction in the equation above, we obtain:

$$E^{(1)} = \langle \Phi_0 | \mathcal{H}' | \Phi_0 \rangle$$

1<sup>st</sup> order correction to the energy

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## Quiz XIII: 1<sup>st</sup> order correction

- 1) Derive the expression for the 1st order correction to the energy starting from the equation gathering the  $\lambda^1$  terms. Hint: multiply from the left with  $\Phi_0$  and integrate over all space.
- 2) Determine the expansion coefficients  $c_j$  for the first order correction to the wavefunction  $\Psi^{(1)}$ . Hint: multiply from the left with the basis function  $\Phi_j$  ( $j \neq 0$ ) and integrate over all space.
- 3) Why is  $c_0 = 0$ ?

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By multiplying on the left with a given  $\Phi_j$  and integrating, we obtain the coefficients  $c_j$  for the 1st order correction to the wavefunction:

$$\Psi^{(1)} = \sum_{i=0}^{\infty} c_i \Phi_i$$

with

$$c_j = \frac{\langle \Phi_j | \mathcal{H}' | \Phi_0 \rangle}{E_0 - E_j}$$

1<sup>st</sup> order correction to the wavefunction

In addition, from the normalization condition we get  $c_0 = 0$ .

2<sup>nd</sup> order perturbation:

$$\hat{H}_0 \Psi^{(2)} + \hat{H}' \Psi^{(1)} = E_0 \Psi^{(2)} + E^{(1)} \Psi^{(1)} + E^{(2)} \Phi_0$$

2 unknowns

Expansion of the 2nd order correction to the wavefunction:

$$\Psi^{(2)} = \sum_i d_i \Phi_i$$

$$c_0 = d_0 = 0$$

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2<sup>nd</sup> order correction to the energy:

$$E^{(2)} = \langle \Phi_0 | H' | \Psi^{(1)} \rangle$$

$$E^{(2)} = \sum_i c_i \langle \Phi_0 | \mathcal{H}' | \Phi_i \rangle = \sum_{i \neq 0} \frac{\langle \Phi_0 | \mathcal{H}' | \Phi_i \rangle \langle \Phi_i | \mathcal{H}' | \Phi_0 \rangle}{E_0 - E_i}$$

2<sup>nd</sup> order correction to the wfct:

$$d_j = \sum_{i \neq 0} \frac{\langle \Phi_j | \mathcal{H}' | \Phi_i \rangle \langle \Phi_i | \mathcal{H}' | \Phi_0 \rangle}{(E_0 - E_j)(E_0 - E_i)} - \frac{\langle \Phi_j | \mathcal{H}' | \Phi_0 \rangle \langle \Phi_0 | \mathcal{H}' | \Phi_j \rangle}{(E_0 - E_j)^2}$$

n<sup>th</sup> order correction to the energy:

$$E^{(n)} = \langle \Phi_0 | H' | \Psi^{(n-1)} \rangle$$

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## Møller-Plesset Perturbation Theory

unperturbed system:

$$\mathcal{H}^0 |\Psi^{(0)}\rangle = E_0^{(0)} |\Psi^{(0)}\rangle$$

$\mathcal{H}^0$ : Hartree-Fock Hamiltonian

$$\mathcal{H}^0 = \sum_i^N \hat{f}(i) \quad \hat{f}(i) = \hat{h}(i) + \sum_j^N \hat{J}_j - \hat{K}_j$$



Christian Møller (1904-1980) Milton S. Plesset (1908-1991)

perturbation:

$$\begin{aligned} \mathcal{V}' &= \mathcal{H} - \mathcal{H}^0 = \left( \sum_i^N \hat{h}(i) + \sum_{i=1}^N \sum_{j>i}^N \frac{1}{r_{ij}} \right) - \sum_i^N \hat{f}(i) \\ &= \left( \sum_i^N \hat{h}(i) + \sum_{i=1}^N \sum_{j>i}^N \frac{1}{r_{ij}} \right) - \left( \sum_i^N \hat{h}(i) + \sum_i^N \hat{v}_{HF}(i) \right) \\ &= \sum_{i=1}^N \sum_{j>i}^N \frac{1}{r_{ij}} - \sum_i^N \hat{v}_{HF}(i) \end{aligned}$$

Difference between  
instantaneous and  
average e-e  
interaction:  
'fluctuation potential'

→ total e-e repulsion minus Hartree-Fock e-repulsion

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### Quiz XIV: Møller-Plesset

- 1) What are the basis functions  $\Phi_i$  in Møller-Plesset theory?
- 2) What is the 0<sup>th</sup> order energy?
- 3) What is the 1<sup>st</sup> order correction to the energy?

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Note that:

$$\langle \Phi_0 | \sum_{i < j}^N \hat{v}_{ij} | \Phi_0 \rangle = \frac{1}{2} \langle \Phi_0 | \sum_{i,j}^N \hat{v}_{ij}^{HF} | \Phi_0 \rangle \equiv \langle \mathbf{V}_{ee} \rangle$$

(the sum of the Fock operators counts the electron-electron repulsion twice!)

**0<sup>th</sup> order energy:**  
(sum of HF eigenvalues)

$$E^{(0)} = \sum_i^N \langle \phi_i | \hat{\mathcal{F}}_i | \phi_i \rangle = \sum_i^N \varepsilon_i^{\text{HF}}$$

**1<sup>st</sup> order energy:**  
(correction for double counting electron-electron interaction)

$$\begin{aligned} E^{(1)} &= \langle \Phi_0 | \hat{\mathcal{H}}' | \Phi_0 \rangle = \langle \Phi_0 | \sum_{i < j}^N \hat{v}_{ij} | \Phi_0 \rangle - \langle \Phi_0 | \sum_{i,j=1}^N \hat{v}_{ij}^{HF} | \Phi_0 \rangle \\ &= \langle \mathbf{V}_{ee} \rangle - 2 \langle \mathbf{V}_{ee} \rangle = -\langle \mathbf{V}_{ee} \rangle \end{aligned}$$

$$\text{MP0 : } E(\text{MP0}) = \sum_a^N \varepsilon_a^{\text{HF}}$$

$$\text{MP1 : } E(\text{MP0}) + E(\text{MP1}) = E(\text{HF})$$

**Note: First nontrivial energy correction at second order MP2 !**

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Expansion of the perturbed wavefunction in doubly excited Slater determinants:

**2<sup>nd</sup> order correction to the energy:**

$$E^{(2)} = \sum_{a < b}^{\text{occ.}} \sum_{r < s}^{\text{virt.}} \frac{\langle \Phi_0 | \hat{\mathcal{H}}' | \Phi_{ab}^{rs} \rangle \langle \Phi_{ab}^{rs} | \hat{\mathcal{H}}' | \Phi_0 \rangle}{E_0 - E_{ab}^{rs}}$$

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## Slater-Condon Rules

**1. Identical Determinants:** If the determinants are identical, then

$$\langle \Phi_1 | \hat{H} | \Phi_1 \rangle = \sum_m^N \langle m | \hat{h} | m \rangle + \sum_{m>n}^N \langle mn || mn \rangle \quad (5.9)$$

**2. Determinants that Differ by One Spin Orbital:**

$$\begin{aligned} |\Phi_1\rangle &= |\cdots mn \cdots\rangle \\ |\Phi_2\rangle &= |\cdots pn \cdots\rangle \\ \langle \Phi_1 | \hat{H} | \Phi_2 \rangle &= \langle m | \hat{h} | p \rangle + \sum_n^N \langle mn || pn \rangle \end{aligned} \quad (5.10)$$

**3. Determinants that Differ by Two Spin Orbitals:**

$$\begin{aligned} |\Phi_1\rangle &= |\cdots mn \cdots\rangle \\ |\Phi_2\rangle &= |\cdots pq \cdots\rangle \\ \langle \Phi_1 | \hat{H} | \Phi_2 \rangle &= \langle mn || pq \rangle \end{aligned} \quad (5.11)$$

**4. Determinants that Differ by More than Two Spin Orbitals:**

$$\begin{aligned} |\Phi_1\rangle &= |\cdots mno \cdots\rangle \\ |\Phi_2\rangle &= |\cdots pqr \cdots\rangle \\ \langle \Phi_1 | \hat{H} | \Phi_2 \rangle &= 0 \end{aligned} \quad (5.12)$$

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Expansion of the perturbed wavefunction in doubly excited Slater determinants:

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$$E^{(2)} = \sum_{a<b}^{\text{occ.}} \sum_{r<s}^{\text{virt.}} \frac{\langle \Phi_0 | \hat{\mathcal{H}}' | \Phi_{ab}^{rs} \rangle \langle \Phi_{ab}^{rs} | \hat{\mathcal{H}}' | \Phi_0 \rangle}{E_0 - E_{ab}^{rs}}$$

$$E(\text{MP2}) = \sum_{a<b}^{\text{occ.}} \sum_{r<s}^{\text{virt.}} \frac{[\langle \phi_a \phi_b | \hat{v} | \phi_r \phi_s \rangle - \langle \phi_a \phi_b | \hat{v} | \phi_s \phi_r \rangle]^2}{(\varepsilon_a + \varepsilon_b - \varepsilon_r - \varepsilon_s)}$$

→ similar expressions can be derived for the nth order correction to the energy and to the wavefunction

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